Interpretation of Statistical Tables from Estrogen Receptor Database DRAFT: February 5, 2008

#### 1. Introduction

This note provides a summary interpretation of the statistical tables from the NLCHI ER/PR Database. The tables address clinical issues only. Communications data will be forthcoming.

Throughout this report, references are made to original data from Eastern Health. It is important to bear in mind, however, that the data represents patients from all regions of the province and that not all of the steps in ER/PR testing take place in the Eastern Health laboratory. For example, tissue extraction and fixation occur at many sites throughout the province before transport to the laboratory, and post-laboratory interpretation and reporting by pathologists occur at many sites as well. Eastern Health collected and reported data on the retesting process for all patients starting in 2005, and therefore the data against which the NLCHI database can be compared belongs to Eastern Health.

2. Total Cases

Eastern Health reported to the public on December 11, 2006 that there were 939 patients retested at Mount Sinai. This number was also reported to the Minister of Health and Community Services on November 23, 2006, to the court in affidavits, and to the media and public throughout the period in 2007 leading up to the appointment of the Commission of Inquiry.

The 939 total was explained by Eastern Health as containing all patients who had an ER/PR negative test result performed at Eastern Health between 1997 and August 2005 and subsequently sent to Mount Sinai for retesting. It was acknowledged as well that the total contained some original positives which doctors had specifically asked to be retested.

Using the same definitions, NLCHI found 1016 cases, or 77 greater than the number reported by Eastern Health. It is not possible to explain completely the difference between the original 939 total and the new 1016 total because, as part of the tracking and data management process within Eastern Health, the spreadsheet which originally contained the 939 count was overwritten with updates many times. Therefore, it cannot be known with certainty how many cases, or which cases, were present or absent from the older Eastern Health spreadsheets. However, the general explanations for the new, higher total are:

• Some cases were identified by Eastern Health or self-identified by patients after the initial reporting of 939;

- Some cases of deceased individuals were not initially forwarded for testing because of a perception in some RHAs that only living patients need be identified;
- The challenges faced by Eastern Health (e.g., multiple information systems from which to identify original ER/PR tests and original test scores; multiple channels for submitting retests to Mount Sinai; lack of an overarching information system to integrate records for all unique patients) made it difficult to identify every case.

Within the 1016 cases, there are 19 original positives that were sent to Mount Sinai for retesting. While the original purpose of retesting was focused on negatives, some physicians asked for certain positive results to be retested. If these are removed for analytical purposes, the total group of original negatives that were sent to Mount Sinai was 997.

3. <u>Comparison of Eastern Health's November 23, 2006 Briefing for the Minister</u> with New Database Results.

The briefing for the Minister on November 23, 2006 included a table with 11 categories of results, with total cases adding to 939. Eastern Health's briefing was primarily focused on the re-test outcomes for the 763 patients identified as living. All deceased patients were assigned to a 12<sup>th</sup> category entitled "deceased", whether or not re-test results on these cases had been received by that date. Eastern Health reported at that time that 176 people were deceased.<sup>1</sup>

As the new database contains a larger "total cases" than the data reported by Eastern Health, it is not surprising that some of the components have also changed. For example, if Eastern had captured all of the cases that are in the new database, and had it been linked to the Provincial Mortality Database (through NLCHI), 295 people would have been identified as deceased at that time instead of 176.<sup>2</sup> This variance means that some of the 763 cases which were reported as living on November 23, 2006 were in fact deceased at that time.<sup>3</sup>

The key comparisons between the Eastern Health table (November 23, 2006) and the new database are as follows:

<sup>&</sup>lt;sup>1</sup> The Eastern Health data is contained in the first data column of Table 2 in Appendix 3.

<sup>&</sup>lt;sup>2</sup> A year later, in late 2007, the number of deceased grew to 323 people.

<sup>&</sup>lt;sup>3</sup> This finding gives rise to the question of how Eastern Health could have reported this result if they had been in contact with all patients who were retested This question will be explored further when the database results on communications are known.

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- Eastern reported 433 living patients as having no change in result and thus no change in treatment. The new database shows 354 living patients and 187 deceased in this category;<sup>4</sup>
- Eastern reported 213 living patients who had test results that converted from negative to positive but for various reasons had no change in treatment recommendation. The new database contains 201 living patients and 16 deceased patients with this outcome.
- Eastern reported that 104 living patients had a change in test results and required treatment change.<sup>5</sup> The new database found 101 living patients and 1 deceased patient in this category.

