

<p>COMMISSION OF INQUIRY ON HORMONE RECEPTOR TESTING</p> <p>BEFORE THE HONOURABLE JUSTICE CAMERON - COMMISSIONER</p> <p>September 5, 2008</p> <p>Appearances:</p> <p>Bernard Coffey, Q.C. Commission Co-counsel Sandra Chaytor, Q.C. Commission Co-counsel</p> <p>Rolf Pritchard/Jackie Brazil Her Majesty in Right of NL</p> <p>Peter Browne/Jane Hennebury Doctors Kara Laing et al</p> <p>Daniel Simmons Eastern Regional Integrated Health Authority</p> <p>Laura Brocklehurst. Members of the Breast Cancer Testing Class Action</p> <p>Mark Pike NL Medical Association Jennifer Newbury Canadian Cancer Society (NL Division) Blair Pritchett. Central, Western and Labrador-Grenfell Regional Integrated Health Authorities</p>	<p>LIST OF EXHIBITS</p> <p>Exhibit entered and marked P-2524 Pg. 4</p> <p>Exhibit entered and marked P-2525 Pg. 4</p> <p>Exhibit entered and marked C-0224 Pg. 4</p> <p>Exhibits entered and marked P-2545 through to P-2549 . . Pg. 287</p>
<p>TABLE OF CONTENTS</p> <p>DR. GARY BAKER (SWORN)</p> <p>Examination by Bernard Coffey, Q.C. Pgs. 4 - 270</p> <p>Examination by Mr. Dan Simmons Pgs. 270 - 272</p> <p>Examination by Ms. Jennifer Newbury Pgs. 273 - 281</p> <p>Mr. Peter Browne Pgs. 281 - 286</p> <p>DR. JEHAN ZAID SIDDIQUI (AFFIRMED)</p> <p>Examination by Sandra Chaytor, Q.C. Pgs. 287 - 400</p> <p>Certificate</p>	<p style="text-align: right;">Page 4</p> <p>1 SEPTEMBER 5, 2008</p> <p>2 THE COMMISSIONER:</p> <p>3 Q. Mr. Coffey.</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. Thank you, Commissioner. The next witness is</p> <p>6 Dr. Gary Baker.</p> <p>7 DR. GARY BAKER (SWORN) EXAMINATION BY BERNARD COFFEY,</p> <p>8 Q.C.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. Commissioner, there are three new exhibits,</p> <p>11 Exhibits P-2524 and 2525, and C-224.</p> <p>12 THE COMMISSIONER:</p> <p>13 Q. Entered.</p> <p>14 EXHIBIT ENTERED AND MARKED P- 2524</p> <p>15 EXHIBIT ENTERED AND MARKED P- 2525</p> <p>16 EXHIBIT ENTERED AND MARKED C- 0224</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. Thank you, Commissioner. Dr. Baker, could you</p> <p>19 outline for the Commissioner, please, your</p> <p>20 educational and professional background?</p> <p>21 DR. BAKER:</p> <p>22 A. Sure. I went to Memorial University of</p> <p>23 Newfoundland, obtained my Bachelor of Medical</p> <p>24 Science in 1974--1975, sorry. In 1977, I</p> <p>25 obtained my MD degree. Did a rotating</p>

Page 5	Page 7
<p>1 internship from 1977 to 1978, and then I went 2 into the residency in pathology, a general 3 pathology residency program for five years, 4 and finished that program in 1983. From 5 there, I moved -- 6 COFFEY, Q.C.: 7 Q. That was in St. John's? 8 DR. BAKER: 9 A. It was in Memorial University, yes, Medical 10 School in the pathology department there. 11 Then I moved to my present position in 12 Carbonear in 1983, and took on the position of 13 staff pathologist there and Director of 14 Laboratory Medicine for that area at the 15 Carbonear Hospital. Carbonear Hospital was a 16 standalone hospital at that particular time, 17 and I have been there as a staff pathologist 18 up until the present. Will I outline any 19 other positions I held all the way as well. 20 COFFEY, Q.C.: 21 Q. Sure. 22 DR. BAKER: 23 A. Okay. I held the position of pathologist up 24 until--and still do, but additional 25 responsibilities when the boards were</p>	<p>1 A. Uh-hm. 2 COFFEY, Q.C.: 3 Q. We understand that before paraffin block 4 ER/PR, there was biochemical assays. I want 5 to ask you this, when you first started when 6 you were in your residency, ER and PR, did 7 pathologists at that point as best you can 8 recall have any involvement in ER/PR itself? 9 DR. BAKER: 10 A. No, there was no--to my knowledge, and during 11 my residency which was five years, I didn't 12 have any involvement or had no knowledge of 13 immunohistochemistry being done at the Health 14 Sciences at that particular point in time, and 15 no recollection of ER/PR being done at that 16 point in time. 17 COFFEY, Q.C.: 18 Q. And you went to Carbonear in 1983? 19 DR. BAKER: 20 A. Correct, yes. 21 COFFEY, Q.C.: 22 Q. Doctor, can you tell the Commissioner, please 23 --you said it was a standalone hospital. What 24 size of a hospital? 25 DR. BAKER:</p>
<p>1 reorganized in 1995. I took on a part time 2 medical directorship at the Avalon Health Care 3 Institutions Boards. In 1997, I became a 4 medical examiner for the Province of 5 Newfoundland and Labrador, and still am to the 6 present day, and when the boards were 7 reorganized again in 2005, I became clinical 8 chief for rural Avalon for medical services, 9 and I'm still a staff pathologist there as 10 well. 11 COFFEY, Q.C.: 12 Q. Doctor, I'm going to take advantage of your 13 presence here this morning and have you 14 perhaps outline for the Commissioner in a bit 15 more detail actually some of the changes that 16 have occurred over the decades, okay. 17 DR. BAKER: 18 A. Sure. 19 COFFEY, Q.C.: 20 Q. First of all, to go back to when you first 21 went to--well, actually to your residency days 22 first of all, okay. We've heard a fair amount 23 of evidence about immunohistochemistry, in 24 particular now ER/PR via immunohistochemistry. 25 DR. BAKER:</p>	<p>1 A. Carbonear Hospital at the time was a 100 bed 2 hospital and it had general surgery, 3 obstetrics and gynecology, it had general 4 practice involvement, and radiology, 5 anesthesia. So it had all the basic 6 specialties providing general surgery services 7 and also internal medicine as well. 8 COFFEY, Q.C.: 9 Q. And how many pathologists were there at the 10 time you arrived? 11 DR. BAKER: 12 A. Just one, myself. 13 COFFEY, Q.C.: 14 Q. Had there been a pathologist there before you 15 arrived? 16 DR. BAKER: 17 A. For a very brief period of time. In 1991, the 18 late Eric Pike, who was a well known 19 pathologist in the province, was asked by the 20 Department of Health to go out and conduct a 21 five or six month study to determine the need 22 for a pathologist in the area. He went there 23 in 1981. I think he was there from about 24 September to December of that year, or January 25 of the following year, and did an assessment.</p>

Page 9	Page 11
<p>1 He also worked as a staff pathologist there 2 doing the actual surgical pathology workload 3 and he submitted his report to government and 4 recommended that a pathologist position be 5 placed in Carbonear. 6 COFFEY, Q.C.: 7 Q. And hence you were -- 8 DR. BAKER: 9 A. I was recruited for the position, yes. 10 COFFEY, Q.C.: 11 Q. Doctor, since 1983, which is 25 years ago now, 12 how many pathologists have been on staff 13 there? 14 DR. BAKER: 15 A. Just myself. 16 COFFEY, Q.C.: 17 Q. So you're, in effect, a sole practitioner in 18 that regard? 19 DR. BAKER: 20 A. Yes. 21 COFFEY, Q.C.: 22 Q. Could you describe, please, then the clinical 23 laboratory. I take it there is a clinical 24 laboratory in the hospital? 25 DR. BAKER:</p>	<p>1 initially other than being a staff 2 pathologist? 3 DR. BAKER: 4 A. No. 5 COFFEY, Q.C.: 6 Q. You weren't a medical director or anything 7 like that? 8 DR. BAKER: 9 A. No, just a staff pathologist. I was involved 10 as Director of Laboratory Medicine. I sat on 11 the MAC as the Director of Laboratory 12 Medicine. The MAC was composed of all the 13 chairs of the various departments, surgery, 14 ob, gyne, all those, and so on. 15 COFFEY, Q.C.: 16 Q. The MAC, though, at that time throughout the 17 1980s would have been particular to that 18 particular hospital? 19 DR. BAKER: 20 A. Correct, yes. 21 COFFEY, Q.C.: 22 Q. So you were the staff pathologist and--sorry, 23 the -- 24 DR. BAKER: 25 A. Director of Laboratory Medicine during that</p>
Page 10	Page 12
<p>1 A. Yes, there is, yes. It has all the major 2 sections of a clinical laboratory. It has an 3 area where blood taking is taking place, it 4 has blood banking, it has a hematology 5 section, biochemistry, microbiology, and 6 pathology. 7 COFFEY, Q.C.: 8 Q. I take it that had existed before you ever 9 arrived? 10 DR. BAKER: 11 A. Yes, it did, yes, with the exception of 12 pathology. 13 COFFEY, Q.C.: 14 Q. Pathology. 15 DR. BAKER: 16 A. Yes. 17 COFFEY, Q.C.: 18 Q. And the pathology then related to the hospital 19 before your arrival, you would have understood 20 was being done out of where? 21 DR. BAKER: 22 A. St. John's. 23 COFFEY, Q.C.: 24 Q. St. John's itself. Doctor, you're a 25 pathologist. Did you have any other position</p>	<p>1 time, yes. 2 COFFEY, Q.C.: 3 Q. And in that capacity sat on the MAC? 4 DR. BAKER: 5 A. Yes. 6 COFFEY, Q.C.: 7 Q. As the Director of Laboratory Medicine in a 8 standalone hospital -- 9 DR. BAKER: 10 A. Yes. 11 COFFEY, Q.C.: 12 Q. Who did you report to? 13 DR. BAKER: 14 A. I reported to the Assistant Executive 15 Director, which was Edgar Clarke. 16 COFFEY, Q.C.: 17 Q. And was he a physician? 18 DR. BAKER: 19 A. No, he was a layperson. 20 COFFEY, Q.C.: 21 Q. A non-physician. 22 DR. BAKER: 23 A. Yes. 24 COFFEY, Q.C.: 25 Q. And, Doctor, who reported to you then in your</p>

Page 13	Page 15
<p>1 capacity as director?</p> <p>2 DR. BAKER:</p> <p>3 A. We had a manager within the laboratory who</p> <p>4 directed the administrative duties of the</p> <p>5 laboratory. He essentially was almost solely</p> <p>6 responsible to Edgar Clarke, but he did have</p> <p>7 an indirect line of authority--responsibility</p> <p>8 to me as well. He would consult me on various</p> <p>9 clinical issues, administrative issues, and so</p> <p>10 on, and kept me in the loop with major things</p> <p>11 that were going on within the lab, even though</p> <p>12 he was essentially directly responsible to</p> <p>13 Edgar Clarke.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. And the people who reported to him were the</p> <p>16 technologists?</p> <p>17 DR. BAKER:</p> <p>18 A. The senior technologist. There would be a</p> <p>19 Technologist II in each section, with the</p> <p>20 exception of pathology, who would report</p> <p>21 directly to the lab manager, yes.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. And in pathology there was what?</p> <p>24 DR. BAKER:</p> <p>25 A. There was a Tech I, but he essentially would</p>	<p>1 change in '95.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Would you tell us then about that?</p> <p>4 DR. BAKER:</p> <p>5 A. Yes, the original board was the Carbonear</p> <p>6 Hospital Board and it became the Trinity</p> <p>7 Conception Board. That was a change to</p> <p>8 encompass the Old Perlican Hospital site under</p> <p>9 the jurisdiction of the Carbonear Hospital</p> <p>10 Board. So that essentially added some</p> <p>11 responsibility to the position of Director of</p> <p>12 Laboratories and also to the Manager of the</p> <p>13 laboratory because we took on responsibility</p> <p>14 for the Old Perlican Hospital and the</p> <p>15 laboratory services there as well.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Were there any pathology services in Old</p> <p>18 Perlican?</p> <p>19 DR. BAKER:</p> <p>20 A. No, no, it was a small laboratory service just</p> <p>21 peculiar to the hospital with no other --</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. Do you recall when that occurred?</p> <p>24 DR. BAKER:</p> <p>25 A. That was in the late 80s, I think, to the best</p>
<p>1 report to the manager as well, but he wasn't</p> <p>2 classified as a Tech II, he was a Tech I.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. So that's the structure when you first</p> <p>5 arrived?</p> <p>6 DR. BAKER:</p> <p>7 A. Correct.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. How long did that structure continue to exist?</p> <p>10 DR. BAKER:</p> <p>11 A. That structure has essentially--well, remained</p> <p>12 in that capacity in that way until the mid 90s</p> <p>13 when the boards were reorganized and we became</p> <p>14 the Avalon Health Care Institutions Board.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. And I'll be taking you through that.</p> <p>17 DR. BAKER:</p> <p>18 A. Yes.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. So from the time you arrived really in 1983 up</p> <p>21 until the mid 1990s, reorganization in the mid</p> <p>22 1990s, that structure was in place.</p> <p>23 DR. BAKER:</p> <p>24 A. Now there was a change in board structure</p> <p>25 midway between when I arrived in '83 and that</p>	<p>1 of my recollection.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Doctor, throughout the period, the mid 1990s</p> <p>4 reorganization, do you recall when that was,</p> <p>5 the exact year?</p> <p>6 DR. BAKER:</p> <p>7 A. That happened around 1995 was the change, when</p> <p>8 the structure started changing and we became</p> <p>9 known as the Avalon Health Care Institutions</p> <p>10 Board and that board encompassed the Placentia</p> <p>11 area, Whitbourne area, Old Perlican area, and</p> <p>12 also brought in the long term care facilities</p> <p>13 in our area, Interfaith Home and Harbour</p> <p>14 Lodge.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. I'll deal with that in a moment. Between 1983</p> <p>17 and 1995, during that twelve year period, ER</p> <p>18 and PR, were you involved in ER/PR that you</p> <p>19 recall then?</p> <p>20 DR. BAKER:</p> <p>21 A. Yes, in the capacity of preparing the tissue</p> <p>22 to be sent to St. John's for biochemical</p> <p>23 assay.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. Would you tell the Commissioner then what your</p>

Page 17	Page 19
<p>1 involvement was and how that worked back then?</p> <p>2 DR. BAKER:</p> <p>3 A. In the initial phase of the ER/PR testing it</p> <p>4 was a biochemical assay, and we were</p> <p>5 essentially asked just to prepare the tissue</p> <p>6 at our site. A sample would come down from</p> <p>7 the OR, whether it would be a mastectomy</p> <p>8 specimen with tumour inside that we would take</p> <p>9 a sample of, or whether it was the biopsy</p> <p>10 itself that we took a sample from. We would</p> <p>11 take a sample of the tumour tissue, freeze it</p> <p>12 in liquid nitrogen, and wrap it in tin foil</p> <p>13 several layers of it, and then put it on dry</p> <p>14 ice in a styrofoam container and ship it</p> <p>15 immediately to St. John's by courier.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Where the biochemical assay would be</p> <p>18 performed?</p> <p>19 DR. BAKER:</p> <p>20 A. Yes, in the biochemical department at the</p> <p>21 Health Science Centre.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. And at that time, Doctor, the initiative to</p> <p>24 order an ER/PR test came from whom?</p> <p>25 DR. BAKER:</p>	<p>1 COFFEY, Q.C.:</p> <p>2 Q. And I'll come to that, and that's certainly</p> <p>3 related to ER and PR?</p> <p>4 DR. BAKER:</p> <p>5 A. Yes.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. How about immunohistochemistry more generally?</p> <p>8 DR. BAKER:</p> <p>9 A. No, there was no--I had no direct involvement</p> <p>10 in immunohistochemistry at all before that</p> <p>11 point in time.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. Before Dr. Khalifa's memo of early 1998, were</p> <p>14 you ever ordering immunohistochemistry tests</p> <p>15 on any sort of --</p> <p>16 DR. BAKER:</p> <p>17 A. No, I wasn't, no.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. So your practice up until then had involved</p> <p>20 solely --</p> <p>21 DR. BAKER:</p> <p>22 A. Routine histology, H & E slides, some special</p> <p>23 stains that weren't involved or classed as</p> <p>24 immunohistochemistry.</p> <p>25 COFFEY, Q.C.:</p>
Page 18	Page 20
<p>1 A. The initiative to order--well, the order came</p> <p>2 from my department over my signature, but the</p> <p>3 reports were primarily sent back to the</p> <p>4 attending physician who had responsibility for</p> <p>5 the patient. Their name would be put on the</p> <p>6 requisition for the report to be returned to.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Doctor, you've indicated that during your</p> <p>9 residency you don't really recall</p> <p>10 immunohistochemistry being done in your</p> <p>11 residency days?</p> <p>12 DR. BAKER:</p> <p>13 A. No.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. Your first introduction to</p> <p>16 immunohistochemistry yourself occurred</p> <p>17 approximately when and in what context?</p> <p>18 DR. BAKER:</p> <p>19 A. It occurred when essentially I received a memo</p> <p>20 from Dr. Khalifa in 1998. I mean, the</p> <p>21 preparation of the tissue was, I suppose, an</p> <p>22 initial step involvement with ER/PR, but it</p> <p>23 was just a preparation of tissue, but the</p> <p>24 actual involvement in interpretation phase</p> <p>25 started in 1998 in the spring.</p>	<p>1 Q. And I'll come to Dr. Khalifa's memo in a</p> <p>2 moment.</p> <p>3 DR. BAKER:</p> <p>4 A. Okay.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. But again chronologically --</p> <p>7 DR. BAKER:</p> <p>8 A. Sure.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. So in 1995 there was a reorganization. You've</p> <p>11 described that?</p> <p>12 DR. BAKER:</p> <p>13 A. Correct.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. Did your title position change?</p> <p>16 DR. BAKER:</p> <p>17 A. It did. I became the ACEO in 1996, which is</p> <p>18 Assistant Chief Executive Officer for</p> <p>19 diagnostics, which included--diagnostics and</p> <p>20 pharmacy, which included the laboratory</p> <p>21 diagnostic imaging, x-ray, and pharmacy, and</p> <p>22 also the medical services aspect of that too</p> <p>23 as well, recruitment and retention, and</p> <p>24 administrative issues related to medical</p> <p>25 services.</p>

Page 21	Page 23
<p>1 COFFEY, Q.C.:</p> <p>2 Q. So in 1995, you're still the sole --</p> <p>3 DR. BAKER:</p> <p>4 A. Pathologist.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. Pathologist there.</p> <p>7 DR. BAKER:</p> <p>8 A. Uh-hm.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. You are still the Director of--were you the</p> <p>11 director of the laboratory?</p> <p>12 DR. BAKER:</p> <p>13 A. Yes, still essentially, yes.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. Of the lab.</p> <p>16 DR. BAKER:</p> <p>17 A. Yes.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. You also became Assistant --</p> <p>20 DR. BAKER:</p> <p>21 A. CEO.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. And responsible for the things you just noted?</p> <p>24 DR. BAKER:</p> <p>25 A. Yes.</p>	<p>1 pathology labs in those other --</p> <p>2 DR. BAKER:</p> <p>3 A. No, standard basic laboratory with</p> <p>4 biochemistry, hematology, and bloodletting,</p> <p>5 and so on.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. But the pathology itself remained limited to</p> <p>8 the Carbonear Hospital itself?</p> <p>9 DR. BAKER:</p> <p>10 A. Correct, yes.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. While I'm at it, did that ever change?</p> <p>13 DR. BAKER:</p> <p>14 A. No.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. Pathology always involved just the Carbonear</p> <p>17 Hospital?</p> <p>18 DR. BAKER:</p> <p>19 A. Correct.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. With that reorganization in 1995, did the</p> <p>22 reporting structures within the laboratory</p> <p>23 change?</p> <p>24 DR. BAKER:</p> <p>25 A. Yes, they did, because the manager who before</p>
Page 22	Page 24
<p>1 COFFEY, Q.C.:</p> <p>2 Q. With the reorganization, did the pathology</p> <p>3 aspect of the lab of your involvement change</p> <p>4 with the involvement of these other places?</p> <p>5 DR. BAKER:</p> <p>6 A. No, not essentially, it stayed the same. The</p> <p>7 commitment was still the same. My position as</p> <p>8 ACEO was a part time position. It took about</p> <p>9 25 to 30 percent of my time, and most of that</p> <p>10 time would have been added on after hours.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. And, Doctor, as you've indicated, when the Old</p> <p>13 Perlican Hospital came under your--became part</p> <p>14 of your responsibility, there was not actually</p> <p>15 --although they had a laboratory, it didn't</p> <p>16 have any pathology?</p> <p>17 DR. BAKER:</p> <p>18 A. No.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. In the lab. So these other institutions</p> <p>21 became part of the reorganization in 1995?</p> <p>22 DR. BAKER:</p> <p>23 A. Uh-hm.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. The reorganized entity. Were there any</p>	<p>1 that time reported to the--well, they actually</p> <p>2 reported to me then rather than to another</p> <p>3 individual within the administration because I</p> <p>4 was part of the administration after the 1995</p> <p>5 change.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. So as the Assistant CEO, who was reporting to</p> <p>8 you?</p> <p>9 DR. BAKER:</p> <p>10 A. The manager of the laboratories, the manager</p> <p>11 of pharmacy, and the manager of diagnostic</p> <p>12 imaging.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. And you reported to?</p> <p>15 DR. BAKER:</p> <p>16 A. I reported to the CEO.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. So, Doctor, in terms of the clinical</p> <p>19 laboratory aspect of the matter, who is the</p> <p>20 senior physician in the administrative chain?</p> <p>21 DR. BAKER:</p> <p>22 A. I was.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. You were, yourself.</p> <p>25 DR. BAKER:</p>

Page 25

1 A. Yes.
 2 COFFEY, Q.C.:
 3 Q. Can you then take us on then through the next
 4 reorganization, when did that occur?
 5 DR. BAKER:
 6 A. Well, after '95, we went through a number--
 7 seven or eight years. The next reorganization
 8 happened when the announcement came through in
 9 2004, the reorganization of the boards into--
 10 well, combining the boards across the island
 11 and we became part of the Eastern Health
 12 region in 2005.
 13 COFFEY, Q.C.:
 14 Q. I'll revisit that, okay, but in the period
 15 between 1995 and 2005 --
 16 DR. BAKER:
 17 A. Yes.
 18 COFFEY, Q.C.:
 19 Q. Approximately a decade, could you describe, if
 20 there was such a thing, a typical day for you
 21 for the Commissioner. You're a practising
 22 pathologist?
 23 DR. BAKER:
 24 A. Uh-hm.
 25 COFFEY, Q.C.:

Page 26

1 Q. And you're also an administrator?
 2 DR. BAKER:
 3 A. Uh-hm.
 4 COFFEY, Q.C.:
 5 Q. In terms of your pathology work and how it
 6 integrated with your administrative work,
 7 perhaps a typical day or a typical week for
 8 the Commissioner?
 9 DR. BAKER:
 10 A. Well, the morning usually started with
 11 pathology, doing interpretation, surgical
 12 pathology review of slides. That lasted the
 13 majority of the morning. I would tend to
 14 after lunch visit the administrative area that
 15 I was responsible for on the eight floor and
 16 tend to any duties that were in that
 17 particular area, and then in the afternoon--
 18 well, maybe for an hour or two in the
 19 afternoon. Then towards the middle of the
 20 afternoon, I would return to pathology and do
 21 my gross examination and preparation of tissue
 22 for processing for the following day, and in
 23 the evening I'd be back with other
 24 administrative duties.
 25 COFFEY, Q.C.:

Page 27

1 Q. In the Carbonear Hospital, the tissue that you
 2 would be preparing, grossing and preparing for
 3 processing, would come, I take it, almost
 4 exclusively from the operating room?
 5 DR. BAKER:
 6 A. The majority would, but there would be out-
 7 patient samples from various clinics and so on
 8 within the hospital, obstetrics/gynecology
 9 clinics, there would be samples from general
 10 practitioner's offices, and also from out-
 11 patient procedures that the surgeons were
 12 doing in out-patient clinics and so on within
 13 the hospital.
 14 COFFEY, Q.C.:
 15 Q. So the specimens, and I'll use, for example, a
 16 breast specimen --
 17 DR. BAKER:
 18 A. Uh-hm.
 19 COFFEY, Q.C.:
 20 Q. They would certainly generally come from the
 21 operating room?
 22 DR. BAKER:
 23 A. Yes.
 24 COFFEY, Q.C.:
 25 Q. Who's responsible for transporting them?

Page 28

1 DR. BAKER:
 2 A. They would be transported by a porter who
 3 would take the specimen from the OR and
 4 transport it to our pathology department.
 5 COFFEY, Q.C.:
 6 Q. And would it arrive in formalin?
 7 DR. BAKER:
 8 A. Yes, all--from the very start of my position
 9 there in 1983, all specimens that were taken
 10 in the OR setting were put in formalin
 11 directly immediately. There was never any
 12 time when specimens were left in a fresh state
 13 or transported in a fresh state. They were
 14 all in formalin immediately and transported to
 15 pathology.
 16 COFFEY, Q.C.:
 17 Q. And I take it then they might arrive in
 18 pathology--was there any particular time of
 19 day they would arrive in pathology?
 20 DR. BAKER:
 21 A. Well, specimens that were taken in the morning
 22 would mostly arrive by mid to late morning.
 23 Most of the ORs would finish off pretty well
 24 around 1 to 1:30 in the afternoon. So any--
 25 and the major cases would tend to come down

Page 29	Page 31
<p>1 later in the day and so on, like the bowel 2 resections or the mastectomies, that kind of 3 stuff and so on, but any smaller specimens 4 would tend to come down earlier in the morning 5 and they would be the ones that were done 6 primarily earlier in the morning. 7 COFFEY, Q.C.: 8 Q. So then when you would arrive in the 9 afternoon, what would you expect to find? 10 DR. BAKER: 11 A. A number of specimens, including biopsies, 12 mastectomies, bowel resections, ovarian 13 resections, that kind of stuff. 14 COFFEY, Q.C.: 15 Q. So you would expect--on a particular day, a 16 Monday, you would expect to find when you came 17 to do the grossing on Monday afternoon, any of 18 the surgical specimens that had been excised 19 that day? 20 DR. BAKER: 21 A. Correct. 22 COFFEY, Q.C.: 23 Q. Now, Doctor, what was the practise then in 24 Carbonear in terms of then how they would be 25 processed? To use a breast specimen, as an</p>	<p>1 A. Okay. 2 COFFEY, Q.C.: 3 Q. Doctor, so it would be identified? 4 DR. BAKER: 5 A. Yes. 6 COFFEY, Q.C.: 7 Q. Either in a ledger book or in a computer 8 system? 9 DR. BAKER: 10 A. Correct, yes. 11 COFFEY, Q.C.: 12 Q. Go ahead then, who was responsible for doing 13 identification? 14 DR. BAKER: 15 A. That would be done by the technologist in 16 pathology, and after all the specimens were 17 entered in for the day, they would be brought 18 into the pathology grossing room and placed 19 there until I came to do the actual grossing 20 of the specimen. 21 COFFEY, Q.C.: 22 Q. And your practise then in terms of grossing a 23 breast specimen was what? 24 DR. BAKER: 25 A. My practise in terms of grossing a breast</p>
<p>1 example, who's responsible for doing what? 2 DR. BAKER: 3 A. I would do all of the grossing of the tissue 4 specimens in Carbonear, and have done so from 5 the very beginning. I would start my--well, 6 when the specimens were received in pathology, 7 they would be given a number, a specific 8 excision number, and in the older days back 9 when I first arrived there, we used large 10 ledger books to put the specimen in, the name 11 of the individual, the specimen number, where 12 the specimen came from, and that type of 13 information, and they were recorded in large 14 ledger books on a daily basis. Just recently 15 within the past probably three years, we've 16 been using Meditech System and entered them in 17 on computer. 18 COFFEY, Q.C.: 19 Q. So these ledger books continued up until? 20 DR. BAKER: 21 A. Up until--into 2003 probably. 22 COFFEY, Q.C.: 23 Q. And we will be coming back and revisiting 24 that. 25 DR. BAKER:</p>	<p>1 specimen is that the specimen would be taken 2 and examined, and if--would you like me to go 3 through something like a mastectomy specimen? 4 COFFEY, Q.C.: 5 Q. If you would, please. 6 DR. BAKER: 7 A. Yes. 8 COFFEY, Q.C.: 9 Q. And this, in particular, is an -- 10 DR. BAKER: 11 A. Example, okay. With a mastectomy specimen, 12 the sample would be taken out of the 13 formaldehyde, examined very briefly. It would 14 be scored, or a term that's being used now is 15 breadloafed, but--and the specimen would be 16 returned to the formaldehyde or formalin and-- 17 to fix overnight, and then be processed the 18 next day in a similar fashion in grossing. 19 COFFEY, Q.C.: 20 Q. And then when you--so on the day of surgery, 21 the scoring, as you put it, or breadloafing 22 would occur? 23 DR. BAKER: 24 A. Yes. 25 COFFEY, Q.C.:</p>

Page 33	Page 35
<p>1 Q. Is there any particular practise that you had 2 or understood should be used with a mastectomy 3 specimen in terms of the thickness of the 4 tissue slices?</p> <p>5 DR. BAKER:</p> <p>6 A. No, I just tended to score them in an even 7 fashion across the whole breadth of the 8 specimen, average thickness of probably a 9 couple of centimetres. Depending on the size 10 of the specimen too as well. Some of them are 11 obviously larger than others, you know.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. Doctor, the breadloafing is, in effect, 14 slicing?</p> <p>15 DR. BAKER:</p> <p>16 A. Yes.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. Of the specimen. Return to formalin--was 19 there any--we've heard references to wicking 20 and wicking material?</p> <p>21 DR. BAKER:</p> <p>22 A. No, I didn't use the process of wicking. I 23 haven't used that at all.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. And return to formalin and left to --</p>	<p>1 time and, you know--there have been occasions 2 where specimens have come down late in the day 3 and I would make sure before I left for the 4 day that things were tended to. Even though 5 the technologist may have been gone, I would 6 do it myself.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Doctor, then the next day we'll go on to.</p> <p>9 DR. BAKER:</p> <p>10 A. Yes.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. I take it this would be true not only of 13 mastectomy specimens, but any large specimen?</p> <p>14 DR. BAKER:</p> <p>15 A. Correct, yes.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Then in terms of picking up the process for 18 larger specimens --</p> <p>19 DR. BAKER:</p> <p>20 A. Yes.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. The next day then, how would it be handled?</p> <p>23 DR. BAKER:</p> <p>24 A. I would start my grossing. I would do most of 25 the smaller specimens first, and put them</p>
<p style="text-align: right;">Page 34</p> <p>1 DR. BAKER:</p> <p>2 A. Fix.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. Fix overnight?</p> <p>5 DR. BAKER:</p> <p>6 A. For a 24 hour period.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Doctor, to your knowledge, was there ever a 9 time that you're aware of that a breast 10 specimen would be left overnight in formalin 11 without having been scored?</p> <p>12 DR. BAKER:</p> <p>13 A. No. To the best of my recollection, every 14 time a specimen came down, I would be 15 personally involved in it, handle it, and 16 score it.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. And before you left for the day, no matter how 19 late--if the specimen was late, relatively 20 late in the afternoon coming down, you'd stay 21 on do the scoring?</p> <p>22 DR. BAKER:</p> <p>23 A. Yes, I would be there probably until about 24 5:30/6 o'clock in the afternoon. So all the 25 specimens for the day would be down by that</p>	<p style="text-align: right;">Page 36</p> <p>1 through the grossing procedure, examination, 2 and taking sections, putting them in cassettes 3 and passing them off to my technologist who 4 would return them to formalin. The larger 5 specimens I would tend to leave to the very 6 end of my grossing because they took a larger 7 amount of time and needed more attention, and 8 I would take the large specimen out, for 9 example, the breast, it already had been 10 scored. I would examine the breast 11 externally, look at the skin, the anterior 12 surface first, determine where the previous 13 biopsy had been done, and then I would turn 14 over the specimen and I'll also do 15 measurements as well of the anterior surface, 16 the skin segment, and I would turn over the 17 specimen, examine the back, and also do full 18 dimension measurements of the entire breast 19 because most of the breast that I received, 20 even though occasionally were simple 21 mastectomies, a lot of them were what's termed 22 modified radical mastectomies, which included 23 axillary tail and lymph nodes from the axilla, 24 and I would do an overall dimension 25 measurement of the whole breast, including</p>

Page 37	Page 39
<p>1 axillary tail. I would identify them from the 2 posterior aspect, the biopsy site, and try to 3 determine if there was any residual tumour 4 there on gross examination. If I did suspect 5 residual tumour or see gross residual tumour 6 there, I would take sections and I'll go 7 through the sections with you in a standard 8 way. I would take, initially take sections 9 from the biopsy site area because there would 10 be a cavity left after the previous biopsy had 11 been done, take sections from that area around 12 the periphery of the actual biopsy cavity and 13 any lesions that I did see there or any 14 residual tumour. I would take sections from 15 each of the quadrants of the breast to make 16 sure that there was no tumour in the--we would 17 divide the breast into four quadrants and I 18 would take them from the upper, outer and the 19 outer quadrants and also the inner quadrants 20 as well and label them specifically, 21 individually in cassettes. I would take 22 sections from the margins of the breast, the 23 upper, lower, the medial and the deep margin 24 of the breast to find out if there's an 25 extension of tumour from those areas. And</p>	<p>1 COFFEY, Q.C.: 2 Q. After being then given back--then place the 3 tissue samples, placed in cassettes? 4 DR. BAKER: 5 A. Yes. 6 COFFEY, Q.C.: 7 Q. And given to the - 8 DR. BAKER: 9 A. Technologist. 10 COFFEY, Q.C.: 11 Q. Technologist. What was the technologist then 12 expected to do with them? 13 DR. BAKER: 14 A. Technologist took the cassettes with tissue 15 samples at the end of the day, brought them to 16 the processing area, processing machine, put 17 them into a steel basket in an orderly fashion 18 and put them into the main chamber of the 19 processing unit which had formalin in it, 20 closed the chamber and then set the processing 21 machine to process in an overnight fashion. 22 The processing machine would run for 23 approximately 12 hours and would go through 24 formalin, alcohol and so on and actually, you 25 know, prepare the tissue for the next day for</p>
<p>Page 38</p> <p>1 then I would take a section from the nipple 2 area to make sure there's no involvement of 3 the nipple and also then I would do a detail 4 sectioning of the axillary tail to find the 5 lymph nodes, and that would involve taking 6 multiple, multiple sections of the axillary 7 tail for identification of lymph nodes. 8 COFFEY, Q.C.: 9 Q. And these would all be placed in cassettes? 10 DR. BAKER: 11 A. Placed in cassettes. They would be given the 12 accession number of the main specimen and they 13 would given an alphabetical, they'd be given a 14 letter starting with A right through the 15 alphabet and redoubled if necessary to go 16 through again. 17 COFFEY, Q.C.: 18 Q. And this, I take it, Doctor, this practice or 19 approach goes back to what time? 20 DR. BAKER: 21 A. Goes back to my residency. 22 COFFEY, Q.C.: 23 Q. Back to the beginning? 24 DR. BAKER: 25 A. Yes, the very beginning.</p>	<p>Page 40</p> <p>1 embedding in paraffin wax. 2 COFFEY, Q.C.: 3 Q. And, Doctor, so I take it then this 12-hour 4 process, tissue processing process - 5 DR. BAKER: 6 A. It would run approximately from 5:30 to 5:30 7 or 6 the next morning. 8 COFFEY, Q.C.: 9 Q. It would be ready or finished the process by 10 the time the technologist came in the next 11 day? 12 DR. BAKER: 13 A. Yeah, at 8:00. 14 COFFEY, Q.C.: 15 Q. While we're talking about tissue processing, 16 Doctor, who was responsible in the Carbonear 17 Hospital over the 25 years you've been there, 18 for maintaining the tissue processor? 19 DR. BAKER: 20 A. The technologist would look after the day-to- 21 day maintenance of the machine, changing of 22 the chemicals in the machine on a periodic 23 basis. But if there was any major problem 24 with the machine that couldn't be fixed by the 25 technologist or by the senior technologist in</p>

Page 41	Page 43
<p>1 the lab--in the laboratory we had a senior 2 tech 3, lead tech, I guess he was called, and 3 also he looked after some quality control in 4 the lab, but he was the lead tech and probably 5 one of the more experienced technologists in 6 the lab, he would probably be asked to come 7 have a look at the machine, see if there was 8 anything that he could give an advice and so 9 on. If he couldn't fix it, then we would go 10 automatically to the manufacturer. 11 COFFEY, Q.C.: 12 Q. Who would send out somebody to service it? 13 DR. BAKER: 14 A. A biomedical person out to fix it, yes. 15 COFFEY, Q.C.: 16 Q. And, Doctor, in terms of that, were there any 17 records kept, do you know, of maintenance of 18 the machine, routine and otherwise 19 maintenance? 20 DR. BAKER: 21 A. Not specifically, no. It was done according 22 to a routine that the technologist had set 23 down and that routine was based on his 24 experience and training. When I first moved 25 to Carbonear in 1983, a technologist had to be</p>	<p>1 fixation process and the tissue processing of 2 pathology specimens in the Carbonear Hospital, 3 the people involved in it would be, well, the 4 surgeons? 5 DR. BAKER: 6 A. Yes. 7 COFFEY, Q.C.: 8 Q. Initially? 9 DR. BAKER: 10 A. Yes. 11 COFFEY, Q.C.: 12 Q. Whomever else might have handled the tissue in 13 the OR? 14 DR. BAKER: 15 A. Correct. 16 COFFEY, Q.C.: 17 Q. Placing it in formalin? 18 DR. BAKER: 19 A. Um-hm. 20 COFFEY, Q.C.: 21 Q. The porter? 22 DR. BAKER: 23 A. Yes. 24 COFFEY, Q.C.: 25 Q. Who would transport the specimen. Yourself?</p>
<p>1 trained in pathology. The technologist that 2 was chosen at the time was sent to St. John's 3 for training in all aspects of pathology and 4 in the use of the processing equipment and he 5 would have had that training and that 6 information as to when and how and how often 7 to do things with the machinery and so on. 8 That would be--to my knowledge there wasn't 9 any specific documentation done. 10 COFFEY, Q.C.: 11 Q. Or maintained over the years? 12 DR. BAKER: 13 A. No. 14 COFFEY, Q.C.: 15 Q. How many technologists have worked in 16 pathology over the years? When you first 17 started, there was the one, I take it? 18 DR. BAKER: 19 A. The one. There still is only the one. The 20 one that started out with me in 1983 worked 21 with me up until, and well, he's on loan now 22 to the Health Sciences for the past year, but 23 he worked with me for about 24 years. 24 COFFEY, Q.C.: 25 Q. So that in terms of the processing then of the</p>	<p>1 DR. BAKER: 2 A. Yes. 3 COFFEY, Q.C.: 4 Q. And the technologist? 5 DR. BAKER: 6 A. Correct. 7 COFFEY, Q.C.: 8 Q. This particular individual? 9 DR. BAKER: 10 A. Yes. 11 COFFEY, Q.C.: 12 Q. Doctor, were there any records kept over the 13 years in Carbonear, was it ever the practice 14 to keep records of the time, the amount of 15 time that any particular specimen would have 16 spent in formalin? 17 DR. BAKER: 18 A. No, no, that was never recorded on the 19 requisition. 20 COFFEY, Q.C.: 21 Q. Was there any accepted practice as to or rule 22 of thumb as to how long specimens should 23 remain in formalin? 24 DR. BAKER: 25 A. No, there was no specific guidelines like</p>

Page 45	Page 47
<p>1 that, no.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Doctor, while we're on the topic, the new</p> <p>4 technologist, I take it you have somebody?</p> <p>5 DR. BAKER:</p> <p>6 A. Yes.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Because you loaned out -</p> <p>9 DR. BAKER:</p> <p>10 A. Yes, in a temporary position, just until the</p> <p>11 other--the previous one comes back.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. Comes back. The technologist you have there</p> <p>14 presently, do you know how he or she was</p> <p>15 trained in pathology?</p> <p>16 DR. BAKER:</p> <p>17 A. Well, she was trained by my senior</p> <p>18 technologist who left on loan. She was given</p> <p>19 orientation for about three to four weeks and</p> <p>20 was, you know, assessed on a day-to-day basis</p> <p>21 by a technologist and once the technologist</p> <p>22 had a comfort level with that individual, they</p> <p>23 were allowed to do solo work.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. Doctor, the routine of scoring on the day of</p>	<p>1 occur the next morning; his initial</p> <p>2 preparation would be the embedding in</p> <p>3 paraffin. After all the specimens had been</p> <p>4 embedded in paraffin, they would be moved over</p> <p>5 to another section of the lab for sectioning</p> <p>6 on a microtome. And the thin paraffin</p> <p>7 sections then would be floated off into a</p> <p>8 water bath and onto the slides and then</p> <p>9 prepared for staining.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. And I take it, we'll come to this, we'll take</p> <p>12 up the narrative with ER/PR in particular.</p> <p>13 But the staining then would occur generally,</p> <p>14 for example, a H & E stain for a breast</p> <p>15 tissue?</p> <p>16 DR. BAKER:</p> <p>17 A. Yes.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Would occur when on day three?</p> <p>20 DR. BAKER:</p> <p>21 A. Yes.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. Third day. The day it came off the tissue</p> <p>24 processor?</p> <p>25 DR. BAKER:</p>
<p>1 surgery?</p> <p>2 DR. BAKER:</p> <p>3 A. Yes.</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. Then grossing on day two, I suppose?</p> <p>6 DR. BAKER:</p> <p>7 A. Yes.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. And take up then the narrative there, after</p> <p>10 the tissue had gone through the tissue</p> <p>11 processor at night, what would happen then the</p> <p>12 next day, whatever the next day was, and I'll</p> <p>13 come to that in a minute because sometimes it</p> <p>14 might be over the weekend?</p> <p>15 DR. BAKER:</p> <p>16 A. Right.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. But next working day what would happen then?</p> <p>19 DR. BAKER:</p> <p>20 A. The technologist would take the tissue from</p> <p>21 the processing unit the next morning and begin</p> <p>22 the next stage of the process, which would be</p> <p>23 to embed the tissue in paraffin blocks and</p> <p>24 prepare them for actual sectioning and placing</p> <p>25 on glass slides for staining. That would</p>	<p>1 A. Would be the day that it would be stained.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Stained. And the slide would be prepared and</p> <p>4 stained?</p> <p>5 DR. BAKER:</p> <p>6 A. Yes.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. And then when would you see it?</p> <p>9 DR. BAKER:</p> <p>10 A. I would--those slides would most likely come</p> <p>11 to me late in the day of the third day and I</p> <p>12 wouldn't see those slides until the next day,</p> <p>13 wouldn't examine them -</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. The fourth working day?</p> <p>16 DR. BAKER:</p> <p>17 A. Yes.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. As it were. Doctor, I have just referred to</p> <p>20 the idea of the weekend intervening or a</p> <p>21 holiday intervening. What would happen in</p> <p>22 relation to that in this process, if, like,</p> <p>23 day two or day three was a holiday? Would</p> <p>24 everything get, I take it, moved off to the</p> <p>25 next working day?</p>

Page 49	Page 51
<p>1 DR. BAKER:</p> <p>2 A. Correct, yeah. As far as the bedding process</p> <p>3 and so on?</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. Yes.</p> <p>6 DR. BAKER:</p> <p>7 A. In that stage -</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. The tissue processing.</p> <p>10 DR. BAKER:</p> <p>11 A. Yes, yes, that's right, everything would be</p> <p>12 moved on, it would be moved onto the next</p> <p>13 working day, yes.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. Doctor, fixation as a process, dating back to</p> <p>16 your residency days, what did you learn or</p> <p>17 what were you taught about the importance of</p> <p>18 fixation and the process?</p> <p>19 DR. BAKER:</p> <p>20 A. Well, you know, the importance was that a</p> <p>21 tissue had to be fixed in order to be able to</p> <p>22 be stained properly and examined properly</p> <p>23 under the microscope. If the tissue wasn't</p> <p>24 fixed appropriately, then the staining process</p> <p>25 would be impaired and the actual</p>	<p>1 tissue under the microscope, so you would pick</p> <p>2 up problems in that respect and then raise</p> <p>3 those concerns to the technologist and would</p> <p>4 most likely go back and take additional</p> <p>5 samples of the actual tissue.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. And where would those samples come from?</p> <p>8 DR. BAKER:</p> <p>9 A. From the previous specimen.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. Which had been, I take it, maintained in the</p> <p>12 meantime -</p> <p>13 DR. BAKER:</p> <p>14 A. Formaldehyde. Yeah, the specimen are</p> <p>15 maintained in formaldehyde, in our</p> <p>16 institution, anyway, for three months.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. So after the tissue goes into the cassettes,</p> <p>19 initially, on the first process, the tissue</p> <p>20 itself though it maintained, the remaining</p> <p>21 tissue?</p> <p>22 DR. BAKER:</p> <p>23 A. Any residual tissue, the specimen itself is</p> <p>24 retained for three months.</p> <p>25 COFFEY, Q.C.:</p>
<p>Page 50</p> <p>1 interpretation of the slides would be</p> <p>2 impaired.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. And I take it that that was true before you</p> <p>5 ever got involved with IHC?</p> <p>6 DR. BAKER:</p> <p>7 A. Correct, yes.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. Goes back to the H & E, back to your own days</p> <p>10 of training and before?</p> <p>11 DR. BAKER:</p> <p>12 A. It was a standard that you kept and adhered to</p> <p>13 to make sure that the--you really had good</p> <p>14 quality slides.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. Doctor, were you ever--what were you taught,</p> <p>17 if anything, about recognition of slides or</p> <p>18 tissue that was problematic due to fixation</p> <p>19 problems, how would you recognize a fixation</p> <p>20 problem?</p> <p>21 DR. BAKER:</p> <p>22 A. Fixation would be, you know, improperly--not</p> <p>23 taking up the stain appropriately, distortion</p> <p>24 of the actual tissue, not being able to see</p> <p>25 good detail of cells in examining of the</p>	<p>Page 52</p> <p>1 Q. And the reason for that?</p> <p>2 DR. BAKER:</p> <p>3 A. To make it available if you want to return to</p> <p>4 take additional samples for additional</p> <p>5 examination of the lesion or of any other</p> <p>6 portion of the tissue specimen.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Did you ever encounter fixation problems in</p> <p>9 Carbonear?</p> <p>10 DR. BAKER:</p> <p>11 A. To my knowledge, no.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. I say problems, you would recognize them on</p> <p>14 the slide as a -</p> <p>15 DR. BAKER:</p> <p>16 A. Yeah, it would give me great difficulty in</p> <p>17 trying to interpret things on the slide. If I</p> <p>18 saw a slide that was not picking up stain</p> <p>19 appropriately or there was distortion of cells</p> <p>20 or anything of that type, I would, you know,</p> <p>21 immediately ask for additional sections,</p> <p>22 either additional sections from that to see if</p> <p>23 it was a staining problem or go back and take</p> <p>24 additional tissue from the actual specimen, if</p> <p>25 there was additional tissue to take from.</p>