Other than the identification of the number of the higher number of deceased, it cannot be concluded that the Eastern Health table contained errors. The original Eastern Health data cannot be fully verified because the spreadsheets no longer exist to determine which cases were in each of the categories of the table on November 23, 2006. The absence of an auditable trail of records and spreadsheets is a shortcoming of the data management process.

4. Time frame for Retesting

The date that samples were sent to Mount Sinai can be determined for most of the cases. There are 52 cases where the date of testing cannot be determined from existing records. Out of the remaining cases, 85% were sent in 2005, 4% were sent in 2006 and 11% were sent in 2007.

The reason why there was an increase in cases in 2007 was the identification of some deceased that had been originally omitted due to uncertainty over "inclusion criteria", the inclusion of cases between January and May 1997 over which it was initially unclear whether they were supposed to be retested, and the identification of additional cases that should have been sent in 2005.

#### 5. Number of Cases by Year of Original Test

Table A in Appendix 1 displays the number of original ER negative cases by year which were subsequently retested at Mount Sinai Hospital. Out of the total 997 patients, the volumes were highest for patients tested between 1998 and 2002, peaking at 182 patients in 2000. The volume of negative cases declined substantially in 2004 and 2005 as the more sensitive Ventana testing system was utilized.

<sup>&</sup>lt;sup>4</sup> The definition of "negative" between 1997 and 2000 uses a cut-off score of 30%, and after 2000 it uses a cutoff score of 10%. This approach is consistent with the letter (September 6, 2005) from Dr. Cook to lab directors and Medical Directors throughout the province in which instructions were given for the selection of samples for retesting at Mount Sinai. It is also consistent with Dr. Khalifa's proposed cutoff as communicated in his letter to pathologists on February 16, 1998.

<sup>&</sup>lt;sup>5</sup> Eastern Health actually identified 117 patients who required treatment change. This total consists of the 104 patients noted above, plus 13 patients whose results did not change but who needed a treatment change because the definition of positive had changed in 2001.

#### 6. Positivity Rates

The positivity rate is a readily accessible measure of whether a laboratory is producing results within expected ranges. In its initial internal assessment (July 2005) Eastern Health said that the normal range for positivity was 50-85%. Later, in a media briefing on December 11, 2006, Eastern said that the literature suggests that about 75% of breast cancers are estrogen-receptor –positive..... In June of 2007, Eastern reported its positivity rate as "65% from 1997-2005.....". In an affidavit by Dr. Allen Gown, he stated that he had been advised that the seven year average was 74% ER-positivity. Upon review of the data given to him by Eastern Health it appeared that the ER positivity rate was in the range of 65-75% for breast cancers analyzed during the time the DAKO instrument was used.

Positivity rates by year are presented in Table B in Appendix 1. The following are some methodological points about this table.

- First, these calculations refer to tests rather than patients because the positivity rate measures the validity of the test. The number of original negative tests (the numerator column 1) was gathered by NLCHI using criteria for inclusion, plus measures to ensure the exclusion of ER/PR tests performed for a reason other than breast cancer, duplicate records and data entry mistakes.
- Second, the total number of ER/PR tests performed by Eastern (which is used as the denominator in the positivity rate column 2) was provided by the Eastern Health. This number excludes the "non-breast" ER/PR tests in St. John's, but data was not available to identify and exclude the non-breast cases from outside St. John's. It is estimated that this factor has a small impact on the overall positivity rate, making it slightly higher than it should be. (It is possible that the total number of ER/PR tests for 1998 is under-estimated, given that the positivity rate is unusually low for that year.)
- Third, some of the retest samples were not the same paraffin blocks that were used to produce the original slides. The number of instances where this happened is <u>believed to be about 10%</u>, and it is uncertain whether the <u>impact</u> would be <u>an</u>, increase, decrease or no change in the positivity rate.
- Fourth, between 1997 and 2005 there were 49 negative cases (54 tests) which were subsequently identified as DCIS. For purposes of calculating the positivity rate, these samples have been excluded from both the original tests and the Mount Sinai results because DCIS patients are not normally recommended for Tamoxifen in Canada and consequently are not normally sent for ER/PR testing. It remains uncertain whether there are additional DCIS cases within the approximately 2000 positive tests that should be removed if they could be identified.
- Fifth, there are a number of tests (37 in Table B) which could not be interpreted for inclusion. The exclusion of these tests, and the exclusion of DCIS noted

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above, from both the number of original negatives and the number of total tests, slightly increase the positivity rate.

A comparison of the positivity rates during this period with those from the literature is necessary to evaluate the data. One of the difficulties in doing a comparison is that most studies use a consistent 10% cutoff rate for assessing positivity. As clinical guidelines, Eastern Health used the 10% cutoff after 2001, but the cutoff was 30% before 2001. NLCHI produced tables to eliminate this factor and allow for a more technical evaluation of the test. Table C (in Appendix 1) summarizes the positivity rates at the 1%, 10% and 30% cutoff levels.

The original purpose of the retesting process was patient care, not controlled research. Nonetheless, the retest group represents the complete set of negative ER cases between 1997 and 2005 and therefore is unbiased for Newfoundland and Labrador. The characteristics of the Newfoundland and Labrador population could vary from the characteristics of study groups in the literature, but this issue has not been verified one way or another.

#### 7. Changes in ER Scores after Retesting

Eastern Health had a panel of physicians and quality officials examine most of the retests which had a changed result from Mount Sinai. This process allowed for an expert opinion to be rendered regarding each case, and a valid conclusion drawn on whether a change (i.e., from clinically negative to clinically positive and vice versa), had actually occurred. However, given that not all changed results were examined by the panel, another method was needed to calculate the total rate of changed results between Eastern Health tests and Mount Sinai tests.

Given that the results of the pathology reports are normally reported as a quantitative score between 1 and 100, it is possible to calculate the rate of change from negative to positive for the whole retest group, notwithstanding the determinations of the tumour panel. This approach uses straight mathematics, not clinical judgment, and is not to be regarded as a substitute for the work of the tumour panel. In particular, the change rate in the test results is not an indicator of the proportion of patients who should have received alternate treatment. It is important to bear in mind that only <u>117 of the 317</u>, changed results as reported by Eastern Health to the Minister on November 23, 2006 needed a change in treatment. Although the NLCHI database includes different numbers than Eastern Health's report, the general principle would likely remain the same.

The results are presented in Table D in Appendix 1, using a cutoff point of 30% between 1997 and 2000 and 10% between 2001 and 2005, reflecting the clinical guidelines used to identify samples for retesting at Mount Sinai. Another approach to classification is to use the same cutoff for the whole period – i.e., either 1%, 10% or 30%. By using a standardized approach, the goal is not to reach a clinical conclusion, but rather to reach a conclusion about the technical aspects of the test. The results are presented in Table E. Deleted: is

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**Deleted:** Using a cutoff of 10%, the example used above would be classified as a change. Using a cutoff of 30%, the example above would not be a change.

**Deleted:** in Appendix 1 shows the percentage of changes for the four methods noted above (variable cutoff, 1%, 10% and 30%).

#### 8. Change Rate by Region

Using the cutoff points in the clinical guideline, the change rates for the province and the four regions are included in Table F in Appendix 1. On average for the whole period, the regions are not substantially different from the provincial average of  $xx^{9}_{0}$ , except for Labrador/Grenfell at 48.5%, although it is not clear whether this is a concern due to low volumes from that region.

#### 9. Changes by Site

Table G in Appendix 1 shows that the average percentage of changes by site was  $\underline{xx}$  percent. In other words,  $\underline{y}$  out of every 10 original negative results changed to positive. Most sites were close to or below this average. The two sites with the highest change rate were Clarenville ( $\underline{xx}$ %) and Labrador/Grenfell ( $\underline{xx}$ %). Deleted: variable cutoff method

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### Appendix 1: Results Tables (based on NLCHI Database results in Appendix 3)

Table	e A: Number and	Percentage of O Cases by ye	0 0	e ER Tests and
Year	Number of Tests with Negative ER	Percentage	Number of Cases	Percentage
1997	63	5.8	61	6.1
1998	159	14.6	140	14.0
1999	167	15.3	150	15.0
2000	195	17.9	182	18.3
2001	151	13.8	141	14.1
2002	157	14.4	147	14.7
2003	110	10.1	98	9.8
2004	61	5.6	54	5.4
2005	28	2.6	24	2.4
Total	1091	100.0	997	100.0
1.	single patient ma appears only in a Between 1997 and and 2005 ER neg	nd 2000 ER negat gative is ≤10%. T e and was used to	in different years ive is ≤30% and his definition is	s, but the patient between 2001 based on a