<p style="text-align: right;">Page 53</p> <p>1 COFFEY, Q.C.:</p> <p>2 Q. Did that ever occur?</p> <p>3 DR. BAKER:</p> <p>4 A. Not to my recollection.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. Okay. So that would have been your practice</p> <p>7 if you were called upon to do it?</p> <p>8 DR. BAKER:</p> <p>9 A. Yes, I would, yes.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. Doctor, are there any--I want to ask you</p> <p>12 about--I will be asking you later about what</p> <p>13 the current situation is in Carbonear, but</p> <p>14 before 2005 were there any written policies</p> <p>15 and procedures relating to fixation in that</p> <p>16 institution?</p> <p>17 DR. BAKER:</p> <p>18 A. No, there wasn't.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. The practice you've described?</p> <p>21 DR. BAKER:</p> <p>22 A. Yes.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. But not, to your knowledge it wasn't written</p> <p>25 down anywhere?</p>	<p style="text-align: right;">Page 55</p> <p>1 Q. When did that start?</p> <p>2 DR. BAKER:</p> <p>3 A. Within the past probably two or three months,</p> <p>4 three or four months, somewhere in that</p> <p>5 general area.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. So before that in Carbonear they were -</p> <p>8 DR. BAKER:</p> <p>9 A. We mixed our own.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. - the practice was you mixed your own?</p> <p>12 DR. BAKER:</p> <p>13 A. Correct.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. Who was responsible for doing that?</p> <p>16 DR. BAKER:</p> <p>17 A. The technologist.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. And who was responsible--and was that the</p> <p>20 pathology technologist?</p> <p>21 DR. BAKER:</p> <p>22 A. Correct, yes.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. The individual that was with you all those</p> <p>25 years?</p>
<p style="text-align: right;">Page 54</p> <p>1 DR. BAKER:</p> <p>2 A. No written policy as such, no. It was a</p> <p>3 standard that I adhered to that I had been</p> <p>4 trained in.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. Going back to your days at the General</p> <p>7 Hospital?</p> <p>8 DR. BAKER:</p> <p>9 A. Correct.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. In St. John's. What type of formalin was</p> <p>12 used, do you know, Doctor? Who was</p> <p>13 responsible for purchasing the formalin and</p> <p>14 maintaining it and which one was used?</p> <p>15 DR. BAKER:</p> <p>16 A. The technologist. We mixed our own formalin</p> <p>17 in the lab up until just recently. And -</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Recently means what in this context?</p> <p>20 DR. BAKER:</p> <p>21 A. We now have been provided premixed formalin by</p> <p>22 Eastern Health. It comes out from the</p> <p>23 storage, stores and so on to our facility,</p> <p>24 ordered to our facility.</p> <p>25 COFFEY, Q.C.:</p>	<p style="text-align: right;">Page 56</p> <p>1 DR. BAKER:</p> <p>2 A. Yes.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. Do you know if there was any testing process</p> <p>5 to follow then or to ascertain whether or not</p> <p>6 the formalin was appropriately mixed?</p> <p>7 DR. BAKER:</p> <p>8 A. He mixed it according to a formula that he has</p> <p>9 been using for years, a formula that he, I'm</p> <p>10 not sure where he obtained the formula from, I</p> <p>11 would assume it was obtained from his training</p> <p>12 at the Health Science Centre, and he's been</p> <p>13 using that formula of mixing for all these</p> <p>14 years.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. Doctor, do you know if after the formula was</p> <p>17 initially mixed, any one batch of it mixed,</p> <p>18 about whether or not any--was it ever</p> <p>19 periodically tested to ascertain that it was</p> <p>20 still, you know, of appropriate chemical</p> <p>21 composition?</p> <p>22 DR. BAKER:</p> <p>23 A. No.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. To your knowledge he wasn't -</p>

Page 57	Page 59
<p>1 DR. BAKER: 2 A. No, to my knowledge he wasn't. 3 COFFEY, Q.C.: 4 Q. - go back and testing its pH? 5 DR. BAKER: 6 A. No. 7 COFFEY, Q.C.: 8 Q. Routinely? 9 DR. BAKER: 10 A. To my knowledge it wasn't. 11 COFFEY, Q.C.: 12 Q. Were you aware, Doctor, that at least there is 13 a view that it should be tested from time to 14 time? 15 DR. BAKER: 16 A. Yes, I've come to learn that view recently and 17 things have been introduced within our 18 laboratory now as quality control to test the 19 pH of the, even the premixed one that we are 20 availing of now. 21 COFFEY, Q.C.: 22 Q. I take it that that's occurred since, 23 certainly since 2005? 24 DR. BAKER: 25 A. Correct.</p>	<p>1 A. Through the memo, that's all. 2 COFFEY, Q.C.: 3 Q. Okay. And I want to, if you can, outline for 4 the Commissioner, then, kind of circa the 5 1990s, what interaction you would--did have 6 with pathologists elsewhere within the 7 province or outside the province? You're a 8 sole practitioner? 9 DR. BAKER: 10 A. Yes. 11 COFFEY, Q.C.: 12 Q. And as a pathologist and you had other duties 13 as well? 14 DR. BAKER: 15 A. Um-hm. 16 COFFEY, Q.C.: 17 Q. What sort of interaction would you have with 18 pathologists? 19 DR. BAKER: 20 A. The interaction that mostly I had with 21 pathologists was telephone conversations on 22 consultations, periodic meetings of the 23 Newfoundland Association of Pathologists and 24 basically that was it. There was no other 25 formal interaction.</p>
Page 58	Page 60
<p>1 COFFEY, Q.C.: 2 Q. That this, the changes that have occurred? 3 DR. BAKER: 4 A. Yes. 5 COFFEY, Q.C.: 6 Q. Have occurred since 2005? 7 DR. BAKER: 8 A. As part of the quality initiatives. 9 COFFEY, Q.C.: 10 Q. And I'll be coming to that. Doctor, to go 11 back then in time and pick up the narrative, 12 you've indicated that your introduction to 13 immunohistochemistry, or tests in the 14 immunohistochemistry was, began with Dr. 15 Khalifa's memo? 16 DR. BAKER: 17 A. Correct, yes. 18 COFFEY, Q.C.: 19 Q. And I'm going to ask you, Doctor, when did you 20 first meet Dr. Khalifa, do you recall? 21 DR. BAKER: 22 A. I have never met Dr. Khalifa. 23 COFFEY, Q.C.: 24 Q. Okay. When did you first become aware of him? 25 DR. BAKER:</p>	<p>1 COFFEY, Q.C.: 2 Q. And, Doctor, within the Carbonear Hospital 3 itself were there rounds that you would take 4 part in? 5 DR. BAKER: 6 A. No, not specifically, no. We're a small 7 hospital and the only rounds that would be 8 ongoing would be internal medicine rounds; 9 occasionally I would be involved in those. 10 There weren't any surgical rounds, as such, we 11 only have two surgeons there. And 12 occasionally there would be some outside 13 speakers that would come in in topics related 14 to laboratory medicine and so on that we would 15 attend, a subspecialist from St. John's in 16 hematology or biochemistry and so on, that 17 type of thing. 18 COFFEY, Q.C.: 19 Q. Were there ever any such presentations 20 involving pathology, do you recall? 21 DR. BAKER: 22 A. Not to my knowledge, no. 23 COFFEY, Q.C.: 24 Q. I take it then in terms of your interaction 25 with the surgeons, the two surgeons, that</p>

Page 61	Page 63
<p>1 would be informal?</p> <p>2 DR. BAKER:</p> <p>3 A. Yes.</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. But frequent?</p> <p>6 DR. BAKER:</p> <p>7 A. But frequent, yes, that's right.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. So if you had a concern about something, you</p> <p>10 knew where to find the surgeon and the surgeon</p> <p>11 certainly knew where to find you?</p> <p>12 DR. BAKER:</p> <p>13 A. Correct.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. And you would talk about particular cases if</p> <p>16 there was a concern?</p> <p>17 DR. BAKER:</p> <p>18 A. Correct, yes.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. So before receiving Dr. Khalifa's memo of</p> <p>21 early 1998, up to that point ER and PR, at</p> <p>22 least in Carbonear, followed this process of</p> <p>23 fresh tissue, liquid nitrogen?</p> <p>24 DR. BAKER:</p> <p>25 A. Yes. Dry ice.</p>	<p>1 pathology residents.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. And while I'm on the topic, oncology over the</p> <p>4 years, could you tell us, please, how oncology</p> <p>5 services worked at the Carbonear Hospital?</p> <p>6 DR. BAKER:</p> <p>7 A. We didn't have any visiting oncologists. The</p> <p>8 oncologists would be on a consultation basis</p> <p>9 over the phone available to the internal</p> <p>10 medicine people. Primarily the internal</p> <p>11 medicine people at our hospital handled the</p> <p>12 chemotherapy unit at our hospital. The orders</p> <p>13 would be written by the oncologists after</p> <p>14 having seen the patient, consultation in St.</p> <p>15 John's.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Okay.</p> <p>18 DR. BAKER:</p> <p>19 A. And they would be referred back to our</p> <p>20 facility with written orders for chemotherapy</p> <p>21 after--and then the chemotherapy would be</p> <p>22 organized and supervised by the internal</p> <p>23 medicine group at the hospital and/or the</p> <p>24 surgeons or the obstetricians and</p> <p>25 gynecologists, depending on where the actual</p>
Page 62	Page 64
<p>1 COFFEY, Q.C.:</p> <p>2 Q. Dry ice, and shipment to St. John's?</p> <p>3 DR. BAKER:</p> <p>4 A. Correct, yes.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. And a report going from a biochemist to the</p> <p>7 attending physician?</p> <p>8 DR. BAKER:</p> <p>9 A. Correct.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. If we bring up, please, Registrar, Exhibit P-</p> <p>12 1850? I should ask you, Doctor, some of the</p> <p>13 pathologists who have been here to testify, of</p> <p>14 course, are connected with the medical school</p> <p>15 here. Do you have any position with the</p> <p>16 medical school itself?</p> <p>17 DR. BAKER:</p> <p>18 A. No, I don't.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. Would there ever be any residents, pathology</p> <p>21 residents, say, come through the hospital in</p> <p>22 Carbonear?</p> <p>23 DR. BAKER:</p> <p>24 A. No. We've had surgical residents come</p> <p>25 through, internal medicine and so on but not</p>	<p>1 malignancy was from.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. The actual oncologists, if a patient from</p> <p>4 Carbonear, a patient who had surgery in</p> <p>5 Carbonear goes to an oncologist in connection</p> <p>6 with their treatment, they would have to come</p> <p>7 to St. John's for that?</p> <p>8 DR. BAKER:</p> <p>9 A. Correct, yes.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. And that was true when you began in 1983?</p> <p>12 DR. BAKER:</p> <p>13 A. Yes.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. And I take it that's still true today?</p> <p>16 DR. BAKER:</p> <p>17 A. Correct, yes.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Doctor, this is a memo dated February 16th,</p> <p>20 1998. It's from--it's on Health Care</p> <p>21 Corporation of St. John's letterhead titled</p> <p>22 "Memorandum" from Dr. Mahmoud Khalifa to all</p> <p>23 Newfoundland pathologists, February 16th,</p> <p>24 1998. The reference is "Reporting of estrogen</p> <p>25 and progesterone receptor immunohistochemical</p>

Page 65	Page 67
<p>1 results." 2 DR. BAKER: 3 A. Um-hm. 4 COFFEY, Q.C.: 5 Q. Okay? 6 DR. BAKER: 7 A. Yes. 8 COFFEY, Q.C.: 9 Q. Is this the memo you're referring to? 10 DR. BAKER: 11 A. Yes, I am. It is. 12 COFFEY, Q.C.: 13 Q. Doctor, this particular memo, this particular 14 exhibit, it's page 2 of it. Just go down 15 through it, go on to page 3, which has got a 16 proposal for uniform reporting of ER/PR 17 immunohistochemical assessment. 18 DR. BAKER: 19 A. Yeah. 20 COFFEY, Q.C.: 21 Q. February of 1998. And examples. And then 22 this particular exhibit, 1850, on page 4 has a 23 page entitled, "Immunohistochemical Staining 24 of Steroid Receptors Correlation With 25 Biochemistry."</p>	<p>1 DR. BAKER: 2 A. Yes. 3 COFFEY, Q.C.: 4 Q. Now, Doctor, then, so I'll concentrate on the 5 first three pages of the exhibit itself. 6 DR. BAKER: 7 A. Um-hm. 8 COFFEY, Q.C.: 9 Q. Did you have any heads up or warning or, you 10 know, prior notification that you were going 11 to get such a memo? 12 DR. BAKER: 13 A. No. I just received it in the mail one day 14 and read through it. 15 COFFEY, Q.C.: 16 Q. And, Doctor, then, what--you got the memo, you 17 read it? 18 DR. BAKER: 19 A. Um-hm. 20 COFFEY, Q.C.: 21 Q. What then, what if anything did you do? 22 DR. BAKER: 23 A. Essentially I read the memo and from the memo 24 I understood that the ER/PR service, the 25 reporting aspect of the ER/PR services would</p>
<p>1 DR. BAKER: 2 A. Um-hm. 3 COFFEY, Q.C.: 4 Q. And that particular document extends over two 5 pages. Actually, sorry, over three. 6 DR. BAKER: 7 A. Um-hm. 8 COFFEY, Q.C.: 9 Q. Including a comments section and references. 10 The memo that you received back in 1998 which 11 begins at page 1 of this exhibit, did that 12 contain a memo, have appended to it or 13 accompany this? 14 DR. BAKER: 15 A. No, it didn't. 16 COFFEY, Q.C.: 17 Q. Okay, the study. 18 DR. BAKER: 19 A. No. 20 COFFEY, Q.C.: 21 Q. I'll refer to it as the concordance study. 22 DR. BAKER: 23 A. No. First time I've seen this, actually. 24 COFFEY, Q.C.: 25 Q. Right, as we sit here now?</p>	<p>1 be transferred to the pathologists, well 2 essentially to pathologists in each individual 3 region and that I would be responsible then 4 for reporting on tissue that was received for 5 my area. 6 COFFEY, Q.C.: 7 Q. And what about the actual ordering of the 8 test, how was that to be done? 9 DR. BAKER: 10 A. That would have been done from my area, as 11 well, from the pathology department at 12 Carbonear. 13 COFFEY, Q.C.: 14 Q. So you understood that, in fact, the onus 15 would be upon the local pathologist - 16 DR. BAKER: 17 A. Correct. 18 COFFEY, Q.C.: 19 Q. - in this case would be you to actually order 20 the ER/PR? 21 DR. BAKER: 22 A. Yes. 23 COFFEY, Q.C.: 24 Q. Doctor, we look through the memo, and of 25 course it says, "As you all know," I take it</p>

Page 69	Page 71
<p>1 "it has been suggested that assessment of 2 ER/PR status and mammary invasive carcinomas 3 be performed immunohistochemically on formalin 4 paraffin embedded tissues." I take it that 5 you hadn't known that before this? 6 DR. BAKER: 7 A. No, no, I didn't. 8 COFFEY, Q.C.: 9 Q. And the reference to in the second paragraph, 10 "The division of pathology in the Health Care 11 Corporation of St. John's having employed this 12 technology for over a year," had you been 13 aware that that was going on? 14 DR. BAKER: 15 A. No. 16 COFFEY, Q.C.: 17 Q. In St. John's? 18 DR. BAKER: 19 A. I didn't know it at all. 20 COFFEY, Q.C.: 21 Q. And in the second paragraph there is a 22 reference to, it says, "Recent audits 23 correlating IHC with biochemical results and 24 selected specimens where both techniques have 25 been running parallel have shown high accuracy</p>	<p>1 significance that I should be, you know, 2 really aware of in interpretation and that I, 3 you know, I felt it was a stain that I could 4 interpret as if it was any other stain that I 5 would order on a routine basis in my own lab, 6 any special stain. 7 COFFEY, Q.C.: 8 Q. Now, Doctor, while you mention that, at that 9 time, and this is 1998, early 1998, what 10 stains were being--what types of stains were 11 being done in your lab in Carbonear? 12 DR. BAKER: 13 A. Just regular routine, the regular H & E 14 stains, alician blues, formucin, you know, 15 Masson's trichrome (phonetic) for--the basic 16 histopathology stains that would be done in 17 any basic pathology laboratory, nothing out of 18 the ordinary, nothing in relation to IHC, 19 nothing like that, but just basic stains that 20 would require controls, external controls. 21 COFFEY, Q.C.: 22 Q. Doctor, if for patients out of--I'm sorry. 23 DR. BAKER: 24 A. That would require external controls for 25 assessment.</p>
<p>Page 70</p> <p>1 of the introduced IHC detection. Results of 2 these audits have been discussed in several 3 meetings and are available for review." Now, 4 this correlation, Doctor, we've heard evidence 5 that, in fact, pages 4, 5 and 6, in fact, at 6 least, deals with the - 7 DR. BAKER: 8 A. Correlation. 9 COFFEY, Q.C.: 10 Q. Some of the, certainly some of the correlation 11 that went on. Did you make any inquiries at 12 the time about those audits? 13 DR. BAKER: 14 A. No, I didn't. 15 COFFEY, Q.C.: 16 Q. And, Doctor, overall then what was your 17 reaction to this in terms of - 18 DR. BAKER: 19 A. My reaction to it was that it was a stain that 20 was essentially being done in St. John's that 21 I felt was a routine stain that was being 22 transferred to the regions for interpretation 23 there. I didn't see any red flags raised here 24 in this memo that indicated to me that there 25 should be any concern or anything of any</p>	<p>Page 72</p> <p>1 COFFEY, Q.C.: 2 Q. You were or weren't doing them that would 3 require external controls? 4 DR. BAKER: 5 A. For the basic stains, yes, they would require 6 external controls. 7 COFFEY, Q.C.: 8 Q. External controls, yes, yes. So the idea of 9 certainly using an external control was - 10 DR. BAKER: 11 A. Was not foreign. 12 COFFEY, Q.C.: 13 Q. Was not foreign to you? 14 DR. BAKER: 15 A. No. 16 COFFEY, Q.C.: 17 Q. For patients in Carbonear at the time, because 18 we understand that there were certainly other 19 IHC stains that were being done in St. John's, 20 the lab here was doing other, other than 21 ER/PR, there were some other? 22 DR. BAKER: 23 A. Yes, but - 24 COFFEY, Q.C.: 25 Q. For patients in Carbonear, who would be</p>

Page 73	Page 75
<p>1 ordering those?</p> <p>2 DR. BAKER:</p> <p>3 A. I wasn't ordering them. They would be done on</p> <p>4 consultation specimens that were sent in from</p> <p>5 the Carbonear site. For example, I'll just</p> <p>6 give you one example, it would a lymph node</p> <p>7 that I would have diagnosed a lymphoma and I</p> <p>8 would require consultation for confirmation as</p> <p>9 to the type of lymphoma. So I would send it</p> <p>10 to St. John's to the people who are most</p> <p>11 interested in lymphomas, who had a special</p> <p>12 interest in it, and they would order the</p> <p>13 specialized IHC stains to determine what type</p> <p>14 of lymphoma it was, whether it was B cell, T</p> <p>15 cell, so on.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Sure, and your lymphoma example, these people</p> <p>18 would be other pathologists?</p> <p>19 DR. BAKER:</p> <p>20 A. Other pathologists in St. John's, yes, and</p> <p>21 they would interpret those slides and send the</p> <p>22 report confirming, you know, the presence of a</p> <p>23 lymphoma and also the type of lymphoma, based</p> <p>24 on the IHC stains.</p> <p>25 COFFEY, Q.C.:</p>	<p>1 Doctor, nuclei staining, at that time, early</p> <p>2 1998, were there any other nuclei staining</p> <p>3 that you were involved in interpreting?</p> <p>4 DR. BAKER:</p> <p>5 A. Well, I mean, some of the basic stains and so</p> <p>6 on, you would be looking for, you know,</p> <p>7 staining within the cell and so on. So I was</p> <p>8 familiar with looking at stains within the</p> <p>9 cell. Nuclei staining, probably this is the</p> <p>10 first one.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. And the other types of staining being?</p> <p>13 DR. BAKER:</p> <p>14 A. Like alician blue, you're looking for actually</p> <p>15 mucin within the cell itself and so on versus</p> <p>16 actually, you know, within the actual nuclei</p> <p>17 in the cells.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Cytoplasmic staining, I take it?</p> <p>20 DR. BAKER:</p> <p>21 A. Cytoplasmic staining, yes.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. And membrane staining, I take it, would be -</p> <p>24 DR. BAKER:</p> <p>25 A. Yeah, this probably would have been the first</p>
<p>1 Q. And if in the course of doing that, of</p> <p>2 conducting their analysis of the patient</p> <p>3 status, IHC, if one or more of them are</p> <p>4 required, they'd do the ordering and get the</p> <p>5 results?</p> <p>6 DR. BAKER:</p> <p>7 A. They would do the ordering and interpretation,</p> <p>8 yes.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. Interpretation of it?</p> <p>11 DR. BAKER:</p> <p>12 A. Yeah.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. So in effect, the ER/PR then was the first IHC</p> <p>15 stain that you were involved in?</p> <p>16 DR. BAKER:</p> <p>17 A. Yes, correct.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Doctor, here then, we've noted--well, Dr.</p> <p>20 Khalifa refers to phase one on the</p> <p>21 introductory phase at the bottom of the first</p> <p>22 page, and phase two, "each pathologist will be</p> <p>23 asked to report results of his or her own</p> <p>24 cases as indicated by the brown staining of</p> <p>25 nuclei of the invasive neoplastic cells."</p>	<p>1 nuclei one.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Here, Doctor, he says, in phase two, "this</p> <p>4 phase will start March 1, 1998, at which time</p> <p>5 your immunostained slides will be mailed back</p> <p>6 to you with positive controls whenever it is</p> <p>7 technically possible." What did you interpret</p> <p>8 that--the positive controls here meant what to</p> <p>9 you, positive external controls?</p> <p>10 DR. BAKER:</p> <p>11 A. Would mean that they were using a piece of</p> <p>12 tissue that stained positively for the actual</p> <p>13 stain that they were using to make sure that</p> <p>14 the stain was working appropriately.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. This would be an external control?</p> <p>17 DR. BAKER:</p> <p>18 A. External control, yes.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. And whenever it is technically possible, you</p> <p>21 interpreted that to mean what?</p> <p>22 DR. BAKER:</p> <p>23 A. Whenever--basically, whenever they were able</p> <p>24 to send me back control slides, they would.</p> <p>25 That's all. I didn't take anything else from</p>

Page 77	Page 79
<p>1 it.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. And he goes on to say "with each run, I will</p> <p>4 still be responsible for reviewing the</p> <p>5 positive controls here in our laboratory and</p> <p>6 the slides will not be mailed to you unless</p> <p>7 adequate staining is noted in the positive</p> <p>8 controls," and he concludes by saying "I will</p> <p>9 be more than glad to continue being available</p> <p>10 to answer any questions and address concerns."</p> <p>11 Now did you ever have occasion to contact Dr.</p> <p>12 Khalifa about this?</p> <p>13 DR. BAKER:</p> <p>14 A. No, I didn't.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. Or anyone else in St. John's?</p> <p>17 DR. BAKER:</p> <p>18 A. No.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. Did you get any contact from them, other than</p> <p>21 the memo?</p> <p>22 DR. BAKER:</p> <p>23 A. No.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. And in the aftermath of the memo, when you</p>	<p>1 Q. You're relying upon that, I take it?</p> <p>2 DR. BAKER:</p> <p>3 A. The interpretation of the control slides at</p> <p>4 the Health Sciences by a pathologist there.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. And you're relying upon the assertion that you</p> <p>7 wouldn't get the patient slides unless some</p> <p>8 other pathologist was satisfied the external</p> <p>9 controls stained appropriately?</p> <p>10 DR. BAKER:</p> <p>11 A. Correct.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. In relation to that patient's slides?</p> <p>14 DR. BAKER:</p> <p>15 A. Correct. There were very few occasions when I</p> <p>16 didn't get external controls, and when the</p> <p>17 external controls didn't come, there would</p> <p>18 almost always be a notation on the bottom of</p> <p>19 the requisition that was sent in originally</p> <p>20 with the specimen saying that the pathologist</p> <p>21 had read the control slides, they were</p> <p>22 adequate, and there would be an initial or</p> <p>23 signature of the pathologist at the bottom of</p> <p>24 the page.</p> <p>25 COFFEY, Q.C.:</p>
<p>1 started to do the--order the tests and report</p> <p>2 the results, no concerns expressed from St.</p> <p>3 John's?</p> <p>4 DR. BAKER:</p> <p>5 A. No, not at all.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. Doctor, in terms of the phase two description</p> <p>8 here, your understanding, I take it, was "if</p> <p>9 they can send me the positive external</p> <p>10 controls, they will." But if they didn't, if</p> <p>11 they could not, for some reason, and you had</p> <p>12 the patient slides come back to you, ER/PR</p> <p>13 slides, what, if anything, did you understand</p> <p>14 about what had happened in relation to the</p> <p>15 external controls?</p> <p>16 DR. BAKER:</p> <p>17 A. That they would have been interpreted by a</p> <p>18 pathologist at the Health Science Centre as</p> <p>19 being adequate or positive and that the test</p> <p>20 was appropriate to be interpreted.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. It was safe for you then to -</p> <p>23 DR. BAKER:</p> <p>24 A. To interpret.</p> <p>25 COFFEY, Q.C.:</p>	<p>1 Q. Doctor, after the reference to phase three,</p> <p>2 and the discontinuance of biochemical assays,</p> <p>3 Dr. Khalifa goes on, "attached, please find a</p> <p>4 proposal for uniform reporting of ER/PR</p> <p>5 immunohistochemical staining. This proposal</p> <p>6 was discussed with many of my colleagues who</p> <p>7 mostly agree with its content and accepted it</p> <p>8 as a policy, so I encourage you to adopt the</p> <p>9 attached proposal in your reporting to</p> <p>10 maintain uniformity. It should be clearly</p> <p>11 stated that this is only a proposal." I'm</p> <p>12 sorry, apologize. What approach did you adopt</p> <p>13 then to how ER/PR would be reported?</p> <p>14 DR. BAKER:</p> <p>15 A. I adopted the proposal of reporting the</p> <p>16 specimen as either negative or positive, and</p> <p>17 after either the negative or positive, I would</p> <p>18 put in the percentage of cells in brackets.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. So as we'll see then on the next page. So you</p> <p>21 adopted the proposal, Dr. Khalifa's</p> <p>22 suggestion?</p> <p>23 DR. BAKER:</p> <p>24 A. Yes.</p> <p>25 COFFEY, Q.C.:</p>

Page 81	Page 83
<p>1 Q. You understood it was a proposal, it wasn't an 2 order?</p> <p>3 DR. BAKER:</p> <p>4 A. That's correct, yeah.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. But your practice was to adopt the suggestion?</p> <p>7 DR. BAKER:</p> <p>8 A. Correct, it was, yes.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. That's what you did. Doctor, he also 11 concludes by saying "there is a host of"--I'm 12 sorry, "there is a considerable host of 13 publications addressing this issue. I'm glad 14 to share any of the material I already have 15 with you. I would extremely appreciate your 16 feedback on this matter." Did you ever seek 17 any of the material he refers to?</p> <p>18 DR. BAKER:</p> <p>19 A. No, I didn't.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. Did you ever conduct any research yourself in 22 relation to, you know, ER/PR and IHC testing?</p> <p>23 DR. BAKER:</p> <p>24 A. No, I had one textbook that I consulted 25 occasionally and so on, but it was a very</p>	<p>1 A. Zero, yes.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. And so if you described--your practice was 4 then, if you saw, for example, even in the 5 early days, 1998, 1999, if you saw what you 6 considered to be ten percent of the tumour 7 cells for staining -</p> <p>8 DR. BAKER:</p> <p>9 A. Yes.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. - ten percent of the nuclei were staining, you 12 would report it how?</p> <p>13 DR. BAKER:</p> <p>14 A. I would report it as positive and the 15 percentage of cells would be in brackets, 5 to 16 10 percent, 10 to 20 percent.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. And did you utilize the comment?</p> <p>19 DR. BAKER:</p> <p>20 A. No, I didn't.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. Why is that, Doctor?</p> <p>23 DR. BAKER:</p> <p>24 A. I felt that if there was any degree of 25 positivity in the specimen at all, I would</p>
<p>1 general textbook. It just gave some general 2 principles that outlined not only ER/PR, but 3 the other stains as well, but it wasn't 4 consulted on a frequent basis.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. So at the time, and even subsequently, you 7 didn't go and look at a journal article or a 8 textbook in relation to ER/PR IHC staining?</p> <p>9 DR. BAKER:</p> <p>10 A. No, I didn't, no.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. Look to the next page, Doctor, page three. 13 This is proposal for uniform reporting of ER 14 and PR immunohistochemical assessment, refers 15 to having three components, and now, Doctor, 16 your understanding then of the usage here of 17 the word "positive", the words "positive" and 18 "negative," to you positive, you understood 19 positive meant what?</p> <p>20 DR. BAKER:</p> <p>21 A. Positive meant anything--to me, positive meant 22 anything above zero.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. And negative then was zero itself?</p> <p>25 DR. BAKER:</p>	<p>1 want to relay that without any qualification 2 to the end user of the report, be it the 3 oncologist or the surgeon, to allow him to 4 make his determination as to whether or not 5 there may be some chance that there may be 6 benefit to this patient, without any, I 7 suppose, interference by me in telling him 8 that, you know, this is probably negative and 9 so on.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. Which you would have interpreted this 12 reference to the 1990 journal article?</p> <p>13 DR. BAKER:</p> <p>14 A. Yes.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. So from your perspective, your approach was?</p> <p>17 DR. BAKER:</p> <p>18 A. To allow the end user of the report to 19 determine whether or not there was any--the 20 information that I provided was of use to the 21 patient and to allow them to make 22 determination as to whether to use any 23 additional drugs or treatment of the patient.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. So in effect, I take it then, Doctor, your</p>

Page 85	Page 87
<p>1 approach was either to use example one, which</p> <p>2 is here, which is in effect, estrogen receptor</p> <p>3 is whatever positive, and positive, you use</p> <p>4 the word positive if it was anything from one</p> <p>5 up to 100?</p> <p>6 DR. BAKER:</p> <p>7 A. Correct.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. And if it was zero percent, it would just</p> <p>10 simply read, for example, estrogen receptors</p> <p>11 is negative zero percent of cells?</p> <p>12 DR. BAKER:</p> <p>13 A. Correct.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. That was your -</p> <p>16 DR. BAKER:</p> <p>17 A. That was my--the way I interpreted things.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. No editorializing, it was just kind of</p> <p>20 "whatever I say"?</p> <p>21 DR. BAKER:</p> <p>22 A. Here are the facts.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. Here are the facts, okay. Now, Doctor, prior</p> <p>25 to this, had you ever been involved in trying</p>	<p>1 COFFEY, Q.C.:</p> <p>2 Q. I'm glad you started to tell me about that.</p> <p>3 In terms then, okay, you get this memo, read</p> <p>4 it, and make up your own mind about how I'm</p> <p>5 going to report it. You thought about it,</p> <p>6 obviously.</p> <p>7 DR. BAKER:</p> <p>8 A. Um-hm.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. You gave some independent thought to it.</p> <p>11 DR. BAKER:</p> <p>12 A. Yes.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. And then, okay, so I'm going to--you</p> <p>15 determine, "I'm going to do this."</p> <p>16 DR. BAKER:</p> <p>17 A. Yes.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. And as you pointed out, there's nothing--you</p> <p>20 don't see, there's no kind of red flag or</p> <p>21 warnings here in this memo that there's</p> <p>22 anything particular to look out for, and you</p> <p>23 set about then ordering ER/PR on the first and</p> <p>24 second and third and fourth patients.</p> <p>25 DR. BAKER:</p>
<p>1 to do an estimate of percentages of cells?</p> <p>2 Did your practice, you know, the type of work</p> <p>3 you did, require you to make an estimate of</p> <p>4 two percent, 100 percent, 80 percent?</p> <p>5 DR. BAKER:</p> <p>6 A. Not to my recollection, no.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. So how did you go about that, kind of</p> <p>9 determining a percentage, what was your</p> <p>10 practice?</p> <p>11 DR. BAKER:</p> <p>12 A. My practice would be to take--well, first of</p> <p>13 all, I would get back the specimen. It would</p> <p>14 contain the ER/PR stained slides of the</p> <p>15 specimen that I sent in. Most times I would</p> <p>16 get back the external controls of both the ER</p> <p>17 and PR, and also a stained slide, H & E slide</p> <p>18 of the specimen that I sent in, they would</p> <p>19 prepare one in town and send it back to me</p> <p>20 from St. John's.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. So before, perhaps I'll go back and I'll take</p> <p>23 you through that.</p> <p>24 DR. BAKER:</p> <p>25 A. Okay, all right.</p>	<p>1 A. Correct.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Then how would you go about it, Doctor, in</p> <p>4 terms of determining which blocks to use?</p> <p>5 What was your approach?</p> <p>6 DR. BAKER:</p> <p>7 A. My approach would be to review my original</p> <p>8 slides of the specimen, the ones which</p> <p>9 contained the carcinoma, and I would take a</p> <p>10 good representative sample or a paraffin block</p> <p>11 or a slide that contained a significant</p> <p>12 portion of tumour cells, and that's the one I</p> <p>13 would take. I would ask my technologist to</p> <p>14 draw out the paraffin block then, and send</p> <p>15 that block into St. John's for the ER/PR</p> <p>16 stains.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. And from some material we've seen, I gather,</p> <p>19 certainly in the early days, pathologists</p> <p>20 throughout the province at times had to send</p> <p>21 letters, were actually sending a covering</p> <p>22 letter, as it were?</p> <p>23 DR. BAKER:</p> <p>24 A. Yes.</p> <p>25 COFFEY, Q.C.:</p>

Page 89

1 Q. Asking that it be done, and then eventually
2 forms became available?

3 DR. BAKER:

4 A. Correct.

5 COFFEY, Q.C.:

6 Q. Is that what you--your memory of it?

7 DR. BAKER:

8 A. That was the standard format, yes. In the
9 beginning, we would, I think, use our own
10 requisition form, a blank requisition form,
11 and put the actual patient information on it
12 and request the ER/PR and send it in that way.
13 It would be letterheaded from our facility,
14 Carbonear General Hospital.

15 COFFEY, Q.C.:

16 Q. And then as time went on, the General Hospital
17 provided requisition forms that you could
18 check off which -

19 DR. BAKER:

20 A. Yeah, it contained a list of all the IHC
21 stains that were available in the facility in
22 St. John's, and we would just provide the
23 patient information and circle the ER/PR
24 section.

25 COFFEY, Q.C.:

Page 90

1 Q. And you would specify on the form which block
2 you wanted sent?

3 DR. BAKER:

4 A. Yes. The patient information would include
5 the patient number, the surgical number, and
6 also the block.

7 COFFEY, Q.C.:

8 Q. And you would identify for the technologist
9 "this is the block I want," your local
10 technologist?

11 DR. BAKER:

12 A. Yeah, I would first examine the slides, ask
13 him to draw the slides, examine the best
14 section, the best representative section of
15 the tumour and ask him to draw out the
16 corresponding block labelled similar to the
17 slide, whether it was A or C or D.

18 COFFEY, Q.C.:

19 Q. And the technologist then would send that off
20 to St. John's with the requisition form or the
21 covering letter, depending on which you were
22 using at the time?

23 DR. BAKER:

24 A. Yes.

25 COFFEY, Q.C.:

Page 91

1 Q. Then what would happen?

2 DR. BAKER:

3 A. There would be a space of time, probably a
4 week, a week to ten days, and I suppose it
5 would depend on the volume they had in town.
6 Week to ten days, and the slides would be
7 returned via courier to me, and I would take
8 them out and examine them.

9 COFFEY, Q.C.:

10 Q. And how would you go about doing that then?

11 DR. BAKER:

12 A. Well, as I just previously indicated, I had--
13 there was a set of slides came back to me.
14 They were all numbered, both with my surgical
15 number and also the referred in number that
16 the Health Sciences had given them. They
17 would have a referred in number on the top of
18 the slide as well, as well as my corresponding
19 surgical number, so that there could be
20 correlation between the two if there was any
21 problems, and I would receive five slides
22 essentially, the stained ER, stained PR,
23 control ER, control PR, and an H & E slide.

24 COFFEY, Q.C.:

25 Q. Now the stained ER and PR slides would be

Page 92

1 labelled with the numbers you've referred to?

2 DR. BAKER:

3 A. Yes.

4 COFFEY, Q.C.:

5 Q. How about the control slides?

6 DR. BAKER:

7 A. Control slides would be labelled positive PR
8 control, positive ER control.

9 COFFEY, Q.C.:

10 Q. Would they be dated or cross-referenced with
11 the patient slides?

12 DR. BAKER:

13 A. No, I don't remember dates on them. They
14 would just come in the package, that's all.

15 COFFEY, Q.C.:

16 Q. Did your office then do anything to cross-
17 reference those particular control slides with
18 the patient slides?

19 DR. BAKER:

20 A. No. We would make sure that they were just
21 packaged together and put in our files. When
22 we returned the slides to the file, they would
23 be put in separate containers, our cardboard
24 little slot, slotted envelopes, and they would
25 be all packaged together in one package.

Page 93	Page 95
<p>1 COFFEY, Q.C.:</p> <p>2 Q. So the practice in your institution was when</p> <p>3 you got the control slides, external control</p> <p>4 slides back from St. John's, from then on,</p> <p>5 they would accompany that patient's slides?</p> <p>6 DR. BAKER:</p> <p>7 A. That's right. Now on occasion, when there</p> <p>8 were probably one or two samples that went in</p> <p>9 from our facility at the same time, we may not</p> <p>10 get back control slides on both specimens. We</p> <p>11 would get back a control set of slides for</p> <p>12 both specimens. So there wouldn't be always</p> <p>13 individual control slides for each specimen.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. In those instances, when that would happen, I</p> <p>16 take it that would be relatively infrequent?</p> <p>17 DR. BAKER:</p> <p>18 A. Yes.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. In those instances, was there any record kept</p> <p>21 to cross-reference? Because the control</p> <p>22 slides could only go with one patient's</p> <p>23 slides?</p> <p>24 DR. BAKER:</p> <p>25 A. Yes.</p>	<p>1 slides. What would you do then?</p> <p>2 DR. BAKER:</p> <p>3 A. I would examine them under the microscope. I</p> <p>4 would look at--first of all, look at the H & E</p> <p>5 stained slide and look at the tumour and so on</p> <p>6 again, get myself oriented to the tissue to</p> <p>7 see where the tumour was lying within the</p> <p>8 tissue segment. Then I would take</p> <p>9 individually. First I would generally go to</p> <p>10 the ER slides, look at the ER control, make</p> <p>11 sure that it was positive and working</p> <p>12 properly.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. What criteria would you use to determine that?</p> <p>15 What thought process?</p> <p>16 DR. BAKER:</p> <p>17 A. Just adequate staining of the nuclei in the</p> <p>18 tissue that was used for the control.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. What would be adequate?</p> <p>21 DR. BAKER:</p> <p>22 A. Staining. I didn't pay particular attention</p> <p>23 to the intensity. Most times, to my</p> <p>24 recollection, the staining intensity of the</p> <p>25 tissue and controls was strong, and I would</p>
<p>Page 94</p> <p>1 COFFEY, Q.C.:</p> <p>2 Q. So would there be any cross-reference saying</p> <p>3 to the other patient?</p> <p>4 DR. BAKER:</p> <p>5 A. No, the only way that we could--well, we'd put</p> <p>6 them with either one or the other of the</p> <p>7 specimens when we were storing them away in</p> <p>8 the files. The only way that we could--there</p> <p>9 was no cross-reference done, but we do have,</p> <p>10 we did have a record book whereby when the</p> <p>11 specimens were sent in, we wrote the</p> <p>12 information down in a record book saying</p> <p>13 "specimen sent to St. John's for ER/PR slides"</p> <p>14 and if there were two of them there at the</p> <p>15 same date, then two of them could be drawn out</p> <p>16 and if one contained the ER/PR slides, then</p> <p>17 they can be just--we would know that only one</p> <p>18 set of ER/PR slides came back with those two</p> <p>19 specimens.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. So you would get the five slides per patient?</p> <p>22 DR. BAKER:</p> <p>23 A. Yes.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. Or at least if it was one patient, five</p>	<p>Page 96</p> <p>1 have--I would think it was selected for that</p> <p>2 purpose, especially selected tissue that was</p> <p>3 known to be strong for ER and/or PR was</p> <p>4 selected for controls. That's usually the way</p> <p>5 that things are done when you're using tissue</p> <p>6 for control.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Did you ever encounter weak staining of the</p> <p>9 external controls or what you considered weak?</p> <p>10 DR. BAKER:</p> <p>11 A. Not to my recollection, no. Not to my</p> <p>12 recollection.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. What would the significance be of weak</p> <p>15 staining of the external controls, if one</p> <p>16 encountered it?</p> <p>17 DR. BAKER:</p> <p>18 A. I would still consider it as being a positive</p> <p>19 control. The intensity of the staining, I</p> <p>20 didn't think, was a major problem.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. Doctor, okay, go ahead then in the process.</p> <p>23 You'd look at the external ER control and</p> <p>24 then?</p> <p>25 DR. BAKER:</p>

Page 97	Page 99
<p>1 A. And then I would look at the actual ER stain 2 of the tissue that I had sent in and for my 3 orientation from the H & E section, I would 4 locate the tumour tissue area, the main tumour 5 cell bulk area, and I would tend to look at 6 anywhere from four to six fields of the tumour 7 cells and zero in on the tumour cells, look 8 for the nuclear staining, and then I would 9 tend to count the cells in those particular 10 areas and get an average of the percentage of 11 positivity, if there was positivity, and move 12 to the next field and do the same, same for 13 each subsequent field, and then work out an 14 average. 15 COFFEY, Q.C.: 16 Q. For each of the fields? 17 DR. BAKER: 18 A. Yeah, of positivity, if there was positivity. 19 COFFEY, Q.C.: 20 Q. And what would happen then? Go through that, 21 do the arithmetic? 22 DR. BAKER: 23 A. Yeah. 24 COFFEY, Q.C.: 25 Q. And then what?</p>	<p>1 2003. 2 COFFEY, Q.C.: 3 Q. Okay, so we'll move ahead to then, but so in 4 the meantime, I take it that, in terms of 5 between 1998 and 2003, you were looking for as 6 much tumour in a block as you could? 7 DR. BAKER: 8 A. Yes. 9 COFFEY, Q.C.: 10 Q. As the most representative sample. 11 DR. BAKER: 12 A. Yes. 13 COFFEY, Q.C.: 14 Q. And there might or might not be normal tissue? 15 DR. BAKER: 16 A. Yes, there might or might not be, correct. 17 COFFEY, Q.C.: 18 Q. And when you would get the slides, you would 19 not look to see whether the normal tissue was 20 staining or not? 21 DR. BAKER: 22 A. No, I didn't. 23 COFFEY, Q.C.: 24 Q. And for example, if there was no staining in 25 the tumour that you could see, you wouldn't</p>
<p>1 DR. BAKER: 2 A. And I would do the same for the PR, and I 3 would make notation, you know, for the ER, if 4 it was 20 percent, 20 or 30 percent, I would 5 make notation and the same way for the PR, I 6 would make notation, and dictate it as an 7 addendum to the report of the previous biopsy 8 or mastectomy specimen, using the format 9 example one. 10 COFFEY, Q.C.: 11 Q. Doctor, did you, in that process, give any 12 consider--well, we've heard reference, 13 numerous references here to the idea or 14 process of using internal controls, normal 15 tissue, normal breast tissue. Did you do that 16 at the time? 17 DR. BAKER: 18 A. No, I didn't. 19 COFFEY, Q.C.: 20 Q. The idea of utilizing internal controls or 21 normal tissue, normal breast tissue for 22 internal control purposes in ER/PR, when did 23 you first become aware of that? 24 DR. BAKER: 25 A. When I received a memo from Dr. Ejeckam in</p>	<p>1 address your mind to whether or not the normal 2 tissue had or hadn't stained? 3 DR. BAKER: 4 A. No, I didn't. 5 COFFEY, Q.C.: 6 Q. Doctor, I appreciate you didn't use the 7 comment that Dr. Khalifa had suggested. Did 8 you ever speak to anybody about whether or not 9 other pathologists were using a comment or 10 not? 11 DR. BAKER: 12 A. No, I didn't. 13 COFFEY, Q.C.: 14 Q. You weren't aware that Dr. Cook had - 15 DR. BAKER: 16 A. I had no idea who or who wasn't using the 17 comment, you know. 18 COFFEY, Q.C.: 19 Q. So you then, Doctor, have, after Dr. Khalifa's 20 memo arrived in 1998, you embarked on this 21 process. 22 DR. BAKER: 23 A. Yes. 24 COFFEY, Q.C.: 25 Q. And did you ever have occasion to have to</p>