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Cutoff Point in Use	Testing System	Year	# of Original Negative Tests	# of ER Tests done by ERHA	ER Positivity Rate
		1997	56	130	56.9
>30%		1998	142	201	29.4
~30%		1999	158	351	55.0
	DAKO	2000	180	317	43.2
		2001	136	327	58.4
		2002	149	312	52.2
× 100/		2003	97	306	68.3
>10%	DAKO to 31/03; Ventana after 31/03	2004	59	326	81.9
ŀ	Ventana	2005	23	191	88.0
l	, 5110110	97-05	1000	2461	59.4

 ERHA – Eastern Regional Health Authority. Data in this column was compiled by the Laboratory Division, Eastern Health. The 1998 number is being further evaluated for accuracy.

 Negative tests for this table are total tests (1091) less DCIS (54) and noninterpretable results (xx).

 Between 1997 and 2000 ER negative is ≤30% and between 2001 and 2005 ER negative is ≤10%. This definition is based on a clinical guideline and was used to determine which tests would be retested at Mount Sinai Hospital.

Source: Calculated from data provided in NLCHI Patient Listing and Communication Events- ER/PR Retesting Report (2007)

Table C: P	ositivity Rate for	Original ER Testing, Year	, by Cutoff Point, by
		Cutoff Point	
Year	1%	10%	30%
1997	64.4	56.9	56.9
1998	47.0	36.3	29.4
1999	70.7	60.3	55.0
2000	60.0	48.3	43.2
2001	67.7	58.4	n/a
2002	61.7	52.6	n/a
2003	77.3	68.3	n/a
2004	84.7	81.9	n/a
2005	89.6	88.0	n/a
97-05	69.6	61.4	n/a
N=	752	948	

 The shaded areas highlight the results that are consistent with the clinical cutoff points used to determine which tests would be retested at Mount Sinai Hospital.

2. Tests were not retested between 2001 and 2005 if ER scores were above 10%. Therefore, this period is not applicable (n/a) for the 30% column.

3. The 1% and 10% columns exclude DCIS (54) and non-interpretable tests (21 for 1% cutoff and 42 for 10% cutoff)

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Cutoff Point in Use	Testing System	Year	Confirmed Negatives	Changed Negative to Positive	Change as % of Negatives
		1997	39	17	30.4
>30%		1998	87	55	38.7
~ 0/0		1999	91	67	42.4
	DAKO	2000	114	66	36.7
		2001	66	70	51.5
		2002	72	77	51.7
>10%		2003	62	35	36.1
- 1070	DAKO to 31/04; Ventana after 31/04	2004	49	10	16.9
	Ventana	2005	23	0	0
		97-05	603	397	39.7

1. Change Rate is defined as the proportion of total original negative ER samples that, upon retesting, had a positive score using the clinical guideline applicable for the original test.

 Between 1997 and 2000 ER negative is ≤30% and between 2001 and 2005 ER negative is ≤10%. This definition is based on a clinical guideline that was used to determine which tests would be retested at Mount Sinai Hospital.

	inge Rates of Origin unt Sinai Results, f		
		Cutoff Point	
Year	>   %	>10%	>30%
1997	27.7	37.5	30.4
1998	45.8	43.8	38.7
1999	60.2	56.5	42.0
2000	45.3	42.3	36.7
2001	50.5	51.5	n/a
2002	58.3	51.4	n/a
2003	37.1	36.1	n/a
2004	20.0	16.9	n/a
2005	10.0	0.0	n/a
97-05	45.7	43.8	n/a
N=	752	948	

 The shaded areas highlight the results that are consistent with the clinical cutoff points used to determine which tests would be retested at Mount Sinai Hospital.

2. Tests were not retested between 2001 and 2005 if ER scores were above 10%. Therefore, this period is not applicable (n/a) for the 30% column.

	Table F:		ults and Chan by Time Period				
Time Period of Original Test	[1] Confirmed Negatives	DCIS	[2] Changed to Positive due to change in definition	[3] Changed to Positive	Other	Total	Change Rate (3)/ (1+3)
Eastern	298	22	27	227	9	583	43.2
Central	97	10	11	71	7	196	42.3
Western	80	16	15	59	8	178	42.4
Labrador	18	1	2	18	1	40	50.0
Total	493	49	55	375	25	997	43.2

Change Rate for this table is defined as the proportion of patients with original negative 1. ER tests that, upon retesting, had a positive score using the clinical guideline applicable for the original test, excluding DCIS (49) and non-interpretable results (25).

Between 1997 and 2000 ER negative is  $\leq$ 30% and between 2001 and 2005 ER negative is  $\leq$ 10%. This definition is based on a clinical guideline that was used to determine 2. which tests would be retested at Mount Sinai Hospital.

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	Table G: C	Change Rate of ER Negative	Patients by Site of Orig	ginal lest
Site		Number of Changes	Total Patients	%
St. John's	HSC	54	132	40.9
	St. Clare's	128	288	44.4
	Grace	28	74	37.8
	Unknown	0	4	0
Carbonear		36	70	51.4
Clarenville		8	15	53.3
Grand Falls		54	122	44.3
Gander		28	74	37.8
Western		74	178	41.6
Lab/Grenfe	11	20	40	50.0
Total		430	997	43.1

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 430
 997
 43.1

 1. Change Rate for this table is defined as the proportion of patients with original negative ER tests that, upon retesting, had a positive score using the clinical guideline applicable for the original test.
 430
 997
 43.1

 Between 1997 and 2000 ER negative is ≤30% and between 2001 and 2005 ER negative is ≤10%. This definition is based on a clinical guideline that was used to determine which tests would be retested at Mount Sinai Hospital.

#### Appendix 2: Variations between Reported Data and NLCHI Database

#### 1. Positivity Rate

The May 10, 2007 document filed by Eastern Health with the Court contained data on total ER/PR tests conducted between 1997 and 2005, along with the total number of negatives in each year. Dr. Hutton, in a separate filing, used the data to calculate positivity and negativity rates. In December 2007 Eastern Health was asked by government to revisit the total number of tests in 1998 given that the number (147) appeared to be quite low when compared to the number of negative cases in the NLCHI database (139). In January 2008 Eastern Health provided a new number for 1998 (218), but the Department has again asked for a further review because the number still appears to be low compared to other years. The following table provides data on the above points:

Year	May 10, 2007 Eastern I	lealth	May 16, 2 from Dr.	Hutton	January 2008 Revised Data from Eastern Health
	Total Tests	Negatives	Pos%	Neg%	Total Tests
1997	137	57	58	42	137
1998	147	76	48	52	218
1999	360	126	68	32	360
2000	370	170	54	46	370
2001	374	173	60	40	374
2002	344	147	58	42	344
2003	373	89	76	24	373
2004 Dako	109	16	85	15	109
2004	381	41	90	10	381
Ventana					
2005	114	19	84	16	114
Total	2709	914			2780

Given the changed result for 1998, the data and calculations before the court will need to be amended.

#### 2. False Negatives

In the May 10, 2007 affidavit, Eastern Health states that there were 330 changed patient results based on Mount Sinai testing. Thirteen of these changes were due to a change in the definition of positive, four had a change in diagnosis and 4 were retro-converters (positive to negative). Therefore, 309 changes was the net number of false negatives (306 from DAKO and 3 from Ventana). It is noteworthy that this number does not include any deceased cases. The total number of changed cases in the NLCHI database is 377. In May 2007, Dr. Hutton estimated the total number of false negatives (DAKO

results only) for living and deceased by inferring that the proportion of false negatives from the deceased results to date would be the same as among the deceased not then tested. His total was 366 false negatives.

Dr. Hutton then used this number of patients to calculate the number of false negatives as a proportion of total tests (2214 tests on DAKO, meaning that 16.6% were false negatives). The mixing of patient and test data is not a sound practice because some patients had more than one test sent to Mount Sinai for retesting.