Page 101	Page 103
<p>1 reorder an ER/PR?</p> <p>2 DR. BAKER:</p> <p>3 A. No. I thought about that, and I can't</p> <p>4 recollect any time that I had to reorder one.</p> <p>5 No, not at all.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. And reordering could be occasioned by, for</p> <p>8 example, an external control not staining</p> <p>9 appropriately.</p> <p>10 DR. BAKER:</p> <p>11 A. Yes.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. You don't ever recall that happening with</p> <p>14 ER/PR?</p> <p>15 DR. BAKER:</p> <p>16 A. No, not at all.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. And then the other thing that might cause it,</p> <p>19 I gather, is that well, the slides were</p> <p>20 uninterpretable or not appropriate to be</p> <p>21 interpreted, and you don't ever recall that?</p> <p>22 DR. BAKER:</p> <p>23 A. I don't remember ever having--ever reordered</p> <p>24 any repeats of ER/PR slides or testing,</p> <p>25 staining.</p>	<p>1 Q. I'm sorry, Mr. Coffey. Dr. Baker, could you</p> <p>2 tell me about how many ER/PRs would be done</p> <p>3 out of Carbonear, on average?</p> <p>4 DR. BAKER:</p> <p>5 A. On the average, 20 a year.</p> <p>6 THE COMMISSIONER:</p> <p>7 Q. Okay, thank you.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. Thank you, Commissioner. And that's going</p> <p>10 back to 1998 and continuing to today?</p> <p>11 DR. BAKER:</p> <p>12 A. That's correct. That's about the average.</p> <p>13 We'd be at one to two a month.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. Doctor, did you ever have occasion to have</p> <p>16 another physician, attending physician of any</p> <p>17 sort, a surgeon, oncologist, ask or request</p> <p>18 that an ER/PR be rerun or that the test--you</p> <p>19 had given your report, whatever it might be,</p> <p>20 of ER/PR status and you were asked to -</p> <p>21 DR. BAKER:</p> <p>22 A. The only occasion that I can remember was when</p> <p>23 the problems started in 2005.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. 2005, okay.</p>
<p style="text-align: right;">Page 102</p> <p>1 COFFEY, Q.C.:</p> <p>2 Q. Doctor, did you ever become aware that within</p> <p>3 the laboratory at the General Hospital, that</p> <p>4 on a number of occasions, certainly in 2001,</p> <p>5 2002, 2003, that for ER/PR tests that they</p> <p>6 were having to be rerun?</p> <p>7 DR. BAKER:</p> <p>8 A. No, I wasn't made aware of that at all.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. You weren't made aware of that?</p> <p>11 DR. BAKER:</p> <p>12 A. No.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. Because there's a fair amount of material that</p> <p>15 the Commissioner has seen in relation to, and</p> <p>16 technologists have testified that at times</p> <p>17 they would have to rerun--they'd be requested</p> <p>18 to rerun it at times.</p> <p>19 DR. BAKER:</p> <p>20 A. Okay.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. By the pathologist.</p> <p>23 DR. BAKER:</p> <p>24 A. I was never made aware of those circumstances.</p> <p>25 THE COMMISSIONER:</p>	<p style="text-align: right;">Page 104</p> <p>1 DR. BAKER:</p> <p>2 A. And there were some requests that came through</p> <p>3 then, through some of the oncologists, to have</p> <p>4 some of the testing redone. That was in the</p> <p>5 early stages, even probably before I received</p> <p>6 the phone call from Don Cook. There may have</p> <p>7 been one or two requests that came through</p> <p>8 from the oncologists saying "would you mind</p> <p>9 repeating this test for me?"</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. For a particular patient?</p> <p>12 DR. BAKER:</p> <p>13 A. Yes.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. That they identified?</p> <p>16 DR. BAKER:</p> <p>17 A. Yes, that's correct.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Did they explain why at the time?</p> <p>20 DR. BAKER:</p> <p>21 A. No, they didn't. They just said "I'd like to</p> <p>22 have a repeat on this test" or "the clinical</p> <p>23 situation of the patient has changed" or</p> <p>24 "doesn't fit the pattern of the way things are</p> <p>25 going," some simple comment like that, and</p>

Page 105	Page 107
<p>1 "I'd like to have a repeat of the test." 2 COFFEY, Q.C.: 3 Q. Do you recall when you first received that 4 sort of request? 5 DR. BAKER: 6 A. It may have been April or May. 7 COFFEY, Q.C.: 8 Q. Of 2005? 9 DR. BAKER: 10 A. Yeah. 11 COFFEY, Q.C.: 12 Q. Okay, and looking back, at the time, you 13 wouldn't have known about the problem in St. 14 John's. 15 DR. BAKER: 16 A. No. 17 COFFEY, Q.C.: 18 Q. I take it you did eventually. You know, you 19 eventually became aware. 20 DR. BAKER: 21 A. No, I wasn't aware of anything going on during 22 that period of time, and until I received a 23 phone call from Don Cook in late May, early 24 June. 25 COFFEY, Q.C.:</p>	<p>1 did, yeah. 2 COFFEY, Q.C.: 3 Q. And you sent them to where? 4 DR. BAKER: 5 A. They were sent to Mount Sinai. 6 COFFEY, Q.C.: 7 Q. At that point in time, they were? 8 DR. BAKER: 9 A. Yeah, sent to Mount Sinai. The request came 10 through to send them off to Mount Sinai. 11 Yeah, I'm almost certain it was. I'm almost 12 certain it was, but I stand to be corrected. 13 COFFEY, Q.C.: 14 Q. Okay, and I'll be taking you through that 2005 15 era shortly. So Doctor, then after Dr. 16 Khalifa's memo in early 1998 and you embarked 17 on this process, when did the ER/PR-I take it 18 it was just one more test? 19 DR. BAKER: 20 A. Correct, yeah. 21 COFFEY, Q.C.: 22 Q. From your perspective. Never any problems 23 that you were aware of? 24 DR. BAKER: 25 A. Correct, that's the way I viewed it, yes.</p>
Page 106	Page 108
<p>1 Q. But now, looking back on it, you've kind of 2 pieced it together. 3 DR. BAKER: 4 A. Yeah. 5 COFFEY, Q.C.: 6 Q. Well, Don Cook called me in late May, early 7 June about this, and it went on from there, 8 but you had, in the preceding month or two, 9 received some phone calls, some few phone 10 calls from oncologists asking that ER/PR be 11 rerun? 12 DR. BAKER: 13 A. Yeah, there was only one or two occasions that 14 I can remember. 15 COFFEY, Q.C.: 16 Q. And do you recall who the oncologists were? 17 DR. BAKER: 18 A. I can't be--they would have been either Joy 19 McCarthy or--mostly, it was probably Joy 20 McCarthy. There may have been a request from 21 Kara Laing, I'm not sure. 22 COFFEY, Q.C.: 23 Q. And did you rerun them at the time? 24 DR. BAKER: 25 A. I sent them off for running, yeah, at that--I</p>	<p>1 COFFEY, Q.C.: 2 Q. And you've referred to Dr. Ejeckam's memo, 3 memos. 4 DR. BAKER: 5 A. Um-hm. 6 COFFEY, Q.C.: 7 Q. Just one moment, please, Commissioner. Bring 8 up, please, Exhibit P-0113. Doctor, the 9 Commissioner has seen these memos numerous 10 times. There are three of them that we are 11 aware of, one of April 4th, 2003, which is 12 there on the screen right now. 13 DR. BAKER: 14 A. Um-hm. 15 COFFEY, Q.C.: 16 Q. And there's one of May 2nd, 2003, which is 17 page two of that particular exhibit, that's on 18 the screen now. And then there's one of June 19 19th, 2003, which is page five of the exhibit. 20 DR. BAKER: 21 A. Yes. 22 COFFEY, Q.C.: 23 Q. Which of these memos did you receive? 24 DR. BAKER: 25 A. I remember receiving the second one</p>

Page 109	Page 111
<p>1 distinctly. The first one -</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. That's the May 2nd one?</p> <p>4 DR. BAKER:</p> <p>5 A. Yes. The first one, I may or may not have</p> <p>6 received. I just can't remember it. I don't</p> <p>7 remember receiving it, but it very well could</p> <p>8 have come across my desk and I just can't</p> <p>9 remember it.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. This particular one of April 4th, 2003, to</p> <p>12 pathologists and you would fall into the</p> <p>13 category of out-of-town hospitals, I take it?</p> <p>14 DR. BAKER:</p> <p>15 A. Correct, yes.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Did you know who Dr. Ejeckam was?</p> <p>18 DR. BAKER:</p> <p>19 A. No, I knew he was a pathologist at the Health</p> <p>20 Science Centre, but I didn't know him other</p> <p>21 than that. I hadn't met the individual at</p> <p>22 all.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. Have you ever met him?</p> <p>25 DR. BAKER:</p>	<p>1 Doctor, leaving aside the ER/PR for a moment,</p> <p>2 these other six stains that are listed there,</p> <p>3 by this point in time, April of 2003, were you</p> <p>4 involved in ordering any of them?</p> <p>5 DR. BAKER:</p> <p>6 A. No, I wasn't.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Again, they fell into this category of if they</p> <p>9 were ordered for a patient, they were done by</p> <p>10 some other -</p> <p>11 DR. BAKER:</p> <p>12 A. Yes, would have been done on a consultation</p> <p>13 that I had sent in for another pathologist to</p> <p>14 consult on.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. So up until then, April and May of 2003, what,</p> <p>17 if any, IHC stains were your ordering?</p> <p>18 DR. BAKER:</p> <p>19 A. Just the ER/PR.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. Were the only two?</p> <p>22 DR. BAKER:</p> <p>23 A. Yes.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. And while I'm on the topic, Doctor, in fact</p>
Page 110	Page 112
<p>1 A. No.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Have you ever spoken with him?</p> <p>4 DR. BAKER:</p> <p>5 A. No.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. That you recall?</p> <p>8 DR. BAKER:</p> <p>9 A. No.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. Here, Doctor, the memo itself, you say may or</p> <p>12 may not have come to you. It says "kindly</p> <p>13 note that the immunohistochemical stains with</p> <p>14 the following antibodies," and there are eight</p> <p>15 of them listed.</p> <p>16 DR. BAKER:</p> <p>17 A. Um-hm.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. ER/PR, the last two, "have remained</p> <p>20 unreliable, erratic and therefore unhelpful</p> <p>21 for diagnostic purposes" and he says "they'll</p> <p>22 stop forthwith until they can solve the</p> <p>23 reliability, sensitivity and specificity</p> <p>24 problems." And "a solution, I hope, will be</p> <p>25 found within the next four to six weeks." And</p>	<p>1 then has that ever changed?</p> <p>2 DR. BAKER:</p> <p>3 A. No, it hasn't. It still remains.</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. Still remains so, and we'll go on through</p> <p>6 that. Page two, the May 2nd memo, the one you</p> <p>7 did, do recall receiving?</p> <p>8 DR. BAKER:</p> <p>9 A. Yes, I do.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. What was your reaction when you received this,</p> <p>12 Doctor?</p> <p>13 DR. BAKER:</p> <p>14 A. That the problem had been corrected and he was</p> <p>15 providing me with information for my use in</p> <p>16 processing and interpreting ER/PR from then</p> <p>17 on.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. While I'm on it, Doctor, the June 19th memo,</p> <p>20 did you ever receive that, that you recall?</p> <p>21 It's not addressed to you.</p> <p>22 DR. BAKER:</p> <p>23 A. No, I didn't, no.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. Go back then to the May 2nd memo. I take it</p>

Page 113	Page 115
<p>1 there was information in this that was new to 2 you at the time? 3 DR. BAKER: 4 A. Correct, yes. 5 COFFEY, Q.C.: 6 Q. And did this cause you to do any further 7 research or make any further inquiry? 8 DR. BAKER: 9 A. No, I took the information in the memo and 10 tried to incorporate it into my daily, you 11 know, process of dealing with the tissues, 12 those affecting, you know, the breast CA, the 13 ER/PR. No, but I didn't consult any other 14 further journals or any other text. 15 COFFEY, Q.C.: 16 Q. Did you pass this on to the staff or any other 17 staff at the General Hospital--I'm sorry, at 18 the Carbonear Hospital? The technologists, 19 for example. 20 DR. BAKER: 21 A. I can't recollect. I may or may not have. 22 I'm not sure. 23 COFFEY, Q.C.: 24 Q. And here, for example, and refer to this at 25 the bottom of the page, the last five lines.</p>	<p>1 comment, as far as I was concerned. He didn't 2 place, you know, strong emphasis on saying 3 that the internal control was absolutely 4 necessary to be interpreted for interpretation 5 of these stains. He put some qualifying marks 6 on the end of it there that nuclear staining 7 of normal breast tissue is heterogeneous and 8 varies with menstrual cycle. So I thought 9 there was some variability in this internal 10 control, and that it wouldn't probably be as 11 reliable as the external control. 12 COFFEY, Q.C.: 13 Q. And so I take it that you didn't, having read 14 paragraph three and bearing in mind your level 15 of knowledge at the time, you didn't interpret 16 this as kind of a red flag? 17 DR. BAKER: 18 A. No, I didn't. I would have expected that if 19 it was a red flag, that he would really have 20 emphasized and said something to that effect. 21 COFFEY, Q.C.: 22 Q. Doctor, the paragraph four refers to "in 23 carcinoma of the breast, most PR positive 24 tumours are also ER positive. However, ten 25 percent of PR positive tumours are ER</p>
<p style="text-align: right;">Page 114</p> <p>1 "It is advisable to maintain a regular"--four 2 lines, I'm sorry. "It is advisable to 3 maintain a regular check on the pH for 4 buffered formalin, even if it is procured 5 commercially." 6 DR. BAKER: 7 A. Um-hm. 8 COFFEY, Q.C.: 9 Q. So that wasn't done until recently, I take it, 10 that - 11 DR. BAKER: 12 A. Yeah, that information probably wasn't 13 transferred. 14 COFFEY, Q.C.: 15 Q. To the technologists. And now on page two of 16 the memo, paragraph three refers to "checking 17 normal breast acini in your sections as 18 internal controls." Did that cause you to do 19 anything differently? 20 DR. BAKER: 21 A. Well, in subsequent ER/PRs that came back, I 22 tended to look for the internal controls. I 23 still relied heavily on my external controls, 24 as I had done so in the past. The comment 25 here by Dr. Ejeckam was an information</p>	<p style="text-align: right;">Page 116</p> <p>1 negative," and had you been aware of that sort 2 of statistic? 3 DR. BAKER: 4 A. No, I hadn't. 5 COFFEY, Q.C.: 6 Q. The idea that ER negative PR positive tumours 7 were relatively rare, at least according to 8 this? 9 DR. BAKER: 10 A. Yes. 11 COFFEY, Q.C.: 12 Q. I mean, only one in ten might be, using that 13 statistic. So you, if you hadn't been aware 14 of that statistic, you wouldn't have been 15 looking at or thinking about it in doing your 16 interpretation, if you came to the conclusion 17 a particular patient is PR positive ER 18 negative, it wasn't crossing your mind at the 19 time, before this certainly, it wasn't 20 crossing your mind that well, this is a one in 21 ten case? 22 DR. BAKER: 23 A. No, that's right. I was doing small numbers 24 at the time too, as well. I was doing one or 25 two a month, you know, average of 20 a year,</p>

Page 117	Page 119
<p>1 so I wouldn't--you know, if you did one or two</p> <p>2 a month, some months you may not do any,</p> <p>3 depending on, summer time, that kind of thing</p> <p>4 and so on, and you wouldn't be reflecting on</p> <p>5 trends and so on with small numbers like that.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. And Doctor, in relation to that, as well,</p> <p>8 paragraph seven refers to ER positive tumours</p> <p>9 or certain tumours tend to be ER positive, and</p> <p>10 there are four of them listed here, and Dr.</p> <p>11 Ejeckam, in fact, has told the Commissioner he</p> <p>12 should have included lobular as well in this</p> <p>13 listing.</p> <p>14 DR. BAKER:</p> <p>15 A. Yes.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Were you aware that certain types of breast</p> <p>18 tumours should be or were expected to be ER</p> <p>19 positive?</p> <p>20 DR. BAKER:</p> <p>21 A. Well, all these tumours that are listed here</p> <p>22 are invasive tumours, so the most common ones</p> <p>23 that I saw were ductal and saw the occasional</p> <p>24 mucinous. Papillary were very infrequent for</p> <p>25 me to be seeing, and tubular as well. So I</p>	<p>1 reporting of the tumour, as far as the ER/PR</p> <p>2 staining.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. And paragraph eight, in fact, goes on to talk</p> <p>5 about low nuclear grade tumours being usually</p> <p>6 positive and so on. So it did, the</p> <p>7 information contained in paragraph seven and</p> <p>8 eight, then in the future then, did play a</p> <p>9 part in your approach?</p> <p>10 DR. BAKER:</p> <p>11 A. It was extremely significant, yes, and played</p> <p>12 a part in further interpretation, yes. Some</p> <p>13 information that we didn't have previously.</p> <p>14 That I didn't have previously.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. He does conclude by saying "we are working on</p> <p>17 the remaining antibodies and hopefully all</p> <p>18 normal immunostains will resume soon" and he</p> <p>19 had said at the beginning of the memo that "I</p> <p>20 am glad to inform you, we have rectified the</p> <p>21 difficulties and can now resume regular</p> <p>22 requests for these antibody stains."</p> <p>23 DR. BAKER:</p> <p>24 A. Um-hm.</p> <p>25 COFFEY, Q.C.:</p>
Page 118	Page 120
<p>1 was of the understanding that the invasive</p> <p>2 carcinomas would tend to be ER positive, yes.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. Had you known that before this?</p> <p>5 DR. BAKER:</p> <p>6 A. Well, this is new information to me.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. New for you, okay, and in fact, it doesn't</p> <p>9 list lobular, so when--and we understand that</p> <p>10 generally lobular invasive tumours would be</p> <p>11 positive.</p> <p>12 DR. BAKER:</p> <p>13 A. Um-hm.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. When did you first become aware of that?</p> <p>16 DR. BAKER:</p> <p>17 A. Just within the past, I'd say within the past</p> <p>18 couple of years.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. And so as this was new information to you in</p> <p>21 2003, did this make any change to your</p> <p>22 practice or approach?</p> <p>23 DR. BAKER:</p> <p>24 A. Well, I was obviously--I would pay more strict</p> <p>25 attention to the type of tumour and to my</p>	<p>1 Q. So Doctor, in relation to this, and I</p> <p>2 appreciate you weren't doing or ordering the</p> <p>3 other IHC stains -</p> <p>4 DR. BAKER:</p> <p>5 A. Correct.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. - or any other IHC stains, but reflecting upon</p> <p>8 it, is it more or less likely that you would</p> <p>9 have received that April 4th memo?</p> <p>10 DR. BAKER:</p> <p>11 A. Probably more likely. I just don't remember</p> <p>12 receiving it. I know, I can remember that</p> <p>13 there was a lull or a short period of time</p> <p>14 where we couldn't send samples in. So whether</p> <p>15 the information came through the lab</p> <p>16 technologist to me or some other route, there</p> <p>17 was a period of time, I think of about four or</p> <p>18 five weeks, where we held our ER/PR requests</p> <p>19 and then we sent them in after the problems</p> <p>20 were rectified.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. Here, Doctor, in that April 4th memo, the</p> <p>23 language used, as Dr. Ejeckam uses the words,</p> <p>24 are remained, "have remained unreliable,</p> <p>25 erratic and therefore unhelpful for diagnostic</p>

Page 121

1 purposes." Do you ever recall, in 2003, being
 2 aware that at least a pathologist in St.
 3 John's, who had the authority to suspend
 4 testing, you ever remember that sort of bald
 5 and bold assertion being brought to your
 6 attention?
 7 DR. BAKER:
 8 A. No, it was never brought to my attention.
 9 COFFEY, Q.C.:
 10 Q. If it was, Doctor, do you think you'd remember
 11 it? What would it have caused you to do, if
 12 anything, that kind of an assertion?
 13 DR. BAKER:
 14 A. I would have had concerns, and I would have
 15 just abided by his request to refrain from,
 16 you know, sending things in, and waited until
 17 the problem was rectified. But other than
 18 that, that would be the only actions I would
 19 have taken.
 20 COFFEY, Q.C.:
 21 Q. At the time, and this is early April 2003, and
 22 then we have the May memo, if you had been
 23 told that, would you have had any concerns
 24 about any patients that you reported in the
 25 months before?

Page 122

1 DR. BAKER:
 2 A. No, I don't think so. I viewed it as a
 3 problem that had happened, had been
 4 discovered, and was being rectified and they
 5 were moving forward with it.
 6 COFFEY, Q.C.:
 7 Q. And Doctor, at any time in 2003, did it ever
 8 occur to you, the idea of perhaps retesting
 9 some patients?
 10 DR. BAKER:
 11 A. No, I never entertained that idea at all.
 12 COFFEY, Q.C.:
 13 Q. If we could, Doctor, looking at the May 2nd
 14 memo, and just paragraph five talks about the
 15 reporting of ER/PR and did that cause you to,
 16 in any way, change what was--or written there,
 17 change your approach?
 18 DR. BAKER:
 19 A. No. At that particular point in time, I just
 20 reported the same as I had always been
 21 reporting, with the ER positive ER negative
 22 and percentage of cells.
 23 COFFEY, Q.C.:
 24 Q. And I take it there was nothing in this that
 25 was inconsistent with that?

Page 123

1 DR. BAKER:
 2 A. No, not to my knowledge, or not to my reading
 3 of it.
 4 COFFEY, Q.C.:
 5 Q. Paragraph six, Doctor, says "all cytoplasmic
 6 staining and ER/PR immunostain are to be
 7 considered as negative." Had you been aware
 8 of that?
 9 DR. BAKER:
 10 A. No, I wasn't aware of that comment until I
 11 read it in the memo.
 12 COFFEY, Q.C.:
 13 Q. Now in the meantime, you had been looking for
 14 nuclear, nuclei staining?
 15 DR. BAKER:
 16 A. Yeah, because it had been indicated and
 17 specified in the original memo from Dr.
 18 Khalifa.
 19 COFFEY, Q.C.:
 20 Q. So how had you handled then any cytoplasmic
 21 staining in the intervening years?
 22 DR. BAKER:
 23 A. I don't ever remember seeing cytoplasmic
 24 staining, tell you the truth. I can't
 25 remember a specimen where I've saw some. It

Page 124

1 was always identified as nuclear staining.
 2 COFFEY, Q.C.:
 3 Q. Doctor, if we could go to--I appreciate you
 4 did not receive the June 19th memo. When did
 5 you--you have had, certainly over the past,
 6 recent past, had a chance to see or at least
 7 learn of the existence of this June 19th memo?
 8 DR. BAKER:
 9 A. Yeah, I was shown it, yes, just recently
 10 though.
 11 COFFEY, Q.C.:
 12 Q. And this is a memo from Dr. Ejeckam to Terry
 13 Gulliver, and it's copied to a number of other
 14 individuals, I'll just show you now, within
 15 the Health Care Corporation: Desmond Robb, at
 16 the time the chair of the discipline of
 17 laboratory medicine; Dr. Cook, the clinical
 18 chief; Dr. Parai, the site chief at the Health
 19 Sciences Centre; Mr. Dyer, the manager of
 20 histopathology at the General Hospital.
 21 Doctor, midway down that first paragraph, I'm
 22 going to read the first several words and then
 23 midway down it. "The following persistent,
 24 erratic results of immunostains in our
 25 laboratory." Doctor, would it have been of

Page 125

1 interest to you to be told that at least Dr.
 2 Ejeckam had come to the conclusion there had
 3 been persistent, erratic stains?
 4 DR. BAKER:
 5 A. Yes, it would have been useful, but I never
 6 was.
 7 COFFEY, Q.C.:
 8 Q. And the assertion that "the state of
 9 immunostain at the General Hospital,
 10 Department of Laboratory Medicine and
 11 Pathology, is still unsatisfactory," where you
 12 were utilizing it for ER/PR staining, would
 13 that have been of interest to you to know?
 14 DR. BAKER:
 15 A. For sure, yes, it would have been.
 16 COFFEY, Q.C.:
 17 Q. Paragraph six, Doctor, I apologize. Midway
 18 through that paragraph, Dr. Ejeckam writes
 19 "diagnosis based on inappropriate immunostain
 20 will surely jeopardize patient care and may
 21 even expose the Health Care Corporation of St.
 22 John's to litigation. Therefore, it will be
 23 ill advised to operate an unreliable and
 24 erratic immunohistochemical procedures in our
 25 laboratory," and the memo overall, Doctor,

Page 126

1 would it have been of interest to you at the
 2 time, as a person who was utilizing the
 3 services of that laboratory?
 4 DR. BAKER:
 5 A. Yes, I would have liked to have received it.
 6 COFFEY, Q.C.:
 7 Q. Or at least be apprised of what's in it?
 8 DR. BAKER:
 9 A. That's right, yes.
 10 COFFEY, Q.C.:
 11 Q. As a utilizer of it and as, at the time, in
 12 the mid '90s on, as the assistant CEO of your
 13 institution, do you think you should have been
 14 told this?
 15 DR. BAKER:
 16 A. I think that I should have been given some
 17 information about it, yes.
 18 COFFEY, Q.C.:
 19 Q. Doctor, after the Dr. Ejeckam memo, 2003, you
 20 made the adjustments that you described to the
 21 Commissioner, did you make any others, other
 22 than the ones you've described in your
 23 approach?
 24 DR. BAKER:
 25 A. No, I don't think so. They're the only ones,

Page 127

1 I think.
 2 COFFEY, Q.C.:
 3 Q. When did you next hear of ER/PR?
 4 DR. BAKER:
 5 A. The next communication about ER/PR was when I
 6 received a phone call from Don Cook. That was
 7 either late May, early June. He indicated to
 8 me that they were doing retesting of samples
 9 in the year 2002. That there was some issues
 10 with patients that there had been conversions
 11 and that they were requesting that all 2002
 12 specimens from our facility be sent to St.
 13 John's for retesting.
 14 COFFEY, Q.C.:
 15 Q. Okay. Now, Commissioner, I'm going to take up
 16 the narrative there, if we could do the
 17 morning break.
 18 THE COMMISSIONER:
 19 Q. Take the morning break, yes, of course.
 20 COFFEY, Q.C.:
 21 Q. Okay.
 22 THE COMMISSIONER:
 23 Q. We'll take 15 minutes.
 24 (BREAK)
 25 THE COMMISSIONER:

Page 128

1 Q. Please be seated. Mr. Coffey.
 2 COFFEY, Q.C.:
 3 Q. Thank you, Commissioner. So Doctor, resume
 4 then with your reference to your phone call
 5 from Dr. Cook, but in the meantime, between
 6 Dr. Khalifa's memo of 1998, and other than Dr.
 7 Ejeckam's May 2nd memo of 2003 and perhaps the
 8 April 4th one, you can't recall whether you
 9 saw that or not, but other than that, were you
 10 ever at all alerted to any concern involving
 11 ER/PR stains?
 12 DR. BAKER:
 13 A. No.
 14 COFFEY, Q.C.:
 15 Q. That if there were any concerns internally
 16 within St. John's about it, other than Dr.
 17 Ejeckam's memos -
 18 DR. BAKER:
 19 A. I wasn't aware of it.
 20 COFFEY, Q.C.:
 21 Q. - weren't aware of it. Doctor, if we could,
 22 you get a phone call from Dr. Cook, and did
 23 you know Dr. Cook personally?
 24 DR. BAKER:
 25 A. Yes, I did.

Page 129	Page 131
<p>1 COFFEY, Q.C.:</p> <p>2 Q. And how long have you known Dr. Cook?</p> <p>3 DR. BAKER:</p> <p>4 A. I've known Dr. Cook since residency training</p> <p>5 back in the late 70s, early 80s.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. You would have been contemporaries really?</p> <p>8 DR. BAKER:</p> <p>9 A. Yes, he was about a year or two behind in the</p> <p>10 program.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. And what did Dr. Cook tell you about it, if</p> <p>13 anything, about how he'd become aware of this?</p> <p>14 I'm just trying to get some sense of -</p> <p>15 DR. BAKER:</p> <p>16 A. The only comments that he made to me when I</p> <p>17 had the conversation with him that morning was</p> <p>18 that there had been some--he used the word</p> <p>19 conversions of patients from the year 2002</p> <p>20 that had converted from negative to positive,</p> <p>21 and they had identified several cases, and</p> <p>22 that they wanted to do further retesting on</p> <p>23 that particular year, and they were extending</p> <p>24 the--as well as their own samples in St.</p> <p>25 John's, they were extending the retesting to</p>	<p>1 and get together the samples as soon as</p> <p>2 possible, and send them in to you" and that</p> <p>3 was basically it.</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. And the idea that--if you're being asked</p> <p>6 outside St. John's to send material to St.</p> <p>7 John's for retesting, did it occur to you that</p> <p>8 some of your own cases might end up being</p> <p>9 conversion--might end up converting upon</p> <p>10 retesting?</p> <p>11 DR. BAKER:</p> <p>12 A. That crossed my mind, yes.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. Crossed your mind at the time?</p> <p>15 DR. BAKER:</p> <p>16 A. Yes.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. Doctor, at the time, did you and Dr. Cook</p> <p>19 discuss the ramifications for patients of a</p> <p>20 conversion?</p> <p>21 DR. BAKER:</p> <p>22 A. No, there was no detail in the conversation to</p> <p>23 that.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. And from your perspective at the time, you</p>
<p>Page 130</p> <p>1 all areas outside St. John's, and that he</p> <p>2 requested that I collect together all the</p> <p>3 specimens that we had referred in for that</p> <p>4 period, that year 2002, to them for staining</p> <p>5 and resubmit them to them for retesting.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. And did he indicate to you at the time, the</p> <p>8 conversions that had occurred, where they had</p> <p>9 originated?</p> <p>10 DR. BAKER:</p> <p>11 A. No, he didn't. No, he wasn't specific like</p> <p>12 that.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. Did he indicate to you at the time as to how</p> <p>15 they'd come to do any retesting at all?</p> <p>16 DR. BAKER:</p> <p>17 A. No, he didn't. It was a very brief</p> <p>18 conversation, matter of a couple of minutes</p> <p>19 and that was it.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. Your reaction at the time, did you ask him any</p> <p>22 questions?</p> <p>23 DR. BAKER:</p> <p>24 A. No, nothing specific. My reaction was that</p> <p>25 "yes, we will, you know, abide by the request</p>	<p>Page 132</p> <p>1 being told about conversions from negative to</p> <p>2 positive, what did you understand, if</p> <p>3 anything, that that might mean for individual</p> <p>4 patients?</p> <p>5 DR. BAKER:</p> <p>6 A. That the patients who originally termed as</p> <p>7 being negative and had converted to positive</p> <p>8 status, they would be eligible for Tamoxifen.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. And what -</p> <p>11 DR. BAKER:</p> <p>12 A. Or actually be considered for Tamoxifen.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. Considered for it, yes, and or perhaps another</p> <p>15 hormone therapy?</p> <p>16 DR. BAKER:</p> <p>17 A. Sure, yes.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. 2002, you indicated that was the year in</p> <p>20 particular he had in mind?</p> <p>21 DR. BAKER:</p> <p>22 A. Yes.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. Was there any discussion about why 2002?</p> <p>25 DR. BAKER:</p>

Page 133

1 A. No. The initial part of the conversation was
 2 that they just had identified a couple, a few,
 3 a couple, he didn't specify numbers, of
 4 specimens that had converted and that they
 5 were doing the study to determine if there was
 6 any problem in that year, any more potential
 7 conversions in that particular year.
 8 COFFEY, Q.C.:
 9 Q. Doctor, had you ever gotten any similar call
 10 from Dr. Cook about any kind of similar sort
 11 of retesting for any type of specimens before?
 12 DR. BAKER:
 13 A. No, never.
 14 COFFEY, Q.C.:
 15 Q. So this would be--in your world, this would be
 16 an unusual occurrence?
 17 DR. BAKER:
 18 A. Yes, it was the first.
 19 COFFEY, Q.C.:
 20 Q. Now you've told the Commissioner earlier today
 21 that the only request for retesting from
 22 attending physicians, oncologists, surgeons or
 23 whatever over the years, that you can recall
 24 for ER/PR occurred around this time, you know,
 25 around 2005?

Page 134

1 DR. BAKER:
 2 A. Yes.
 3 COFFEY, Q.C.:
 4 Q. Was that before Dr. Cook called you?
 5 DR. BAKER:
 6 A. My recollection is that it was. As I said,
 7 there was only, I think, probably one or two
 8 and reflecting on it now, I did indicate
 9 earlier in my testimony that I think they went
 10 to Mount Sinai, but I think they were retested
 11 in town.
 12 COFFEY, Q.C.:
 13 Q. At that point, it would be St. John's.
 14 DR. BAKER:
 15 A. Yes.
 16 COFFEY, Q.C.:
 17 Q. You understood from the conversation with Dr.
 18 Cook certainly that the retesting was going to
 19 occur in St. John's initially?
 20 DR. BAKER:
 21 A. No, that -
 22 COFFEY, Q.C.:
 23 Q. Or did you have any understanding about where
 24 it would be?
 25 DR. BAKER:

Page 135

1 A. No, I just had the understanding that they
 2 were going to be retested. I didn't know
 3 where.
 4 COFFEY, Q.C.:
 5 Q. And you believe it was--you told the
 6 Commissioner, it was probably Dr. McCarthy who
 7 had called you about ordering -
 8 DR. BAKER:
 9 A. I think it was Dr. McCarthy and/or it could
 10 have been Dr. Laing as well, or at times, in
 11 these types of situations where there may have
 12 been a request come through, there would have
 13 been sometimes a fax come through with a
 14 patient's name, the surgical number and the
 15 attending oncologist signed signature and
 16 saying "would you please repeat this test,
 17 this ER/PR test."
 18 COFFEY, Q.C.:
 19 Q. And so was there a phone conversation and a
 20 fax, a follow-up fax?
 21 DR. BAKER:
 22 A. With the oncologist?
 23 COFFEY, Q.C.:
 24 Q. Yes.
 25 DR. BAKER:

Page 136

1 A. No. It would have been one or the other.
 2 COFFEY, Q.C.:
 3 Q. Do you know, are you able, without naming the
 4 patient, are you able to recall who the
 5 patient was?
 6 DR. BAKER:
 7 A. No, I don't recall offhand.
 8 COFFEY, Q.C.:
 9 Q. Would that be able to be ascertained? I mean,
 10 did you order the retest?
 11 DR. BAKER:
 12 A. Yes, we ordered the retest. It could be in
 13 the records, yeah.
 14 COFFEY, Q.C.:
 15 Q. Okay. And if it's possible, could you
 16 ascertain who that was and pass that on to Mr.
 17 Browne?
 18 DR. BAKER:
 19 A. Sure, yeah.
 20 COFFEY, Q.C.:
 21 Q. And this would have been, again, an unusual
 22 event?
 23 DR. BAKER:
 24 A. Um-hm.
 25 COFFEY, Q.C.:

Page 137

1 Q. The explanation you were offered as to why it
 2 was necessary or thought necessary was what?
 3 DR. BAKER:
 4 A. If it was a phone conversation, it may have
 5 been given some brief explanation that the
 6 patient wasn't--the patient wasn't responding
 7 appropriately or that the patient--the type of
 8 tumour that the patient had, I just wanted to
 9 have a repeat of the ER/PR to see what the
 10 status was, if anything had changed. A very
 11 simple conversation.
 12 COFFEY, Q.C.:
 13 Q. If we could look, please, at Exhibit P-2525?
 14 Doctor, this is a copy of a memo of June 14th,
 15 2005 to all laboratory directors. You're
 16 second on the list there.
 17 DR. BAKER:
 18 A. Um-hm.
 19 COFFEY, Q.C.:
 20 Q. And it's from Dr. Cook. And I take it you
 21 would have received a copy of this?
 22 DR. BAKER:
 23 A. Yes, I did.
 24 COFFEY, Q.C.:
 25 Q. And this would have been following your

Page 138

1 conversation with Dr. Cook?
 2 DR. BAKER:
 3 A. Yes.
 4 COFFEY, Q.C.:
 5 Q. He had, in effect, given you a phone call or a
 6 heads up?
 7 DR. BAKER:
 8 A. Yeah. I think it was probably early June and
 9 this followed, you know, a week, ten days
 10 afterwards.
 11 COFFEY, Q.C.:
 12 Q. Doctor, reading this, having read this, what
 13 was your impression of the nature of the
 14 problem, if there was a problem, what the
 15 nature of it was?
 16 DR. BAKER:
 17 A. I tended to view it as a technical problem
 18 with--because he references the newer Ventana
 19 benchmark system and I--in some of the
 20 retesting that was done, so I just tended to
 21 view it as a technical problem with their
 22 systems, with their staining systems.
 23 COFFEY, Q.C.:
 24 Q. He doesn't here specify how many. He says,
 25 refer to "a number of negative that have

Page 139

1 converted."
 2 DR. BAKER:
 3 A. Um-hm.
 4 COFFEY, Q.C.:
 5 Q. And then the first two lines, converted on
 6 repeat. And then the fourth lines says, "Most
 7 of these false negatives have occurred during
 8 the year 2002."
 9 DR. BAKER:
 10 A. Um-hm.
 11 COFFEY, Q.C.:
 12 Q. Suggesting, perhaps, that there were false
 13 negatives in other year--one or more in a year
 14 other than 2002. At the time you received
 15 this, by mid June, 2005, did you have any
 16 understanding or did you take any
 17 understanding from this as to the numbers that
 18 might be involved?
 19 DR. BAKER:
 20 A. No, no, I didn't.
 21 COFFEY, Q.C.:
 22 Q. He says, "We are in the process of retesting
 23 all negative ERs and PRs for that particular
 24 year."
 25 DR. BAKER:

Page 140

1 A. Um-hm.
 2 COFFEY, Q.C.:
 3 Q. "Ask you to forward all negative ER and PR
 4 cases for 2002 to Mr. Dyer."
 5 DR. BAKER:
 6 A. In my own particular case it would have been a
 7 small number.
 8 COFFEY, Q.C.:
 9 Q. Sure, I appreciate that, because -
 10 DR. BAKER:
 11 A. But I wouldn't have known the numbers across
 12 the island.
 13 COFFEY, Q.C.:
 14 Q. But in your case it would be, if there were 20
 15 breast cancer cases in 2002 in Carbonear, then
 16 whatever number of those were negative?
 17 DR. BAKER:
 18 A. Yes.
 19 COFFEY, Q.C.:
 20 Q. It would only involve that number?
 21 DR. BAKER:
 22 A. Correct.
 23 COFFEY, Q.C.:
 24 Q. On that point, Doctor, you took from this
 25 which cases were to be identified and sent?

Page 141

1 DR. BAKER:
 2 A. The negative ER/PR.
 3 COFFEY, Q.C.:
 4 Q. Was that ER negative or PR negative or cases
 5 that were both ER and PR negative?
 6 DR. BAKER:
 7 A. All negative ER and negative PR.
 8 COFFEY, Q.C.:
 9 Q. So if they were negative in either?
 10 DR. BAKER:
 11 A. Category.
 12 COFFEY, Q.C.:
 13 Q. Either category or both categories?
 14 DR. BAKER:
 15 A. Yes.
 16 COFFEY, Q.C.:
 17 Q. You were to identify and send them?
 18 DR. BAKER:
 19 A. Yes.
 20 COFFEY, Q.C.:
 21 Q. As well, and with them was to accompany, he's
 22 got there, the H & E slides, the blocks and -
 23 DR. BAKER:
 24 A. Correct.
 25 COFFEY, Q.C.:

Page 142

1 Q. - the original ER/PR slides, including
 2 controls?
 3 DR. BAKER:
 4 A. Yes.
 5 COFFEY, Q.C.:
 6 Q. Having received this, Doctor, I'll ask you,
 7 between the phone call and the memo did you
 8 take any steps after the phone call but before
 9 you got the memo to do anything?
 10 DR. BAKER:
 11 A. I gave instruction to my staff within my own
 12 section verbally to extract these paraffin
 13 blocks and slides--well, first of all we had
 14 to go through the system to identify them.
 15 COFFEY, Q.C.:
 16 Q. Um-hm.
 17 DR. BAKER:
 18 A. Our system was a manual system.
 19 COFFEY, Q.C.:
 20 Q. In 2002, I take it?
 21 DR. BAKER:
 22 A. 2002. We didn't get into an electronic system
 23 until around 2004. So in effect, right from
 24 up until that time we would have to go back
 25 and go through hundreds of reports in a manual

Page 143

1 system searching for the breast carcinomas.
 2 COFFEY, Q.C.:
 3 Q. So how was that approached then, Doctor,
 4 perhaps you could tell the Commissioner,
 5 initially for 2002 and then as it expanded,
 6 what approach was taken?
 7 DR. BAKER:
 8 A. Well, our reports were filed in large binders,
 9 okay, they were filed by year. And we do
 10 approximately 2500 specimens a year, total of
 11 everything, so they would have been filed by
 12 year. So it would have necessitated going
 13 through a larger number of files on a yearly
 14 basis to look and identify for, first of all,
 15 the breast biopsies, whether they were--and
 16 identify whether they were benign or malignant
 17 and then separate out the malignant ones and
 18 identify whether they were ER/PR negative or
 19 positive and separating them that way.
 20 COFFEY, Q.C.:
 21 Q. And who was tasked in your organization with
 22 actually doing the actual -
 23 DR. BAKER:
 24 A. Well, it would have been a combination of both
 25 the technologist in my area and also my

Page 144

1 secretary.
 2 COFFEY, Q.C.:
 3 Q. And in terms of like identifying negative ER
 4 and negative PR, at least in the initial
 5 stages, they would have--you gave them to
 6 understand what did negative mean?
 7 DR. BAKER:
 8 A. Negative as being negative on the report.
 9 COFFEY, Q.C.:
 10 Q. If the word "negative" was on the report?
 11 DR. BAKER:
 12 A. Yes.
 13 COFFEY, Q.C.:
 14 Q. For ER or PR or both, they were to give you
 15 the report?
 16 DR. BAKER:
 17 A. The report. Well, they wouldn't give it to
 18 me, they would select them out, put them to
 19 one side and then the technologist would go
 20 retrieve the slides and the blocks. Because
 21 if the slides and the blocks were previous
 22 years, we only retain on site blocks of slides
 23 for about a year, all the rest beyond that
 24 time, going back many, many years, are
 25 retained off site in a warehouse area.

Page 145

1 COFFEY, Q.C.:

2 Q. And, Doctor, with Dr. Cook's phone call and

3 then this memo in mid June, did you get any

4 sense at the time of whether or not there was

5 any urgency associated with this?

6 DR. BAKER:

7 A. I don't remember him expressing any urgency.

8 He would just--he just asked us to get them

9 all together, to get them in, you know, as

10 soon as possible, I suppose may have been the

11 words he used, but, you know, he didn't say it

12 was extremely urgent that he get them in, you

13 know, within a time frame.

14 COFFEY, Q.C.:

15 Q. And then what--you tasked the people in your

16 organization with doing this?

17 DR. BAKER:

18 A. Yes.

19 COFFEY, Q.C.:

20 Q. And how was it then--how did it progress?

21 DR. BAKER:

22 A. Well, when we got all the blocks and slides

23 together and the reports for the blocks and

24 slides, that corresponded, we packaged them up

25 and sent them on in to Mr. Barry Dyer.

Page 146

1 COFFEY, Q.C.:

2 Q. And that was for the year 2002?

3 DR. BAKER:

4 A. Correct.

5 COFFEY, Q.C.:

6 Q. Do you recall when it was that they were sent?

7 DR. BAKER:

8 A. The specific date I can't give you, but the

9 month would probably be July of 2005.

10 COFFEY, Q.C.:

11 Q. What then happened, Doctor, in terms of this?

12 What did you next hear?

13 DR. BAKER:

14 A. There was another telephone call that came,

15 again, the time frame was probably in July,

16 maybe before the specimens had been sent in,

17 there was another phone call came from Dr.

18 Cook saying that they were expanding the

19 retesting to encompass other years. He gave

20 me a verbal on the years that he was expanding

21 to, from May of '97 to April, May of 2005, I

22 think it was, four.

23 COFFEY, Q.C.:

24 Q. Four?

25 DR. BAKER:

Page 147

1 A. Sorry. And that he would like for us to

2 retrieve all the slides in a similar manner

3 and the reports, all the blocks and slides and

4 send them in as soon as possible.

5 COFFEY, Q.C.:

6 Q. Did he indicate at that time the basis for

7 choosing that time period?

8 DR. BAKER:

9 A. No, he didn't. He said we expanded the time--

10 the scope of retesting and we wanted to retest

11 a larger quantity of specimens.

12 COFFEY, Q.C.:

13 Q. And what was your reaction at the time?

14 DR. BAKER:

15 A. It seems that we have a problem.

16 COFFEY, Q.C.:

17 Q. Okay. And why did you come to that

18 conclusion?

19 DR. BAKER:

20 A. Because the scope of the retesting had

21 expanded, it had encompassed more people and

22 they obviously had identified a problem that

23 they wanted to find out the depth of.

24 COFFEY, Q.C.:

25 Q. Did Dr. Cook tell you anything, anything

Page 148

1 further at that time about what, if anything,

2 they had discovered up to that point?

3 DR. BAKER:

4 A. No, because they were in the very preliminary

5 stages of retesting' they were just in the

6 collection phase. And to my knowledge even

7 the first ones that we were ready to send in,

8 I don't think they had arrived to them at that

9 point in time, at the time of the second phone

10 call. So there was nothing that he relayed to

11 me that gave me an indication of what the

12 actual problem was or what stage they were at

13 in investigating any potential problems.

14 COFFEY, Q.C.:

15 Q. Doctor, what then, what's your next memory

16 then of what happened? This phone call would

17 have been sometime in July?