Part of this problem is corrected in the August 3, 2007 document filed by Eastern Health with the Court which included the number of false negatives based on the Mount Sinai test results, not patient results (using the variable cutoff approach). The explanation of the methodology in the affidavit would indicate that it excluded incorrect diagnoses, cases affected by changed definitions, and cases which were originally positive. This approach means that it is consistent with the NLCHI approach. Eastern Health does not explain whether it has included test results for the 105 deceased patients who had been retested up to that point in time, but the similarity in total count with NLCHI indicates that they are included. The number of false negatives from Eastern Health's affidavit and from NLCHI's database are as follows:

	1997	1998	1999	2000	2001	2002	2003	2004	2005	Total
Eastern	16	51	71	49	61	71	39	12	2	372
NLCHI	17	53	62	65	65	76	30	9	0	377

### Appendix C: NLCHI Database - Clinical Tables

Table 1: Database Contents	
Total Cases	1210
Total Patient Cases	1045
Less: Cases with original results before January 1997	
Less: Cases with original results that were positive, and not known/included in Eastern Health spreadsheet August 1, 2006 (e.g., were retested after December 2006)	15
Less: Cases without original tests at Eastern Health.	14
Other???	
Total A – Retested Cases consistent with December 2006 EH Report	1016
Less Original Positives up to December 2006***	19
Total B - Retested Cases with Original Negatives	997

Note: Total A includes:

-Any original positives that were identified in the August 1 Excel file -Only those with original scores

-Cases with an original test done between January 1997 and August 2005 Total B includes

-Only those with original *negative* scores -Cases with an original test done between January 1997 and August 2005

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	<ul> <li>converted cases</li> </ul>	. NLCHI will define appropriate	categories I	o display th	is data.)	
Category	Sub-Category	Sub-sub-category	Nov 23		LCHI Databa	ise
			2006			· · · · · · · · · · · · · · · · · · ·
				Alive	Deceased	Total
Results	No Change in	Confirmed Negative	341	301	177	478
Obtained	Results and	Confirmed Negative from	28	5	1	6
and	Subsequently	Panel	20	(panel)	(panel)	(panel)
Reviewed	No Change in	Confirmed Negative from			0	1
	Treatment	Panel, letter unsigned				
		Confirmed Positive	12	5	3	8
		Confirmed Positive from	n/a		0	
		Panel		(panel)	(panel)	(panel)
		DCIS	52	40	6	46
		DCIS from Panel	n/a		0	
		Sub-total	422	(panel)	(panel) 187	(panel) 541
	No shanna in ra	sults; requires change in	433	354	187	541
		inition of negative has changed	13	No Data	No Data	No Data
	Change in	No recommendation because		51	0	51
	results but	they are low risk	60	(panel)	(panel)	(panel)
	does not	No recommendation because		(p)	(p)	(pano)
	require	they are previously treated		132	14	146
treatment	with Tamoxifen or other	148	(panel)	(panel)	(panel)	
	change	aromatase inhibitor			a ,	4
C.	New panel: No		2	0	2	
		recommendation-previously	n/a	3	0 (panel)	3
		treated		(panel)	(paner)	(panel)
		No treatment because they		4	0	4
		required assessment prior to	5	(panel)	(panel)	(panel)
		recommendations				
		[No recommendation - other]	n/a	11	2	13
				(panel)	(panel)	(panel)
		Sub-total	213	201	16	217
	Change in	Recommended for treatment	0.6	94	0	94
	results and	with Tamoxifen or aromatase	96	(panel)	(panel)	(panel)
	requires	inhibitor				
	treatment change	Recommended for treatment	n/a	1	0	. 1
	change	form panel, letter unsigned New panel: Recommend		2	0	2
		treatment	n/a	(panel)	(panel)	(panel)
		Original diagnosis revised	4	N/D	N/D	N/D
		Originally had a degree of ER	4			
		positivity but on retesting was	4	4	0	4
		negative	7	(panel)	(panel)	(panel)
		Recommended to stop		0	1	1
		Tamoxifen	n/a	(panel)	(panel)	(panel)
		Sub-total	104	101	1	102
	Treatment chang		n/a	13	0	13
		inged-Not paneled	n/a	16	0	16
		ent change-Not paneled	n/a	36	91	127
	C. Line a outin			min	min	min
Deceased		1	176	/////////	1111111111	////////

<sup>1</sup> Deceased status as of November 23, 2006

<sup>2</sup> "No Recommendation-Other" includes information from panel letter that states the patient refused treatment, self-terminated, or could not tolerate treatment.

<sup>3</sup> In the above analysis, if a patient was paneled, that recommendation took precedence over any other categorization, i.e. if a patient was confirmed negative or positive in the database, *and* paneled, they would be counted only in the appropriate panel category. <sup>4</sup> The difference in numbers presented November 23, 2006 (Eastern Health) and those

provided by the Centre for Health Information cannot be resolved given the database used by Eastern Health in the 2006 news release no longer exists.

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Table 3: Deceased (Using Tota	al A)
EH Reported as Deceased November 23, 2006	176
EH Reported as Deceased August 1, 2007	195
NLCHI Confirmed Deceased as of October 2005	239
NLCHI Confirmed Deceased as of November 23, 2006	295
NLCHI Confirmed Deceased August 1, 2007	316
NLCHI Confirmed Deceased November 26, 2007	323

Note: The vital status of any individuals from St. Pierre, or have since moved from the province are not captured.

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Table 4: Number of Retests by Time Period and by Region (n=1091, Total B=997)									
Month Sent to MS	Num	ber of Retests Se	nt to (or Reported	from) Mount Sin	ai				
	Eastern	Eastern Central Western L/G Total							
2005	523 (477)	204 (183)	163 (143)	4 (4)	894 (807)				
2006	17 (14)	17 (14) () 22 (21) 39 (35)							
2007	87 (86)	4 (4)	10 (10)	3 (3)	104 (103)				
Not Available	6 (6)	9 (9)	27 (25)	12(12)	54 (52)				
Total	633 (583)	217 (196)	200 (178)	41 (40)	1091 (997)				

Note:

For n=1091, both those with no original testing and those with positive original scores were removed. All other records kept; therefore analysis is based on number of original negative tests, not unique patients. For Total B analysis is based on unique patients.

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	Table 5: Number of Cases and T	ests by Time Period of Original Test
Time Period of	Database (Total B)	Database (Total Original negative
Original Test		tests)
	# Cases sent for Retests*	# Tests sent for Retests*
1997	61	63
1998	140	159
1999	150	167
2000	182	195
2001	141	151
2002	147	157
2003	98	110
2004	54	61
2005 (August)	24	28
Total	997	1091

\*Note: Excludes positives; negative defined as:  $\leq$  30 from 1997-2000, and  $\leq$  10 from 2001-2005. Includes tests with unclear original scores (i.e. weak positive, equivocal, etc.)

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Table 6: Database Retest Results (from original negatives only) by Time Period (Total B)								
Time Period		Province						
of Original	Confirmed	DCIS	Conversion	Converted	Other <sup>2</sup>	Total B		
Test	Negatives		due to change	Negative to				
			in definition	Positive				
1997	35	3	3	17	3	61		
1998	65	10	10	53	2	140		
1999	57	7	23	62	1	150		
2000		9	19	63	5	182		
2001	64	- 8	n/a	65	4	141		
2002		5	n/a	76	2	147		
2003	57	6	n/a	30	5	98		
2004	44		n/a	9	1	54		
2005	21	1	n/a		2	24		
Total B	493	49	55	375	25	997		

<sup>1</sup>Conversion is measured by original ER score  $\leq$ 30 for 1997-2000 or  $\leq$ 10 for 2001-2005 and Mount Sinai ER score  $\leq$ 10. <sup>2</sup>Other includes: unclear original results, those which MS reported as NT, EPAP, etc.

Table	Table 7: Database Retest Results (from original negatives only) by Time Period (Total B)								
Time Period		Eastern							
of Original	Confirmed	DCIS	Conversion	Converted	Other <sup>2</sup>	Total B			
Test	Negatives		due to change	Negative to					
			in definition <sup>1</sup>	Positive					
1997	24	2	1	9	l	37			
1998	41	4	6	27		78			
1999	31	4	10	35	1	81			
2000	59	2	10	35	2	108			
2001	38	4	n/a	47	3	92			
2002 -	39	3	n/a	52	I	95			
2003	31	2	n/a	20	1	54			
2004	23		n/a	2		25			
2005	12	1	n/a			13			
Total B	298	22	27	227	9	583			

<sup>1</sup>Conversion is measured by original ER score  $\leq$ 30 for 1997-2000 or  $\leq$ 10 for 2001-2005 and Mount Sinai ER score  $\leq$ 10. <sup>2</sup>Other includes: unclear original results, those which MS reported as NT, EPAP, etc.