18 DR. BAKER:

19 A. Sometime in July.

20 COFFEY, Q.C.:

21 Q. 2005?

22 DR. BAKER:

23 A. Yeah, well, the same process took place with

24 the collection. We were in the middle of

25 summer then, too, there was, I think, probably

Page 149	Page 151
<p>1 different people in the lab at the time, 2 people on vacation and we were--we went and 3 gathered the reports in a similar fashion, 4 going through the files. It was a manual 5 process, it was labour intensive. We weren't 6 electronic, we didn't have electronic files 7 and so on. And we identified the ones that we 8 felt were the appropriate ones and we drew out 9 the blocks and slides. And I think that they 10 went in in a couple of different batches 11 because as we collected them, we tried to 12 group them in a couple of different batches to 13 send them in. There was some minor problem 14 with some of the specimens that we were 15 required to send in in that some of them had 16 been sent out previously to a research centre 17 her in St. John's. At times we are requested 18 to send blocks and slides in for research 19 purposes to research labs here in St. John's. 20 And we had just prior to Don's request sent in 21 a significant number of blocks to a research 22 laboratory here in St. John's of breast tissue 23 and some of them corresponded to the ones that 24 were identified as having to be retested. So 25 they were probably the last ones to go in</p>	<p>1 batches. And I didn't hear anything over the 2 course of the fall. 3 COFFEY, Q.C.: 4 Q. Okay. I'm going to be referring to some 5 documents, too, in regard to this. If I 6 could, Exhibit P-0534? Doctor, this is a memo 7 to all pathologists in Eastern Health and 8 other lab directors, including yourself, 9 you're up there. 10 DR. BAKER: 11 A. Um-hm, yes. 12 COFFEY, Q.C.: 13 Q. And it's from Dr. Cook as the clinical chief 14 of the lab medicine program, Eastern Health. 15 And it's about, the memo concerns HER2/neu, as 16 you can see. 17 DR. BAKER: 18 A. Correct. 19 COFFEY, Q.C.: 20 Q. July 20th, 2005. But he concludes by saying, 21 "As a reminder when choosing blocks to send 22 for both hormone receptor testing and HER2/neu 23 testing, please select a section that contains 24 both tumour and normal or benign epithelium. 25 The normal and/or benign epithelium acts as an</p>
<p>1 because we had to request on several occasions 2 to get them back from the research centre 3 where they were doing--they wanted to finish 4 off their work and they just asked us for a 5 little bit more time, so we allowed them. We 6 told them the reason why we wanted them back, 7 but we allowed them to do the work that was 8 necessary on them. And they forwarded on back 9 to us, but they were included in a batch that 10 probably went in, was one of the last batches, 11 which was probably in October, late September, 12 early October. 13 COFFEY, Q.C.: 14 Q. And again, in relation to the July phone call 15 or conversation with Dr. Cook, was again there 16 any sense of urgency communicated to you? 17 DR. BAKER: 18 A. Just to get them together as soon as possible 19 and get them in to me. We'd like to get the 20 retesting done as soon as possible. 21 COFFEY, Q.C.: 22 Q. Did you have--okay, what then happened? 23 DR. BAKER: 24 A. That was pretty well it. We got everything 25 together and sent it in, as I said, in several</p>	<p>1 internal control for immunohistochemical 2 staining. If you have any questions, please 3 call Dr. Beverley Carter." Do you recall 4 receiving this? 5 DR. BAKER: 6 A. Yes, I think I did, yes. 7 COFFEY, Q.C.: 8 Q. Now, the idea of utilizing normal or benign 9 epithelium as well as tumour in the block 10 selection for even with the hormone receptor 11 testing would be ER/PR? 12 DR. BAKER: 13 A. Um-hm. 14 COFFEY, Q.C.: 15 Q. I take it that at this point in time this 16 wasn't new to you? 17 DR. BAKER: 18 A. It wasn't new, no, because it was reflected in 19 the memo from Dr. Ejeckam. 20 COFFEY, Q.C.: 21 Q. Ejeckam. Did you know who Dr. Beverley Carter 22 was? 23 DR. BAKER: 24 A. Yes, I knew she was a breast pathologist in 25 St. John's. I hadn't met her at that point in</p>

Page 153

1 time or even had a conversation with her, but
 2 I got to know her over the course of the next
 3 year or so in the form of telephone
 4 conversations mainly, with consultations that
 5 I sent in to her.
 6 COFFEY, Q.C.:
 7 Q. Exhibit P-0581? Doctor, these are handwritten
 8 notes of Dr. Cook, okay.
 9 DR. BAKER:
 10 A. Yes.
 11 COFFEY, Q.C.:
 12 Q. Just identify them for you. But the second
 13 note here says "Spoke to Gary Baker August 24,
 14 2005, 11:05 a.m. Advised him to send current
 15 ER and PRs directly to Mount Sinai as opposed
 16 to sending cases to St. John's. Send copy of
 17 control"--I'm sorry, "of contact individual at
 18 Mount Sinai--sent copy of contact individual
 19 at Mount Sinai." I was going to ask you about
 20 this. The current cases for ER/PR, when did
 21 you first become aware that St. John's was not
 22 going to be doing them? Would this be -
 23 DR. BAKER:
 24 A. That was around the time that I learned that
 25 they had suspended doing them. I think it was

Page 154

1 around July, August of that year.
 2 COFFEY, Q.C.:
 3 Q. And having been told, do you recall the phone
 4 call with Dr. Cook, him telling you, look,
 5 we're not going to be doing them in the
 6 future, you might want to -
 7 DR. BAKER:
 8 A. Yes, I do. And -
 9 COFFEY, Q.C.:
 10 Q. Deal with Mount Sinai?
 11 DR. BAKER:
 12 A. And he indicated that they would be sent from
 13 that point on to Mount Sinai, yes.
 14 COFFEY, Q.C.:
 15 Q. And what did you do in that regard then
 16 yourself?
 17 DR. BAKER:
 18 A. Any subsequent cases that came up in the
 19 subsequent week or months and so on, I just
 20 forwarded them on, after getting the contact
 21 information, I forwarded them on to Mount
 22 Sinai.
 23 COFFEY, Q.C.:
 24 Q. And what was your experience then with respect
 25 to--I take it Mount Sinai was doing ER/PR and

Page 155

1 HER2/neu?
 2 DR. BAKER:
 3 A. Correct, yes.
 4 COFFEY, Q.C.:
 5 Q. What has been your experience in that regard?
 6 DR. BAKER:
 7 A. Excellent, the response has been good, the
 8 turn-around time is good, the reports are
 9 excellent.
 10 THE COMMISSIONER:
 11 Q. Dr. Baker, what would be the difference in the
 12 turn-around time in sending them as far as
 13 Mount Sinai and the turn-around time in
 14 sending them as far as St. John's?
 15 DR. BAKER:
 16 A. In that respect, Commissioner, I found it very
 17 similar, actually. In St. John's over the
 18 years there was a week to ten day turn-around
 19 time, most times, for myself. And in Mount
 20 Sinai it would be very similar, at the maximum
 21 it would be two weeks. And we would always
 22 get a faxed report first from Mount Sinai's
 23 labs to our fax machine in pathology and that
 24 would be followed up by an actual, an
 25 electronically produced copy of the report, as

Page 156

1 well, and that may not come for another week
 2 later.
 3 COFFEY, Q.C.:
 4 Q. Thank you. Exhibit P-1778? Doctor, while I'm
 5 on the topic of dealing with Mount Sinai, this
 6 is a letter of September 26th, 2005, it's to
 7 yourself, it's from Dr. Brendan Mullen, copied
 8 to Dr. Cook.
 9 DR. BAKER:
 10 A. Yes.
 11 COFFEY, Q.C.:
 12 Q. I take it that this is a letter setting up the
 13 process that you've utilized since with Mount
 14 Sinai?
 15 DR. BAKER:
 16 A. Correct, yes.
 17 COFFEY, Q.C.:
 18 Q. Okay.
 19 DR. BAKER:
 20 A. We devised our own form just as a standard
 21 format to request ER/PR, ER/PR and HER2/neu
 22 that would require my signature when they were
 23 sent off and basically it would contain the
 24 information on the patient and so on.
 25 COFFEY, Q.C.:

Page 157

1 Q. Now, Doctor, if I could, please, Commissioner,
 2 bring up Exhibit, please, P-0590? Doctor,
 3 this is a memo again to--from Dr. Cook. It's
 4 dated September 6th, 2005, Dr. Cook, again to
 5 a number of physicians, including yourself.
 6 Subject is "Estrogen and Progesterone
 7 Receptors, ERs and PRs." And did you receive
 8 a copy of this?
 9 DR. BAKER:
 10 A. I did, yes.
 11 COFFEY, Q.C.:
 12 Q. Indicates, begins, "I wish to advise you we
 13 are doing a review of our estrogen and
 14 progesterone receptors. I expect to have more
 15 information within the next few weeks and will
 16 keep you updated. Please note the following
 17 points." And then there are a number of
 18 bullets. And here, Doctor, it says, "Further
 19 to my memo dated June 13th, 2005," in fact,
 20 the memo we just looked at awhile back, it's
 21 June 14th. "I am requesting you forward all
 22 ER negative cases on primary breast lesions
 23 independent of PR status from May, 1997 to
 24 March 31, 2004 to Barry Dyer at the General
 25 Hospital site."

Page 158

1 DR. BAKER:
 2 A. Um-hm.
 3 COFFEY, Q.C.:
 4 Q. Now, Doctor, here it indicates independent of
 5 PR status, okay. So did that make any
 6 difference in your approach?
 7 DR. BAKER:
 8 A. No, no. I just relayed the information to my
 9 people who did the collection and they went
 10 ahead and selected these from the files.
 11 COFFEY, Q.C.:
 12 Q. So and as--and why I ask that is this, is when
 13 you first--you got the memo, you had the
 14 conversation back in late May, early June with
 15 Dr. Cook?
 16 DR. BAKER:
 17 A. Um-hm.
 18 COFFEY, Q.C.:
 19 Q. And then you got that June 14th memo?
 20 DR. BAKER:
 21 A. Yes.
 22 COFFEY, Q.C.:
 23 Q. You've indicated to the Commissioner that in
 24 the first pass through in collecting the 2002
 25 cases if a case was ER positive but PR

Page 159

1 negative, it still fell into the category, it
 2 should be sent to St. John's?
 3 DR. BAKER:
 4 A. Correct, yes.
 5 COFFEY, Q.C.:
 6 Q. That was your approach?
 7 DR. BAKER:
 8 A. That was my understanding of the memo.
 9 COFFEY, Q.C.:
 10 Q. But under this approach those sorts of cases
 11 would not be sent to St. John's, would they?
 12 DR. BAKER:
 13 A. No, correct.
 14 COFFEY, Q.C.:
 15 Q. So do you recall if that made any -
 16 DR. BAKER:
 17 A. I didn't, I don't remember any specific thing
 18 that--I don't, no.
 19 COFFEY, Q.C.:
 20 Q. Any adjustment in that regard. In any case, I
 21 take it that by the time you got the September
 22 6th memo anything that was--anything ER
 23 negative was to go in to St. John's?
 24 DR. BAKER:
 25 A. Yes. And they were all--by this time I was--

Page 160

1 by the time I received this memo a large
 2 proportion of ours had already been collected
 3 and some of them had already gone.
 4 COFFEY, Q.C.:
 5 Q. Here, Doctor, in the second bullet, it says,
 6 "From January 1, 2001 ER negative is defined
 7 as 10 percent or less."
 8 DR. BAKER:
 9 A. Um-hm.
 10 COFFEY, Q.C.:
 11 Q. "From May, 1997 to December, 2000 ER negative
 12 is defined as 30 percent or less."
 13 DR. BAKER:
 14 A. Um-hm.
 15 COFFEY, Q.C.:
 16 Q. And again, looking back at the June situation,
 17 June of 2005, you had been defining yourself,
 18 dealing with your staff, as negative if the
 19 word "negative" is there, it's to go in?
 20 DR. BAKER:
 21 A. Yes.
 22 COFFEY, Q.C.:
 23 Q. But your practice had been in dictating, you
 24 would describe everything as positive that was
 25 one percent or more?

Page 161	Page 163
<p>1 DR. BAKER: 2 A. Correct. 3 COFFEY, Q.C.: 4 Q. The word "negative" wouldn't be there? 5 DR. BAKER: 6 A. Yes. 7 COFFEY, Q.C.: 8 Q. So what, if anything, in the approach taken in 9 Carbonear, what if anything was the effect of 10 this definition of negative? 11 DR. BAKER: 12 A. I can recollect relaying the information and I 13 just assumed that things had been retrieved 14 appropriate to the memo. 15 COFFEY, Q.C.: 16 Q. Okay. Following this memo? 17 DR. BAKER: 18 A. Yes. 19 COFFEY, Q.C.: 20 Q. If something in the meantime had been 21 collected for a particular year, I'll just 22 pick a year, 2001, for example, or - 23 DR. BAKER: 24 A. Um-hm. 25 COFFEY, Q.C.:</p>	<p>1 Q. And your practice was if it was zero, it was 2 negative, you'd use the word "negative" and 3 your staff would be looking for the word 4 "negative"? 5 DR. BAKER: 6 A. Yes. 7 COFFEY, Q.C.: 8 Q. After this memo came in September 6th, 2005, I 9 take it in the intervening time frame had any 10 effort been made to collect these '97 through 11 2004, between July and September now? 12 DR. BAKER: 13 A. Before the time I received this memo? 14 COFFEY, Q.C.: 15 Q. Yeah. 16 DR. BAKER: 17 A. Yes, they had been. Yeah, there was 18 collection process ongoing. 19 COFFEY, Q.C.: 20 Q. Yeah. 21 DR. BAKER: 22 A. Because we had to go through a large number of 23 files and so on to retrieve reports and so on. 24 COFFEY, Q.C.: 25 Q. And utilizing your own definition of negative,</p>
Page 162	Page 164
<p>1 Q. And certainly 2002? 2 DR. BAKER: 3 A. Um-hm. 4 COFFEY, Q.C.: 5 Q. They had already been collected and sent to 6 St. John's? 7 DR. BAKER: 8 A. Yes. 9 COFFEY, Q.C.: 10 Q. If the word "negative" did not appear in the 11 report, it wouldn't go to St. John's? 12 DR. BAKER: 13 A. Well, now that you mention it, yeah, the 14 potential is there, yes. 15 COFFEY, Q.C.: 16 Q. Because your staff would have understood in 17 June and that's what you were asked to do? 18 DR. BAKER: 19 A. Um-hm. 20 COFFEY, Q.C.: 21 Q. Because negative is not defined in June, it 22 just says negative? 23 DR. BAKER: 24 A. Um-hm. 25 COFFEY, Q.C.:</p>	<p>1 the way you used it in the report? 2 DR. BAKER: 3 A. Um-hm. 4 COFFEY, Q.C.: 5 Q. So that do you know after this memo came out, 6 because you see where I'm going with this? 7 DR. BAKER: 8 A. Yes. 9 COFFEY, Q.C.: 10 Q. What I'm concentrating on here is is that your 11 own--you weren't given to understand back in 12 July we're using 30 and 10 as cut offs? 13 DR. BAKER: 14 A. No, not in that particular memo, no, no. 15 COFFEY, Q.C.: 16 Q. No. Having gotten the 30/10 cut off memo, 17 which is what this is, do you know if in 18 Carbonear there was any reassessment of how 19 we've approached this? 20 DR. BAKER: 21 A. Not to my knowledge, no. 22 COFFEY, Q.C.: 23 Q. So whatever had already been collected to be 24 sent to St. John's for a particular year had 25 already gone and there was no re, at that</p>

Page 165

1 point, reassessment or reexamination?
 2 DR. BAKER:
 3 A. No, not to my recollection.
 4 COFFEY, Q.C.:
 5 Q. Doctor, at the time in September of 2005 when
 6 you got this memo, the idea of this cutoff or
 7 utilizing this cutoff, of these two cutoffs as
 8 the definition of negative, did that actually
 9 come to your attention at the time, were you
 10 actually aware of the -
 11 DR. BAKER:
 12 A. Before this memo?
 13 COFFEY, Q.C.:
 14 Q. Yes. Now, before the memo I take it you
 15 wouldn't have even heard of this?
 16 DR. BAKER:
 17 A. No.
 18 COFFEY, Q.C.:
 19 Q. After you got the memo, though, is what I'm
 20 asking about?
 21 DR. BAKER:
 22 A. No, I wasn't, I wasn't aware. No, I wasn't
 23 aware, I wasn't aware that the parameters of
 24 the definition of negative and positive had
 25 changed in St. John's.

Page 166

1 COFFEY, Q.C.:
 2 Q. And they were asking you to actually change or
 3 adjuster your search pattern, your stat search
 4 pattern?
 5 DR. BAKER:
 6 A. Yes. In this memo they were, yes.
 7 COFFEY, Q.C.:
 8 Q. But what I'm getting at is this second bullet
 9 didn't jump out to you at all?
 10 DR. BAKER:
 11 A. No, it didn't.
 12 COFFEY, Q.C.:
 13 Q. Did you pass the memo itself on to your staff?
 14 DR. BAKER:
 15 A. No, I don't remember doing that. It was in a
 16 verbal form.
 17 COFFEY, Q.C.:
 18 Q. Do you know if you told your staff about the
 19 10 and 30 percent cutoffs?
 20 DR. BAKER:
 21 A. My recollection is that I did, but I can't be
 22 100 percent certain.
 23 COFFEY, Q.C.:
 24 Q. Do you know if they used the 10 and 30 percent
 25 cutoff in their own search, are you aware

Page 167

1 today?
 2 DR. BAKER:
 3 A. Am I aware today if they used it? Well, in
 4 subsequent--we'll be coming to it, I'm sure.
 5 COFFEY, Q.C.:
 6 Q. Yes.
 7 DR. BAKER:
 8 A. In subsequent review of reports further down
 9 the road, actually, a couple of years down the
 10 road I did pick up some samples that were
 11 missed.
 12 COFFEY, Q.C.:
 13 Q. Okay. And the third bullet here requests
 14 pathology report, original ER/PR slides,
 15 controls, H & E slide and paraffin block,
 16 that's very--that's, in fact, identical -
 17 DR. BAKER:
 18 A. Standard, yes.
 19 COFFEY, Q.C.:
 20 Q. Had happened before. And, Doctor, the
 21 reference here in the fourth bullet, "All ER
 22 and PR performed on the Ventana System from
 23 April 1, 2004, to August 9, 2005, will be
 24 referred to Mount Sinai for retesting".
 25 DR. BAKER:

Page 168

1 A. Uh-hm.
 2 COFFEY, Q.C.:
 3 Q. "You can also forward these cases to Barry
 4 Dyer".
 5 DR. BAKER:
 6 A. Uh-hm.
 7 COFFEY, Q.C.:
 8 Q. What, if anything, did you understand about
 9 that, or the idea, first of all, that they
 10 were going to retest the current--I'm sorry,
 11 the Ventana tested cases? When did you first
 12 become aware that that was going to happen?
 13 DR. BAKER:
 14 A. That was also mentioned in a conversation with
 15 Don Cook too, I think as well, back when--in
 16 his second call to me, that they were going to
 17 do some retesting of some of the ER/PR tests
 18 that went on the Ventana System during that
 19 period of time, and that would you kindly send
 20 them in as well.
 21 COFFEY, Q.C.:
 22 Q. Did he explain to you why that was?
 23 DR. BAKER:
 24 A. Nothing in detail, no. He just requested that
 25 we send them in and they were going to do some

<p style="text-align: right;">Page 169</p> <p>1 verification on them, that's all.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Doctor, the next bullet, he sets out, Dr. Cook</p> <p>4 does, a suggested approach to concentrating on</p> <p>5 particular years.</p> <p>6 DR. BAKER:</p> <p>7 A. Uh-hm.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. Do you know if that was followed in Carbonear</p> <p>10 or had you been -</p> <p>11 DR. BAKER:</p> <p>12 A. Yes, I think that we concentrated on the</p> <p>13 sequence there, 1999 to 2004 first, and the--</p> <p>14 in that sequence, yes, although as I mentioned</p> <p>15 previously, there were some that we didn't</p> <p>16 have in our possession because they were at</p> <p>17 the laboratory in St. John's.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Yes.</p> <p>20 DR. BAKER:</p> <p>21 A. So they may have put some things out of</p> <p>22 sequence here.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. The reference here, Doctor, to laboratory</p> <p>25 medicine program for St. John's hospitals is</p>	<p style="text-align: right;">Page 171</p> <p>1 Doctor, what was your understanding of what</p> <p>2 sorts of test the Ventana System was used for?</p> <p>3 DR. BAKER:</p> <p>4 A. For all immunohistochemical testing.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. But in your own practise, the only IHC tests</p> <p>7 being done were the ER/PR?</p> <p>8 DR. BAKER:</p> <p>9 A. Correct.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. That you were ordering yourself?</p> <p>12 DR. BAKER:</p> <p>13 A. Yes. I just viewed it as a newer piece of</p> <p>14 machinery that was capable of doing all the</p> <p>15 IHC.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. Doctor, while we're on the topic now around</p> <p>18 this time, this is addressed to--well, it's on</p> <p>19 Health Care Corporation of St. John's</p> <p>20 letterhead?</p> <p>21 DR. BAKER:</p> <p>22 A. Yes.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. Of course, the Health Care Corporation was no</p> <p>25 more at that point, but--because Eastern</p>
<p style="text-align: right;">Page 170</p> <p>1 currently undergoing a quality review process.</p> <p>2 DR. BAKER:</p> <p>3 A. Uh-hm.</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. "Please note the following changes", and he</p> <p>6 talks about the hold on reporting, and you had</p> <p>7 already been aware of that from certainly a</p> <p>8 phone call that we just looked at in August,</p> <p>9 late August?</p> <p>10 DR. BAKER:</p> <p>11 A. Correct.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. And the second bullet here advises you that</p> <p>14 St. John's is going to be using Mount Sinai</p> <p>15 for current cases, and that you can elect to</p> <p>16 do so, and, in fact, you've told us you did?</p> <p>17 DR. BAKER:</p> <p>18 A. Uh-hm, yes.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. "And the status of the Ventana System will be</p> <p>21 determined when we review correlations of</p> <p>22 ER/PR results from Mount Sinai and Montreal</p> <p>23 General labs", and they're "awaiting reports</p> <p>24 from medical and technical consultants before</p> <p>25 we operationalize the Ventana System".</p>	<p style="text-align: right;">Page 172</p> <p>1 Health had come into being effective April 1,</p> <p>2 2005?</p> <p>3 DR. BAKER:</p> <p>4 A. That's correct.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. While we're on it, what if anything were the</p> <p>7 changes at that time, April 1, and since then</p> <p>8 in your own position and in your work?</p> <p>9 DR. BAKER:</p> <p>10 A. Well, as of April, I had a different reporting</p> <p>11 mechanism. Dr. Bob Williams was coming into</p> <p>12 being as the VP of Medical Services around</p> <p>13 that time, or very shortly after, so I was</p> <p>14 responsible to him as Clinical Chief for</p> <p>15 medical services for the rural avalon area. I</p> <p>16 was no longer the Medical Director, I was no</p> <p>17 longer the ACEO in charge of--although over</p> <p>18 that period, I was no longer the ACEO</p> <p>19 responsible for pharmacy and diagnostic</p> <p>20 imaging and laboratory services, but as I</p> <p>21 said, there was an overlap period that may</p> <p>22 have lasted probably over the summer before</p> <p>23 some of those things started petering out. So</p> <p>24 I was essentially responsible to Dr. Bob</p> <p>25 Williams for the clinical side on the</p>

Page 173	Page 175
<p>1 laboratory--and for the laboratory side, there</p> <p>2 was beginning a reorganization there with--</p> <p>3 Mr. Terry Gulliver was taking over as the</p> <p>4 Regional Director of Laboratory Medicine for</p> <p>5 Eastern Health, and he was in that position, I</p> <p>6 can't give you a specific time, but it was</p> <p>7 over the summer, I think probably, or early</p> <p>8 fall. Then in the fall, there was also</p> <p>9 regional managers selected for the various</p> <p>10 levels of laboratory, biochemistry,</p> <p>11 hematology, pathology. Barry Dyer took on that</p> <p>12 responsibility. So my jurisdiction over the</p> <p>13 lab was limited at that particular point in</p> <p>14 time because I had a regional director by the</p> <p>15 name of Terry Gulliver, and also regional</p> <p>16 managers for each of the sections, so</p> <p>17 everything was fragmented.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. I'm going to ask you about that in terms of</p> <p>20 that, so--and that changed?</p> <p>21 DR. BAKER:</p> <p>22 A. Yes.</p> <p>23 COFFEY, Q.C.:</p> <p>24 Q. Began April 1st, 2005?</p> <p>25 DR. BAKER:</p>	<p>1 A. Sorry.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Before Eastern Health came into being, had</p> <p>4 your responsibilities changed any as the</p> <p>5 Assistant CEO?</p> <p>6 DR. BAKER:</p> <p>7 A. No, I was still carrying all those</p> <p>8 responsibilities.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. And so as Assistant CEO then, you were</p> <p>11 reporting to the CEO?</p> <p>12 DR. BAKER:</p> <p>13 A. Yes.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. And the clinical part of the lab was your</p> <p>16 responsibility, the technologists reported to</p> <p>17 you?</p> <p>18 DR. BAKER:</p> <p>19 A. Yes.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. And you were responsible for diagnostic</p> <p>22 imaging?</p> <p>23 DR. BAKER:</p> <p>24 A. Yes.</p> <p>25 COFFEY, Q.C.:</p>
Page 174	Page 176
<p>1 A. Not immediately, but over the course of a few</p> <p>2 months, yes.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. So Doctor, before that then, as the Assistant</p> <p>5 CEO, up until the creation of Eastern Health,</p> <p>6 had your responsibilities changed?</p> <p>7 DR. BAKER:</p> <p>8 A. Yes, well, I was no longer in charge of the</p> <p>9 pharmacy and diagnostic imaging.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. I was going to ask you when did that occur,</p> <p>12 that no longer --</p> <p>13 DR. BAKER:</p> <p>14 A. Well, there was a period of time, as I said,</p> <p>15 there was a divulging of responsibilities, I</p> <p>16 suppose, but that overlapped well into the</p> <p>17 fall probably.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. This is after April 1 of '05.</p> <p>20 DR. BAKER:</p> <p>21 A. Yes.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. Before April 1 '05, first of all, I'm going to</p> <p>24 ask you about that.</p> <p>25 DR. BAKER:</p>	<p>1 Q. And the pharmacy?</p> <p>2 DR. BAKER:</p> <p>3 A. And pharmacy, yes.</p> <p>4 COFFEY, Q.C.:</p> <p>5 Q. Anything else?</p> <p>6 DR. BAKER:</p> <p>7 A. Medical services.</p> <p>8 COFFEY, Q.C.:</p> <p>9 Q. Medical services, and medical services, I</p> <p>10 wanted to expand upon that at the time you</p> <p>11 first mentioned it. So medical services</p> <p>12 involved all medical services in the hospital?</p> <p>13 DR. BAKER:</p> <p>14 A. All medical services in Carbonear Hospital, in</p> <p>15 the long term care facilities in Carbonear,</p> <p>16 Interfaith and Harbour Lodge, the Old Perlican</p> <p>17 Hospital, the Placentia Hospital, and the</p> <p>18 Whitbourne Clinic.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. So, in effect, all the physicians working in</p> <p>21 those institutions in that regard reported to</p> <p>22 you?</p> <p>23 DR. BAKER:</p> <p>24 A. Yes, we were a total of about 60 physicians.</p> <p>25 COFFEY, Q.C.:</p>

Page 177

1 Q. So since the creation then of Eastern Health,
 2 what's your current responsibility, what are
 3 your current responsibilities, who do you
 4 report to, and who reports to you?
 5 DR. BAKER:
 6 A. Well, at the present time, I still have a dual
 7 role, I'm clinical chief responsible for
 8 medical services, so my scope of
 9 responsibility of medical services hasn't
 10 changed a lot as far as my own jurisdiction in
 11 rural avalon. I'm still responsible for all
 12 the medical services for all the sites I've
 13 just mentioned; recruitment, retention,
 14 administrative things with physicians,
 15 meetings, all that sort of thing. As far as
 16 the laboratory, I still was--the pathologists
 17 there, and the most recent--and up until April
 18 of this year, I still was responsible for
 19 clinical activity there, even though
 20 administratively Terry Gulliver had
 21 jurisdiction over all the laboratories in
 22 Eastern Health. I still was on a day to day
 23 basis working with the manager on site in
 24 dealing with any minor administrative issues
 25 or any clinical issues that arose on a daily

Page 178

1 basis. If there were issues that were of
 2 larger significance, then they would be
 3 brought to the attention of Terry Gulliver or
 4 the actual regional managers of each of the
 5 sections. So my role had been somewhat
 6 diminished.
 7 COFFEY, Q.C.:
 8 Q. In your continuing role as Clinical Chief --
 9 DR. BAKER:
 10 A. Yes.
 11 COFFEY, Q.C.:
 12 Q. Do you have any relationship with the
 13 pathologist or pathologists in Clarendville?
 14 DR. BAKER:
 15 A. No, I don't.
 16 COFFEY, Q.C.:
 17 Q. Who do they report to?
 18 DR. BAKER:
 19 A. They report--they report to their Medical
 20 Director out there or their Clinical Chief out
 21 there.
 22 COFFEY, Q.C.:
 23 Q. So there are no pathologists that report to
 24 you?
 25 DR. BAKER:

Page 179

1 A. No.
 2 COFFEY, Q.C.:
 3 Q. And the doctors in medical services that
 4 you're responsible for are the ones you've
 5 outlined?
 6 DR. BAKER:
 7 A. Yes.
 8 THE COMMISSIONER:
 9 Q. Which was Carbonear?
 10 DR. BAKER:
 11 A. No, Carbonear and all the rural avalon.
 12 THE COMMISSIONER:
 13 Q. What used to be --
 14 DR. BAKER:
 15 A. The old Avalon Health Care Institutions Board.
 16 THE COMMISSIONER:
 17 Q. They still remained with you?
 18 DR. BAKER:
 19 A. Yes.
 20 THE COMMISSIONER:
 21 Q. Approximately 60?
 22 DR. BAKER:
 23 A. Approximately 60 physicians. That would be
 24 community physicians, specialists, and
 25 physicians salaried within the facilities.

Page 180

1 COFFEY, Q.C.:
 2 Q. And currently, Doctor, how much of your work
 3 day--your employment time or work time does
 4 that take up?
 5 DR. BAKER:
 6 A. About a quarter.
 7 COFFEY, Q.C.:
 8 Q. A quarter.
 9 DR. BAKER:
 10 A. Percentage-wise on paper, but in reality
 11 sometimes a lot more.
 12 COFFEY, Q.C.:
 13 Q. Exhibit P-1287, please. Doctor, this is a fax
 14 on Avalon Health Care Institutions Board
 15 letterhead now indicated to be now part of
 16 Eastern Health. It comes from Carbonear.
 17 It's to--fax coversheet to Dr. R. Williams,
 18 and it's from yourself as ACEO Medical
 19 Services, September 16, 2005. The number of
 20 pages being transmitted is seven, and it's
 21 typed here "As per your request". If we look
 22 at the next page, page two, it's a memorandum--
 23 a February 16th, 1998 memorandum from Dr.
 24 Khalifa you looked at earlier today.
 25 DR. BAKER:

Page 181

1 A. Uh-hm.
 2 COFFEY, Q.C.:
 3 Q. And as well on the fifth page of this exhibit
 4 is a copy of the May 2nd, 2003, memorandum
 5 from Dr. Ejeckam?
 6 DR. BAKER:
 7 A. Yes.
 8 COFFEY, Q.C.:
 9 Q. Which we looked at earlier. Doctor, how is it
 10 that you came to be faxing those two documents
 11 to Dr. Williams?
 12 DR. BAKER:
 13 A. I think it was probably the same day or the
 14 day prior I was having a conversation with Dr.
 15 Williams who then was my superior about some
 16 other issues that I--the issue escapes me, and
 17 the issue of the ER/PR came up just in
 18 conversation in a casual way, and I just
 19 indicated to him about having the memos that
 20 were sent out in those two particular time
 21 frames. It seemed that he didn't have them in
 22 his possession, and I said, Dr. Williams, do
 23 you want me to send the copies in to you and
 24 he said, yes, I would be grateful if you
 25 would, and I just got my secretary to fax them

Page 182

1 in to him just for his information.
 2 COFFEY, Q.C.:
 3 Q. Doctor, did you have any further contact with
 4 Dr. Williams about this in September of 2005?
 5 DR. BAKER:
 6 A. No, not that I'm--no, not about this
 7 particular issue, no, not that I can
 8 recollect, no.
 9 COFFEY, Q.C.:
 10 Q. Exhibit P-0087, please. Doctor, these are
 11 some notes--well, it's a typed version of
 12 notes that Dr. Williams had made concerning a
 13 phone call or a conference call, October 4,
 14 2005. It says, "This refers to a conference
 15 call with other regional boards; Central,
 16 Western", and the personnel are described,
 17 "Labrador, Carbonear", yourself.
 18 DR. BAKER:
 19 A. Uh-hm.
 20 COFFEY, Q.C.:
 21 Q. Dr. Gary Baker.
 22 DR. BAKER:
 23 A. Uh-hm.
 24 COFFEY, Q.C.:
 25 Q. St. John's, George Tilley and Susan Bonnell,

Page 183

1 and certain people at the General Hospital
 2 site. It's noted here when you go through,
 3 the thorough review of background, RW, which
 4 would be Robert Williams; a specific issues
 5 review, DC, Donald Cook, and questions whether
 6 we should notify all patients who are being
 7 retested.
 8 DR. BAKER:
 9 A. Uh-hm.
 10 COFFEY, Q.C.:
 11 Q. Doctor, do you recall how it was you came to
 12 participate in this conference call?
 13 DR. BAKER:
 14 A. Actually, Mr. Coffey, I don't even remember
 15 participating in this conference call.
 16 COFFEY, Q.C.:
 17 Q. Okay.
 18 DR. BAKER:
 19 A. My name may be there as the representative
 20 from Carbonear, but my recollection is that I
 21 never participated in this conference call.
 22 COFFEY, Q.C.:
 23 Q. Okay, I appreciate that these are his notes,
 24 they're not yours.
 25 DR. BAKER:

Page 184

1 A. Yes.
 2 COFFEY, Q.C.:
 3 Q. Do you think, Doctor, that if you did actually
 4 participate, you'd remember this?
 5 DR. BAKER:
 6 A. Oh, for sure, yes. There was a period of time
 7 when--I'm not sure if I mentioned earlier,
 8 there was a period of time when I was getting
 9 faxes of meetings, particularly from the
 10 Newfoundland and Labrador Medical Association
 11 and other areas, faxed to another fax machine
 12 within the hospital. How they got that
 13 number, I don't know, and I had the occasion
 14 on at least two or three occasions of finding
 15 the fax in another area of the hospital the
 16 day after the actual teleconference. So there
 17 were two or three teleconferences that I
 18 missed.
 19 COFFEY, Q.C.:
 20 Q. And so if this conference call occurred,
 21 you're saying, Mr. Coffey, I didn't
 22 participate in it?
 23 DR. BAKER:
 24 A. No, I have no recollection of it.
 25 COFFEY, Q.C.:

Page 185	Page 187
<p>1 Q. Doctor, one of the topics here is--the last 2 one here is questions of whether we should 3 notify all patients who are being retested. 4 DR. BAKER: 5 A. Uh-hm. 6 COFFEY, Q.C.: 7 Q. I want to ask you about that. By this point 8 in time, early October, approximately 20 9 patients, breast cancer patients a year, do 10 you have any sense of how many were ER 11 negatives now, I mean, in the sense of -- 12 DR. BAKER: 13 A. No, I don't. 14 COFFEY, Q.C.: 15 Q. In terms of the review identified -- 16 DR. BAKER: 17 A. I didn't do a review of the actual numbers, 18 no. 19 COFFEY, Q.C.: 20 Q. Doctor, did you ever have in the summer of 21 2005 or the early fall of 2005 any 22 conversations with anybody about the idea of 23 whether or not--the issue of whether or not 24 patients should be told that their tissue is 25 being retested?</p>	<p>1 you would have understood that St. John's was 2 making inquiries, doing some kind of an 3 investigation trying to ascertain what had 4 happened? 5 DR. BAKER: 6 A. I heard through the grapevine that there was 7 going to be a review done of these--I think it 8 was referenced in one of the exhibits you gave 9 me. 10 COFFEY, Q.C.: 11 Q. September 6th memo, I believe. 12 DR. BAKER: 13 A. Yes. 14 COFFEY, Q.C.: 15 Q. And what did you understand they were doing in 16 that regard? 17 DR. BAKER: 18 A. Just that they had brought some external 19 consultants in to review the processes that 20 were ongoing in St. John's that related to 21 ER/PR testing and the machinery. 22 COFFEY, Q.C.: 23 Q. Doctor, were you ever told what the results of 24 all those investigations were? 25 DR. BAKER:</p>
Page 186	Page 188
<p>1 DR. BAKER: 2 A. No, I had no conversations with anybody about 3 that issue. 4 COFFEY, Q.C.: 5 Q. Did it cross your mind at the time? 6 DR. BAKER: 7 A. It did on one occasion cross my mind. I was 8 just wondering whether or not there was going 9 to be contact of patients, but I didn't have 10 specific conversations with anybody expressing 11 my views. 12 COFFEY, Q.C.: 13 Q. And do you recall the context in which that 14 occurred? 15 DR. BAKER: 16 A. It was just thinking about the issue in 17 general probably in my office one day after, 18 you know, just--it was an ongoing issue over 19 that summer of collecting of specimens and so 20 on. It was on your mind and wondering what 21 was going on, and that thought just crossed my 22 mind about the patients, concern for the 23 patients. 24 COFFEY, Q.C.: 25 Q. Doctor, as the summer goes on into the fall,</p>	<p>1 A. No, never. 2 COFFEY, Q.C.: 3 Q. Did you ever inquire as to what they were? 4 DR. BAKER: 5 A. I had a brief conversation, and I don't 6 remember the specific time, with Oscar Howell 7 after Oscar Howell had taken over. This was 8 probably a year and a half later, as to, you 9 know, what were the areas of concern in the 10 reviews that were done, particularly with 11 reference to the peer review of physicians, 12 and the comments were very non-specific, there 13 was just nothing of--I didn't get any 14 particular useful information. There was 15 nothing that was said that was of any 16 importance to me as such, no. 17 COFFEY, Q.C.: 18 Q. So he, in effect, didn't tell you what -- 19 DR. BAKER: 20 A. What was in the reports. I never saw a copy 21 of the report, I never requested a copy of the 22 report, and I didn't know what the content 23 was. 24 COFFEY, Q.C.: 25 Q. Is there any reason you didn't request a copy</p>

Page 189

1 of it?

2 DR. BAKER:

3 A. No, no particular reason, no.

4 COFFEY, Q.C.:

5 Q. Did you understand--did you have any

6 understanding at the time that it was somehow

7 confidential or protected in any manner?

8 DR. BAKER:

9 A. No, no one relayed that to me, no. I thought

10 that the report was part of the process in St.

11 John's and that in due course it would

12 probably be given to me, or some results or

13 recommendations in that report, you know,

14 highlighted to me.

15 COFFEY, Q.C.:

16 Q. Doctor, we know in the sense of the documents

17 from witnesses here that the reports,

18 certainly the first two reports, were done--

19 examinations occurred or the investigations

20 occurred in September of 2005.

21 DR. BAKER:

22 A. Yes.

23 COFFEY, Q.C.:

24 Q. And the reports followed in October/November,

25 2005?

Page 190

1 DR. BAKER:

2 A. Uh-hm.

3 COFFEY, Q.C.:

4 Q. Have you had a chance--since the Commission

5 and these proceedings have begun, have you

6 actually seen Dr. Banerjee's or Trish

7 Wegrynowski's reports?

8 DR. BAKER:

9 A. No, I haven't seen the reports in total. I've

10 just seen some of the recommendations or some

11 of the recommendations they made.

12 COFFEY, Q.C.:

13 Q. Doctor, so to this day no one within Eastern

14 Health has actually distributed those reports

15 to you?

16 DR. BAKER:

17 A. No.

18 COFFEY, Q.C.:

19 Q. Doctor, you're aware that Ms. Wegrynowski and

20 Dr. Banerjee returned to St. John's in early

21 2006, March?

22 DR. BAKER:

23 A. I only became aware of that recently, since

24 the start of the inquiry.

25 COFFEY, Q.C.:

Page 191

1 Q. And if they produced reports at that time, you

2 haven't seen those reports either?

3 DR. BAKER:

4 A. No, I haven't.

5 COFFEY, Q.C.:

6 Q. Exhibit P-0630, please. Doctor, this is a

7 series of e-mails of October 7, 2005. The

8 first of them, just to put it in context for

9 you, is from Diane Smith to a number of

10 individuals. You're not listed in the e-mail

11 grouping here, but it's a communique regarding

12 ER/PR testing and it says, "Please see

13 attached communique from Dr. Paul Gardiner,

14 Medical Director, Dr. H. Bliss Murphy Cancer

15 Centre, regarding ER/PR testing issue. We ask

16 that you ensure surgeons in your area who

17 perform breast surgery receive a copy of this

18 communique", and then the next e-mail at 5:27

19 that day from Diane Smith is to yourself, and

20 the same topic, and it says, "Dr. Baker, Dr.

21 Gardiner asked if you would ensure surgeons in

22 the covering area are aware of the attached

23 communique. Thank you, Diane".

24 DR. BAKER:

25 A. Uh-hm.

Page 192

1 COFFEY, Q.C.:

2 Q. And as well Diane Smith forwards the series of

3 e-mails to Patricia Pilgrim and concludes her

4 comment to Ms. Pilgrim by saying, "Dr.

5 Williams suggested we forward to Dr. Baker

6 also".

7 DR. BAKER:

8 A. Yes.

9 COFFEY, Q.C.:

10 Q. Did you receive this e-mail, this second e-

11 mail here?

12 DR. BAKER:

13 A. Yes, I think I did, yes.

14 COFFEY, Q.C.:

15 Q. And the letter from Dr. Gardiner addressed to

16 surgeons?

17 DR. BAKER:

18 A. Yes, I did.

19 COFFEY, Q.C.:

20 Q. Did you distribute that letter?

21 DR. BAKER:

22 A. I did. I personally delivered it to both the

23 surgeons in our facility.

24 COFFEY, Q.C.:

25 Q. Did the surgeons at the time or subsequently

Page 193

1 have any questions for you?
 2 DR. BAKER:
 3 A. No, they were aware of the testing that was
 4 ongoing because they were familiar with--
 5 well, they indicated to me that they were
 6 aware of the situation in St. John's. They
 7 didn't request any more information other than
 8 that, and I gave them a copy of the letter,
 9 and they took it.
 10 COFFEY, Q.C.:
 11 Q. Have you ever, in fact, discussed the matter
 12 with the surgeons in any kind of detailed way?
 13 DR. BAKER:
 14 A. Not in detail, they seemed to--from comments
 15 that they have made as to "I know what's going
 16 on in St. John's, I know that there's
 17 retesting going on, you know, and I know about
 18 the issues and so on", that gave me an
 19 indication that they were aware of what was
 20 happening.
 21 COFFEY, Q.C.:
 22 Q. Doctor, we've heard through evidence that The
 23 Independent newspaper on October 3rd, 2005,
 24 published a story making this public.
 25 DR. BAKER:

Page 194

1 A. Yes.
 2 COFFEY, Q.C.:
 3 Q. Doctor, after the letter became public, did
 4 you receive any kind of contacts, were you
 5 ever contacted by any patients or people on
 6 behalf of patients?
 7 DR. BAKER:
 8 A. No.
 9 COFFEY, Q.C.:
 10 Q. Making inquiries about the status of --
 11 DR. BAKER:
 12 A. Nothing came my way, no.
 13 COFFEY, Q.C.:
 14 Q. If we could look at, please, Exhibit P-1328.
 15 Doctor, just here--go to page 16, please, and
 16 just so the Commissioner has some sense of
 17 what else was going on in your work world,
 18 this is a memo of July 19th, 2005, on Avalon
 19 Health Care Institutions Board letterhead.
 20 It's to members of regional and medical staff
 21 from the President of the Regional Medical
 22 Staff, referral of a meeting, and then,
 23 Doctor, there are here a series of memorandums
 24 if we go back through the exhibit, and then at
 25 page 13 of the minutes of a meeting of the

Page 195

1 Regional Medical Advisory Committee and Dr.
 2 Williams.
 3 DR. BAKER:
 4 A. Uh-hm.
 5 COFFEY, Q.C.:
 6 Q. And you're listed as present as are a number
 7 of others. Doctor, there's another one,
 8 Regional Medical Staff meeting, minutes of 14
 9 September, 2005, and then a letter of
 10 September 30th, 2005, advising Dr. Williams
 11 about a discussion that had taken place about
 12 his proposed structure of the medical staff
 13 under Eastern Health. There are a number of
 14 issues the medical staff have concerns about
 15 and they are included in that attached
 16 document.
 17 DR. BAKER:
 18 A. Yes.
 19 COFFEY, Q.C.:
 20 Q. Doctor, page seven, there's an e-mail from
 21 Faye Matthews to Dr. Williams indicating there
 22 had been an MAC meeting the night before, and
 23 finally, Doctor, at page one of the exhibit, a
 24 letter of November 22nd, 2005, to yourself
 25 from Dr. Williams, copied to a number of

Page 196

1 individuals dealing with following up on your
 2 correspondence of September 30th, relating to
 3 the proposed medical staff structure for
 4 Eastern Health in the area--in rural avalon.
 5 DR. BAKER:
 6 A. Avalon, yes.
 7 COFFEY, Q.C.:
 8 Q. So, Doctor, I refer you to this because I want
 9 to ask you what has happened with respect to
 10 the MAC in Carbonear since April, 2005, how
 11 has that worked?
 12 DR. BAKER:
 13 A. It remains the same as it was before 2005.
 14 There's been essentially no change. The MAC of
 15 rural Avalon is still functioning in the same
 16 manner with the same representatives from the
 17 various disciplines within the hospital,
 18 specialists and GPs. It still functions as it
 19 had before 2005. The actual Eastern Health
 20 regional structure, as was discussed in these
 21 communications here, has not come into effect
 22 yet.
 23 COFFEY, Q.C.:
 24 Q. And what are the plans in that regard, if any,
 25 have they been --

Page 197

1 DR. BAKER:
 2 A. The plans are still ongoing. There were
 3 meetings planned and meetings cancelled. They
 4 hired a new Director of Medical Services, Dr.
 5 John Guy, approximately a year ago and he was
 6 tasked to developing a new set of medical
 7 staff bylaws for Eastern Health. That process
 8 is still ongoing. And the actual structure of
 9 the medical staff structure for Eastern Health
 10 is still ongoing with no final draft or final
 11 structure in place at the present time.
 12 COFFEY, Q.C.:
 13 Q. It's a work in progress, I take it?
 14 DR. BAKER:
 15 A. It is, yes.
 16 COFFEY, Q.C.:
 17 Q. Doctor, I'm just going--first, perhaps,
 18 Registrar, Exhibit P-2201. This is a memo of
 19 December 2nd, 2005, it's to a number of
 20 individuals, pathologists outside St. John's,
 21 really. You're listed as the second one.
 22 It's from Barry Dyer. The subject is "ER/PR
 23 Retesting." He writes, "Please forward me a
 24 complete list of all patient specimens that
 25 have been sent in for ER/PR retesting. The

Page 198

1 purpose is to cross reference to ensure that
 2 all specimens shipped have been received by
 3 our laboratory." Now, Doctor, did you send in
 4 or respond to this, do you know, did you or
 5 your staff?
 6 DR. BAKER:
 7 A. I passed it along to my staff. I assume that
 8 it was sent.
 9 COFFEY, Q.C.:
 10 Q. Did you hear anything further about it that
 11 you recall?
 12 DR. BAKER:
 13 A. No, nothing at all.
 14 COFFEY, Q.C.:
 15 Q. So, Doctor, by then the beginning of December
 16 of 2005 from your perspective in relation to
 17 Carbonear what was the status of identifying
 18 patients who were affected by this in terms of
 19 to be retested?
 20 DR. BAKER:
 21 A. In terms of completion?
 22 COFFEY, Q.C.:
 23 Q. Yes.
 24 DR. BAKER:
 25 A. In my view the completion had--the process was

Page 199

1 complete, that all the patients had been
 2 identified and that they had been sent in.
 3 COFFEY, Q.C.:
 4 Q. So I take it then you would be awaiting the
 5 return of the results?
 6 DR. BAKER:
 7 A. Yes, of results, yes. To the end of 2005 we
 8 hadn't received any results back.
 9 COFFEY, Q.C.:
 10 Q. Exhibit P-0670? And, Doctor, again these are
 11 handwritten notes of Dr. Cook. Okay, I
 12 appreciate you wouldn't have seen these
 13 before. But, there's a note here midway down
 14 the page, October 31st, 2005, 11:30 a.m. Dr.
 15 Cook writes, "Spoke to Gary Baker. Will send
 16 out addendum reports from Mount Sinai
 17 Hospital," "MSH" Mount Sinai Hospital, "to Dr.
 18 Baker. Dr. Baker will have to enter into his
 19 own system and follow up with administration
 20 on how to handle them. Gave him Kara Laing's
 21 name, Heather Predham and Bob Williams." See
 22 that?
 23 DR. BAKER:
 24 A. Yes, I see that.
 25 COFFEY, Q.C.:

Page 200

1 Q. Okay. Do you recall that conversation with
 2 Dr. Cook?
 3 DR. BAKER:
 4 A. I recall the conversation, the first part of
 5 the conversation, I recall him indicating to
 6 me that he would be sending addendum reports
 7 and then I would have to incorporate them into
 8 my own system. And that is what we did when
 9 we did eventually receive them. I don't
 10 remember the portion about Kara Laing, Heather
 11 Predham, Bob Williams. Maybe he did say it, I
 12 just don't remember.
 13 COFFEY, Q.C.:
 14 Q. Doctor, so then, you know, having done the
 15 searches at the time in 2005, identify the
 16 patients and the material, send it off and
 17 you're awaiting results?
 18 DR. BAKER:
 19 A. Yes.
 20 COFFEY, Q.C.:
 21 Q. You understood that you'd be getting reports?
 22 DR. BAKER:
 23 A. Yes.
 24 COFFEY, Q.C.:
 25 Q. A report or reports on the retests?