Table	Table 8: Database Retest Results (from original negatives only) by Time Period (Total B)								
Time Period		Central							
of Original	Confirmed	DCIS	Conversion due	Converted	Other <sup>2</sup>	Total B			
Test	Negatives		to change in	Negative to					
			definition <sup>1</sup>	Positive					
1997	5		1	1	2	9			
1998	13	3		17		33			
1999	15	1	6	9		31			
2000	17	3	4	15	1	40			
2001	13		n/a	7		20			
2002	12		n/a	11	1	24			
2003	12	3	n/a	5	1	21			
2004	8		n/a	6	1	15			
2005	2		n/a		1	3			
Total B	97	10	11	71	7	196			

<sup>1</sup>Conversion is measured by original ER score  $\leq$ 30 for 1997-2000 or  $\leq$ 10 for 2001-2005 and Mount Sinai ER score  $\leq$ 10. <sup>2</sup>Other includes: unclear original results, those which MS reported as NT, EPAP, etc.

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Table	Table 9: Database Retest Results (from original negatives only) by Time Period (Total B)								
Time Period		Western							
of Original	Confirmed	DCIS	Conversion due	Converted	Other <sup>2</sup>	Total B			
Test	Negatives		to change in	Negative to					
			definition <sup>1</sup>	Positive					
1997	5	1	1	7		14			
1998	9	3	4	7	2	25			
1999	8	1	7	15		31			
2000	. 9	4	3	8	2	26			
2001	10	4	n/a	9	1	24			
2002	9	2	n/a	9		20			
2003	13	1	n/a	3	3	20			
2004	10		n/a	1		11			
2005	7		n/a			7			
Total B	80	16	15	59	8	178			

<sup>1</sup>Conversion is measured by original ER score  $\leq$ 30 for 1997-2000 or  $\leq$ 10 for 2001-2005 and Mount Sinai ER score  $\leq$ 10. <sup>2</sup>Other includes: unclear original results, those which MS reported as NT, EPAP, etc.

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Table	Table 10: Database Retest Results (from original negatives only) by Time Period (Total B)							
Time Period			Labrado	-Grenfell				
of Original	Confirmed	DCIS	Conversion due	Converted	Other <sup>2</sup>	Total B		
Test	Negatives		to change in	Negative to				
			definition	Positive				
1997	1					1		
1998	2			2		4		
1999	3	1		3		7		
2000	1		2	5		8		
2001	3			2		5		
2002	4			4		8		
2003	l			2	~	3		
2004	3					3		
2005					1	1		
Total B	18	1	2	18	1	40		

<sup>1</sup>Conversion is measured by original ER score  $\leq$ 30 for 1997-2000 or  $\leq$ 10 for 2001-2005 and Mount Sinai ER score  $\leq$ 10. <sup>2</sup>Other includes: unclear original results, those which MS reported as NT, EPAP, etc.

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### Table 11: Database Retest Results, Living and Deceased, positives excluded

	Table 11: Datab	ase Retest Results, Livi	ing and Deceased	
		Total B	Currently Living	Currently Deceased <sup>1</sup>
Confirmed Negative		493	315	178
Conversions	w/change treatment	105	102	3
	w/out change			
	treatment	, 193	176	17
	d/k if change			
,	treatment	132	25	107
	Total Conversions	430	303	127
Other Results <sup>2</sup>	w/change treatment	1	l	0
	w/out change			
	treatment	13	10	3
	d/k if change			
	treatment	60	49	11
	Total Others	74	60	14
Total B		997	678	319

<sup>1</sup>Deceased status as of November 2007 <sup>2</sup>Other includes: unclear original, NT, DCIS

# Table 12: Database Retest Results, Number of Conversions by Site, positives excluded.

Table 12: Database Retest Results; Number of Conversions by Site (Total B)								
Site		Number of Conversions	Total Unique Retests	%				
St. John's	HSC	54	132	40.9				
	St. Clare's	128	288	44.4				
	Grace	28	74	37.8				
	Unknown	0	4	0				
Carbonear		36	70	51.4				
Clarenville		8	15	53.3				
Grand Falls		54	122	. 44.3				
Gander		28	74	37.8				
Western		74	178	41.6				
Lab/Grenfell		20	40	50.0				
Total		430	997	43.1				

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