Page 201

1 DR. BAKER:
 2 A. Correct.
 3 COFFEY, Q.C.:
 4 Q. And would have to enter them in your own
 5 system?
 6 DR. BAKER:
 7 A. Yes.
 8 COFFEY, Q.C.:
 9 Q. How about the notification of patients?
 10 DR. BAKER:
 11 A. I wasn't involved in notification of patients.
 12 COFFEY, Q.C.:
 13 Q. Who was to be involved in that in your
 14 hospital?
 15 DR. BAKER:
 16 A. There was no one--at this point in time we
 17 were part of the Eastern Health overall and it
 18 was my understanding that it was part of the
 19 process in St. John's that patients would be
 20 notified.
 21 COFFEY, Q.C.:
 22 Q. Was that ever -
 23 DR. BAKER:
 24 A. By the quality assurance people, you know -
 25 COFFEY, Q.C.:

Page 202

1 Q. Was that ever talked about, actually
 2 explicitly talked about?
 3 DR. BAKER:
 4 A. No, it wasn't explicitly talked about, but it
 5 was just my understanding.
 6 COFFEY, Q.C.:
 7 Q. That the quality assurance, Heather Predham?
 8 DR. BAKER:
 9 A. Yes.
 10 COFFEY, Q.C.:
 11 Q. And the group she was with would be attending
 12 to the patient notification?
 13 DR. BAKER:
 14 A. Yeah, because we were all part of Eastern
 15 Health region an any patients from Eastern
 16 Health would be contacted to that area.
 17 COFFEY, Q.C.:
 18 Q. Were you given any understanding about, well,
 19 first of all, patients whose tests, retest
 20 results didn't change?
 21 DR. BAKER:
 22 A. No.
 23 COFFEY, Q.C.:
 24 Q. As to how that was to be handled, you -
 25 DR. BAKER:

Page 203

1 A. No, I wasn't. I didn't receive any results
 2 from the retesting until February, probably
 3 sometime February or the following year, 2006.
 4 My process for handling them was I would
 5 receive the report back from primarily in the
 6 beginning it was Don Cook. He was doing an
 7 addendum report on his system in there based
 8 on the reports that he was getting back from
 9 Mount Sinai. They would include the retesting
 10 status of the patient as far as ER/PR status.
 11 I would take that report, incorporate it into
 12 my own original report as an addendum and make
 13 sure that copies of that were sent to the
 14 attending surgeon who was involved with the
 15 patient originally and also to the cancer
 16 clinic for the file, for the patient on the
 17 file.
 18 COFFEY, Q.C.:
 19 Q. So you, beginning in February, 2006 on the
 20 retested patients, you would get for each
 21 individual patient who was retested, you'd get
 22 a report from Don Cook?
 23 DR. BAKER:
 24 A. Yes.
 25 COFFEY, Q.C.:

Page 204

1 Q. And what form, what sort of a form was it?
 2 DR. BAKER:
 3 A. It was a Meditech form, eight and a half by
 4 eleven form with the patient's information
 5 and, you know, and just the retest information
 6 ER/PR results, you know, positive, so much
 7 percentage, negative, you know.
 8 COFFEY, Q.C.:
 9 Q. So you would take that yourself, then you took
 10 each of those reports?
 11 DR. BAKER:
 12 A. Yes.
 13 COFFEY, Q.C.:
 14 Q. And into the -
 15 DR. BAKER:
 16 A. And I passed it along to my secretary. She
 17 would transcribe it into our own system under
 18 the previous--the original report as an
 19 addendum and I would sign them out and then
 20 the reports, as addended, would be sent on to
 21 the attending surgeon and also a copy would be
 22 sent immediately to the cancer clinic for
 23 inclusion in the patient's file.
 24 COFFEY, Q.C.:
 25 Q. Now Doctor, we have heard evidence about a

Page 205

1 tumour panel, physician review panel. It's
 2 been called different names.
 3 DR. BAKER:
 4 A. Yes.
 5 COFFEY, Q.C.:
 6 Q. When did you first become aware of that, the
 7 existence of such a panel?
 8 DR. BAKER:
 9 A. In the spring of 2006, I became aware that
 10 there was a tumour panel that were reviewing
 11 the conversions and seeing what impact those
 12 conversions would have on the patient's
 13 further treatment.
 14 COFFEY, Q.C.:
 15 Q. How did that come to your attention, Doctor?
 16 DR. BAKER:
 17 A. That came to my attention, it was one day that
 18 a secretary from St. Clare's, Dr. Don Cook's
 19 secretary, had a conversation with my
 20 secretary and asked for original reports on a
 21 number of patients who had been retested and
 22 they wanted them for the tumour panel, and
 23 then I knew the existence of the tumour panel.
 24 COFFEY, Q.C.:
 25 Q. So did you make any inquiries about -

Page 206

1 DR. BAKER:
 2 A. The panel afterwards?
 3 COFFEY, Q.C.:
 4 Q. Yes.
 5 DR. BAKER:
 6 A. No, I just understood it to be a review
 7 process of each of the individual patients to
 8 determine, you know, what if any change in
 9 treatment there would be for each individual
 10 patient.
 11 COFFEY, Q.C.:
 12 Q. Now there are tumour panel letters. We've
 13 seen some of those, okay. Have you ever seen
 14 or received letters from the tumour panel?
 15 DR. BAKER:
 16 A. Not to my recollection, no.
 17 COFFEY, Q.C.:
 18 Q. Exhibit P-1091. Doctor, this is a memo dated
 19 February 1st, 2006. It's from Dr. Cook. It's
 20 addressed to a number of individuals,
 21 including yourself here, there in the middle,
 22 right here.
 23 DR. BAKER:
 24 A. Yes, yes.
 25 COFFEY, Q.C.:

Page 207

1 Q. And did you receive a copy of this?
 2 DR. BAKER:
 3 A. I did, yes.
 4 COFFEY, Q.C.:
 5 Q. And it indicates "we have received most of the
 6 results from Mount Sinai regarding ER/PR
 7 review process. The results from Mount Sinai
 8 were issued on Excel spreadsheets. I will be
 9 issuing individual reports on patients and
 10 submitting these to you at your respective
 11 sites. When you receive these reports, please
 12 ensure they are incorporated in your hospital
 13 information and the laboratory information
 14 systems. I expect you will be receiving the
 15 first of these reports within the next two
 16 weeks."
 17 If we could look, please, at Exhibit P-
 18 1976? This is an unsigned copy, but check
 19 marked copy of that same memo, and here, in
 20 the right-hand side, there's a handwritten
 21 note by Dr. Cook. "Spoke to Gary Baker,
 22 February 9th" and it's probably "Paul Neil,
 23 Wednesday, February 10th, ensuring they got my
 24 memo." So Dr. Cook, apparently took the
 25 trouble--do you recall him taking the trouble

Page 208

1 to ensure that you had received his memo?
 2 DR. BAKER:
 3 A. That I got the memo, yes.
 4 COFFEY, Q.C.:
 5 Q. Doctor, in the meantime, you'd been utilizing
 6 Mount Sinai?
 7 DR. BAKER:
 8 A. Yes.
 9 COFFEY, Q.C.:
 10 Q. How are the results from Mount Sinai handled?
 11 Did they come by fax and then -
 12 DR. BAKER:
 13 A. They come back in the standard format that
 14 Mount Sinai is using. They're faxed--the
 15 report is first faxed to my fax machine in
 16 pathology, and that fax copy is taken and
 17 incorporated into the report, same as these
 18 would have been, as an addendum to the
 19 original pathology report, and entered word
 20 for word, no changes at all, and we provide a
 21 copy to the surgeon, attending surgeon, and
 22 also we provide the actual original report
 23 from Mount Sinai to the Cancer Clinic, because
 24 at one point, there were some problems with
 25 transcription that we received a letter about

Page 209

1 from Dr. Laing, I think it was, and she asked
2 us to--but we had been doing that previous to
3 that point in time. So there was no issue
4 with us.
5 COFFEY, Q.C.:
6 Q. Exhibit P-1591. Doctor, this is an e-mail of--
7 -well, it's actually--there are two e-mails,
8 but the first of them, March 29th, 2006, from
9 Nash Denic to a number of individuals. I
10 believe you're -
11 DR. BAKER:
12 A. I'm there, yes.
13 COFFEY, Q.C.:
14 Q. Your name's buried in there somewhere.
15 DR. BAKER:
16 A. On top.
17 COFFEY, Q.C.:
18 Q. Yes, actually, you're the third one in. It
19 reads, "there has been some confusion from the
20 Cancer Clinic who the reports from Mount Sinai
21 Hospital should go to. Therefore, the Cancer
22 Clinic will be sending a letter to all
23 pathology lab directors outlining the
24 directions how the ER/PR and HER2/neu reports
25 from the Mount Sinai Hospital should be

Page 210

1 handled. In the meantime, if you have
2 questions, you can call Dr. Joy McCarthy," at
3 a particular phone number. Signed Nash Denic.
4 Bring up, please, Exhibit P-2335. This
5 is a letter of April 5th, 2006 to directors of
6 pathology. You're listed there, the last one.
7 DR. BAKER:
8 A. Yes.
9 COFFEY, Q.C.:
10 Q. You received a copy of this, Doctor?
11 DR. BAKER:
12 A. I did, yes.
13 COFFEY, Q.C.:
14 Q. And I take it this is the letter from Dr.
15 Laing you just alluded to, referred to just a
16 moment ago?
17 DR. BAKER:
18 A. Yes.
19 COFFEY, Q.C.:
20 Q. And she writes, "this is a letter to request
21 that all ER/PR and HER2/neu reports from Mount
22 Sinai Hospital in Toronto be faxed to the
23 Cancer Clinic. We realize that you are not
24 necessarily aware if a patient has been
25 referred to the Cancer Clinic or not. Reports

Page 211

1 on patients that are not referred will be
2 destroyed by our new patient referral booking
3 clerks. We will not be sending back reports
4 to you. Having the faxed original Mount Sinai
5 ER/PR and HER2/neu reports ensures there is no
6 discrepancy between the Newfoundland
7 pathologist's report and the original Mount
8 Sinai report. Sometimes there may be typos or
9 clerical errors in these reports that are
10 inconsistent with the original Mount Sinai
11 report. We have discovered three such cases
12 to date in the HER2/neu reports. We have
13 informed our new patient booking clerks that
14 all reports will be faxed to them. They will
15 shred any reports that are not Cancer Clinic
16 patients and will distribute the other reports
17 to the appropriate oncologist when they
18 arrive," and she talks about contact people.
19 Doctor, the reference here to typos or
20 clerical errors, are you aware of any
21 involving Carboneau? I'm not suggesting there
22 have been.
23 DR. BAKER:
24 A. Not that I'm aware of. The process that I
25 would take when these were being--well,

Page 212

1 transcribed by my secretary, she would use the
2 original from Mount Sinai and transcript word
3 for word into the system as addendum on the
4 original report. She would pass the Mount
5 Sinai report back to me when I was signing out
6 the addended report and I would verify on the
7 screen the content of the addendum with the
8 original report before signing out.
9 THE COMMISSIONER:
10 Q. Mr. Coffey, wherever you can find a spot.
11 COFFEY, Q.C.:
12 Q. Okay, yes, Commissioner. Doctor, in terms of
13 dealing with then the grouping of or group of
14 reports, the reports that came back from Mount
15 Sinai in February 2006, first group you
16 received, after you'd addressed them, get them
17 from Don Cook and you'd do what you've
18 indicated you did with them, how long did that
19 process take?
20 DR. BAKER:
21 A. From beginning to end?
22 COFFEY, Q.C.:
23 Q. Yes, in terms of that, after--from February
24 '06, when did that end or when did they -
25 DR. BAKER:

Page 213

1 A. I can recollect still receiving reports up
 2 until April, maybe April, May, odd ones, yeah.
 3 COFFEY, Q.C.:
 4 Q. Of 2006?
 5 DR. BAKER:
 6 A. Yeah. Most of them came in the time frame
 7 around February, March and so on, but there
 8 was the occasional one that came later.
 9 COFFEY, Q.C.:
 10 Q. And Doctor, your handling of those reports,
 11 would you have been aware of whether or not
 12 any particular patient was or wasn't being
 13 panelled?
 14 DR. BAKER:
 15 A. No, I wouldn't. I wouldn't be given any
 16 indication at all.
 17 COFFEY, Q.C.:
 18 Q. So as long as you got a report from Donald
 19 Cook -
 20 DR. BAKER:
 21 A. Yes.
 22 COFFEY, Q.C.:
 23 Q. - about the Mount Sinai retest results, you
 24 did -
 25 DR. BAKER:

Page 214

1 A. I made sure it was incorporated into my system
 2 and the appropriate people in my system
 3 notified.
 4 COFFEY, Q.C.:
 5 Q. And the panelling process and panel letters
 6 was something separate?
 7 DR. BAKER:
 8 A. They were separate. They were distinct to the
 9 St. John's area. It was being done on, I
 10 suppose, a province wide basis on all the
 11 ER/PRs that were being retested and I felt
 12 that obviously it was being handled
 13 appropriately in there.
 14 COFFEY, Q.C.:
 15 Q. And Doctor, in terms of the ER/PR issue, in
 16 the sense of the retesting issue, what's your
 17 next recollection of anything involving
 18 yourself?
 19 DR. BAKER:
 20 A. ER/PR issue, in reference to finding more
 21 report?
 22 COFFEY, Q.C.:
 23 Q. And that came up eventually?
 24 DR. BAKER:
 25 A. Yes.

Page 215

1 COFFEY, Q.C.:
 2 Q. But in particular, we understand that there
 3 was a presentation in the fall of 2006. Do
 4 you recall that?
 5 DR. BAKER:
 6 A. Yes. There was a presentation that I was
 7 invited to whereby -
 8 COFFEY, Q.C.:
 9 Q. Okay. What I'm going to do then, if we could
 10 take that up right after lunch then.
 11 DR. BAKER:
 12 A. Sure, okay.
 13 COFFEY, Q.C.:
 14 Q. That's where we'll begin and then continue on
 15 to the conclusion.
 16 DR. BAKER:
 17 A. Great, yes.
 18 COFFEY, Q.C.:
 19 Q. Thank you.
 20 DR. BAKER:
 21 A. Thank you.
 22 THE COMMISSIONER:
 23 Q. We'll meet at 2:05.
 24 COFFEY, Q.C.:
 25 Q. Thank you, Commissioner.

Page 216

1 (LUNCH BREAK)
 2 THE COMMISSIONER:
 3 Q. Please be seated. Mr. Coffey.
 4 COFFEY, Q.C.:
 5 Q. Thank you, Commissioner. Doctor, just before
 6 the lunch break, we touched on the
 7 presentation in November 2006.
 8 DR. BAKER:
 9 A. Correct, yes.
 10 COFFEY, Q.C.:
 11 Q. Doctor, what do you recall about how you
 12 became aware of it and did you participate and
 13 what happened generally?
 14 DR. BAKER:
 15 A. I can remember a phone call, and probably an
 16 e-mail as well, coming from Dr. Nash Denic's
 17 office, regarding a meeting that was scheduled
 18 for November to give an overview and update of
 19 the progress that was made in relation to
 20 ER/PR testing and the improvement that they
 21 made in the system, and just to give some
 22 background as to where they were at that
 23 particular point in time.
 24 COFFEY, Q.C.:
 25 Q. And did you participate in that?

Page 217	Page 219
<p>1 DR. BAKER:</p> <p>2 A. Yes, I drove to St. John's and participated in</p> <p>3 person at the Health Science Centre, in the</p> <p>4 auditorium.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. Okay, so rather than use the video</p> <p>7 conferencing route, you just drove into St.</p> <p>8 John's?</p> <p>9 DR. BAKER:</p> <p>10 A. And participated, yes.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. And where was it held, do you recall?</p> <p>13 DR. BAKER:</p> <p>14 A. At the Health Science Centre auditorium,</p> <p>15 General Hospital.</p> <p>16 COFFEY, Q.C.:</p> <p>17 Q. And the attendance, how many?</p> <p>18 DR. BAKER:</p> <p>19 A. I'd say 30 people.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. Okay, and generally physicians?</p> <p>22 DR. BAKER:</p> <p>23 A. Physicians, some administrative people,</p> <p>24 laboratory administrative people, senior</p> <p>25 administration. There was a lawyer there too,</p>	<p>1 improvements they've made in the lab, what</p> <p>2 quality control things they've instituted.</p> <p>3 They referenced the external reviews, but</p> <p>4 didn't give any detail about them, to my</p> <p>5 recollection, but just and then they just</p> <p>6 highlighted improvement that they made into</p> <p>7 the lab in the immunohistochemistry section up</p> <p>8 to that point in time.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. Doctor, did you ask any questions at the time,</p> <p>11 do you recall?</p> <p>12 DR. BAKER:</p> <p>13 A. No, there was--no, I don't think there was any</p> <p>14 questions asked at all. The presentation went</p> <p>15 on as scheduled and I don't remember anybody</p> <p>16 asking any questions at the end, tell you the</p> <p>17 truth.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Okay. Doctor, the Commissioner at times has</p> <p>20 heard references to, of course, false</p> <p>21 negatives, many references to that.</p> <p>22 Occasionally references to false positives.</p> <p>23 DR. BAKER:</p> <p>24 A. Yes.</p> <p>25 COFFEY, Q.C.:</p>
<p>1 as well, but I don't know the name.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. And Doctor, did anyone come in from Carbonear</p> <p>4 Hospital with you, anyone else?</p> <p>5 DR. BAKER:</p> <p>6 A. No, just myself.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Doctor, what do you recall about it? What</p> <p>9 format did it take? Who presented?</p> <p>10 DR. BAKER:</p> <p>11 A. It was just a general format, overview of--</p> <p>12 first of all, Dr. Ford Elms, I think was the</p> <p>13 first speaker and he gave just a general</p> <p>14 overview of immunohistochemistry, the</p> <p>15 principles behind it. Dr. Cook spoke about</p> <p>16 the presentation of the first case, the index</p> <p>17 case, and how it came to light, and the</p> <p>18 testing that was undertaken and the retesting</p> <p>19 at Mount Sinai, not in great detail, but just</p> <p>20 an overview of things. Kara Laing, I think,</p> <p>21 spoke about some issues as well, and I really</p> <p>22 can't remember the issues that she spoke</p> <p>23 about. Nash Denic spoke as well, I think, but</p> <p>24 it was just a general overview of things and</p> <p>25 where they were to this date, what</p>	<p>1 Q. Do you know if there were any cases from your</p> <p>2 area that involved false -</p> <p>3 DR. BAKER:</p> <p>4 A. I've been shown an exhibit and I was shown it</p> <p>5 actually in my examination back last November</p> <p>6 as well by yourself, Mr. Coffey.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. When we were interviewing you?</p> <p>9 DR. BAKER:</p> <p>10 A. Yes, that's right. You showed me an example.</p> <p>11 It was the first time I had seen that one.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. While we're on it, Exhibit C-0224. Doctor,</p> <p>14 this is a laboratory report, well, a pathology</p> <p>15 report of a particular patient. The</p> <p>16 information is redacted, the patient's</p> <p>17 personal information.</p> <p>18 DR. BAKER:</p> <p>19 A. Yes.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. But at the bottom of the page, the reference,</p> <p>22 it says "Dr. Baker's case. False positive on"</p> <p>23 a particular surgical number or specimen</p> <p>24 number, and it goes on from there talking</p> <p>25 about "ER and PR negative on original and</p>

Page 221

1 repeat from Mount Sinai however, on met to the
 2 node, Baker reports 70 percent ER/PR, 70
 3 percent on Ventana. Cook and Carter reviewed
 4 and found ER less than five percent."
 5 DR. BAKER:
 6 A. Um-hm.
 7 COFFEY, Q.C.:
 8 Q. So the first time you became aware of this
 9 yourself was when you were interviewed by
 10 myself and Ms. Chaytor in November of 2007?
 11 DR. BAKER:
 12 A. That's correct.
 13 COFFEY, Q.C.:
 14 Q. Did you make any inquiries about it afterward?
 15 DR. BAKER:
 16 A. No, I didn't. No, I didn't.
 17 COFFEY, Q.C.:
 18 Q. So if there was something involving a patient
 19 that at one point you had done a report for
 20 and Dr. Cook, and I believe that's probably
 21 his handwriting, and/or Dr. Carter arrived at
 22 some conclusions about them having been a
 23 false positive involved here, it wasn't
 24 brought to your attention?
 25 DR. BAKER:

Page 222

1 A. No, it wasn't. Could I make a point about the
 2 report?
 3 COFFEY, Q.C.:
 4 Q. Sure, you certainly can, Doctor.
 5 DR. BAKER:
 6 A. On the bottom, in the handwriting, which I
 7 assume you indicated was Dr. Cook's, he's
 8 referencing there, in the second line,
 9 "primary breast lesion, ER and PR negative on
 10 original," which to me, the original would be
 11 my original report.
 12 COFFEY, Q.C.:
 13 Q. Yes.
 14 DR. BAKER:
 15 A. And repeat from Mount Sinai was also, I'm
 16 assuming from the same sentence, would be have
 17 been reported as ER/PR negative. I think that
 18 would become apparent if he saw the actual
 19 report from Mount Sinai. The actual
 20 information regarding a false positive was in
 21 reference to a totally different specimen. It
 22 was lymph node from this lady that was
 23 removed, I think, probably several months
 24 later and we don't usually do ER/PR on lymph
 25 nodes unless specifically requested. I don't

Page 223

1 know why this was done, but the actual ER/PR,
 2 the original ER/PR was on the primary lesion
 3 and it actually was verified by the repeat at
 4 Mount Sinai as being the same.
 5 COFFEY, Q.C.:
 6 Q. Yes, and so that information, have you--you've
 7 learned that, what, since, what you just told
 8 -
 9 DR. BAKER:
 10 A. Well, I was shown this again in my preparation
 11 for coming here.
 12 COFFEY, Q.C.:
 13 Q. Okay, and you made inquiries?
 14 DR. BAKER:
 15 A. I hadn't seen it since last November, and so I
 16 went to my office and researched the actual
 17 tissue and the actual reports.
 18 COFFEY, Q.C.:
 19 Q. In relation to this particular patient?
 20 DR. BAKER:
 21 A. Yes, correct.
 22 COFFEY, Q.C.:
 23 Q. And you're telling the Commissioner about this
 24 note, the initial note here, there's a
 25 reference, the words are handwritten here

Page 224

1 "false positive." Your understanding, that
 2 relates to the primary breast lesion sample?
 3 DR. BAKER:
 4 A. Yes.
 5 COFFEY, Q.C.:
 6 Q. And however, the node specimen, a different
 7 one?
 8 DR. BAKER:
 9 A. Yes.
 10 COFFEY, Q.C.:
 11 Q. You had, in checking now, you've ascertained
 12 that yes, you had reported that as ER 70
 13 percent positive?
 14 DR. BAKER:
 15 A. Um-hm.
 16 COFFEY, Q.C.:
 17 Q. And -
 18 DR. BAKER:
 19 A. And a repeat indicated--or a reassessment by
 20 Dr. Cook and, I think, Dr. Carter indicated
 21 that it was less than one or something, less
 22 than five.
 23 THE COMMISSIONER:
 24 Q. Now, just make sure I understand.
 25 COFFEY, Q.C.:

Page 225	Page 227
<p>1 Q. Yes.</p> <p>2 THE COMMISSIONER:</p> <p>3 Q. I understood your explanation to be that in</p> <p>4 the case of this particular patient, there</p> <p>5 were ER/PR tests done on two different</p> <p>6 specimens?</p> <p>7 DR. BAKER:</p> <p>8 A. Correct.</p> <p>9 THE COMMISSIONER:</p> <p>10 Q. The second being the lymph nodes?</p> <p>11 DR. BAKER:</p> <p>12 A. Correct.</p> <p>13 THE COMMISSIONER:</p> <p>14 Q. And the reference to false positive relates to</p> <p>15 the test done on the lymph nodes, not on the</p> <p>16 first test?</p> <p>17 DR. BAKER:</p> <p>18 A. Correct.</p> <p>19 THE COMMISSIONER:</p> <p>20 Q. And on the first test, your opinion was</p> <p>21 confirmed by Mount Sinai?</p> <p>22 DR. BAKER:</p> <p>23 A. Correct.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. The primary breast lesion, yes.</p>	<p>1 A. That's right.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. Okay.</p> <p>4 DR. BAKER:</p> <p>5 A. I would assume that this came to light only</p> <p>6 because it created some confusion in the</p> <p>7 patient's chart as one being positive and one</p> <p>8 being negative.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. Sure. Now, Doctor, if I could, bring up,</p> <p>11 please, Exhibit P-2272, and Doctor, that's</p> <p>12 2270. 2272, please. Thank you, Registrar.</p> <p>13 Thank you very much. Now Doctor, page two of</p> <p>14 this is an e-mail from Dr. Denic, Nash Denic,</p> <p>15 May 31st, 2007 to Judy Thomas, but there are a</p> <p>16 number of attachments here. You can see them</p> <p>17 described.</p> <p>18 DR. BAKER:</p> <p>19 A. Yes.</p> <p>20 COFFEY, Q.C.:</p> <p>21 Q. See them there in this. Now Doctor, I'll use</p> <p>22 this as a basis then for my next question.</p> <p>23 After the presentation in November 2006, what</p> <p>24 was your next involvement in ER/PR? And in</p> <p>25 particular, perhaps to assist you, we</p>
Page 226	Page 228
<p>1 THE COMMISSIONER:</p> <p>2 Q. Yes.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. And the lymph node is the one that it would be</p> <p>5 classified as a false positive?</p> <p>6 DR. BAKER:</p> <p>7 A. Yes, but the treatment of the patient would</p> <p>8 have been assessed on the primary breast</p> <p>9 lesion.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. Primary breast. But even in relation to that,</p> <p>12 that particular aspect of the matter, the fact</p> <p>13 that Doctors Cook and Carter had apparently</p> <p>14 come to this conclusion about the lymph node -</p> <p>15 DR. BAKER:</p> <p>16 A. Yes.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. - specimen being a false positive, was not</p> <p>19 brought to your attention by anyone until -</p> <p>20 DR. BAKER:</p> <p>21 A. No.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. - you had cause, as a result of your</p> <p>24 interaction with us, to investigate that?</p> <p>25 DR. BAKER:</p>	<p>1 understand that in late 2006, there were plans</p> <p>2 to reinstitute ER/PR testing in St. John's,</p> <p>3 beginning early in 2007.</p> <p>4 DR. BAKER:</p> <p>5 A. That's correct, yes.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. And then happened, we gather, in February</p> <p>8 2007. Were you consulted about that?</p> <p>9 DR. BAKER:</p> <p>10 A. No, I came to know, from the November meeting,</p> <p>11 that there were plans to reinstitute the ER/PR</p> <p>12 testing in St. John's with a target date</p> <p>13 somewhere in early 2007. I gathered from the</p> <p>14 conversation that it was going to restricted</p> <p>15 to St. John's initially, to the St. John's</p> <p>16 hospitals, and not initially offered to</p> <p>17 outside hospitals, even though Carbonear and</p> <p>18 Clarenville were part of Eastern Health at the</p> <p>19 time. So we weren't offered any--we continued</p> <p>20 to send out ER/PRS to Mount Sinai.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. And then what happened?</p> <p>23 DR. BAKER:</p> <p>24 A. There was no offer to have ER/PRS from our</p> <p>25 area sent in until, it was December of 2007.</p>

Page 229	Page 231
<p>1 COFFEY, Q.C.:</p> <p>2 Q. Okay, and I'll come to that in a moment. So</p> <p>3 in terms of the next--having left the meeting</p> <p>4 or the presentation in November, you</p> <p>5 understood they would reinstitute the testing</p> <p>6 in St. John's?</p> <p>7 DR. BAKER:</p> <p>8 A. Yes.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. But it wouldn't involve Carbonear, at least</p> <p>11 initially?</p> <p>12 DR. BAKER:</p> <p>13 A. Yes.</p> <p>14 COFFEY, Q.C.:</p> <p>15 Q. And ER/PR testing in Carbonear continued on</p> <p>16 through Mount Sinai?</p> <p>17 DR. BAKER:</p> <p>18 A. Correct, yes.</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. We understand that, in terms of the ER/PR</p> <p>21 matter, of course, it became a matter of some</p> <p>22 public notoriety in May of 2007.</p> <p>23 DR. BAKER:</p> <p>24 A. Correct.</p> <p>25 COFFEY, Q.C.:</p>	<p>1 Q. Up to then May of 2007, had anyone offered to</p> <p>2 provide you with kind of an overall summary</p> <p>3 for Carbonear?</p> <p>4 DR. BAKER:</p> <p>5 A. No, I never received a summary.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. Okay. Did you prepare one yourself?</p> <p>8 DR. BAKER:</p> <p>9 A. No, I didn't.</p> <p>10 COFFEY, Q.C.:</p> <p>11 Q. Up to May of 2007, had anyone ever asked you</p> <p>12 "are you certain that that's all of the</p> <p>13 patients? You, in Carbonear, have captured all</p> <p>14 the patients?"</p> <p>15 DR. BAKER:</p> <p>16 A. No, I don't remember that comment coming my</p> <p>17 way.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. I'm not suggesting anyone did, I'm just asking</p> <p>20 you if anyone asked you that.</p> <p>21 DR. BAKER:</p> <p>22 A. No, I don't remember any comment coming my way</p> <p>23 in that regards.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. Doctor, when we look here on the screen -</p>
Page 230	Page 232
<p>1 Q. And at that time, up to that point, what was</p> <p>2 the status of ER/PR matter in Carbonear, from</p> <p>3 your perspective as the pathologist there?</p> <p>4 What did you understand the status of it was</p> <p>5 overall?</p> <p>6 DR. BAKER:</p> <p>7 A. We just continued to send our samples to Mount</p> <p>8 Sinai.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. And any investigation of it?</p> <p>11 DR. BAKER:</p> <p>12 A. No, in what respect?</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. In the sense of what might have caused the</p> <p>15 problem or causes of the problem?</p> <p>16 DR. BAKER:</p> <p>17 A. No, not to my recollection, no.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Doctor, the results for Carbonear, okay, you'd</p> <p>20 been provided with them, I take it on an</p> <p>21 individual case?</p> <p>22 DR. BAKER:</p> <p>23 A. Yes, they would come back individually, yeah,</p> <p>24 as an addended report, yes.</p> <p>25 COFFEY, Q.C.:</p>	<p>1 DR. BAKER:</p> <p>2 A. Yes.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. - you'll see this is a--the memorandum below</p> <p>5 it, it's to pathologists in Newfoundland.</p> <p>6 It's from Dr. Denic and Dr. Carter, and it's</p> <p>7 re: breast pathology and he begins by saying</p> <p>8 "please find enclosed a number of evidence</p> <p>9 based policies in current use at the St.</p> <p>10 John's hospitals of Eastern Health. These</p> <p>11 policies refer to the grossing and reporting</p> <p>12 of breast specimens. These policies directly</p> <p>13 address items that were identified in the</p> <p>14 recent ER review as possible contributing</p> <p>15 factors" and then it goes on from there, okay?</p> <p>16 DR. BAKER:</p> <p>17 A. Yes.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Did you ever receive this e-mail?</p> <p>20 DR. BAKER:</p> <p>21 A. I did, yes.</p> <p>22 COFFEY, Q.C.:</p> <p>23 Q. And we understand that, from some evidence</p> <p>24 we've heard already, that about a week before</p> <p>25 this, there was a conference call involving</p>

Page 233

1 some senior officials throughout Newfoundland
 2 from various health authorities. Did you
 3 participate in that?
 4 DR. BAKER:
 5 A. No, I wasn't involved in that.
 6 COFFEY, Q.C.:
 7 Q. How is it that you came to get the e-mail?
 8 DR. BAKER:
 9 A. It was sent through, I believe, through Dr.
 10 Nash Denic's office, through his secretary,
 11 and I subsequently asked for a hard copy as
 12 well, and it was forwarded to me from his
 13 office.
 14 COFFEY, Q.C.:
 15 Q. And you received, there are a number here of--
 16 he refers there to evidence based policies, a
 17 number of them.
 18 DR. BAKER:
 19 A. Um-hm.
 20 COFFEY, Q.C.:
 21 Q. And I gather that these attachments are those?
 22 DR. BAKER:
 23 A. Yes, it was a fairly large document of 20-25
 24 pages probably.
 25 COFFEY, Q.C.:

Page 234

1 Q. And those particular policies and documents,
 2 did you--I take it you reviewed them when you
 3 received them?
 4 DR. BAKER:
 5 A. I reviewed them and if there were any changes
 6 needed within my own procedures within the
 7 lab, I instituted them immediately.
 8 COFFEY, Q.C.:
 9 Q. Do you recall what, if any, changes had to be
 10 made at the time?
 11 DR. BAKER:
 12 A. I'm sure these--probably the -
 13 COFFEY, Q.C.:
 14 Q. And Doctor, if I could, because you don't have
 15 the actual documents themselves in front of
 16 you, if we could bring up, please, Exhibit P-
 17 2195? Doctor, this is a copy of what was sent
 18 to Dr. Neil, but -
 19 DR. BAKER:
 20 A. Yes, okay.
 21 COFFEY, Q.C.:
 22 Q. - there's a ductal carcinoma in situ reporting
 23 document.
 24 DR. BAKER:
 25 A. Yes, it was mainly in relation to the way that

Page 235

1 things were reported, in my recollection. The
 2 so-called synoptic reporting and so on, the
 3 way that they would like to see the reports
 4 issued, although over the course of the past
 5 12 to 15 years, I had been using a form of
 6 synoptic reporting, but not exactly similar to
 7 this one. I received back in 1998 from Dr.
 8 Khalifa at one point, a number of synoptic
 9 check off form type lists. I don't know if
 10 you've seen them or not.
 11 COFFEY, Q.C.:
 12 Q. We certainly had references to them, yes.
 13 DR. BAKER:
 14 A. Yes, and they were a form of synoptic
 15 reporting and check offs for major malignancy
 16 such as breast and ovary and uterus and kidney
 17 and all those types of things, and I
 18 instituted those at the time and was
 19 essentially producing synoptic reports from
 20 that point on, from '98 on.
 21 COFFEY, Q.C.:
 22 Q. And so if here there were changes in the
 23 format or what the contents of what was
 24 requested here, you implemented those?
 25 DR. BAKER:

Page 236

1 A. I adjusted those, yes, implemented those.
 2 COFFEY, Q.C.:
 3 Q. And anything else, Doctor, in particular that
 4 stands out in your mind?
 5 DR. BAKER:
 6 A. No, not essentially, no.
 7 COFFEY, Q.C.:
 8 Q. In an operational way, in terms of fixation or
 9 grossing?
 10 DR. BAKER:
 11 A. No, because my standard procedure was
 12 essentially basically the same. The issue
 13 with fixation and making sure that tissue was
 14 in fixative in a very short period of time was
 15 always part of our course in Carbonear. There
 16 wasn't an issue.
 17 COFFEY, Q.C.:
 18 Q. Doctor, while I'm on the topic, okay, of
 19 written policies and procedures, if we could
 20 bring up, I believe, Registrar, you've already
 21 opened P-2157? I'm sorry. Yes, 2157.
 22 Doctor, this is a document, it's very long,
 23 it's 300 and some odd pages long.
 24 DR. BAKER:
 25 A. Um-hm.

1 COFFEY, Q.C.:

2 Q. "Pathology Policies and Procedures Manual,

3 Table of Contents" and it goes on from there.

4 Have you seen this before, Doctor?

5 DR. BAKER:

6 A. Yeah, actually, I've seen portions of it.

7 Some of them were issued to us, singly and

8 have come to my attention to Carbonear in

9 single versions, several of these. I was just

10 recently supplied with a document and I

11 haven't reviewed it completely, which looks

12 very similar to this document, just supplied

13 to me recently, within the past month, that

14 contains, I would think, most of these things.

15 COFFEY, Q.C.:

16 Q. Yeah. In fact, it's here electronically it's

17 382 pages.

18 DR. BAKER:

19 A. Yes.

20 COFFEY, Q.C.:

21 Q. This particular one, so -

22 DR. BAKER:

23 A. Yeah, it's a fairly large binder that I

24 received.

25 COFFEY, Q.C.:

1 we're in compliance.

2 THE COMMISSIONER:

3 Q. Just a small technical point.

4 DR. BAKER:

5 A. Yes.

6 THE COMMISSIONER:

7 Q. If they're adopted when you receive them, do

8 they apply to you anyway because you're part

9 of Eastern Health?

10 DR. BAKER:

11 A. Oh, yes, that's correct, yes. They would be

12 just brought into our system and made sure

13 that they were put in place appropriately.

14 THE COMMISSIONER:

15 Q. Okay. So you don't actually have to go

16 through a separate procedure within your

17 institution?

18 DR. BAKER:

19 A. Oh, no, no, no. We're a part of Eastern

20 Health and we adopt them automatically.

21 THE COMMISSIONER:

22 Q. All right, thank you.

23 COFFEY, Q.C.:

24 Q. Now, Doctor, has anyone ever approached you

25 about having Carbonear resume ER/PR testing in

1 Q. So the individual ones, when you began to

2 receive those, when would that have been?

3 DR. BAKER:

4 A. That was probably during--after Christmas of

5 this year, so probably January, February this

6 year, fixation policies. Some of them came in

7 draft form initially, then they came in final

8 form. Ones relating to tissue grossing and so

9 on, examination came again sometimes in draft

10 form and then in final form.

11 COFFEY, Q.C.:

12 Q. And what has your hospital done in relation to

13 those as they've been issued?

14 DR. BAKER:

15 A. We've implemented them as they've been issued,

16 yeah.

17 COFFEY, Q.C.:

18 Q. And the most recent large one, you're still in

19 the process of -

20 DR. BAKER:

21 A. Yes.

22 COFFEY, Q.C.:

23 Q. - having to go through it?

24 DR. BAKER:

25 A. Well, going through it and making sure that

1 St. John's?

2 DR. BAKER:

3 A. Yes.

4 COFFEY, Q.C.:

5 Q. Okay. When did that happen?

6 DR. BAKER:

7 A. That happened in January of this year. I

8 received a call from Dr. Nash Denic indicating

9 that the service was available in St. John's

10 now and that I should send all samples from

11 Carbonear site to St. John's for ER/PR testing

12 as of that time.

13 COFFEY, Q.C.:

14 Q. And did you do so?

15 DR. BAKER:

16 A. I did do so, yes. And that applied up until

17 April of this year when testing was suspended

18 again.

19 COFFEY, Q.C.:

20 Q. And what then happened in April? Since April

21 what's been going on?

22 DR. BAKER:

23 A. Since April, I have been sending them back to

24 Mount Sinai Hospital. I was told in April,

25 with a telephone call from Dr. Denic, that

Page 241	Page 243
<p>1 they had to suspend testing because of the, 2 well, the reduction in the number of 3 pathologists there and the amiability to 4 correct interpretation and that he was making 5 arrangement with Mount Sinai to resume testing 6 for them. 7 COFFEY, Q.C.: 8 Q. So since April of 2008? 9 DR. BAKER: 10 A. 2008, yes. 11 COFFEY, Q.C.: 12 Q. Doctor, have you been dealing directly then 13 with Mount Sinai again or - 14 DR. BAKER: 15 A. Yes, again, in a similar fashion as I was 16 before, yeah, sending off the--selecting the 17 blocks and sending them off with a copy of the 18 patient report and the request form for ER/PR 19 and HER2/neu. 20 COFFEY, Q.C.: 21 Q. And what's the current status of that 22 arrangement, have you been advised of anything 23 any different? 24 DR. BAKER: 25 A. No. It's still ongoing the same way.</p>	<p>1 workshop. Asked to contact Dr." somebody in 2 Clarenville. 3 DR. BAKER: 4 A. Dr. Khan in Clarenville. 5 COFFEY, Q.C.: 6 Q. Khan, in Clarenville, to do QC, presumably 7 that's QA in pathology? 8 DR. BAKER: 9 A. That's in--will I explain? 10 COFFEY, Q.C.: 11 Q. If you would, please? 12 DR. BAKER: 13 A. That's in relation to a similar type situation 14 that as instituted in St. John's within the 15 past year where there would be auditing of 16 patients' slides. There would be a selection 17 of patients' slides taken from recently 18 reported cases, a selection of them, probably 19 a dozen a month or so and reviewed by external 20 pathologists. So in St. John's they would be 21 reviewing them between themselves in there, 22 because there are a certain--a large number of 23 pathologists. 24 COFFEY, Q.C.: 25 Q. Um-hm.</p>
Page 242	Page 244
<p>1 COFFEY, Q.C.: 2 Q. Have you been advised of any plans? 3 DR. BAKER: 4 A. No. 5 COFFEY, Q.C.: 6 Q. To change in that regard? 7 DR. BAKER: 8 A. No, nothing, no, nothing at all. 9 COFFEY, Q.C.: 10 Q. Doctor, could, Doctor, Exhibit P-2524? 11 Doctor, this is some handwritten notes, 12 they've been identified to us as being of, I 13 think, Dr. Denic. 14 DR. BAKER: 15 A. Yes. 16 COFFEY, Q.C.: 17 Q. There's a note here June 13th, '08. Dr. Gary 18 Baker. And do you recall this evidence is 19 apparently a phone calls. It says told "he 20 must do CME activities as per Royal College 21 requirements." 22 DR. BAKER: 23 A. Um-hm. 24 COFFEY, Q.C.: 25 Q. "Encourage to attend the meetings and</p>	<p>1 DR. BAKER: 2 A. In Carbonear there was an agreement made that 3 I would send my slides to Clarenville and 4 Clarenville would send their slides to me, and 5 that has been instituted for external review. 6 And a report would be issued by each of the 7 pathologists upon review to the pathologist 8 indicating if there was any discrepancies. 9 COFFEY, Q.C.: 10 Q. And the choice of cases to - 11 DR. BAKER: 12 A. Would be random. 13 COFFEY, Q.C.: 14 Q. Random. And who is the random number 15 generator? 16 DR. BAKER: 17 A. The random number generator would be the 18 secretary. 19 COFFEY, Q.C.: 20 Q. The secretary, okay. And that happened, that 21 was instituted when, Doctor, I'm sorry? 22 DR. BAKER: 23 A. Within the past three months. 24 COFFEY, Q.C.: 25 Q. Okay. Doctor, has there been any written</p>

Page 245

1 direction in this regard? I appreciate that
 2 this is a note about the conversation, but -
 3 DR. BAKER:
 4 A. No, there's been no written direction. Dr.
 5 Denic visited me just a couple of weeks ago
 6 and indicated, I indicated to him that it has
 7 been instituted. He asked if there were
 8 reports issued. I indicated, yes, from the
 9 reviewing pathologist in Clarenville. And
 10 just before I came out here today, actually, I
 11 received slides from Clarenville to review for
 12 them, as well, so the thing is instituted and
 13 is working.
 14 COFFEY, Q.C.:
 15 Q. Doctor, is this just--when I say just, I don't
 16 mean to minimize it in any way.
 17 DR. BAKER:
 18 A. No.
 19 COFFEY, Q.C.:
 20 Q. But does this just involve slides or is it
 21 kind of a case review overall?
 22 DR. BAKER:
 23 A. No, it just involves slides. What we would do
 24 would be select the actual surgical slides and
 25 a copy of the report that was issued by

Page 246

1 myself, send them to the reviewing pathologist
 2 and he would review and either agree or
 3 disagree. If he disagreed, then he would
 4 highlight his disagreement.
 5 COFFEY, Q.C.:
 6 Q. Okay. So -
 7 DR. BAKER:
 8 A. And that would come back to me for either an
 9 addendum to the report if necessary, if it was
 10 a significant disagreement, or--and for
 11 education purposes, as well. So for
 12 discussion with him.
 13 COFFEY, Q.C.:
 14 Q. In reviewing these slides now, for example,
 15 the ones that just arrived in your
 16 institution, you'd have the reports and the
 17 related slides?
 18 DR. BAKER:
 19 A. Correct.
 20 COFFEY, Q.C.:
 21 Q. Related to his reports?
 22 DR. BAKER:
 23 A. Yes.
 24 COFFEY, Q.C.:
 25 Q. And you'd go through the slides, make your

Page 247

1 own--come to your own conclusions and look at
 2 his report and see if you agreed, disagreed or
 3 what you agreed or disagreed on?
 4 DR. BAKER:
 5 A. That's right.
 6 COFFEY, Q.C.:
 7 Q. And report accordingly?
 8 DR. BAKER:
 9 A. And actually, when I send mine out there, I
 10 always send blocks along, as well if he wanted
 11 to re-cut slides to make sure.
 12 COFFEY, Q.C.:
 13 Q. Okay. Doctor, the matter of identifying
 14 patients in the Carbonear area, were there
 15 some patients who were missed in the first
 16 time through?
 17 DR. BAKER:
 18 A. Yes, there were.
 19 COFFEY, Q.C.:
 20 Q. And when did you first become aware of that
 21 and the circumstances? Perhaps you could tell
 22 the Commissioner about that?
 23 DR. BAKER:
 24 A. I became aware of a number of missed patients
 25 in September of 2007. There had been--it was

Page 248

1 in between holidays that I was taking. I'd
 2 just come back from holidays in July and--or
 3 sorry, August, and there was a request on my
 4 desk from Terry Gulliver to bring together all
 5 the reports, both negative and positive, for
 6 ER/PR and compile them for Dr. Reza because he
 7 was doing a database. So I instructed my
 8 secretary at the time, who wasn't my regular
 9 secretary, she was a casual that was in place,
 10 to gather together all those reports again
 11 because originally it was just the negatives,
 12 so we had go looking for the positive again.
 13 And we got all those together, compiled them,
 14 and I think she just finished the task just
 15 before I went away on holidays for another
 16 week or so again. And she divided them into
 17 negative and positive and to years and
 18 submitted them to Terry Gulliver in St. John's
 19 for use by Dr. Reza for his compilation of a
 20 database. And I asked her before I left on
 21 holidays to make sure that she did a xerox
 22 copy of all the reports that she had compiled
 23 and put them in the filing cabinet so I could
 24 review them when I got back from my holidays.
 25 When I got back from my holidays, that was

Page 249	Page 251
<p>1 early September, I did take out the folder of 2 reports, both the positive and the negative. 3 I reviewed the negatives and everything seemed 4 fine. I reviewed the positive and as I was 5 going through, I noted, came across one that 6 was--and it's my way of reporting, I reported 7 positive as anything above zero. And I noted 8 one there that in one year, I think it was 9 '98, I don't remember the name, that was 10 reported as positive, you know, 15 to 20 11 percent and so on. And I just, something just 12 tweaked in me, something just--and said, well, 13 if this is in the positive pile, I wonder if 14 these were actually, actually retested. So I 15 went and checked the actual ones that we had 16 sent in and it wasn't.</p> <p>17 COFFEY, Q.C.: 18 Q. For retesting, yeah. 19 DR. BAKER: 20 A. For retesting, hadn't been sent in for 21 retesting. So then I said, well, you know, if 22 there's one, there may be others. So I went 23 down and went through the whole list of the 24 positive that was there and I detected ten of 25 the positive that hadn't been sent in for</p>	<p>1 testing was done but it was done over a period 2 of time because we were given the indication 3 from Mount Sinai that they were backlogged 4 with work so some of their reports didn't come 5 back from those retests, those delayed retests 6 until well into the fall, probably before 7 Christmas and some maybe even after Christmas.</p> <p>8 COFFEY, Q.C.: 9 Q. And what then happened with those reports when 10 they came back? 11 DR. BAKER: 12 A. Those reports were handled in the same way. 13 They were included into, as an addendum into 14 the original patients' report. They were 15 signed out by myself after verification and 16 sent to the surgeon involved and also to the 17 oncologist or to the oncology clinics, cancer 18 clinic in St. John's. And I assume that they 19 would have been handled in the same, a similar 20 fashion as all the rest of the ones would have 21 been handled in town, they would have been 22 vetted through the tumour panel, if necessary 23 if there were conversions and the people 24 notified through the appropriate avenues in 25 there.</p>
<p>1 retesting that really should have fallen into 2 the category of negative that were below the 3 30 percent.</p> <p>4 COFFEY, Q.C.: 5 Q. This cutoff point, this 10, 30 percent cutoff? 6 DR. BAKER: 7 A. That's right, yes. 8 COFFEY, Q.C.: 9 Q. Which I - 10 DR. BAKER: 11 A. Yes, alluded to earlier. 12 COFFEY, Q.C.: 13 Q. Alluded to earlier. 14 DR. BAKER: 15 A. Yes. So I immediately took those out. I had 16 a conversation with Dr. Oscar Howell about the 17 discovery of these cases and after that I 18 indicated to him that I had discovered the ten 19 cases and he said he would get Terry Gulliver 20 to call me. Terry did call me that afternoon 21 and we were just in the process of trying to 22 get together the slides and the blocks and all 23 the reports to be sent in. So the following 24 day we sent all the cases in to Terry Gulliver 25 for retesting. He received them and the</p>	<p>1 COFFEY, Q.C.: 2 Q. Do you know if, in fact, they did end up going 3 through a tumour panel? You assume so, yes. 4 DR. BAKER: 5 A. I'm not sure, I'm not sure, I have no idea. 6 There were some conversions. 7 COFFEY, Q.C.: 8 Q. And but in terms of the information you got 9 back, the reports on individual patients, the 10 ten patients, you followed the same process 11 you had before in terms of - 12 DR. BAKER: 13 A. Correct. 14 COFFEY, Q.C.: 15 Q. Make sure it was on their chart, make sure the 16 cancer clinic had it and the attending 17 physician? 18 DR. BAKER: 19 A. Yes. I tried to cover all bases. 20 COFFEY, Q.C.: 21 Q. Doctor, the matter of the deceased patients. 22 DR. BAKER: 23 A. Yes. 24 COFFEY, Q.C.: 25 Q. How did Carbonear handle that?</p>

1 DR. BAKER:
 2 A. The deceased patients, and that brings a
 3 comment to mind, back in the very beginning, I
 4 think it was probably in July, in one of the
 5 conversations I had with Don Cook, it was
 6 probably the second conversation about the
 7 broader scope of the examination, I did ask
 8 about deceased patients and the comment was
 9 made that, no, we haven't decided to do
 10 deceased patients yet, we will let you know
 11 when we're going to to do them or if and when
 12 we're going to do them. So I said, okay,
 13 fine, we'll just concentrate on the living
 14 patients right now and we'll get all those
 15 together. I heard no word about deceased
 16 patients until the conversation I had with
 17 Terry Gulliver on the day that I had, those
 18 two days I had discovered those ten reports.
 19 COFFEY, Q.C.:
 20 Q. Yes.
 21 DR. BAKER:
 22 A. And he just off the cuff had made a comment,
 23 well, I'll send them off with the deceased
 24 patients that I'm sending off now. And I
 25 said, Terry, you're sending off deceased

1 A. To my knowledge they have been, yes.
 2 COFFEY, Q.C.:
 3 Q. You've put them through the same--your own
 4 same process?
 5 DR. BAKER:
 6 A. Same process, yes.
 7 COFFEY, Q.C.:
 8 Q. Dictated to the chart and notifying the
 9 physician, the attending physician?
 10 DR. BAKER:
 11 A. Physician and the cancer clinic, yes.
 12 COFFEY, Q.C.:
 13 Q. And if their families are to be notified about
 14 the existence of those results and what those
 15 results were, I take it that whose, from your
 16 perspective, whose responsibility is that
 17 decision?
 18 DR. BAKER:
 19 A. Through the quality assurance people in St.
 20 John's. I assume that that was the process
 21 that was going to take place. I haven't been
 22 notified anything different.
 23 COFFEY, Q.C.:
 24 Q. And, Doctor, in terms of the patients, the
 25 ten, group of ten, their retest results, the

1 patients now? I said, no one notified me. So
 2 we went through our files again and found, I
 3 think it was three or four deceased patients
 4 that we also included in the bundle that we
 5 sent in with the ones that I had found. Other
 6 than that, I wouldn't have known.
 7 COFFEY, Q.C.:
 8 Q. And the deceased patients, those three or four
 9 patients, their tissue samples, have they been
 10 retested?
 11 DR. BAKER:
 12 A. Yes, they have.
 13 COFFEY, Q.C.:
 14 Q. And how about their reports, what's the status
 15 on that?
 16 DR. BAKER:
 17 A. They were forwarded back to me, as well and
 18 they've been included.
 19 COFFEY, Q.C.:
 20 Q. In the same way?
 21 DR. BAKER:
 22 A. Same way.
 23 COFFEY, Q.C.:
 24 Q. Same way that -
 25 DR. BAKER:

1 actual patients being notified of that, your
 2 understanding is that who was handling that?
 3 DR. BAKER:
 4 A. The quality assurance people, information
 5 people in St. John's.
 6 COFFEY, Q.C.:
 7 Q. If we could, please, Doctor, give the
 8 Commissioner some sense of this, if we could
 9 bring up, please Exhibit C-0026, please?
 10 Doctor, this is the report, department of
 11 laboratory medicine, Carbonear General
 12 Hospital report, for Elizabeth White?
 13 DR. BAKER:
 14 A. Yeah.
 15 MR. SIMMONS:
 16 Q. She's testified here and this is a report that
 17 would have been prepared, if we go to the
 18 second page, would have been prepared by
 19 yourself?
 20 DR. BAKER:
 21 A. Correct.
 22 COFFEY, Q.C.:
 23 Q. I take it. And this would be, now the first
 24 diagnostic report, I take it?
 25 DR. BAKER:

Page 257	Page 259
<p>1 A. Yes.</p> <p>2 COFFEY, Q.C.:</p> <p>3 Q. This is the sort of report this would be. We</p> <p>4 look at the date here, October 25th, 1999?</p> <p>5 DR. BAKER:</p> <p>6 A. Correct, yeah.</p> <p>7 COFFEY, Q.C.:</p> <p>8 Q. Okay, so. And, Doctor, you've indicated that</p> <p>9 you'd make the initial report and then order</p> <p>10 an ER/PR test and wait for the slides to come</p> <p>11 in in order to do the report relating to ER/PR</p> <p>12 status?</p> <p>13 DR. BAKER:</p> <p>14 A. Correct.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. Exhibit, the same exhibit, page 3. I</p> <p>17 apologize. Yeah, page 3. Doctor, this is</p> <p>18 again it's for Ms. White. And here is your</p> <p>19 report, it's November 4th, 1999 and it's</p> <p>20 styled addendum report. And it says,</p> <p>21 "Estrogen receptors-positive (20-30 percent of</p> <p>22 cells) and progesterone receptors-positive-(10</p> <p>23 percent of cells)."</p> <p>24 DR. BAKER:</p> <p>25 A. Yes.</p>	<p>1 would this have been identified as one to be</p> <p>2 retested?</p> <p>3 DR. BAKER:</p> <p>4 A. No, no, it wasn't. This was picked up by</p> <p>5 myself in those ten that I discovered in</p> <p>6 September. And when I went back to check</p> <p>7 whether or not it had been sent in, this</p> <p>8 specimen had already been requested in August,</p> <p>9 it had been sent in in August, so I discovered</p> <p>10 after the fact that it had already been sent</p> <p>11 in, requested in August of 2007.</p> <p>12 COFFEY, Q.C.:</p> <p>13 Q. Did you make any -</p> <p>14 DR. BAKER:</p> <p>15 A. So she was part of the ten that I had</p> <p>16 discovered.</p> <p>17 COFFEY, Q.C.:</p> <p>18 Q. She was part of the ten?</p> <p>19 DR. BAKER:</p> <p>20 A. Yes.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. And when you actually went to get her material</p> <p>23 -</p> <p>24 DR. BAKER:</p> <p>25 A. It was gone.</p>
Page 258	Page 260
<p>1 COFFEY, Q.C.:</p> <p>2 Q. So, Doctor, that's the reporting style, I take</p> <p>3 it, that you described to us earlier today?</p> <p>4 DR. BAKER:</p> <p>5 A. Correct.</p> <p>6 COFFEY, Q.C.:</p> <p>7 Q. If it was--if the number inside the brackets</p> <p>8 was anything other than zero?</p> <p>9 DR. BAKER:</p> <p>10 A. Yes.</p> <p>11 COFFEY, Q.C.:</p> <p>12 Q. It was positive, you used the word "positive"?</p> <p>13 DR. BAKER:</p> <p>14 A. Yes, I did.</p> <p>15 COFFEY, Q.C.:</p> <p>16 Q. Inside the brackets. And if, however, it was</p> <p>17 zero inside the brackets, zero percent of</p> <p>18 cells, then you would describe it as negative?</p> <p>19 DR. BAKER:</p> <p>20 A. Correct.</p> <p>21 COFFEY, Q.C.:</p> <p>22 Q. And, Doctor, then the--this particular patient</p> <p>23 as an example, okay, potentially, here,</p> <p>24 looking at this, Doctor, would this have been</p> <p>25 picked up in the first search for retesting,</p>	<p>1 COFFEY, Q.C.:</p> <p>2 Q. It was gone. And did you make any inquiries</p> <p>3 about what had happened in the--to cause it to</p> <p>4 go before you ever got around to identifying</p> <p>5 it?</p> <p>6 DR. BAKER:</p> <p>7 A. There was a request that came in from St.</p> <p>8 John's for us just to send the blocks in to</p> <p>9 St. John's for, it didn't say for anything, it</p> <p>10 just said--usually the request will come out</p> <p>11 and say we require the blocks of such and</p> <p>12 such, please send them in immediately.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. And had you been aware that, in fact, that it</p> <p>15 happened in respect to this particular</p> <p>16 patient?</p> <p>17 DR. BAKER:</p> <p>18 A. Was I made aware?</p> <p>19 COFFEY, Q.C.:</p> <p>20 Q. Were you aware of it in August that this had</p> <p>21 happened?</p> <p>22 DR. BAKER:</p> <p>23 A. Not to my recollection, I can't.</p> <p>24 COFFEY, Q.C.:</p> <p>25 Q. It could have happened, for example, while you</p>

Page 261	Page 263
<p>1 were on vacation? 2 DR. BAKER: 3 A. Could have very well, yes. 4 COFFEY, Q.C.: 5 Q. But you then -- she was amongst the ten? 6 DR. BAKER: 7 A. Yes, she was amongst the ten when I discovered 8 the ten in September. 9 COFFEY, Q.C.: 10 Q. Doctor, one of the things I did want to ask 11 you about in relation to this, if we could 12 look at Exhibit C-0027, please. Now, Doctor, 13 this is the -- again a copy of your pathology 14 report from Ms. White. 15 DR. BAKER: 16 A. Yes. 17 COFFEY, Q.C.: 18 Q. And it's the same, in fact -- a different 19 photocopy size of the same page we looked at 20 before. 21 DR. BAKER: 22 A. Yes. 23 COFFEY, Q.C.: 24 Q. C-0026, but when we look at page three of 25 this, which is the addendum page.</p>	<p>1 A. No, I don't. 2 COFFEY, Q.C.: 3 Q. I would point out, Commissioner, that that 4 particular copy may not have come from 5 Carbonear itself as we have received records 6 from elsewhere than actual Carbonear -- 7 DR. BAKER: 8 A. It could have -- I mean, it could have been a 9 copy of the report from the oncology files, 10 you know. 11 COFFEY, Q.C.: 12 Q. Yes. So, Doctor, just a couple of other 13 questions. You've referred to -- of course, 14 you've had a long term working relationship 15 with this technologist in pathology there at 16 your hospital for many years. 17 DR. BAKER: 18 A. Yes. 19 COFFEY, Q.C.: 20 Q. What's the nature of your interaction over the 21 years with your pathology technologist in the 22 sense of how frequent is it? 23 DR. BAKER: 24 A. The interaction? 25 COFFEY, Q.C.:</p>
Page 262	Page 264
<p>1 DR. BAKER: 2 A. Uh-hm. 3 COFFEY, Q.C.: 4 Q. In the report -- 5 DR. BAKER: 6 A. Yes. 7 COFFEY, Q.C.: 8 Q. Again the typed account here is the same as 9 the one we just looked at. 10 DR. BAKER: 11 A. Yes. 12 COFFEY, Q.C.: 13 Q. But here someone has scratched out the words 14 "positive" and written over the first one for 15 estrogen negative, and below the second one 16 for progesterone "negative". 17 DR. BAKER: 18 A. Yes. 19 COFFEY, Q.C.: 20 Q. Did you make that alteration? 21 DR. BAKER: 22 A. No, that's not my writing. 23 COFFEY, Q.C.: 24 Q. Do you know whose it is, Doctor? 25 DR. BAKER:</p>	<p>1 Q. Yes. 2 DR. BAKER: 3 A. It's on a daily basis consistent -- my office 4 is within the actual pathology section. Every 5 time I come outside my office door, my 6 technologist is there on the bench. We're 7 talking constantly on a daily basis. 8 COFFEY, Q.C.: 9 Q. So that if there were any problems that -- 10 it's a he? 11 DR. BAKER: 12 A. Yes. 13 COFFEY, Q.C.: 14 Q. He could raise it with you -- he's raised them 15 with you? 16 DR. BAKER: 17 A. He has raised any issues with me over the 18 years, yes. 19 COFFEY, Q.C.: 20 Q. And if you had any concerns at all, you -- 21 DR. BAKER: 22 A. Would raise them with him, yes. 23 COFFEY, Q.C.: 24 Q. Doctor, on one point, practising pathology as 25 a sole practitioner, and you've been doing it</p>

Page 265

1 for a while --

2 DR. BAKER:

3 A. Yes.

4 COFFEY, Q.C.:

5 Q. Twenty-five years. Doctor, what challenges

6 does that present, has it presented to you, if

7 any? Are there advantages; if so, what are

8 they; if there are any disadvantages, what are

9 they?

10 DR. BAKER:

11 A. It's difficult at times because you're -- the

12 disadvantage, I suppose, is that you're the

13 only individual there. You're on call

14 consistently throughout the day and the

15 weekends and so on for any issues that arise,

16 so the work commitment is heavy. I've made

17 myself accustomed to it. You know, I enjoy --

18 the commitment is there and I devote my time

19 to it. Another drawback would be that at times

20 it's nice to have a companion to slip a slide

21 to and say what do you think of that. There

22 are difficult cases, but I've always -- I know

23 my limitations and I've always utilized the

24 service in St. John's, my colleagues in there;

25 Dr. Denic, Dr. Cook, Dr. Elms, and so on over

Page 266

1 the years. I'd send in a consultation and

2 say, you know, this is my interpretation, what

3 do you think, and they always obliged me and

4 given me their opinion and so on. If they

5 can't give me their opinion, they'll send it

6 off somewhere else to get an opinion.

7 COFFEY, Q.C.:

8 Q. Doctor, is there an opportunity available for

9 you to take part via video-conferencing, for

10 example, in any kind of rounds in St. John's?

11 DR. BAKER:

12 A. There may be, but I haven't investigated that.

13 There may possibly be. We don't have a video-

14 conferencing centre in Carbonear. The closest

15 place for me to probably avail of video-

16 conferencing would be in the College of the

17 North Atlantic in Carbonear. So it's kind of

18 an inconvenient type of situation. We have

19 some teleconferencing facilities. Over the

20 years, I have been involved in a fair number

21 of teleconferencing of Telemedicine seminars

22 and conferencing related to pathology and so

23 on, and I've availed of those. I've availed of

24 -- as I said before previously in testimony,

25 you know, visiting speakers and so on, I avail

Page 267

1 of those, but being a sole practitioner, it's

2 difficult sometimes to break away from your

3 practice and go in town and attend rounds and

4 then drive back home again.

5 COFFEY, Q.C.:

6 Q. Oh, yes.

7 DR. BAKER:

8 A. It would certainly interrupt your work day and

9 interrupt your commitment to the work you had

10 to do.

11 COFFEY, Q.C.:

12 Q. Doctor, the ability to participate in

13 continuing medical education that does not

14 occur in St. John's, like, it occurs outside

15 the province, what has been that --

16 DR. BAKER:

17 A. It's been sporadic. It's difficult to get

18 locums at times to fill in to allow you to

19 travel to outside conferences.

20 COFFEY, Q.C.:

21 Q. Who's responsible for getting locums?

22 DR. BAKER:

23 A. For getting locums, myself, and it's difficult

24 to get locums for a vacation for a few weeks,

25 let alone to have them come on the spur of the

Page 268

1 moment for a three or four day conference. So

2 it's been sporadic over the years, and the

3 funding has been also not very great for that

4 type of activity. In our present old avalon

5 board and presently it still exists, there's a

6 great deal of variability in funding

7 throughout the various boards. Right now -- we

8 got a maximum of \$500.00 a year for CME

9 funding for travelling to conferences.

10 COFFEY, Q.C.:

11 Q. You say "we". Is it -

12 DR. BAKER:

13 A. We did. Now it's \$5,000.00.

14 COFFEY, Q.C.:

15 Q. Okay.

16 DR. BAKER:

17 A. But we did.

18 COFFEY, Q.C.:

19 Q. Up until recently it's was?

20 DR. BAKER:

21 A. \$500.00.

22 COFFEY, Q.C.:

23 Q. \$500.00, and it was \$500.00 what, for you?

24 DR. BAKER:

25 A. Yes.

Page 269	Page 271
<p>1 COFFEY, Q.C.:</p> <p>2 Q. Individual doctors, it was \$500.00?</p> <p>3 DR. BAKER:</p> <p>4 A. \$500.00.</p> <p>5 COFFEY, Q.C.:</p> <p>6 Q. Per year?</p> <p>7 DR. BAKER:</p> <p>8 A. Yes, that's correct.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. Commissioner, those are the questions I have</p> <p>11 for the doctor. Thank you.</p> <p>12 THE COMMISSIONER:</p> <p>13 Q. Thank you.</p> <p>14 MS. BRAZIL:</p> <p>15 Q. No questions, Commissioner.</p> <p>16 THE COMMISSIONER:</p> <p>17 Q. Mr. Simmons.</p> <p>18 COFFEY, Q.C.:</p> <p>19 Q. Actually, if I could, Mr. Simmons, just one --</p> <p>20 I apologize, Commissioner, just one thing, if</p> <p>21 I could. Doctor, just on the -- I had meant to</p> <p>22 ask you this. On this effort to identify</p> <p>23 these ten patients, has there been any efforts</p> <p>24 since to kind of go back through everything</p> <p>25 again?</p>	<p>1 one point, Dr. Baker. Exhibit C-0224, please.</p> <p>2 This is a pathology report that you commented</p> <p>3 on earlier?</p> <p>4 DR. BAKER:</p> <p>5 A. Yes.</p> <p>6 MR. SIMMONS:</p> <p>7 Q. And it's the one where you described how there</p> <p>8 was a primary sample, primary breast lesion</p> <p>9 sample that had originally been tested as ER</p> <p>10 negative PR negative, it was retested at Mount</p> <p>11 Sinai and continued to be negative and</p> <p>12 negative?</p> <p>13 DR. BAKER:</p> <p>14 A. Yes.</p> <p>15 MR. SIMMONS:</p> <p>16 Q. And there was also a subsequent -- well, there</p> <p>17 had been an ER/PR test done on a lymph node?</p> <p>18 DR. BAKER:</p> <p>19 A. Yes.</p> <p>20 MR. SIMMONS:</p> <p>21 Q. Was the lymph node also retested at Mount</p> <p>22 Sinai or not?</p> <p>23 DR. BAKER:</p> <p>24 A. No, the lymph node was -- I can't answer that</p> <p>25 question, I'm not sure. I assume that it was</p>
<p>Page 270</p> <p>1 DR. BAKER:</p> <p>2 A. No.</p> <p>3 COFFEY, Q.C.:</p> <p>4 Q. Like, that effort at the time, was that the</p> <p>5 last effort in Carbonear to identify patients,</p> <p>6 last search?</p> <p>7 DR. BAKER:</p> <p>8 A. Yes, it was.</p> <p>9 COFFEY, Q.C.:</p> <p>10 Q. That was it?</p> <p>11 DR. BAKER:</p> <p>12 A. Yes.</p> <p>13 COFFEY, Q.C.:</p> <p>14 Q. Doctor, I'm sorry, I just wanted to clarify</p> <p>15 that, Commissioner. Thank you.</p> <p>16 THE COMMISSIONER:</p> <p>17 Q. Nothing arising out of that was there, Ms.</p> <p>18 Brazil?</p> <p>19 MS. BRAZIL:</p> <p>20 Q. No.</p> <p>21 THE COMMISSIONER:</p> <p>22 Q. Okay, Mr. Simmons.</p> <p>23 DR. GARY BAKER - EXAMINATION BY MR. DAN SIMMONS</p> <p>24 MR. SIMMONS:</p> <p>25 Q. Thank you, Commissioner, only one question,</p>	<p>Page 272</p> <p>1 retested in St. John's, but I'm not sure.</p> <p>2 MR. SIMMONS:</p> <p>3 Q. Okay.</p> <p>4 DR. BAKER:</p> <p>5 A. I don't have a copy of that report.</p> <p>6 MR. SIMMONS:</p> <p>7 Q. The note here says that, "Baker reports ER 70</p> <p>8 percent on Ventana. Cook and Carter reviewed</p> <p>9 and found ER less than 5 percent". So --</p> <p>10 MR. SIMMONS:</p> <p>11 Q. From that I infer that they reviewed the -- my</p> <p>12 slides.</p> <p>13 MR. SIMMONS:</p> <p>14 Q. Your slides.</p> <p>15 DR. BAKER:</p> <p>16 A. And gave a different interpretation.</p> <p>17 MR. SIMMONS:</p> <p>18 Q. Right, so the reference to their being false</p> <p>19 positive here refers to the lymph node and</p> <p>20 appears to be based on a reinterpretation of</p> <p>21 the original slide, not the preparation of a</p> <p>22 new slide, is that fair?</p> <p>23 DR. BAKER:</p> <p>24 A. Yes.</p> <p>25 MR. SIMMONS:</p>

Page 273	Page 275
<p>1 Q. Okay, thank you.</p> <p>2 THE COMMISSIONER:</p> <p>3 Q. Mr. Pritchett?</p> <p>4 MR. PRITCHETT:</p> <p>5 Q. No questions, Commissioner.</p> <p>6 THE COMMISSIONER:</p> <p>7 Q. Ms. Newbury.</p> <p>8 DR. GARY BAKER - EXAMINATION BY MS. JENNIFER NEWBURY</p> <p>9 MS. NEWBURY:</p> <p>10 Q. Good afternoon, Dr. Baker. My name is</p> <p>11 Jennifer Newbury and I represent the Canadian</p> <p>12 Cancer Society, Newfoundland and Labrador</p> <p>13 Division. I just have a couple of questions</p> <p>14 for you. First of all, could you advise what</p> <p>15 our practise is at Carbonear Hospital in terms</p> <p>16 of using the Cancer Registry reporting any</p> <p>17 tumours, for example, to the Cancer Registry?</p> <p>18 DR. BAKER:</p> <p>19 A. We have always over the years provided hard</p> <p>20 copies of all tumours diagnosed in Carbonear</p> <p>21 to the Cancer Registry. We are linked within</p> <p>22 the past, I think probably two years, two and</p> <p>23 a half years, via computer terminal, and</p> <p>24 everything that's diagnosed as a carcinoma in</p> <p>25 any organ is automatically transmitted to the</p>	<p>1 take xerox copies of the original report and</p> <p>2 send them to the Tumour Registry.</p> <p>3 MS. NEWBURY:</p> <p>4 Q. And do you ever use information or look to a</p> <p>5 Cancer Registry for information for any</p> <p>6 reason, for any part of your practice?</p> <p>7 DR. BAKER:</p> <p>8 A. I haven't, no.</p> <p>9 MS. NEWBURY:</p> <p>10 Q. And did you contact the Cancer Registry to</p> <p>11 assist you when the ER/PR problem arose in</p> <p>12 identifying patients whose specimens needed to</p> <p>13 be retested?</p> <p>14 DR. BAKER:</p> <p>15 A. No, I didn't.</p> <p>16 MS. NEWBURY:</p> <p>17 Q. And is there any reason why you didn't do</p> <p>18 that?</p> <p>19 DR. BAKER:</p> <p>20 A. I just didn't think of it at the time,</p> <p>21 actually, to be honest.</p> <p>22 MS. NEWBURY:</p> <p>23 Q. Okay. You were discussing a little earlier</p> <p>24 today about the recent procedure that's been</p> <p>25 adopted for auditing slides.</p>
Page 274	Page 276
<p>1 Cancer Registry.</p> <p>2 MS. NEWBURY:</p> <p>3 Q. Okay, and so over the last couple of years,</p> <p>4 it's electronically; before that, hard copies?</p> <p>5 DR. BAKER:</p> <p>6 A. Yes.</p> <p>7 MS. NEWBURY:</p> <p>8 Q. And that was consistent since you were there</p> <p>9 in 1983?</p> <p>10 DR. BAKER:</p> <p>11 A. Correct, yes.</p> <p>12 MS. NEWBURY:</p> <p>13 Q. And what types of information would be</p> <p>14 included in that?</p> <p>15 DR. BAKER:</p> <p>16 A. It would be a full copy of the report issued</p> <p>17 by myself on a patient tissue.</p> <p>18 MS. NEWBURY:</p> <p>19 Q. And who at the Carbonear Hospital is</p> <p>20 responsible for this function?</p> <p>21 DR. BAKER:</p> <p>22 A. Well, the electronic form now is automatically</p> <p>23 transported via the computer terminal once</p> <p>24 it's been typed into the system by my</p> <p>25 secretary. Previous to that, she would also</p>	<p>1 DR. BAKER:</p> <p>2 A. Yes.</p> <p>3 MS. NEWBURY:</p> <p>4 Q. You've indicated that's been done for the last</p> <p>5 three or four months, I believe?</p> <p>6 DR. BAKER:</p> <p>7 A. Yes.</p> <p>8 MS. NEWBURY:</p> <p>9 Q. And are the results of these reviews recorded</p> <p>10 in individual patient files?</p> <p>11 DR. BAKER:</p> <p>12 A. No -- well, if there are any variations in</p> <p>13 opinions, they would be issued as an addendum</p> <p>14 to the patient's report. If there are no</p> <p>15 changes in the diagnosis or any variation in</p> <p>16 some characteristics of the diagnosis that was</p> <p>17 made, then they would not be entered into the</p> <p>18 patient report, no.</p> <p>19 MS. NEWBURY:</p> <p>20 Q. And in the example or situation where there</p> <p>21 has been a different opinion, I assume that</p> <p>22 it's added in as an addendum to the report?</p> <p>23 DR. BAKER:</p> <p>24 A. Yes.</p> <p>25 MS. NEWBURY:</p>

Page 277	Page 279
<p>1 Q. And then the referring physician would --</p> <p>2 DR. BAKER:</p> <p>3 A. Would get a copy of it, yes.</p> <p>4 MS. NEWBURY:</p> <p>5 Q. Would take that into account --</p> <p>6 DR. BAKER:</p> <p>7 A. Yes.</p> <p>8 MS. NEWBURY:</p> <p>9 Q. And proceed accordingly. Are there any</p> <p>10 overall reports generated to, I guess, analyze</p> <p>11 or to track trends for any discrepancies that</p> <p>12 might happen over a period of time?</p> <p>13 DR. BAKER:</p> <p>14 A. Not at the present time there isn't, no.</p> <p>15 MS. NEWBURY:</p> <p>16 Q. And do you know of any plans for that to take</p> <p>17 place?</p> <p>18 DR. BAKER:</p> <p>19 A. Not that I'm aware of, unless there's some</p> <p>20 plans that will be coming down through Dr.</p> <p>21 Denic and so on (unintelligible).</p> <p>22 MS. NEWBURY:</p> <p>23 Q. Okay, and this was initiated by Dr. Denic, was</p> <p>24 it?</p> <p>25 DR. BAKER:</p>	<p>1 section of cases within the laboratory, for</p> <p>2 example, different tumour sites or different</p> <p>3 tests that might be done for tumour sites?</p> <p>4 DR. BAKER:</p> <p>5 A. Not really, no, just a broad selection and so</p> <p>6 on, she would -- nothing specific, no.</p> <p>7 MS. NEWBURY:</p> <p>8 Q. And do you know if there are any plans to</p> <p>9 analyze the data that might be collected, for</p> <p>10 example, over the course of a year or two just</p> <p>11 to ensure that there is a representative</p> <p>12 sample?</p> <p>13 DR. BAKER:</p> <p>14 A. That is the intent, I think, yes.</p> <p>15 MS. NEWBURY:</p> <p>16 Q. Okay, and where did you learn that information</p> <p>17 that that was --</p> <p>18 DR. BAKER:</p> <p>19 A. That would have come from Dr. Denic as well.</p> <p>20 MS. NEWBURY:</p> <p>21 Q. And when you're reviewing slides that come to</p> <p>22 you from Clarendville, what types of issues</p> <p>23 would you expect to detect in your view, and I</p> <p>24 guess I'm referring to the different phases of</p> <p>25 a laboratory test, and more particularly, are</p>
<p style="text-align: right;">Page 278</p> <p>1 A. Well, it was originally initiated in St.</p> <p>2 John's back, I think, last fall, and then it</p> <p>3 was just fanned out to the rest of the region</p> <p>4 this spring and summer.</p> <p>5 MS. NEWBURY:</p> <p>6 Q. And are you required to provide any</p> <p>7 information whatsoever about the results, the</p> <p>8 numbers of tests, the types of tests, and what</p> <p>9 the results are?</p> <p>10 DR. BAKER:</p> <p>11 A. In relation to the auditing?</p> <p>12 MS. NEWBURY:</p> <p>13 Q. Yes.</p> <p>14 DR. BAKER:</p> <p>15 A. Yes, the reports that I receive back from the</p> <p>16 auditing pathologist are available and will be</p> <p>17 made available to Dr. Denic.</p> <p>18 MS. NEWBURY:</p> <p>19 Q. Thank you, and in selecting the random cases,</p> <p>20 you indicated that your secretary is involved</p> <p>21 in doing that?</p> <p>22 DR. BAKER:</p> <p>23 A. Uh-hm.</p> <p>24 MS. NEWBURY:</p> <p>25 Q. Does she have any direction to cover a cross-</p>	<p style="text-align: right;">Page 280</p> <p>1 you looking at interpretation issues only or</p> <p>2 could you be able to detect issues with the</p> <p>3 technical preparation of the slides, any pre-</p> <p>4 analytical fixation issues, as an example?</p> <p>5 DR. BAKER:</p> <p>6 A. Well, if there was anything there that I</p> <p>7 detected and I felt should be drawn to the</p> <p>8 attention of the pathologist concerned, then I</p> <p>9 would do so. I'm mainly looking at diagnosis,</p> <p>10 appropriate diagnosis being -- you know, my</p> <p>11 interpretation being consistent with him, the</p> <p>12 grading of the tumours and so on, that type of</p> <p>13 thing.</p> <p>14 MS. NEWBURY:</p> <p>15 Q. And would you comment on the general quality</p> <p>16 of the slide? I understand that there might</p> <p>17 be some cases where the quality may not be</p> <p>18 great, but a pathologist might be comfortable</p> <p>19 in making an interpretation and making a</p> <p>20 diagnosis --</p> <p>21 DR. BAKER:</p> <p>22 A. Uh-hm.</p> <p>23 MS. NEWBURY:</p> <p>24 Q. But would you, just in a view to looking at</p> <p>25 the overall quality of what's being produced,</p>

Page 281	Page 283
<p>1 would you comment even if you can make an 2 interpretation on the quality of the slides? 3 DR. BAKER: 4 A. Yes, I would, I would. 5 MS. NEWBURY: 6 Q. Thank you, Dr. Baker, those are the questions 7 I have. 8 THE COMMISSIONER: 9 Q. Thank you. Ms Brocklehurst. 10 MS. BROCKLEHURST: 11 Q. No, Commissioner, thank you. 12 THE COMMISSIONER: 13 Q. Mr. Pike. 14 MR. PIKE: 15 Q. No questions, thank you. 16 THE COMMISSIONER: 17 Q. Mr. Browne. 18 DR. GARY BAKER - EXAMINATION BY MR. PETER BROWNE 19 MR. BROWNE: 20 Q. Dr. Baker, good afternoon. Just one question 21 I want to canvas with you, a very short one. 22 Carbonear was very late in the game getting 23 Meditech, is that correct? I think it was 24 2004. 25 DR. BAKER:</p>	<p>1 to do so. 2 DR. BAKER: 3 A. I have no specific comments, but I'd just like 4 to thank -- take the opportunity to thank the 5 Commission for having me here and providing 6 the information, and I wish them well in their 7 deliberations and hopefully what comes out of 8 the Commission will benefit all in the health 9 care system. 10 THE COMMISSIONER: 11 Q. There's one small point perhaps you can 12 clarify for me out of your evidence earlier 13 today. Would you bring up Exhibit 2525, 14 please, P-2525. This was providing you with 15 information in June of 2005. 16 DR. BAKER: 17 A. Yes. 18 THE COMMISSIONER: 19 Q. And this was shown to you by Mr. Coffey in the 20 examination this morning. 21 DR. BAKER: 22 A. Yes. 23 THE COMMISSIONER: 24 Q. And you said in answer to his question this 25 morning that on reading this, your -- what you</p>
Page 282	Page 284
<p>1 A. Yes, late 2003/2004, yes. 2 MR. BROWNE: 3 Q. And the system it has in place now that you're 4 part of Eastern Health, are you able to link 5 into other sites within Eastern Health, I 6 guess, geographic area, for instance? Can 7 Meditech at Carbonear link into the Cancer 8 Clinic or Health Sciences Centre? 9 DR. BAKER: 10 A. No, it can't. 11 MR. BROWNE: 12 Q. So there's no interfacing capabilities with 13 the Meditech System? 14 DR. BAKER: 15 A. Not at the present time, no. 16 MR. BROWNE: 17 Q. Are you aware of any intentions in the future 18 to sort of make that linking available? 19 DR. BAKER: 20 A. No, I'm not. 21 MR. BROWNE: 22 Q. Finally, Doctor, the Commissioner invites 23 anybody who sits in that chair to make any 24 comments, observations, or recommendations, 25 and this would be your opportunity if you wish</p>	<p>1 said was that you tended to the view. I'm 2 presuming your interpretation of what had been 3 provided to you was that it was a technical 4 problem in their staining system? 5 DR. BAKER: 6 A. Yes, I'd just inferred from that that they 7 were referencing the two systems there and so 8 on, and I just inferred from that that there 9 may have been some technical problem that they 10 were trying to resolve. 11 THE COMMISSIONER: 12 Q. My question is, what do you mean by technical 13 problem? 14 DR. BAKER: 15 A. Just some problem with the actual systems and 16 staining of the slides. 17 THE COMMISSIONER: 18 Q. Does that mean with the operation of the 19 machinery, does that mean with -- 20 DR. BAKER: 21 A. Could be a whole broad spectrum of -- I'm 22 using a general term. 23 THE COMMISSIONER: 24 Q. But when you say it's just a technical 25 problem, that's in contrast to what?</p>

Page 285

1 DR. BAKER:
 2 A. Interpretation problem, I suppose.
 3 THE COMMISSIONER:
 4 Q. So you were looking at it in terms of whether
 5 or not the problems that were arising were
 6 interpretation problems by pathologists versus
 7 something in the system before you get to look
 8 at the slide, is that it?
 9 DR. BAKER:
 10 A. That's correct, yes.
 11 THE COMMISSIONER:
 12 Q. And when you say technical problem, it's sort
 13 of before it gets to your desk for
 14 interpretation?
 15 DR. BAKER:
 16 A. Yes, that's correct.
 17 MR. BROWNE:
 18 Q. Commissioner, if I may just follow up on that.
 19 THE COMMISSIONER:
 20 Q. Sure.
 21 MR. BROWNE:
 22 Q. Doctor, would that come from -- if you look at
 23 the third line there, and I'll just read it
 24 out, "This new Ventana System is fully
 25 automated. It's much more sensitive than the

Page 286

1 immunoperoxidase technique under the previous
 2 DAKO machine". Is that what you would be
 3 referring to as the technical --
 4 DR. BAKER:
 5 A. Yes, that's what I would be, yes.
 6 THE COMMISSIONER:
 7 Q. Anything arising, Mr. Coffey?
 8 COFFEY, Q.C.:
 9 Q. No, Commissioner, thank you.
 10 THE COMMISSIONER:
 11 Q. Thank you, Dr. Baker, I very much appreciate
 12 your having come around the bay to assist us.
 13 DR. BAKER:
 14 A. Thank you.
 15 THE COMMISSIONER:
 16 Q. Counsel, would you like to take the afternoon
 17 break so we can switch over to the next
 18 witness.
 19 COFFEY, Q.C.:
 20 Q. Yes, please.
 21 (RECESS)
 22 THE COMMISSIONER:
 23 Q. Mr. Chaytor.
 24 CHAYTOR, Q.C.:
 25 Q. Good afternoon, Commissioner. The next witness

Page 287

1 is Dr. Jehan Siddiqui.
 2 DR. JEHAN SIDDIQUI (AFFIRMED) EXAMINATION BY MS. SANDRA
 3 CHAYTOR, Q.C.
 4 REGISTRAR:
 5 Q. Would you please state and spell your complete
 6 name for the Commission.
 7 DR. JEHAN SIDDIQUI:
 8 A. Jehan Zaid Siddiqui, J-E-H-A-N Z-A-I-D S-I-
 9 D-D-I-Q-U-I.
 10 CHAYTOR, Q.C.:
 11 Q. Commissioner, we have a few new exhibits this
 12 afternoon. It's P-2545 through to P-2549
 13 inclusive, which I would ask, please, to have
 14 entered.
 15 THE COMMISSIONER:
 16 Q. Entered.
 17 EXHIBITS P-2545 THROUGH TO P-2549 ENTERED
 18 CHAYTOR, Q.C.:
 19 Q. Thank you. Good afternoon, Dr. Siddiqui.
 20 DR. SIDDIQUI:
 21 A. Good afternoon.
 22 CHAYTOR, Q.C.:
 23 Q. Dr. Siddiqui, perhaps you could begin by just
 24 briefly summarizing for us your educational
 25 and professional background, and for your

Page 288

1 assistance perhaps we could bring up P-2549,
 2 please, Registrar. This, I understand, is a
 3 copy of your current CV, is that correct? So
 4 perhaps you could just take us through then
 5 and highlight your educational and
 6 professional background.
 7 DR. SIDDIQUI:
 8 A. Okay. I did my medicine degree from Pakistan,
 9 and after that I came to US in 1993. I did a
 10 residency in internal medicine from State
 11 University of Detroit, and that was from 1994
 12 to 1997. I finished that in June of 1997. In
 13 July, I joined State University of New York,
 14 their East Meadow Complex, and that was a
 15 fellowship in hematology and medical oncology.
 16 I completed that fellowship in end of June,
 17 2000, and at that point I started a fellowship
 18 in bone marrow transplant, and that was at
 19 State University of Florida in Tampa. I did
 20 not complete that fellowship. I left that
 21 fellowship in 2001 and I came to Newfoundland.
 22 I have passed my US diploma boards in internal
 23 medicine, medical oncology, and hematology,
 24 and I've also passed Canadian Royal College
 25 Boards in internal medicine and medical

Page 289	Page 291
<p>1 oncology. I joined here as a medical 2 oncologist in 2001 in January. I worked in 3 that capacity and then April of 2006, I was 4 offered the position of divisional chief in 5 Division of Medical oncology and that's what I 6 am working as right now.</p> <p>7 CHAYTOR, Q.C.:</p> <p>8 Q. Okay. Doctor, if I just may look at the 9 second page of your CV, there are a number of 10 research/presentations mentioned. The first 11 one indicates, "Elevated Von Willebrand 12 antigen levels and patients receiving 13 Tamoxifen as adjuvant therapy for primary 14 breast carcinoma". Could you tell us what was 15 that about? It looks like it's research you 16 did in conjunction with others in 2000?</p> <p>17 DR. SIDDIQUI:</p> <p>18 A. Dr. Stephen Feffer, he was our chief of 19 oncology at that facility, and Ms. Robin Fox 20 was our special technician for the coagulation 21 lab. These were the two other individuals 22 mentioned in that, and this was kind of a 23 retrospective study and they just looked at in 24 those patients who had -- I'm trying to 25 remember. I have not looked at that recently,</p>	<p>1 arrange for clinics for other doctors to 2 facilitate and to collaborate with the nursing 3 to make sure that they have nurses available 4 for that. I also make call schedules, who is 5 doing floor, who is doing consults. I also 6 arrange for physicians to go out to peripheral 7 clinics and by that, I mean, Gander, Grand 8 Falls, and Corner Brook. We go there on a 9 monthly basis to make sure that somebody is 10 going there, to make the schedule for that for 11 the whole year. In addition to that, I'm part 12 of several teams and committees. One example 13 is the leadership meeting. Then there are 14 several others, clinic management meetings. So 15 there are several of those that I am part of.</p> <p>16 CHAYTOR, Q.C.:</p> <p>17 Q. Okay. So how much time would you dedicate to 18 those -- I will call them administrative 19 duties. How much time would be dedicated to 20 those in terms of percentage of your day or 21 percentage of your week?</p> <p>22 DR. SIDDIQUI:</p> <p>23 A. Since April of 2007, it has been accepted as 24 30 percent.</p> <p>25 CHAYTOR, Q.C.:</p>
<p>Page 290</p> <p>1 but I think what it was looking 2 retrospectively at those patients who were on 3 Tamoxifen and they developed a blood clot, to 4 see if they had elevated levels of Von 5 Willebrand factor.</p> <p>6 CHAYTOR, Q.C.:</p> <p>7 Q. Okay. You say that your current position 8 you're a divisional chief and you've been 9 divisional chief of medical oncology since 10 April, 2006?</p> <p>11 DR. SIDDIQUI:</p> <p>12 A. That's correct.</p> <p>13 CHAYTOR, Q.C.:</p> <p>14 Q. What are your duties as divisional chief, what 15 does it mean to be the divisional chief?</p> <p>16 DR. SIDDIQUI:</p> <p>17 A. I think with the recent changes in the 18 hierarchy, it probably hasn't been revised 19 recently, but what I can tell you is basically 20 it is to carry out the day to day functioning 21 of the division of medical oncology, and what 22 that includes is that includes that I make 23 sure that all the patients which are referred 24 to us are seen, whether it would be at our 25 centre in St. John's, and that means to</p>	<p>Page 292</p> <p>1 Q. And that's since April of 2007. So you were in 2 the position for a year before that?</p> <p>3 DR. SIDDIQUI:</p> <p>4 A. That's right.</p> <p>5 CHAYTOR, Q.C.:</p> <p>6 Q. And was your division in terms of how much 7 time you spent any different prior to April of 8 2007?</p> <p>9 DR. SIDDIQUI:</p> <p>10 A. No, it wasn't, but the only difference was 11 that you were asking about that, and it was in 12 April of 2007 that an agreement was reached.</p> <p>13 CHAYTOR, Q.C.:</p> <p>14 Q. Okay.</p> <p>15 DR. SIDDIQUI:</p> <p>16 A. And they accepted that much, so what that 17 meant was that they decrease the number of 18 patients that myself, as divisional chief of 19 medical oncology, and Dr. Ganguly, as 20 divisional chief of radiation oncology, had to 21 see, and that was to take care of that 30 22 percent of the time.</p> <p>23 CHAYTOR, Q.C.:</p> <p>24 Q. And who was this agreement reached with?</p> <p>25 DR. SIDDIQUI:</p>

Page 293

1 A. This was with MCP.
 2 CHAYTOR, Q.C.:
 3 Q. Okay, and I think we'll see some --
 4 DR. SIDDIQUI:
 5 A. And our hospital as well, of course.
 6 CHAYTOR, Q.C.:
 7 Q. Your own administration as well.
 8 DR. SIDDIQUI:
 9 A. Our administration and the Eastern Health
 10 administration.
 11 CHAYTOR, Q.C.:
 12 Q. Yes, and I think we'll see some correspondence
 13 that I'll ask you about that in a little bit.
 14 So it's always been -- about 30 percent of
 15 your time has been dedicated to those duties,
 16 but it was formally recognized and compensated
 17 for as being 30 percent in April of 2007, is
 18 that accurate?
 19 DR. SIDDIQUI:
 20 A. That's correct.
 21 CHAYTOR, Q.C.:
 22 Q. Okay. As divisional chief, does anybody
 23 report to you?
 24 DR. SIDDIQUI:
 25 A. My group of oncologists.

Page 294

1 CHAYTOR, Q.C.:
 2 Q. So all of the medical oncologists?
 3 DR. SIDDIQUI:
 4 A. Not all. Dr. Laing is a medical oncologist as
 5 well as is Dr. Saltman too, but they are my
 6 superiors, so the remaining ones.
 7 CHAYTOR, Q.C.:
 8 Q. Yes, and Dr. Laing, we understand, is the
 9 clinical chief?
 10 DR. SIDDIQUI:
 11 A. That is correct.
 12 CHAYTOR, Q.C.:
 13 Q. So you would report to Dr. Laing, is that
 14 right?
 15 DR. SIDDIQUI:
 16 A. I would.
 17 CHAYTOR, Q.C.:
 18 Q. And what's the difference, what is it that the
 19 clinical chief does that's different from the
 20 divisional chief?
 21 DR. SIDDIQUI:
 22 A. The current hierarchy is that we have two
 23 divisional chiefs. One is for medical oncology
 24 and the other one is for radiation oncology,
 25 and what I do for my division, similar to

Page 295

1 radiation division and the radiation chief
 2 would do, and these are for individual
 3 departments, and then both of us, we report to
 4 the clinical chief and we have program
 5 director as well, two of them we report. Dr.
 6 Laing is the clinical phase of our discipline
 7 and in the current hierarchy, and Dr. Saltman
 8 is academic phase of discipline, and Sharon
 9 Smith is our administrative program director.
 10 CHAYTOR, Q.C.:
 11 Q. So she's your program director?
 12 DR. SIDDIQUI:
 13 A. That's correct.
 14 CHAYTOR, Q.C.:
 15 Q. And on what matters would you report to Mrs.
 16 Smith?
 17 DR. SIDDIQUI:
 18 A. Most of the meetings, we sit together. I'm
 19 part of the leadership team, so we go through
 20 that, many of the patient matters, all the
 21 other services that are related to patient
 22 care like nursing, pharmacy, they all report
 23 to her in my understanding. So I can talk to
 24 them or if I have something about that, I can
 25 talk to Ms. Smith as well.

Page 296

1 CHAYTOR, Q.C.:
 2 Q. Okay. And we've heard some discussion about
 3 how the program management style works or not,
 4 I guess, for the laboratory medicine program
 5 and there's recently been some changes in that
 6 regard. From your perspective as an
 7 oncologist in a program management where
 8 there's two tiers with a program director and
 9 clinical or medical director, has there been
 10 that kind of a tension or any tension in the
 11 oncology service?
 12 DR. SIDDIQUI:
 13 A. I don't think so. I think that for the
 14 academic phase, which is Dr. Saltman, that is
 15 evolving a bit since we were recently changed
 16 to a separate discipline of medicine -- sorry,
 17 a discipline of oncology. We were part of
 18 discipline of medicine, and we are a
 19 discipline of oncology, so that is evolving.
 20 That will be more of academic part of it and
 21 to develop research part of it. I never had
 22 any problems in terms of reporting or to get
 23 hold of any one of these three guys.
 24 CHAYTOR, Q.C.:
 25 Q. So from your perspective, it has worked well?

Page 297	Page 299
<p>1 DR. SIDDIQUI: 2 A. It has. 3 CHAYTOR, Q.C.: 4 Q. When was the change from it being a discipline 5 of medicine to discipline of oncology, when 6 did that come about? 7 DR. SIDDIQUI: 8 A. I remember when Dr. Saltman came in, I don't 9 know is that exactly the date that this 10 happened. That would be sometime in April, but 11 I could be wrong. 12 CHAYTOR, Q.C.: 13 Q. This year, this calendar year? 14 DR. SIDDIQUI: 15 A. This year, but I could be wrong this way or 16 that way. I think it may be a bit earlier, 17 but I think it joined somewhere in April of 18 2008. 19 CHAYTOR, Q.C.: 20 Q. And so what difference then did that make with 21 Dr. Saltman coming on? 22 DR. SIDDIQUI: 23 A. In respect to? 24 CHAYTOR, Q.C.: 25 Q. In respect to how the oncology service</p>	<p>1 site and I did that when I was asked to do 2 when I came in 2001. When I first joined, 3 there were three of us and I saw pretty much 4 all kinds of cancers, but then other 5 individuals started to come in. Dr. McCarthy 6 came in in 2001. Dr. Rorke came around the 7 same time, that was in summer. Then Dr. Ahmad 8 came in 2002. So once we had more and more of 9 individuals, we tried to become a bit site 10 specific. The growth in oncology is so quick, 11 and it's so astounding that it is better if 12 you are looking at one site. In many of the 13 bigger centres, you will now find people who 14 won't even do a whole system, they would just 15 do a single organ. So these are 16 subspecializations, but they still see other 17 things as well. 18 CHAYTOR, Q.C.: 19 Q. And, of course, when you say "site", you mean 20 a disease site? 21 DR. SIDDIQUI: 22 A. A disease site, yes. 23 CHAYTOR, Q.C.: 24 Q. So when you joined in 2001, there were three 25 medical oncologists. You said the three of</p>
<p>Page 298</p> <p>1 actually operates or in terms of reporting 2 structures? 3 DR. SIDDIQUI: 4 A. It hasn't made any big difference yet. He is 5 pretty much doing -- working as a full-fledged 6 medical oncologist. Right now he's doing 7 clinics as well as -- in St. John's as well as 8 his peripheral clinics. He goes to Corner 9 Brook, he's doing all those things. In terms 10 of reporting, I haven't noticed any difference 11 yet. He's also part of some of the committees 12 that I go to, and I still report to Kara and 13 to Sharon. 14 CHAYTOR, Q.C.: 15 Q. Okay, and, Doctor, do you have any particular 16 subspecialty? 17 DR. SIDDIQUI: 18 A. I have been trained as first internist and 19 medical oncologist and hematologist. and in my 20 training which was combined, we dealt with all 21 kinds of patients. Usually what happens is 22 that after a certain time period when you're 23 in practice, individual doctors, and that 24 would happen in a lot of specialities, they 25 try to pick up one site as their preferred</p>	<p>Page 300</p> <p>1 you. That means medical oncologists? 2 DR. SIDDIQUI: 3 A. Yes, Dr. Laing, shortly after I joined, she 4 went on maternity leave. 5 CHAYTOR, Q.C.: 6 Q. Okay. 7 DR. SIDDIQUI: 8 A. And Dr. Alidina, he left it was in April or 9 May of 2001, and Dr. McCarthy and Dr. Rorke 10 came in July or August of 2001, and Dr. Wasil 11 left in 2001. So in that year there was a bit 12 of shuffle. 13 CHAYTOR, Q.C.: 14 Q. So compared to your numbers when you joined in 15 2001 and where you are today in 2008, how many 16 medical oncologists would there be? 17 DR. SIDDIQUI: 18 A. Now there are a total of seven. 19 CHAYTOR, Q.C.: 20 Q. So that's allowed you more of a luxury to 21 specialize in certain disease sites, is that 22 right? 23 DR. SIDDIQUI: 24 A. Exactly. 25 CHAYTOR, Q.C.:</p>

Page 301

1 Q. Yes, okay. So which particular area are you
2 more focused on now?
3 DR. SIDDIQUI:
4 A. My focus is more on gastroenterology, lung,
5 and genito-utinary.
6 CHAYTOR, Q.C.:
7 Q. And what percentage of your practice would
8 include breast cancer patients?
9 DR. SIDDIQUI:
10 A. If we talk about the new breast cancer
11 patients, in the last three and a half to
12 three quarter of a year, I have seen probably
13 less than 30 new patients of breast cancer.
14 CHAYTOR, Q.C.:
15 Q. Less than 30?
16 DR. SIDDIQUI:
17 A. Less than 30 new, but I have old patients that
18 I have been following, and among these 30,
19 about -- I would say more than 50 percent were
20 seen in Corner Brook, and those other patients
21 who do not want to, or for personal reason
22 cannot come to St. John's to be seen.
23 CHAYTOR, Q.C.:
24 Q. So how would that compare then, 30 new, how
25 many new patients overall would you see in a

Page 302

1 year?
2 DR. SIDDIQUI:
3 A. On an average, somewhere between 180 to 210.
4 CHAYTOR, Q.C.:
5 Q. Okay. Mr. Coffey has just pointed out to me
6 you, in fact, said 30 over three years, is
7 that correct?
8 DR. SIDDIQUI:
9 A. Three and a half to three quarter of a year.
10 CHAYTOR, Q.C.:
11 Q. So you're only -- yes, you're only seeing
12 about ten new breast cancer patients per year,
13 is that right?
14 DR. SIDDIQUI:
15 A. On an average.
16 CHAYTOR, Q.C.:
17 Q. On an average, whereas overall you see 180 to
18 200 new patients a year?
19 DR. SIDDIQUI:
20 A. Right, but when I first came in 2001, and as I
21 said earlier, at that point we were seeing all
22 the patients. Once Dr. McCarthy came in, then
23 sort of -- and Dr. Zulfiqar, he came in 2003.
24 They were seeing more of breast, and was
25 slowly shifted. However, there were ups and

Page 303

1 downs when one of them was off. One of them
2 went on maternity, so those patients were
3 spread around.
4 CHAYTOR, Q.C.:
5 Q. Okay.
6 DR. SIDDIQUI:
7 A. So a bit more there may be ups and downs.
8 CHAYTOR, Q.C.:
9 Q. So overall then the trend has been for you
10 that you're seeing less and less breast cancer
11 patients?
12 DR. SIDDIQUI:
13 A. That's correct.
14 CHAYTOR, Q.C.:
15 Q. And in terms of -- we've heard here about
16 pathologists that the Royal College doesn't
17 recognize subspecialty in pathology. Does the
18 Royal College recognize subspecialty in
19 oncology?
20 DR. SIDDIQUI:
21 A. Not that I know of.
22 CHAYTOR, Q.C.:
23 Q. And --
24 DR. SIDDIQUI:
25 A. Sorry, if the question is do they have another

Page 304

1 exam that you take to get subspecialized?
2 CHAYTOR, Q.C.:
3 Q. Yes.
4 DR. SIDDIQUI:
5 A. I don't think so, but I'm not 100 percent sure
6 on that.
7 CHAYTOR, Q.C.:
8 Q. How do you, yourself, keep yourself apprised
9 of new developments? As you say, things are
10 changing very quickly in medicine, so it's a
11 benefit to be able to concentrate on a given
12 area. How do you keep yourself up to date on
13 the changes and on the new research and
14 literature?
15 DR. SIDDIQUI:
16 A. There are several ways to do that. The first
17 one is that the Royal College itself, they
18 want us to have a certain number of hours
19 every year of continued medical education, and
20 they have specified what could count as one,
21 and that includes going to meetings, boards,
22 and that kind of stuff. Then we also
23 personally go to meetings. Then there is
24 internet, which I think is the biggest
25 resource. There are a number of websites which

Page 305	Page 307
<p>1 are available which are routinely used by us. 2 So a combination of these are required. The 3 Royal College wants us to have at least 40 4 hours each year, and 400 hours over a five 5 year time period of recognized continued 6 medical education to be submitted, and most of 7 the time we stay above what the requirements 8 are. 9 CHAYTOR, Q.C.: 10 Q. Okay, and you said that your clinical meetings 11 can count towards your hours that are 12 required. Would that include, for example, 13 internal hospital rounds? 14 DR. SIDDIQUI: 15 A. There are some that are recognized by Royal 16 College, and -- just like our tumour board, 17 our grand rounds, our oncology rounds. These 18 are the ones which are recognized, and those 19 are the ones for which a record is kept, and 20 at the end of the year Dr. McCarthy takes care 21 of one of those, and those records are given 22 to us, and we calculate our numbers and we 23 submit those. 24 CHAYTOR, Q.C.: 25 Q. Okay.</p>	<p>1 Q. Okay. So perhaps you could tell us then the 2 difference between your grand rounds and your 3 tumour board? 4 DR. SIDDIQUI: 5 A. Grand round, as I said earlier, may not be 6 oncology and grand round is more like a 7 lecture on a particular topic, at the end of 8 which you're allowed to ask questions. Tumour 9 board, on the other hand, is basically 10 discussion about individual patients. We 11 carry those rounds -- there are more than one 12 kind of tumour boards, the most common one 13 that we do every Wednesday morning. Those 14 tumour boards, we pick up interesting are 15 those patients which require some special 16 decisions to be made. We pick up those 17 patients, and the tumour board consists of -- 18 there are as many oncologists as could come. 19 Sometimes there are all of us, then the 20 radiation oncologists, then the pathologists, 21 the radiologists, and the surgeons, and they 22 are available in that round. The need for 23 those rounds is to make some difficult 24 decisions on individual patients, or if you 25 need multi-modality treatment for some patient</p>
Page 306	Page 308
<p>1 DR. SIDDIQUI: 2 A. And those could be audited. 3 CHAYTOR, Q.C.: 4 Q. So your grand rounds count, and how often 5 would your grand rounds -- how often did those 6 take place? 7 DR. SIDDIQUI: 8 A. Grand rounds are every week, but they may not 9 be oncology every week. 10 CHAYTOR, Q.C.: 11 Q. And do you only get credit then for the ones 12 that are oncology? 13 DR. SIDDIQUI: 14 A. No. 15 CHAYTOR, Q.C.: 16 Q. You get credit for whatever, okay, and Dr. 17 McCarthy keeps track of that and submits it at 18 the end of the -- 19 DR. SIDDIQUI: 20 A. No, only the tumour board, which also counts, 21 and I'm not sure, probably the other ones as 22 well, but tumour board, keep very meticulous 23 track of that, and we are given assigned 24 number of hours at the end of the year. 25 CHAYTOR, Q.C.:</p>	<p>1 or multi-speciality input, that could be 2 gained at the same time. So that is tumour 3 board as opposed to the grand rounds which is 4 more like a lecture. 5 CHAYTOR, Q.C.: 6 Q. More like a lecture, and who would attend the 7 grand rounds? 8 DR. SIDDIQUI: 9 A. We are all supposed to attend that. 10 CHAYTOR, Q.C.: 11 Q. And is it just oncologists or it could be 12 every -- 13 DR. SIDDIQUI: 14 A. It could be every field of medicine. 15 CHAYTOR, Q.C.: 16 Q. Every discipline, okay, and both happen once a 17 week? 18 DR. SIDDIQUI: 19 A. That's right, and then we have -- 20 CHAYTOR, Q.C.: 21 Q. Sorry, Wednesday mornings you said for your 22 tumour board? 23 DR. SIDDIQUI: 24 A. That's right. 25 CHAYTOR, Q.C.:</p>

Page 309	Page 311
<p>1 Q. How long has that been the case, has that been 2 in place since 2001 when you joined? 3 DR. SIDDIQUI: 4 A. You mean the tumour board? 5 CHAYTOR, Q.C.: 6 Q. The tumour board, yes. 7 DR. SIDDIQUI: 8 A. Dr. McCarthy was the force behind it, and I'm 9 not sure about the exact date, but it started 10 shortly after she came in. 11 CHAYTOR, Q.C.: 12 Q. So when you arrived in January, 2001, there 13 wasn't tumour board? 14 DR. SIDDIQUI: 15 A. No at that time. 16 CHAYTOR, Q.C.: 17 Q. But Dr. McCarthy came later in the year, in 18 the summer of 2001, and she was instrumental 19 in getting tumour boards happening? 20 DR. SIDDIQUI: 21 A. That's right. 22 CHAYTOR, Q.C.: 23 Q. And that's been consistent ever since then? 24 DR. SIDDIQUI: 25 A. It has been very regular, very consistent, and</p>	<p>1 those. Were they happening very regularly, 2 I'm not 100 percent sure on that, but I can 3 remember going to several of those in my third 4 year of fellowship. 5 CHAYTOR, Q.C.: 6 Q. And do your -- so in your third year would be, 7 I guess, 1999? 8 DR. SIDDIQUI: 9 A. That would be -- that's right, from July, 10 1999, to end of June, 2000. 11 CHAYTOR, Q.C.: 12 Q. And at Eastern Health, do the residents here 13 also take part in tumour boards? 14 DR. SIDDIQUI: 15 A. They are welcome, and those residents which 16 are working with us -- most of the time there 17 is some resident who is working with us. They 18 are welcome. Lots of time radiology residents 19 do come in, they present their cases along 20 with their attending. Lots of times pathology 21 residents also come in. If there is some 22 resident who is spending time with us, be it 23 internal medicine or surgery, they are welcome 24 and many of the times they do come. 25 CHAYTOR, Q.C.:</p>
Page 310	Page 312
<p>1 very helpful. 2 CHAYTOR, Q.C.: 3 Q. And you say in your tumour boards difficult 4 cases would be assessed. Would you have any 5 documentation or any knowledge about the 6 patients that are to be assessed before you 7 attend the tumour board? 8 DR. SIDDIQUI: 9 A. You would. A list is prepared by our secretary 10 and that list is sent -- if there are any 11 particular questions, for example, if somebody 12 has a question about radiology, the 13 secretaries will let them know beforehand that 14 we need to discuss about certain scans, and 15 whatever the question is for, if it is for 16 pathology, they would be informed beforehand, 17 so that they can look at those slides and they 18 can talk about that particular case. 19 CHAYTOR, Q.C.: 20 Q. Okay, and when you did your residency in the 21 late 1990s in New York, were tumour boards a 22 regular part of the hospital you trained in? 23 DR. SIDDIQUI: 24 A. What I can remember is in the late part of my 25 residency I can remember going to several of</p>	<p>1 Q. And in your tumour board rounds prior to 2005, 2 do you recall anybody ever bringing a case to 3 the tumour board rounds involving a patient 4 which seemed to have an ER or PR result which 5 seemed inconsistent with the diagnosis? 6 DR. SIDDIQUI: 7 A. I don't remember any. 8 CHAYTOR, Q.C.: 9 Q. Now, Doctor, I noticed on your CV that you're 10 also an Assistant Professor at Memorial 11 University, and what does that mean and how 12 much time do you have to devote to that 13 position? 14 DR. SIDDIQUI: 15 A. I'm what they call Clinical Assistant 16 Professor as opposed to a full time Assistant 17 Professor. The requisition from my part would 18 be that I have to take at least four teaching 19 sessions, plus I contribute towards teaching 20 when I'm doing floor, plus I also contribute 21 towards the different OSCE exams that they 22 take. 23 CHAYTOR, Q.C.: 24 Q. Okay, and if we could have then, please, P- 25 1681. This is a letter, June 28th, 2006, it's</p>

<p style="text-align: right;">Page 313</p> <p>1 stamped, from the Government of Newfoundland 2 and Labrador, and it's actually from the 3 minister of the day, Minister of Health and 4 Community Services, Minister Osborne, and it's 5 written to yourself, along with Drs. Laing, 6 Ganguly, and Mr. Ritter. And Minister Osborne 7 is writing in response to "your correspondence 8 dated May 12th, 2006, which outlined and 9 summarized the various points of contention 10 that your group has with the current alternate 11 payment plan in place for radiation oncology 12 and other administrative issues. And as a 13 follow-up to my telephone conversation with 14 Dr. Ganguly on June 22nd, 2006." And then the 15 letter goes on to identify really three 16 issues: Issue one being workload thresholds; 17 issue two, interpretation of agreement; and 18 issue three, recognition of administrative and 19 teaching responsibilities. And, Doctor, 20 perhaps you could just tell us about this? 21 And again, the letter is written in response 22 to a letter that originated with you and the 23 others in May of 2006. What do you recall 24 about this? 25 DR. SIDDIQUI:</p>	<p style="text-align: right;">Page 315</p> <p>1 take it at this point in time that would have 2 been your position and Dr. Ganguly's position 3 and at this point in time the government 4 wasn't prepared, after consulting with Eastern 5 Health, to entertain any compensation for your 6 administrative services? 7 DR. SIDDIQUI: 8 A. It looks like that, yeah. 9 CHAYTOR, Q.C.: 10 Q. Okay. And if we could have, please, P-0166? 11 And this is about six months or so later. And 12 it's an e-mail exchange, Doctor. I'll just 13 bring it to your attention. It seems to be on 14 the same subject, but you're not a recipient 15 or a sender. The first, the main part of the 16 e-mail exchange is from Mr. Tilley to Minister 17 Osborne, copied to Dr. Howell and John Abbott, 18 and it's January 17th, 2007. And Mr. Tilley 19 writes, "Minister, I was speaking to John 20 Abbott to learn that Dr. Ganguly has been in 21 touch with you about his resignation from his 22 administrative duties in our cancer care 23 program. During the fall representatives from 24 the NLMA met with Dr. Howell et al to say that 25 they were going to take on the issue of</p>
<p style="text-align: right;">Page 314</p> <p>1 A. I'm not sure if it was is response to a letter 2 that I had wrote or Dr. Laing wrote, I'm not 3 sure about that. This is 2006. It was in 4 2007 I think we did write a letter. In 2006 5 I'm not sure if I did write one or I might 6 have. But I think as I had said earlier, this 7 was the discussion about recognition of a time 8 for administrative duties. 9 CHAYTOR, Q.C.: 10 Q. Okay. And if we look at issue three, which is 11 the recognition of administrative and teaching 12 responsibilities, Minister Osborne writes, 13 "Based on Eastern Health's input we are 14 prepared to adjust the FTE of the clinical 15 chief from the current point, 0.8 FTE to 0. 6 16 FTE as of April 1st, 2006 following successful 17 closures of our discussions. The department 18 has confirmed with Eastern Health that no 19 physician within its organization who accepts 20 the position of divisional chief receives 21 additional compensation for these services. 22 As such, I do not support adjusting the FTE 23 for the two divisional chiefs within your 24 program due to the precedent setting nature 25 this would create at Eastern Health." So I</p>	<p style="text-align: right;">Page 316</p> <p>1 compensation for the administrative work that 2 three of our oncologists were providing to our 3 cancer care program: Laing, Clinical Chief; 4 Ganguly, Division Chief of Radiation Oncology; 5 and Siddiqui, Division Chief of Medical 6 Oncology." And then it goes on to say, "Back 7 in November with issues around ER/PR about to 8 be dealt with in the media I asked Oscar 9 Howell to resolve the compensation issue for 10 Kara Laing as it was different from the 11 others, retroactivity, and we needed her full 12 support when we moved forward on the ER/PR 13 discussions. That left the division chiefs 14 outstanding. There have been several meetings 15 with the individuals involved to find 16 resolution to this and like most things in 17 this field, things are complex. We looked 18 through the country to see what was reasonable 19 with regards to time commitment from 20 administrative perspective and ended up saying 21 30 percent of their time would be reasonable. 22 We then drafted up job descriptions for review 23 with them to ensure that the expectations were 24 clear. The compensation we pay them for their 25 administrative does not appear to be the</p>

Page 317	Page 319
<p>1 issue. The physicians are paid through an 2 alternative payment plan with thresholds above 3 which they receive additional compensation for 4 new patients seen and there was some dispute 5 over where these thresholds should be, 6 particularly when one considers their 7 administrative responsibilities." And then 8 I'll just, it concludes with saying, "While we 9 have received their resignations for January 10 1, they did give us a two week extension to 11 January 15th. Since meetings are still 12 ongoing Dr. Siddiqui has indicated to us that 13 nothing will change in terms of his work until 14 all opportunities to find a resolution have 15 been explored." So I take it at this point in 16 time, Doctor Siddiqui, your issues six months 17 later had not been resolved and that there was 18 some issue as to some job action that may take 19 place as a result of that? 20 DR. SIDDIQUI: 21 A. That was basically from the position of 22 divisional chief. 23 CHAYTOR, Q.C.: 24 Q. Yes. For the divisional chief? 25 DR. SIDDIQUI:</p>	<p>1 radiation oncologist. And the numbers, they 2 come from CAMO and CARO which is the Canadian 3 Association of Medical Oncologists and 4 Radiation Oncologists and different provinces 5 have accepted them, the levels may be slightly 6 different. In our province MCP had accepted 7 that 140 patients, new patients need to be 8 seen every year just to justify your main 9 salary, and that was considered as the 10 threshold. And what we were mentioning in 11 there is that one way to pay for the division 12 chief would be to cut down that threshold by 13 30 percent. So whatever is that 30 percent, 14 cut it down of 140, so that would be in 15 recognition of the time by cutting down the 16 threshold. 17 CHAYTOR, Q.C.: 18 Q. Okay. So the number you gave me earlier, 180 19 to 200 new patients that you see each year is 20 well above the 140? 21 DR. SIDDIQUI: 22 A. It is. 23 CHAYTOR, Q.C.: 24 Q. And you should be 140 less 30 percent? 25 DR. SIDDIQUI:</p>
Page 318	Page 320
<p>1 A. Divisional chief position only. 2 CHAYTOR, Q.C.: 3 Q. Okay. The issues around Dr. Laing were 4 resolved, it indicates, earlier, but yourself 5 and Dr. Ganguly's issues remained outstanding? 6 DR. SIDDIQUI: 7 A. That's right. 8 CHAYTOR, Q.C.: 9 Q. Okay. And then in April, 2007, so about four 10 months after this, it was resolved and a 30 11 percent recognition was given to your 12 administrative duties? 13 DR. SIDDIQUI: 14 A. That is correct. 15 CHAYTOR, Q.C.: 16 Q. And the issue being then in terms of perhaps 17 you could explain to us, what was the concern 18 about being paid on an alternate payment plan 19 and with thresholds, how was that impacting on 20 your ability to be able to do your 21 administrative duties? 22 DR. SIDDIQUI: 23 A. There are certain standards set in terms of 24 the number of patients that you are supposed 25 to see as a medical oncologist and as a</p>	<p>1 A. That is correct. 2 CHAYTOR, Q.C.: 3 Q. Okay. So you are working well above what the 4 threshold would indicate? 5 DR. SIDDIQUI: 6 A. That is right. 7 CHAYTOR, Q.C.: 8 Q. And is that true for your counterparts, as 9 well? 10 DR. SIDDIQUI: 11 A. In the radiation oncology? 12 CHAYTOR, Q.C.: 13 Q. Well, medical oncology? 14 DR. SIDDIQUI: 15 A. It is. And actually, I am not the one who is 16 seeing the highest number. My other 17 colleagues are seeing more than that. 18 CHAYTOR, Q.C.: 19 Q. Okay. So even though you now have seven, 20 seven medical oncologists on staff, you're all 21 still carrying significant workloads? 22 DR. SIDDIQUI: 23 A. The case load for the new patients is going up 24 on a steady pace and that needs more consults 25 which are sent. There are new indications</p>

Page 321	Page 323
<p>1 added for the treatment of cancers, so that</p> <p>2 result in more consults, more new patients</p> <p>3 that are referred to us. So even though we are</p> <p>4 seven, but in the last several years the</p> <p>5 percentage of new patients is increasing</p> <p>6 steadily.</p> <p>7 CHAYTOR, Q.C.:</p> <p>8 Q. Okay.</p> <p>9 DR. SIDDIQUI:</p> <p>10 A. So we still ended up seeing that many</p> <p>11 patients.</p> <p>12 CHAYTOR, Q.C.:</p> <p>13 Q. What does a new patient mean, is it a patient</p> <p>14 that is new to you or newly diagnosed, what</p> <p>15 does it mean?</p> <p>16 DR. SIDDIQUI:</p> <p>17 A. If I have never seen a patient before that is</p> <p>18 diagnosed with colon or breast cancer and it</p> <p>19 is sent to me, that's a new patient for me and</p> <p>20 that will be counted as one in that threshold</p> <p>21 of 140.</p> <p>22 CHAYTOR, Q.C.:</p> <p>23 Q. Okay, so someone new to you. So, for example,</p> <p>24 if that person had been seen by another</p> <p>25 oncologist previously, it's still a new</p>	<p>1 me with the same cancer afterwards, it is not</p> <p>2 recounted.</p> <p>3 CHAYTOR, Q.C.:</p> <p>4 Q. Okay. So that's not considered a new patient?</p> <p>5 DR. SIDDIQUI:</p> <p>6 A. No.</p> <p>7 CHAYTOR, Q.C.:</p> <p>8 Q. And what if it were a new disease site?</p> <p>9 DR. SIDDIQUI:</p> <p>10 A. If it's a different cancer, then it counts as</p> <p>11 new. Somebody had a colon cancer before and</p> <p>12 now they are coming in with lung cancer, then</p> <p>13 it's a different cancer.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Okay.</p> <p>16 DR. SIDDIQUI:</p> <p>17 A. It doesn't need to be seen by the same</p> <p>18 oncologist, like if it initially was seen by</p> <p>19 an oncologist who does not do lung, then it</p> <p>20 may go to another oncologist who does lung.</p> <p>21 CHAYTOR, Q.C.:</p> <p>22 Q. Okay. And if it's a metastases from the</p> <p>23 original cancer is it still considered a -</p> <p>24 DR. SIDDIQUI:</p> <p>25 A. No. It will be still counted as the same one</p>
<p>1 patient to you?</p> <p>2 DR. SIDDIQUI:</p> <p>3 A. No.</p> <p>4 CHAYTOR, Q.C.:</p> <p>5 Q. No? It would have to be the first time the</p> <p>6 person was seen by any -</p> <p>7 DR. SIDDIQUI:</p> <p>8 A. That's right.</p> <p>9 CHAYTOR, Q.C.:</p> <p>10 Q. - oncologist in your service?</p> <p>11 DR. SIDDIQUI:</p> <p>12 A. That is right.</p> <p>13 CHAYTOR, Q.C.:</p> <p>14 Q. Okay.</p> <p>15 DR. SIDDIQUI:</p> <p>16 A. Whoever sees that patient first time and does</p> <p>17 a consult or a first assessment similarly on</p> <p>18 that patient, that patient is counted, that</p> <p>19 patient is counted for that person.</p> <p>20 CHAYTOR, Q.C.:</p> <p>21 Q. And what if a number of years passed and the</p> <p>22 patient has a recurrence of their disease?</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. That is just counted as once. If I see a</p> <p>25 patient eight years ago and it comes back to</p>	<p>1 the first time around and -</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. Not a new patient?</p> <p>4 DR. SIDDIQUI:</p> <p>5 A. - would not be recounted, it won't be a new</p> <p>6 patient.</p> <p>7 CHAYTOR, Q.C.:</p> <p>8 Q. Okay.</p> <p>9 DR. SIDDIQUI:</p> <p>10 A. So we see a patient one time and for the life</p> <p>11 of it from then onwards it is not recounted.</p> <p>12 CHAYTOR, Q.C.:</p> <p>13 Q. Okay.</p> <p>14 DR. SIDDIQUI:</p> <p>15 A. For one division. If I have to send this</p> <p>16 patient to radiation oncology, they have never</p> <p>17 seen that patient before, it would be new for</p> <p>18 them and vice versa, as well.</p> <p>19 CHAYTOR, Q.C.:</p> <p>20 Q. So these are significant levels of new</p> <p>21 patients which are coming through your doors?</p> <p>22 DR. SIDDIQUI:</p> <p>23 A. That is correct.</p> <p>24 CHAYTOR, Q.C.:</p> <p>25 Q. Yes, okay. Is there any, do you know is there</p>

Page 325

1 any plans to continue to recruit more medical
 2 oncologists?
 3 DR. SIDDIQUI:
 4 A. We are hoping and we are trying. There are
 5 two residents from Newfoundland which are in
 6 the process of training and we are hoping that
 7 they will come back. Right now we have, it
 8 looks like they have every intention to.
 9 CHAYTOR, Q.C.:
 10 Q. And do you have two openings?
 11 DR. SIDDIQUI:
 12 A. I think Dr. Laing is working on that and by
 13 the time they are done in their fellowship
 14 there will be openings available.
 15 CHAYTOR, Q.C.:
 16 Q. Okay. And I'll get an opportunity, perhaps
 17 I'll ask Dr. Laing some more about that. I
 18 just wanted to ask you a little bit, too,
 19 about the peripheral clinics that you referred
 20 to. You said that those are monthly, so every
 21 month. Does that mean somebody goes to Corner
 22 Brook every month, Gander every month and
 23 Grand Falls every month, all three locations?
 24 DR. SIDDIQUI:
 25 A. That is correct.

Page 326

1 CHAYTOR, Q.C.:
 2 Q. Okay.
 3 DR. SIDDIQUI:
 4 A. Among the seven of us we have divided
 5 ourselves in two groups. Three of us go to
 6 Corner Brook and means that one person would
 7 go there every month. And we go there four to
 8 five days every month and that's in a
 9 straight, usually a Tuesday to Friday or a
 10 Monday to Friday and so three of us go for
 11 that. And the other four, they go to Gander
 12 and Grand Falls and they again go there every
 13 month and they go there for a week, but that
 14 week is divided between Gander and Grand
 15 Falls.
 16 CHAYTOR, Q.C.:
 17 Q. Okay. And in terms of your caseload in the
 18 other regions of the province, is it growing
 19 at about the same rate outside of Eastern
 20 Health?
 21 DR. SIDDIQUI:
 22 A. I would think so.
 23 CHAYTOR, Q.C.:
 24 Q. So those clinics are becoming busier all the
 25 time, as well?

Page 327

1 DR. SIDDIQUI:
 2 A. They are.
 3 CHAYTOR, Q.C.:
 4 Q. So I think we have some sense then of what you
 5 do. But perhaps you could just give us an
 6 idea of a typical week, then, for you, other
 7 than a week that would involve going out to
 8 peripheral clinic, but a week here in St.
 9 John's, perhaps you could just tell us how you
 10 divide your time and the duties that you have?
 11 DR. SIDDIQUI:
 12 A. Okay. I'll tell you about a typical week and
 13 then there are two variables in that, as well.
 14 I can talk about those as well.
 15 CHAYTOR, Q.C.:
 16 Q. Sure.
 17 DR. SIDDIQUI:
 18 A. Each one of us except Dr. Saltman and Dr.
 19 Laing, we are supposed to do four half days
 20 clinic each week. Myself, I do a clinic on
 21 Monday morning which is supposed to go from
 22 nine to twelve, but usually it doesn't end
 23 until about 2:00. Then I do another clinic
 24 which is Wednesday morning and that is for new
 25 patients. Then I do another half day clinic

Page 328

1 on Thursday morning and that is for usually
 2 supposed to be for non-chemo follow-up
 3 patients. And then I do a clinic on Thursday
 4 afternoon, which is called lung clinic but can
 5 include other patients, as well. However,
 6 what's happening right now is that most of the
 7 times or most of the weeks I would say three
 8 out of four weeks or at least two out of four
 9 weeks in a month I end up doing one or two
 10 extra clinics, as well because there are so
 11 many patients to follow that I have to
 12 accommodate them somewhere else. Now, this is
 13 a usual week. The two variables that I was
 14 talking about was that when you are on the
 15 floor, which you are on one in seven, there
 16 are seven of us, and -
 17 CHAYTOR, Q.C.:
 18 Q. And does that mean on call, is that what--is
 19 that the same as on call?
 20 DR. SIDDIQUI:
 21 A. Slight difference. When you're on the floor,
 22 you are taking care of patients on the floor
 23 as well as you are covering the emergency
 24 room, as well.
 25 CHAYTOR, Q.C.:

Page 329	Page 331
<p>1 Q. Okay, but they're in-hospital patients?</p> <p>2 DR. SIDDIQUI:</p> <p>3 A. Exactly.</p> <p>4 CHAYTOR, Q.C.:</p> <p>5 Q. Okay.</p> <p>6 DR. SIDDIQUI:</p> <p>7 A. And then there's another person who is on</p> <p>8 consults at the same time and those consults</p> <p>9 could be in-house consults as well, other than</p> <p>10 the emergency room. So if there are in-house</p> <p>11 consults, they go to that person who is doing</p> <p>12 consults and he will also accept consults who</p> <p>13 are urgent from other physicians, as well. So</p> <p>14 these were the two variables that I said that</p> <p>15 it may change slightly if you are on the</p> <p>16 floor. Some of us would like to hold one of</p> <p>17 their four clinics when they are on the floor</p> <p>18 and some of us don't, so this would have been</p> <p>19 the variable. I usually don't.</p> <p>20 CHAYTOR, Q.C.:</p> <p>21 Q. Okay. So you usually then would be on the</p> <p>22 floor on Tuesdays or Fridays?</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. No, I said it's one in seven, it means there</p> <p>25 are seven of us.</p>	<p>1 on the floor at that time. The residents are</p> <p>2 with us and mostly the pharmacists who work</p> <p>3 with us, as well as the palliative care</p> <p>4 physician, or if there are any residents, they</p> <p>5 also go with us on that round, and that is</p> <p>6 every Tuesday morning. Then on Tuesday</p> <p>7 afternoons usually we have sort of meetings</p> <p>8 with medical reps or we have our divisional</p> <p>9 meeting as well on every first Tuesday of the</p> <p>10 month. So on Tuesday we sort of put on a few</p> <p>11 of the meetings. And as I said, that I end up</p> <p>12 doing an extra clinic and that I usually put</p> <p>13 for Friday.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Okay. Doctor, I'd like to ask you now then</p> <p>16 turn to the issue of ER/PR testing and your</p> <p>17 background knowledge that you would have in</p> <p>18 that. So at the time that you completed your</p> <p>19 medical and hematology oncology residency,</p> <p>20 1997, I believe you said, through 2000, at the</p> <p>21 time you completed that in New York, were you</p> <p>22 exposed then to ER/PR testing?</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. Our role was basically interpretation of the</p> <p>25 results.</p>
Page 330	Page 332
<p>1 CHAYTOR, Q.C.:</p> <p>2 Q. Okay.</p> <p>3 DR. SIDDIQUI:</p> <p>4 A. And we do floors for two weeks straight.</p> <p>5 CHAYTOR, Q.C.:</p> <p>6 Q. Okay.</p> <p>7 DR. SIDDIQUI:</p> <p>8 A. And so it would be every seventh in two weeks</p> <p>9 quotas that your turn would come.</p> <p>10 CHAYTOR, Q.C.:</p> <p>11 Q. So you do it in two week blocks?</p> <p>12 DR. SIDDIQUI:</p> <p>13 A. That is right.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Okay. I'm sorry, I missed that part. Okay.</p> <p>16 And so what then normally would happen on</p> <p>17 Tuesdays and Fridays in your practice?</p> <p>18 DR. SIDDIQUI:</p> <p>19 A. This is for the administrative part and plus</p> <p>20 on Tuesdays, on Tuesdays we do a round on the</p> <p>21 floor, as well, every Tuesday morning. That</p> <p>22 is a kind of a teaching and clinical round.</p> <p>23 And what that includes is that all of us from</p> <p>24 medical oncology, we go upstairs, we go</p> <p>25 through all of our patients that are admitted</p>	<p>1 CHAYTOR, Q.C.:</p> <p>2 Q. Okay. And at that time in New York was it a</p> <p>3 biochemical method for the test or was it</p> <p>4 being done through IHC?</p> <p>5 DR. SIDDIQUI:</p> <p>6 A. They had the Ligand binding assay and the</p> <p>7 results used to come in femto-moles milligram.</p> <p>8 CHAYTOR, Q.C.:</p> <p>9 Q. Okay.</p> <p>10 DR. SIDDIQUI:</p> <p>11 A. That was the other method, not the IHC.</p> <p>12 CHAYTOR, Q.C.:</p> <p>13 Q. Okay. And was that -</p> <p>14 DR. SIDDIQUI:</p> <p>15 A. That's what my remembrance from the last year</p> <p>16 is. If it changed towards the end of it, I</p> <p>17 cannot be 100 percent sure. And again, from</p> <p>18 New York I had done a little bit of, six,</p> <p>19 seven months of bone marrow transplant</p> <p>20 fellowship, as well.</p> <p>21 CHAYTOR, Q.C.:</p> <p>22 Q. Okay.</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. If they changed around that time, I'm not</p> <p>25 sure.</p>

Page 333	Page 335
<p>1 CHAYTOR, Q.C.:</p> <p>2 Q. Okay. So your recollection is that up until</p> <p>3 at least close to 2000 they were still using</p> <p>4 the biochemical method?</p> <p>5 DR. SIDDIQUI:</p> <p>6 A. Ligand binding assay, yeah.</p> <p>7 CHAYTOR, Q.C.:</p> <p>8 Q. Ligand binding, yes, okay. What were you</p> <p>9 taught in terms of the significance or purpose</p> <p>10 of the ER and PR test?</p> <p>11 DR. SIDDIQUI:</p> <p>12 A. The interpretation part is the one that I</p> <p>13 could talk about.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Yes, sure.</p> <p>16 DR. SIDDIQUI:</p> <p>17 A. That tells us about the use of an additional</p> <p>18 drug, which is hormone manipulation for the</p> <p>19 treatment of those patients.</p> <p>20 CHAYTOR, Q.C.:</p> <p>21 Q. Yes. And what stage of treatment would</p> <p>22 hormone manipulation be considered as a</p> <p>23 treatment option?</p> <p>24 DR. SIDDIQUI:</p> <p>25 A. Hormone manipulation could be used both in the</p>	<p>1 likely to be ER positive.</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. Okay.</p> <p>4 DR. SIDDIQUI:</p> <p>5 A. And others could be tubular could be positive.</p> <p>6 Mucinous could be. So those are other</p> <p>7 cancers.</p> <p>8 CHAYTOR, Q.C.:</p> <p>9 Q. Okay. And when you say more likely, were you</p> <p>10 taught in terms, anything in term of how</p> <p>11 likely or whether it would be rare for certain</p> <p>12 types of cancers to, in fact, be hormone</p> <p>13 receptor negative?</p> <p>14 DR. SIDDIQUI:</p> <p>15 A. Like for lobular it has to be a very high</p> <p>16 number, the majority and a great majority of</p> <p>17 them would be ER positive.</p> <p>18 CHAYTOR, Q.C.:</p> <p>19 Q. Okay. And you would have been aware of that</p> <p>20 during your training days?</p> <p>21 DR. SIDDIQUI:</p> <p>22 A. I would think so.</p> <p>23 CHAYTOR, Q.C.:</p> <p>24 Q. Yes. And were you taught anything in terms of</p> <p>25 any correlation between ER positivity and PR</p>
<p>1 adjuvant as well as in the metastatic setting.</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. And is there any particular criteria or how</p> <p>4 would you decide whether or not it's an</p> <p>5 appropriate treatment?</p> <p>6 DR. SIDDIQUI:</p> <p>7 A. They had certain cutoffs, that's what our</p> <p>8 teachers taught us at that time, with their</p> <p>9 method, and we used to use those cutoffs for</p> <p>10 deciding whether a patient should be a</p> <p>11 candidate or not. Were those followed 100</p> <p>12 percent, I'm not sure, but we had cutoffs</p> <p>13 there at that time.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Okay. And would you have been taught anything</p> <p>16 in terms of certain types of cancers which</p> <p>17 would be expected to be ER positive?</p> <p>18 DR. SIDDIQUI:</p> <p>19 A. There was some general knowledge about that,</p> <p>20 that some cancers are more likely to be ER</p> <p>21 positive than others.</p> <p>22 CHAYTOR, Q.C.:</p> <p>23 Q. Okay. And what would those have been?</p> <p>24 DR. SIDDIQUI:</p> <p>25 A. Lobular cancer is one which could be more</p>	<p>1 positivity?</p> <p>2 DR. SIDDIQUI:</p> <p>3 A. Again, what the teaching was that a positive</p> <p>4 PR usually means a functional ER</p> <p>5 (unintelligible). So majority of patients if</p> <p>6 they are ER positive, they would be PR</p> <p>7 positive, as well. But we did find patients</p> <p>8 which were either/or, negative ER and positive</p> <p>9 PR and vice versa.</p> <p>10 CHAYTOR, Q.C.:</p> <p>11 Q. Okay. And do you know what the literature</p> <p>12 would say in terms of the percentage that that</p> <p>13 would normally happen in?</p> <p>14 DR. SIDDIQUI:</p> <p>15 A. I think the great majority would be ER/PR</p> <p>16 positive. To put the exact number, I'm not</p> <p>17 sure, I would say probably 60 percent or so.</p> <p>18 Less, about five percent or so would be ER</p> <p>19 negative, PR positive, and then about a</p> <p>20 similar number ER positive, PR negative.</p> <p>21 CHAYTOR, Q.C.:</p> <p>22 Q. Okay.</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. And these numbers I'm not 100 percent sure,</p> <p>25 though.</p>

Page 337	Page 339
<p>1 CHAYTOR, Q.C.:</p> <p>2 Q. Okay, Doctor, and forgive me because we're</p> <p>3 trying to learn a lot of this as we're going,</p> <p>4 too, but you used the word "functional" ER</p> <p>5 positivity, if it was PR positive. And what</p> <p>6 does that mean?</p> <p>7 DR. SIDDIQUI:</p> <p>8 A. The teaching was that if somebody has a</p> <p>9 functional ER receptor, then usually the PR</p> <p>10 would be positive, as well.</p> <p>11 CHAYTOR, Q.C.:</p> <p>12 Q. Okay. And I guess I'm just--I don't know what</p> <p>13 the word "functional" means in that context.</p> <p>14 DR. SIDDIQUI:</p> <p>15 A. I think it would probably refer to the way</p> <p>16 that these receptors work, that a PR</p> <p>17 positivity would depend on a functional ER.</p> <p>18 CHAYTOR, Q.C.:</p> <p>19 Q. Okay.</p> <p>20 DR. SIDDIQUI:</p> <p>21 A. I don't know really how to explain that.</p> <p>22 CHAYTOR, Q.C.:</p> <p>23 Q. Okay. So is it--would it be the thinking then</p> <p>24 if a patient is PR positive and ER negative,</p> <p>25 they nonetheless may be still a candidate that</p>	<p>1 they used to go to our attendings as well. We</p> <p>2 were fellows at that time and resident. On</p> <p>3 the top of my head, I don't remember ever</p> <p>4 challenging those reports.</p> <p>5 CHAYTOR, Q.C.:</p> <p>6 Q. Okay, and no issue of if you got a result that</p> <p>7 didn't seem to fit or be in keeping with your</p> <p>8 diagnosis to challenge the report or go back</p> <p>9 and ask for a retest of the original test?</p> <p>10 DR. SIDDIQUI:</p> <p>11 A. I don't remember of any case on top of my head</p> <p>12 that we did that.</p> <p>13 CHAYTOR, Q.C.:</p> <p>14 Q. Okay, and you also did a fellowship, or in</p> <p>15 your fellowship, you also did hematology?</p> <p>16 DR. SIDDIQUI:</p> <p>17 A. I did.</p> <p>18 CHAYTOR, Q.C.:</p> <p>19 Q. So would you have been exposed to laboratory</p> <p>20 medicine through your residency?</p> <p>21 DR. SIDDIQUI:</p> <p>22 A. I was, but that was in that part of it. I did</p> <p>23 some blood banking and I spent some time with</p> <p>24 the coagulation lab.</p> <p>25 CHAYTOR, Q.C.:</p>
<p>Page 338</p> <p>1 would be receptive and respond to hormone</p> <p>2 manipulation?</p> <p>3 DR. SIDDIQUI:</p> <p>4 A. They would. And for all clinical and</p> <p>5 practical purposes we used to take either/or.</p> <p>6 CHAYTOR, Q.C.:</p> <p>7 Q. Either/or, okay, and is that still the case</p> <p>8 today?</p> <p>9 DR. SIDDIQUI:</p> <p>10 A. I think so.</p> <p>11 CHAYTOR, Q.C.:</p> <p>12 Q. Yes, I realize you're not doing too many new</p> <p>13 breast cases now, okay. And at the time of</p> <p>14 your training, what were you taught in terms</p> <p>15 of reliance that could be placed on the</p> <p>16 results from the lab? Were you given any</p> <p>17 cautions or anything in terms of--and in</p> <p>18 particular with respect to ER/PR tests?</p> <p>19 DR. SIDDIQUI:</p> <p>20 A. In my residency and in my fellowship, I don't</p> <p>21 remember every challenging that.</p> <p>22 CHAYTOR, Q.C.:</p> <p>23 Q. Okay.</p> <p>24 DR. SIDDIQUI:</p> <p>25 A. And the reports, when they used to come to us,</p>	<p>Page 340</p> <p>1 Q. And that then again is because you did a</p> <p>2 fellowship in hematology, so a medical</p> <p>3 oncologist not doing the hematology portion</p> <p>4 would not normally have exposure, I take it</p> <p>5 then, to the lab or to laboratory medicine?</p> <p>6 DR. SIDDIQUI:</p> <p>7 A. In U.S., most of the fellowships were both and</p> <p>8 most of the individuals that I know, they did</p> <p>9 both, so I'm not sure what the structure would</p> <p>10 be if it was only medical oncology, because in</p> <p>11 medical oncology exam, you still have to</p> <p>12 answer quite a bit of hematology as well. So</p> <p>13 I would not know that structure.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Okay. So Doctor, and I want you to think</p> <p>16 prior to obviously 2005, because there's</p> <p>17 probably been some changes that have come</p> <p>18 about since this issue arose, but if the</p> <p>19 result, prior to 2005, if the result of an</p> <p>20 ER/PR test that you received on a patient</p> <p>21 didn't meet your expectations of was something</p> <p>22 other than what you would have expected,</p> <p>23 either because of the type of cancer or</p> <p>24 because of the PR positivity or some other</p> <p>25 factor, did you ever have reason to question</p>

Page 341	Page 343
<p>1 that and ask that the test be repeated?</p> <p>2 DR. SIDDIQUI:</p> <p>3 A. I don't remember ever doing that.</p> <p>4 CHAYTOR, Q.C.:</p> <p>5 Q. Okay, and do you ever recall that being a</p> <p>6 subject of discussion within the oncology</p> <p>7 service at the Cancer Centre?</p> <p>8 DR. SIDDIQUI:</p> <p>9 A. No.</p> <p>10 CHAYTOR, Q.C.:</p> <p>11 Q. So if anyone else had actually, any of your</p> <p>12 medical oncology colleagues had asked for that</p> <p>13 to happen and to have a retest, that was never</p> <p>14 brought to your attention?</p> <p>15 DR. SIDDIQUI:</p> <p>16 A. Again, I've heard so many things in the last</p> <p>17 few months. There may be some orders done,</p> <p>18 but when exactly were they done, did I know</p> <p>19 about those at that time, I doubt, because</p> <p>20 personally, I don't remember that I put in a</p> <p>21 consult to repeat those.</p> <p>22 CHAYTOR, Q.C.:</p> <p>23 Q. You personally didn't?</p> <p>24 DR. SIDDIQUI:</p> <p>25 A. I did not. I don't remember.</p>	<p>1 A. I would think when I went to Corner Brook, I</p> <p>2 would think it would be probably somewhere in</p> <p>3 2006.</p> <p>4 CHAYTOR, Q.C.:</p> <p>5 Q. Okay.</p> <p>6 DR. SIDDIQUI:</p> <p>7 A. And the patients, we saw the patients, and if</p> <p>8 there was no report in the chart, 2006 or '07,</p> <p>9 I cannot be 100 percent sure on that. But if</p> <p>10 we see the patient and if the repeat was not</p> <p>11 done, most of the time they were in the</p> <p>12 pipeline, but my nurse in Corner Brook would</p> <p>13 not know, so many of the times when I put in</p> <p>14 the consult, they--but there were not a whole</p> <p>15 lot of those times, though, but they were in</p> <p>16 the pipeline somewhere.</p> <p>17 CHAYTOR, Q.C.:</p> <p>18 Q. So those were people, if it's 2006-2007, those</p> <p>19 were people that either weren't identified in</p> <p>20 the initial identification of patients or</p> <p>21 there was some delay in having their tests</p> <p>22 repeated?</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. Some delayed, or many of the times, they were</p> <p>25 actually done and I think in Corner Brook,</p>
<p>1 CHAYTOR, Q.C.:</p> <p>2 Q. Okay.</p> <p>3 DR. SIDDIQUI:</p> <p>4 A. I remember putting in a few consults for ER/PR</p> <p>5 after this started, especially when I was in</p> <p>6 Corner Brook. When I went in to see those</p> <p>7 patients, they were not in the chart, so I</p> <p>8 just put in consults for those, and I remember</p> <p>9 putting in some consults initially when we</p> <p>10 were seeing patients and the ER/PRs were not</p> <p>11 in the chart. They didn't come in the recent</p> <p>12 month. So I remember putting in or probably</p> <p>13 calling as well, just to get the ER/PRs done.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Okay.</p> <p>16 DR. SIDDIQUI:</p> <p>17 A. That would be in the early part, when I joined</p> <p>18 here.</p> <p>19 CHAYTOR, Q.C.:</p> <p>20 Q. And Doctor, when would that have been?</p> <p>21 DR. SIDDIQUI:</p> <p>22 A. You mean calling reporting consults?</p> <p>23 CHAYTOR, Q.C.:</p> <p>24 Q. Yes.</p> <p>25 DR. SIDDIQUI:</p>	<p>1 they haven't made it yet there.</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. So the results actually hadn't arrived back</p> <p>4 onto the patient's chart?</p> <p>5 DR. SIDDIQUI:</p> <p>6 A. I think that probably in one or two, that was</p> <p>7 the situation.</p> <p>8 CHAYTOR, Q.C.:</p> <p>9 Q. And if that were the situation then, had the</p> <p>10 patient also not yet been advised of the</p> <p>11 results and if any treatment was necessary,</p> <p>12 the treatment had yet to be commenced?</p> <p>13 DR. SIDDIQUI:</p> <p>14 A. This was a general thing. I cannot put a</p> <p>15 finger on which patient was that, but if a</p> <p>16 result is not there, then of course.</p> <p>17 CHAYTOR, Q.C.:</p> <p>18 Q. And Doctor, do you still, in your--do you</p> <p>19 still check people's charts when they come in</p> <p>20 to make sure that their retest was actually</p> <p>21 done?</p> <p>22 DR. SIDDIQUI:</p> <p>23 A. In three hours clinic, sometimes 15 or 18</p> <p>24 patients are booked. Some patients have</p> <p>25 charts which are several hundred pages. If</p>

Page 345

1 you know that you're looking for something,
 2 you would probably find that. Otherwise, you
 3 have to rely on the system that if there is a
 4 new report that you ordered, it should come
 5 back to you in your mail box.
 6 CHAYTOR, Q.C.:
 7 Q. Okay. So I take it, you--and I hear what
 8 you're saying in terms of your time
 9 constraints, so you wouldn't, every time a
 10 patient comes before you now today, be
 11 thumbing through the chart to see if the
 12 retest is actually done?
 13 DR. SIDDIQUI:
 14 A. Not every time. When I start seeing a patient
 15 the first time or two, I do that, probably a
 16 couple of times, just to make sure that I am
 17 not missing anything. But when they are in
 18 the system and when they are in follow ups, so
 19 not until a report comes to you specifically.
 20 CHAYTOR, Q.C.:
 21 Q. Okay. But back when this was fresher and
 22 still going through the process, 2006 and into
 23 2007, that was your practice? You would look
 24 through to make sure that the retest had been
 25 done on the patient?

Page 346

1 DR. SIDDIQUI:
 2 A. Most of the time, I would rely on the report
 3 that it would come to my mail box, because
 4 again, you would not know which patient is
 5 done when, because I think some of the
 6 patients were even done in December of 2007.
 7 So I would not have a way to know whether this
 8 is being done or is in the pipeline.
 9 CHAYTOR, Q.C.:
 10 Q. Okay, and Doctor, I will ask you some more
 11 questions in a little while about the
 12 identification of patients and any involvement
 13 you may have had in that. I just want to go
 14 back to the communication between oncologists
 15 and other than your tumour board rounds, what
 16 interaction would you have, on a day-to-day
 17 basis, with your colleagues?
 18 DR. SIDDIQUI:
 19 A. Our offices are next to each other, in the
 20 same place. We see each other probably
 21 several times a day.
 22 CHAYTOR, Q.C.:
 23 Q. Okay, and is there -
 24 DR. SIDDIQUI:
 25 A. And we do clinics. Our clinics are--they're

Page 347

1 not huge spaces and there are six rooms in
 2 each clinic and we all share those rooms. So
 3 when we're in the clinic, we are all there.
 4 CHAYTOR, Q.C.:
 5 Q. Okay, and so would there be ample opportunity
 6 to discuss with your colleagues if you had any
 7 issue with respect to any given patient or
 8 needed to really speak to someone to get
 9 someone else's perspective on a particular
 10 issue, that there'd be ample opportunity to do
 11 that?
 12 DR. SIDDIQUI:
 13 A. There would be ample opportunity if it's a
 14 quick question and you think just one person
 15 can answer that, then you can do that there.
 16 Otherwise, tumour board is the place for that
 17 patient.
 18 CHAYTOR, Q.C.:
 19 Q. Yes, if it's something complicated?
 20 DR. SIDDIQUI:
 21 A. Something complicated and more input is
 22 needed.
 23 CHAYTOR, Q.C.:
 24 Q. And in terms though of informal discussions or
 25 conversation during the day, would there be a

Page 348

1 lot of that between your colleagues?
 2 DR. SIDDIQUI:
 3 A. I mean, I don't know a lot, but we will chat
 4 here and there.
 5 CHAYTOR, Q.C.:
 6 Q. Yes.
 7 DR. SIDDIQUI:
 8 A. We'll see each other. Crossing somebody
 9 office, you'll say "hello, hi" and when you're
 10 in the clinic, we all have very busy
 11 schedules, so as much as we could.
 12 CHAYTOR, Q.C.:
 13 Q. Yes, okay, and whose office is next to yours?
 14 DR. SIDDIQUI:
 15 A. To my left side is Dr. McCarthy's office. To
 16 the front of me is Dr. Greenland, and then Dr.
 17 Rorke and next to it is Dr. Ahmad, and after
 18 that is Dr. Tompkins.
 19 CHAYTOR, Q.C.:
 20 Q. Okay.
 21 DR. SIDDIQUI:
 22 A. My right side is just the hallway.
 23 CHAYTOR, Q.C.:
 24 Q. Okay, and Dr. Laing is on a different floor, I
 25 take it, or a different -

Page 349	Page 351
<p>1 DR. SIDDIQUI: 2 A. That's right. She's on the other floor. 3 CHAYTOR, Q.C.: 4 Q. Okay. When you first arrived in St. John's in 5 2001, what--and at that point in time, there 6 wasn't tumour boards happening until later in 7 the year when Dr. McCarthy arrived, what 8 opportunity did you have for liaising with 9 pathologists? 10 DR. SIDDIQUI: 11 A. I think that would depend on some individual 12 case, if I need to talk to them. We could 13 call them or we could put in a consult to 14 them. 15 CHAYTOR, Q.C.: 16 Q. Okay. Was there any other formal 17 communication or formal means for you to sit 18 down on a regular basis and discuss cases with 19 pathologists? 20 DR. SIDDIQUI: 21 A. I'm just trying to remember. The only other 22 thing came to my mind is that one time, there 23 used to be urology board at that time as well, 24 I went to once with Dr. Alidina in that board 25 and I'm not sure if there was any pathologist</p>	<p>1 CHAYTOR, Q.C.: 2 Q. Okay, and when you first arrived here in 2001, 3 how were they repeating or, sorry, reporting 4 the ER/PR tests? 5 DR. SIDDIQUI: 6 A. That was immunohistochemistry and they were 7 putting--there were two kinds of reports that 8 I can remember. In some reports, they will 9 put in a percentage and in some reports, they 10 will just write negative and positive. 11 CHAYTOR, Q.C.: 12 Q. Okay. So it varied from pathologist to 13 pathologist, I take it? 14 DR. SIDDIQUI: 15 A. And from centre to centre. 16 CHAYTOR, Q.C.: 17 Q. And from centre to centre, and so some would 18 just say negative, some would say positive? 19 DR. SIDDIQUI: 20 A. That's right. 21 CHAYTOR, Q.C.: 22 Q. And what did you--how would you know what any 23 given individual might mean by negative or 24 positive? 25 DR. SIDDIQUI:</p>
<p>Page 350</p> <p>1 in that or not, but otherwise, consults and 2 phone if you need to talk to one. 3 CHAYTOR, Q.C.: 4 Q. Okay, and today, in terms of the tumour 5 rounds, do pathologists attend those on a 6 regular and consistent basis? 7 DR. SIDDIQUI: 8 A. They do. There may be a different 9 pathologist, but when the list of patients 10 goes to them, then I think if some of them saw 11 it, they decide who to come. 12 CHAYTOR, Q.C.: 13 Q. Okay, and did you ever have any difficulty 14 when you would need to consult with a 15 pathologist or ask any questions of the 16 pathologists, did you ever have any difficulty 17 reaching anyone or getting an answer to your 18 question? 19 DR. SIDDIQUI: 20 A. I don't think so. 21 CHAYTOR, Q.C.: 22 Q. Okay, and so basically, they were accessible, 23 if you needed to talk to them about anything? 24 DR. SIDDIQUI: 25 A. Um-hm.</p>	<p>Page 352</p> <p>1 A. When I started in 2001, there were other 2 oncologists who had recently left before that. 3 I inherited patients from them as well, plus 4 sort of informal discussions with my other 5 colleagues and that sort of helped me know 6 what is the current standard that my peers are 7 using. 8 CHAYTOR, Q.C.: 9 Q. And what did you understand the current 10 standard was? 11 DR. SIDDIQUI: 12 A. At that point, it was 30 percent, and many 13 pathology reports were reflective of that. 14 CHAYTOR, Q.C.: 15 Q. Okay. So in January 2001, when you started, 16 it was 30 percent? 17 DR. SIDDIQUI: 18 A. That's right, to my understanding. 19 CHAYTOR, Q.C.: 20 Q. Okay, and you learned that from your review of 21 the patients charts that you inherited from 22 others and also from informal discussions with 23 your colleagues? 24 DR. SIDDIQUI: 25 A. That's right.</p>

Page 353

1 CHAYTOR, Q.C.:

2 Q. Okay, and at any point in time, did that

3 change, from the 30 percent?

4 DR. SIDDIQUI:

5 A. We started treating patients with much lower

6 numbers. If I have to put exactly a finger on

7 which time it happened, it's hard to put an

8 exact finger, but we started treating patients

9 with low numbers. I have personally treated

10 patients with low numbers. No two patients

11 are alike, as you know. So sometimes for

12 patients who are elderly, who have no other

13 choice or cannot tolerate chemo, sometimes we

14 do treat them with a low number as well, and I

15 did treat patients with low number. If I'd go

16 back, I think in the last four or five years,

17 I'm sure that we have used that and it goes

18 before that, I cannot put a finger on exactly

19 when. We just switched from 30 to 10.

20 CHAYTOR, Q.C.:

21 Q. Okay, and would it be though, if you came in

22 January 2001 and it was 30 percent then, would

23 it have been sometime in 2001 or was it about

24 a year after you were in practice?

25 DR. SIDDIQUI:

Page 354

1 A. Again, it would be difficult for me to put an

2 exact finger on the exact date. It would be--

3 could be in 2001. Could be in 2002, somewhere

4 around that time.

5 CHAYTOR, Q.C.:

6 Q. Okay. So your sense of it or your

7 recollection of it is that it was certainly a

8 period of months after you were already in

9 your job?

10 DR. SIDDIQUI:

11 A. I joined in January of 2001, that was very

12 early, so if it was towards the end of 2001 or

13 somewhere in 2002, somewhere around that time.

14 CHAYTOR, Q.C.:

15 Q. Okay, and how did you learn that there was now

16 going to be a formal shift to a lower

17 percentage? How was that communicated?

18 DR. SIDDIQUI:

19 A. I think this was, again, with our peer

20 discussions.

21 CHAYTOR, Q.C.:

22 Q. Okay, and so your discussions amongst your

23 fellow medical oncologists?

24 DR. SIDDIQUI:

25 A. That's right.

Page 355

1 CHAYTOR, Q.C.:

2 Q. And was there any kind of memo or any

3 documentation that was sent to advise of that?

4 DR. SIDDIQUI:

5 A. I don't remember one, if there was one.

6 CHAYTOR, Q.C.:

7 Q. Okay, and do you know how that--whether or not

8 that was communicated to the pathologists?

9 DR. SIDDIQUI:

10 A. I don't know if it was.

11 CHAYTOR, Q.C.:

12 Q. Okay.

13 THE COMMISSIONER:

14 Q. How can we find out whose decision it is?

15 CHAYTOR, Q.C.:

16 Q. Do you know whose decision it would be to

17 change the cut off or accepted cut off for

18 positivity?

19 DR. SIDDIQUI:

20 A. I don't know if it was a single person's

21 decision or the whole group thought it that

22 way.

23 CHAYTOR, Q.C.:

24 Q. Do you recall was it discussed in--by the

25 summer of 2001 and Dr. McCarthy arrived, you

Page 356

1 now have your tumour rounds. Do you recall it

2 being the subject of discussion in tumour

3 rounds?

4 DR. SIDDIQUI:

5 A. I don't remember. There may be one, but I

6 don't remember one.

7 CHAYTOR, Q.C.:

8 Q. Okay.

9 THE COMMISSIONER:

10 Q. And when you talk about the whole group, you

11 mean the group of oncologists?

12 DR. SIDDIQUI:

13 A. That's correct.

14 THE COMMISSIONER:

15 Q. So it is an oncology decision?

16 DR. SIDDIQUI:

17 A. Interpretation part would be.

18 THE COMMISSIONER:

19 Q. Well, in the sense of the cut off, that's a

20 decision for an oncologist? You're

21 determining whether or not to give a

22 particular treatment.

23 DR. SIDDIQUI:

24 A. I would think so.

25 THE COMMISSIONER:

Page 357	Page 359
<p>1 Q. Okay, and at the time the switch came from 30</p> <p>2 to 10, I'm getting the impression you're a</p> <p>3 little uncertain about whether or not you sort</p> <p>4 of gradually did it or you had a group meeting</p> <p>5 and decided, let's do the switch. Is that -</p> <p>6 DR. SIDDIQUI:</p> <p>7 A. I don't remember of a particular group</p> <p>8 meeting. The change, I think, would be</p> <p>9 probably a little bit gradual.</p> <p>10 THE COMMISSIONER:</p> <p>11 Q. Okay.</p> <p>12 CHAYTOR, Q.C.:</p> <p>13 Q. Okay, and you think that was so a gradual</p> <p>14 switch then to the ten percent, okay. Doctor,</p> <p>15 did you then continue to receive pathology</p> <p>16 reports from pathologists that said just</p> <p>17 positive or negative?</p> <p>18 DR. SIDDIQUI:</p> <p>19 A. I think, I really am not sure about that. I</p> <p>20 remember a few reports from 2001 which said</p> <p>21 just negative or positive. In 2003, there may</p> <p>22 be some pathology reports that will say 20 to</p> <p>23 30 percent, but in the brackets, they would</p> <p>24 say that--I remember one that in the bracket</p> <p>25 it would say that "to my interpretation, it is</p>	<p>1 that patient to say whether if she was or not.</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. But would it be--would that be in--regardless</p> <p>4 of the--I guess I'm asking you, regardless of</p> <p>5 the interpretation that a pathologist may give</p> <p>6 or the definition of positivity that a</p> <p>7 pathologist may give, whose call ultimately is</p> <p>8 it?</p> <p>9 DR. SIDDIQUI:</p> <p>10 A. In those cases, you'd think again, because</p> <p>11 pathologists are still considering that as</p> <p>12 negative in their interpretation, but I think</p> <p>13 if it was that number, we mostly treated them.</p> <p>14 CHAYTOR, Q.C.:</p> <p>15 Q. Doctor, did you ever have the occasion to call</p> <p>16 or pick up the phone and ask a--contact the</p> <p>17 pathologist and ask "what do you mean by</p> <p>18 positive? What do you mean by negative?"</p> <p>19 DR. SIDDIQUI:</p> <p>20 A. No.</p> <p>21 CHAYTOR, Q.C.:</p> <p>22 Q. And why not?</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. There were very few of those cases which were</p> <p>25 in that area, and I think we sort of discussed</p>
<p>1 considered negative."</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. Okay.</p> <p>4 DR. SIDDIQUI:</p> <p>5 A. So in 2003.</p> <p>6 CHAYTOR, Q.C.:</p> <p>7 Q. And that was in 2003?</p> <p>8 DR. SIDDIQUI:</p> <p>9 A. Yeah.</p> <p>10 CHAYTOR, Q.C.:</p> <p>11 Q. Okay, and the percentage given was 20 to 30</p> <p>12 percent?</p> <p>13 DR. SIDDIQUI:</p> <p>14 A. 20 to 30 percent, but they would also quote</p> <p>15 some study from 1990 and some publication and</p> <p>16 that would say that "to my interpretation,</p> <p>17 this is negative."</p> <p>18 CHAYTOR, Q.C.:</p> <p>19 Q. And in that, in such a situation then, Dr.</p> <p>20 Siddiqui, how would you treat the patient?</p> <p>21 Would that patient be a candidate for hormone</p> <p>22 manipulation?</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. I think that's again really individualized.</p> <p>25 That particular patient, I have to look at</p>	<p>1 among ourselves the treatment of those.</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. Okay. So you never went back looking for any</p> <p>4 clarification as to what those terms may mean</p> <p>5 for any given pathologist?</p> <p>6 DR. SIDDIQUI:</p> <p>7 A. I don't remember calling them back.</p> <p>8 CHAYTOR, Q.C.:</p> <p>9 Q. Okay, and in terms of the decision to move to</p> <p>10 the ten percent, and I hear you saying that</p> <p>11 was probably a consensus amongst the</p> <p>12 oncologists and would that just be the medical</p> <p>13 oncologists or would that include radiation</p> <p>14 oncologists?</p> <p>15 DR. SIDDIQUI:</p> <p>16 A. Would they know about that? I think they</p> <p>17 would, but usually that would be the talk</p> <p>18 among the medical oncologists.</p> <p>19 CHAYTOR, Q.C.:</p> <p>20 Q. And do you know whether or not it originated</p> <p>21 with any of the oncologists that would have</p> <p>22 been seen more as primarily interested in</p> <p>23 breast oncology, such as Dr. McCarthy, Dr.</p> <p>24 Laing? Would it have originated with one of</p> <p>25 those oncologists?</p>

Page 361	Page 363
<p>1 DR. SIDDIQUI: 2 A. I'm not sure, but possibly. 3 CHAYTOR, Q.C.: 4 Q. And you say that Dr. Laing was on maternity 5 leave for part of 2001? 6 DR. SIDDIQUI: 7 A. That is correct. 8 CHAYTOR, Q.C.: 9 Q. And Dr. McCarthy arrived in the summer of 10 2001? 11 DR. SIDDIQUI: 12 A. That's correct. 13 CHAYTOR, Q.C.: 14 Q. When you originally began in 2001, was ER/PR 15 coming to your--the pathology report that you 16 would get, would it come with the ER/PR test 17 or would it often be that the ER/PR test would 18 be sent along later as an addendum to the 19 report? 20 DR. SIDDIQUI: 21 A. I think not every report was coming with an 22 ER/PR. 23 CHAYTOR, Q.C.: 24 Q. They weren't all getting an ER/PR? 25 DR. SIDDIQUI:</p>	<p>1 CHAYTOR, Q.C.: 2 Q. Okay, and your mail box, so then you get a 3 hard copy, I take it, of the report? 4 DR. SIDDIQUI: 5 A. That is correct. 6 CHAYTOR, Q.C.: 7 Q. Okay, so you would get the hard copy of the 8 original report and then sometime later, you'd 9 get another hard copy and it would have the 10 addendum included in it? 11 DR. SIDDIQUI: 12 A. That's right. When you see the patient the 13 first time, the first report is usually in the 14 chart, and the addendum, if you have seen the 15 patient, then the addendum would come to you 16 afterwards. 17 CHAYTOR, Q.C.: 18 Q. Okay. 19 DR. SIDDIQUI: 20 A. Most of the times. 21 CHAYTOR, Q.C.: 22 Q. And would there be anything to highlight the 23 changes to the report? 24 DR. SIDDIQUI: 25 A. It would just say addendum. Sometimes it</p>
Page 362	Page 364
<p>1 A. No, not every report, when I first see the 2 patient. I think not every report was coming 3 with an ER/PR because there's a time when I 4 remember putting in a few consults, just to 5 get the ER/PR done. 6 CHAYTOR, Q.C.: 7 Q. Okay. So that you would actually have to 8 request the ER/PR test yourself? 9 DR. SIDDIQUI: 10 A. That is correct. 11 CHAYTOR, Q.C.: 12 Q. It wouldn't automatically come, okay, and was 13 that a subject of discussion in the tumour 14 rounds, to ask that pathologists be sure to 15 always have the ER/PR test carried out? 16 DR. SIDDIQUI: 17 A. It may be, but I don't remember that. 18 CHAYTOR, Q.C.: 19 Q. Okay, and if the ER/PR test is done as an 20 addendum afterwards, how was that brought to 21 your attention? 22 DR. SIDDIQUI: 23 A. Again, the pathology would add an addendum to 24 that and a report would be printed and that 25 would come to your mail box.</p>	<p>1 actually went to the surgeons as well. 2 CHAYTOR, Q.C.: 3 Q. So the original pathology report would be on 4 the patient's chart? 5 DR. SIDDIQUI: 6 A. That's right. 7 CHAYTOR, Q.C.: 8 Q. And then if you get something in your mail 9 box, you would know well, that must be an 10 addendum to the original report? 11 DR. SIDDIQUI: 12 A. Right, and many of the times, if the ER/PR 13 were not back and the patient is going on a 14 chemotherapy, then you know that you're 15 waiting for that test and when the patient 16 comes in, then you can look for that. 17 CHAYTOR, Q.C.: 18 Q. Okay, and Doctor, I asked you already whether 19 or not you were aware prior to 2005 whether 20 any of your colleagues, any of your colleagues 21 had repeated or had a ER/PR test repeated, had 22 reason to have that done and did you ever 23 hear, prior to 2005, of an instance where that 24 happened and it resulted in a different 25 outcome?</p>

Page 365

1 DR. SIDDIQUI:
 2 A. I don't remember one.
 3 CHAYTOR, Q.C.:
 4 Q. Okay, and given your close physical proximity
 5 and your working relationship with your
 6 colleagues, would you have expected if that
 7 had happened that that would be the subject of
 8 discussion, something that would be discussed
 9 amongst you?
 10 DR. SIDDIQUI:
 11 A. It may be, it maybe not. It depends what kind
 12 of a day is that, I would think. If you're
 13 really busy, you may not. If you're not, you
 14 may be able to discuss it with your
 15 colleagues.
 16 CHAYTOR, Q.C.:
 17 Q. Okay, and in any event, you have no
 18 recollection of that having been the subject
 19 of discussion with you?
 20 DR. SIDDIQUI:
 21 A. I don't remember one.
 22 CHAYTOR, Q.C.:
 23 Q. And you think if that had happened, is that
 24 something that would stick out in your mind?
 25 DR. SIDDIQUI:

Page 366

1 A. I would think so, but the other thing is that
 2 in the last seven and a half years that I have
 3 been here, I've seen about 200 new patients
 4 each year and about 12 to 1400 follow ups. So
 5 a lot of discussions, even if you had them,
 6 it's hard to remember about 10,000 follow ups
 7 and some discussions among colleagues. But it
 8 doesn't come on top of my head that I had one.
 9 CHAYTOR, Q.C.:
 10 Q. And certainly, if there had been any concern
 11 expressed along with it, if it were discussed
 12 in the manner of any concern then about the
 13 lab results, would that be something you think
 14 that you would remember?
 15 DR. SIDDIQUI:
 16 A. Sometimes you would, sometimes you won't.
 17 CHAYTOR, Q.C.:
 18 Q. So that could happen and you might not
 19 remember it?
 20 DR. SIDDIQUI:
 21 A. It's possible.
 22 CHAYTOR, Q.C.:
 23 Q. And do you recall any discussions along those
 24 lines, anybody having any concerns about the
 25 results they were getting from the lab?

Page 367

1 DR. SIDDIQUI:
 2 A. I remember one or two of my own patients that
 3 I had. They were not ER/PR though. They were
 4 otherwise that I had to talk to the
 5 pathologist, but those are the ones that come
 6 to mind.
 7 CHAYTOR, Q.C.:
 8 Q. Okay, and was it because what you were seeing
 9 in the pathology report didn't seem to be
 10 consistent with your view of what you were
 11 expecting?
 12 DR. SIDDIQUI:
 13 A. No, it was more of a change in a pathology
 14 report and that would have affected the
 15 treatment. This was one of--want me to talk
 16 about those?
 17 CHAYTOR, Q.C.:
 18 Q. Sure, okay.
 19 DR. SIDDIQUI:
 20 A. One, I think, was a colon patient in whom
 21 there is that T & M staging and the T part was
 22 changed from T3 to T4 and then back again, and
 23 that was one thing I needed to sort out, that
 24 comes to mind, because that would have an
 25 impact on treatment. And there was another

Page 368

1 lung patient in whom the diagnosis pathology
 2 was different afterwards.
 3 CHAYTOR, Q.C.:
 4 Q. Okay. So how, in those two cases, would there
 5 have been--well, for example, your first case,
 6 how would that difference have come about?
 7 Would there have been a repeat test or what
 8 happened there?
 9 DR. SIDDIQUI:
 10 A. It was the same specimen that they had and I
 11 think it was--again, I don't remember the
 12 exact thing, but what comes to mind is that
 13 there may be some difference of opinion among
 14 the pathologists. So they said it was T3 at
 15 one time and then T4 at the other.
 16 CHAYTOR, Q.C.:
 17 Q. Okay.
 18 DR. SIDDIQUI:
 19 A. And the second one was the immediate report
 20 after the biopsy, but I think the second one
 21 was a detailed report. The first one, the
 22 report that was given to me was of a different
 23 kind of lung cancer, and to me, it looked okay
 24 clinically, but then they called the person
 25 who was covering me and the detailed report

Page 369	Page 371
<p>1 was given to him.</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. Okay, and I think there's some minutes that</p> <p>4 I'll bring you to in a little while where you</p> <p>5 actually then brought those issues up at the</p> <p>6 surgical pathology review committee.</p> <p>7 DR. SIDDIQUI:</p> <p>8 A. Okay.</p> <p>9 CHAYTOR, Q.C.:</p> <p>10 Q. Okay, and Doctor, so in those instances, when</p> <p>11 you had changes and changes which would--in</p> <p>12 pathology, which would affect the patient's</p> <p>13 treatment, in those cases, you contacted the</p> <p>14 pathologists involved and discussed the case?</p> <p>15 DR. SIDDIQUI:</p> <p>16 A. In the first one, I did. Second one, they</p> <p>17 called.</p> <p>18 CHAYTOR, Q.C.:</p> <p>19 Q. The second one, they called to alert you to</p> <p>20 it?</p> <p>21 DR. SIDDIQUI:</p> <p>22 A. They called Dr. Rorke who was covering for me</p> <p>23 and the patient was on the floor and they</p> <p>24 called him.</p> <p>25 CHAYTOR, Q.C.:</p>	<p>1 standardized reporting system, which would</p> <p>2 have been a very helpful thing, and discussion</p> <p>3 about important or interesting cases. Plus</p> <p>4 there were several other things, the structure</p> <p>5 and whom they would report, and the reports</p> <p>6 that they would come up with.</p> <p>7 CHAYTOR, Q.C.:</p> <p>8 Q. Okay, and do you know why the committee was</p> <p>9 formed, and what time period is this that this</p> <p>10 committee came on?</p> <p>11 DR. SIDDIQUI:</p> <p>12 A. The committee meetings per se, I don't have a</p> <p>13 whole lot of recollection of those. I have to</p> <p>14 go by the dates that are on different</p> <p>15 committee meetings.</p> <p>16 CHAYTOR, Q.C.:</p> <p>17 Q. I think your first meeting may have been April</p> <p>18 15th, 2003.</p> <p>19 DR. SIDDIQUI:</p> <p>20 A. 2003.</p> <p>21 CHAYTOR, Q.C.:</p> <p>22 Q. Yes.</p> <p>23 DR. SIDDIQUI:</p> <p>24 A. So I don't know exactly when Dr. Laing asked</p> <p>25 me to do that, but it would be, I guess, a few</p>
<p>Page 370</p> <p>1 Q. Okay, and then also, as I said, we'll see here</p> <p>2 that you also then brought that up in the</p> <p>3 surgical pathology review committee.</p> <p>4 DR. SIDDIQUI:</p> <p>5 A. I did.</p> <p>6 CHAYTOR, Q.C.:</p> <p>7 Q. So those were issues that you followed up on</p> <p>8 when you had a change in result which could</p> <p>9 affect your patient's treatment. You saw that</p> <p>10 as issues that you needed to talk to the</p> <p>11 pathologist about and also bring it to a wider</p> <p>12 group?</p> <p>13 DR. SIDDIQUI:</p> <p>14 A. That's right.</p> <p>15 CHAYTOR, Q.C.:</p> <p>16 Q. Okay, maybe we'll talk then about the Surgical</p> <p>17 Pathology Review Committee. How did you get</p> <p>18 involved in that committee and what was the</p> <p>19 mandate of that committee?</p> <p>20 DR. SIDDIQUI:</p> <p>21 A. I was asked by Dr. Laing to go to that</p> <p>22 committee, and that's how I joined that, and</p> <p>23 the goal or the mandate of the committee was</p> <p>24 things which were of interest for me in that</p> <p>25 they had mentioned they were looking for a</p>	<p>Page 372</p> <p>1 weeks before that.</p> <p>2 CHAYTOR, Q.C.:</p> <p>3 Q. Okay, and do you know why, at that point in</p> <p>4 time, this committee was being formed?</p> <p>5 DR. SIDDIQUI:</p> <p>6 A. I can tell you what it says on the mandate of</p> <p>7 the committee, what they wanted to achieve, so</p> <p>8 I would think that those were those goals.</p> <p>9 CHAYTOR, Q.C.:</p> <p>10 Q. Okay, and you don't know why, in particular,</p> <p>11 at that point it was felt necessary to have</p> <p>12 this committee, as opposed to any other point</p> <p>13 in time?</p> <p>14 DR. SIDDIQUI:</p> <p>15 A. No.</p> <p>16 CHAYTOR, Q.C.:</p> <p>17 Q. Or whether there was any significance to that,</p> <p>18 in fact, okay, and what types of disciplines</p> <p>19 would have sat on this committee, other than--</p> <p>20 you're there as a medical oncologist?</p> <p>21 DR. SIDDIQUI:</p> <p>22 A. That is correct.</p> <p>23 CHAYTOR, Q.C.:</p> <p>24 Q. And what other -</p> <p>25 DR. SIDDIQUI:</p>

Page 373

1 A. And it was headed by a pathologist, Dr.
 2 Ejeckam was a pathologist who headed that. We
 3 had gynecologic oncology in that represented.
 4 We had radiologists. We had surgeons. I
 5 think there were two surgeons who were
 6 initially part of this committee, and we had
 7 other pathologists as well, other than Dr.
 8 Ejeckam.
 9 CHAYTOR, Q.C.:
 10 Q. Okay, and this group would, or a group of
 11 those disciplines would get together in any
 12 event on a weekly basis to discuss cases, so
 13 this was, this committee was for something
 14 other than that. This wasn't to discuss -
 15 DR. SIDDIQUI:
 16 A. These were different doctors, because Dr.
 17 Ejeckam, I don't remember if he ever came to
 18 our tumour board. One of the radiologists was
 19 from St. Clare's, if I'm not mistaken, Dr.
 20 Thava, I don't remember how he came to the
 21 tumour board.
 22 CHAYTOR, Q.C.:
 23 Q. Okay.
 24 DR. SIDDIQUI:
 25 A. Dr. Kwan was a member and Dr. Dawson was a

Page 374

1 member, I think. They did come to the tumour
 2 board, but the discussions would be different.
 3 The tumour board was weekly and this was, I
 4 think, initially supposed to be every two
 5 months.
 6 CHAYTOR, Q.C.:
 7 Q. Okay, and perhaps then we'll look at your
 8 minutes from that. If I could have, please,
 9 P-1572? Doctor, I understand this is the
 10 first meeting of the committee, and you'll see
 11 it's April 15th, 2003, Dr. Ejeckam is the
 12 chairman and Dr. Battcock and what kind of
 13 physician would Dr. Battcock be?
 14 DR. SIDDIQUI:
 15 A. He's a radiologist.
 16 CHAYTOR, Q.C.:
 17 Q. Radiologist, and -
 18 DR. SIDDIQUI:
 19 A. And he's from St. Clare's, I believe.
 20 CHAYTOR, Q.C.:
 21 Q. I'm sorry?
 22 DR. SIDDIQUI:
 23 A. From St. Clare's.
 24 CHAYTOR, Q.C.:
 25 Q. From St. Clare's, okay, and Dr. Lisa Dawson?

Page 375

1 DR. SIDDIQUI:
 2 A. She's a gynecologic oncologist. Dr. Parai is
 3 a pathologist.
 4 CHAYTOR, Q.C.:
 5 Q. And yourself, and then Theresa Curtis is the
 6 secretary, and we have two apologies, from Dr.
 7 -
 8 DR. SIDDIQUI:
 9 A. Those are both surgeons.
 10 CHAYTOR, Q.C.:
 11 Q. They're both surgeons, okay.
 12 DR. SIDDIQUI:
 13 A. The first one is Dr. Thava which is from St.
 14 Clare's mostly, and Dr. Kwan mostly from
 15 General Hospital Health Science.
 16 CHAYTOR, Q.C.:
 17 Q. Okay, and your first meeting, the terms of
 18 reference is set out, and your terms of
 19 reference indicated to be standardized
 20 reporting of pathology specimens. "Dr.
 21 Ejeckam asked the members for input for
 22 standardized reporting of pathology specimens.
 23 After much discussion, it was agreed that ER
 24 and PR receptors be done automatically on
 25 breast surgery cases. Since HER2/neu testing

Page 376

1 is expensive and only done when requested, it
 2 was suggested it should be performed
 3 automatically on patients with a past history
 4 of carcinoma of the breast." And Doctor, you
 5 stated earlier that when you first arrived
 6 that ER/PR cases or ER/PR tests weren't
 7 automatically happening, and I take it as of
 8 April, 2003, there was still some issue around
 9 that, that ER/PR receptors weren't being done
 10 automatically?
 11 DR. SIDDIQUI:
 12 A. I think so, and again, I don't have a full
 13 recollection of that meeting, so I would go
 14 with the minutes that are here. It looks like
 15 that way.
 16 CHAYTOR, Q.C.:
 17 Q. Okay, and is there anything else other than
 18 what's written here? Do you have any other
 19 recollection then of what was discussed at
 20 that meeting?
 21 DR. SIDDIQUI:
 22 A. No.
 23 CHAYTOR, Q.C.:
 24 Q. Okay, and then the second item under terms of
 25 reference is clinical information. "Dr. G.

Page 377	Page 379
<p>1 Ejeckam circulated a form listing ten 2 requirements a properly completed specimen 3 requisition form should include. All members 4 agreed these requirements would benefit the 5 clinician and pathologist for improved patient 6 care." And again, other than what's written 7 there, would you have any independent 8 recollection of what was discussed? 9 DR. SIDDIQUI: 10 A. No. 11 CHAYTOR, Q.C.: 12 Q. And the terms of reference continue with 13 "tissue audits on surgical specimens" and it 14 was stated that "requests are received which 15 are inappropriate. It was noted there are 16 guidelines which have to be followed and this 17 information will be forwarded to the 18 clinicians. Reporting mechanism, it was 19 agreed a memo should be sent to the medical 20 staff through the medical director stating the 21 requirements for a properly completed specimen 22 requisition. If these requirements are not 23 met, the committee would make recommendations 24 on individual cases to Dr. Williams and Dr. 25 Cook," and is there anything you have any</p>	<p>1 April 4th, 2003, and he wrote it to all the 2 pathologists of the Health Sciences, St. 3 Clare's, and out-of-town hospitals, and he 4 wrote "kindly note that immunohistochemical 5 stains with the following antibodies" and 6 there's a list, including ER and PR, "have 7 remained unreliable, erratic and therefore 8 unhelpful for diagnostic purposes. Consequent 9 on the above, staining with these antibodies 10 shall stop forthwith until we can solve the 11 reliability, sensitivity and specificity 12 problems. Efforts are underway and hopefully 13 a solution will be found in the next four to 14 six weeks." Is that the type of thing then 15 that perhaps was discussed at the meeting, 16 that this is what Dr. Ejeckam conveyed to the 17 surgical pathology review committee? 18 DR. SIDDIQUI: 19 A. I would think so, looking at the dates and 20 similar content. 21 CHAYTOR, Q.C.: 22 Q. Okay, and the idea of those stains being 23 "unreliable, erratic and unhelpful for 24 diagnostic purposes," would that have caused 25 you concern at the time, in terms of your</p>
<p>1 independent recollection on either of those 2 items? 3 DR. SIDDIQUI: 4 A. No. 5 CHAYTOR, Q.C.: 6 Q. Okay, and then under new business, item 3.1 is 7 "ER and PR receptors. Dr. G. Ejeckam stated 8 that ER and PR receptors are not being 9 performed for the next six weeks, due to a 10 technical problem. If a solution cannot be 11 found, these tests will be sent outside St. 12 John's. He stated it is being considered to 13 send one or two technologists to Halifax or 14 Toronto for training," and Doctor, other than 15 what's written there, do you have any 16 independent recollection of what was stated by 17 Dr. Ejeckam on this issue, ER/PR receptors? 18 DR. SIDDIQUI: 19 A. No. 20 CHAYTOR, Q.C.: 21 Q. No, okay, and if we could have then, please, 22 P-0113? And this is just, I guess, 11 days 23 before your first meeting, and it's the memo 24 that--the first of three memos that Dr. 25 Ejeckam wrote in this time period. It's dated</p>	<p>1 ongoing treatment and care of your patients? 2 DR. SIDDIQUI: 3 A. I would be concerned, but I would also know at 4 the same time that the appropriate person who 5 is going to take care of that is aware of the 6 problem, is looking into that and is taking 7 care of that. 8 CHAYTOR, Q.C.: 9 Q. And would it have caused you any pause to 10 reflect or think about "well, how long has 11 this been going on? Could it--is it just 12 being detected now? Could it potentially 13 affect any of my patients who've recently been 14 tested with those stains?" 15 DR. SIDDIQUI: 16 A. Again, looking at these minutes, what my 17 thoughts would have been, that they're aware 18 of the problem. If they're sending me a 19 report, they have considered all those 20 variables before they sent it to me. 21 CHAYTOR, Q.C.: 22 Q. So at that point in time, in 2003, it didn't 23 cause you, yourself, to go back or ask any 24 more questions or go back and ask whether or 25 not it's any need to have any of your</p>

Page 381

1 patients' tests reviewed at that point in
 2 time?
 3 DR. SIDDIQUI:
 4 A. I don't remember, no.
 5 CHAYTOR, Q.C.:
 6 Q. Okay, and if you had done that, is that
 7 something, Doctor, that you would remember?
 8 If you had actually--this has registered with
 9 you to the point that "this sounds serious. I
 10 should be looking at my patients. I wonder is
 11 there any concern for the period of time
 12 immediately before this having been
 13 discovered?"
 14 DR. SIDDIQUI:
 15 A. If I had asked that, I might have remembered
 16 that, but again, cannot be 100 percent sure,
 17 but again, the main thing in my mind would be
 18 that we get technical--I'm divisional chief, I
 19 do make call schedules and I do get technical
 20 difficulties at time. It doesn't mean that
 21 the things get done, the things would get
 22 done, and will be get done in the right way,
 23 so I would expect the same thing, that they
 24 know the problem, the appropriate person knows
 25 the problem, they're looking at that, and if

Page 382

1 they are sending me a report, it's not like a
 2 preliminary report and they say it's going to
 3 change in two weeks. They have looked into
 4 those things before they send it to us.
 5 CHAYTOR, Q.C.:
 6 Q. Okay, and if then afterwards they've detected
 7 some issue and the wording here that's used is
 8 "remained unreliable, erratic and therefore
 9 unhelpful for diagnostic purposes," so if that
 10 caused you any concern at the time, it wasn't
 11 to the extent that you asked any further
 12 questions as to whether or not you should have
 13 any patients reviewed or any charts, any tests
 14 actually retested?
 15 DR. SIDDIQUI:
 16 A. Then I would think that if they know what the
 17 problem is, they would figure out when it
 18 started, and if this had remained, they can
 19 look back when it started. They'd look at
 20 those things and get back to us.
 21 CHAYTOR, Q.C.:
 22 Q. So you would rely on them to notify you if
 23 that were a concern?
 24 DR. SIDDIQUI:
 25 A. I would rely on pathologist, yeah.

Page 383

1 CHAYTOR, Q.C.:
 2 Q. And your role on the committee, on the
 3 surgical pathology review committee, Dr. Laing
 4 appointed you to that or asked you to sit on
 5 that committee, and you're the only medical
 6 oncologist on the committee. Would you have
 7 gone back to Dr. Laing and/or colleagues and
 8 relayed this issue to them and told them that
 9 an issue has arisen with those stains and Dr.
 10 Ejeckam is concerned to the point that he's
 11 stopping the testing for a period of time?
 12 DR. SIDDIQUI:
 13 A. Not until if he asked me to do. I'm part of a
 14 committee and I just can't go out and start
 15 saying things. If he thinks that it is that
 16 big of thing, that it would have clinical
 17 implications, there's a way to do that. He
 18 would send a letter to my division chief or
 19 clinical chief at that time, and they would
 20 send us letters to let us know that these
 21 should not be used for treatment purposes.
 22 CHAYTOR, Q.C.:
 23 Q. So you didn't see--your role on the committee
 24 wasn't intended to be a liaison for, or a
 25 conduit for information flow to the other

Page 384

1 oncologists?
 2 DR. SIDDIQUI:
 3 A. I think it could be, depending upon the type
 4 of information. If they had asked me to do
 5 that, I would probably do that.
 6 CHAYTOR, Q.C.:
 7 Q. So in this particular situation, you did not
 8 go back and tell Dr. Laing or your colleagues
 9 about this issue?
 10 DR. SIDDIQUI:
 11 A. I don't remember talking to any of them about
 12 that.
 13 CHAYTOR, Q.C.:
 14 Q. Okay, and unless Dr. Ejeckam had specifically
 15 said you should do that, you would not have
 16 done that?
 17 DR. SIDDIQUI:
 18 A. That's right, and plus, I would think that the
 19 best way to do that would be to send an
 20 official letter to our department and tell us
 21 that from this date to this date and that's
 22 how to follow up, either to repeat those tests
 23 or whatever they would suggest.
 24 CHAYTOR, Q.C.:
 25 Q. And if we look then, Doctor, at page two of

Page 385	Page 387
<p>1 this same exhibit, this is then a second memo 2 written by Dr. Ejeckam, and again it's to all 3 pathologists. So no indication that this 4 would have gone to the oncologists, and it's 5 May 2nd, 2003, and when did you first see this 6 memo, Doctor? 7 DR. SIDDIQUI: 8 A. I saw that the first time when I was 9 interviewed. I think that was in March of 10 this year. 11 CHAYTOR, Q.C.: 12 Q. Okay, during your interview for the Inquiry? 13 DR. SIDDIQUI: 14 A. That's right. 15 CHAYTOR, Q.C.: 16 Q. And is that also true of the one I just showed 17 you? 18 DR. SIDDIQUI: 19 A. That is right. 20 CHAYTOR, Q.C.: 21 Q. Okay. So prior to coming for your interview 22 at the Inquiry, you weren't aware of the 23 existence of those memos? 24 DR. SIDDIQUI: 25 A. There was another one that I think you'll</p>	<p>1 information to your attention," and then 2 there's a number of things which we've been 3 through several times here at the Commission, 4 including fixation, but paragraph five on the 5 reporting of ER/PR, and again, I appreciate 6 this is written to pathologists, but "several 7 formulae are in the literature for positive 8 results. ER positive greater or equal to five 9 percent nuclear staining, ER positive ten 10 percent of tumour staining, ER positive one 11 percent, shown to benefit from endocrine," is 12 it, "endocrine treatment"? 13 DR. SIDDIQUI: 14 A. Endocrine treatment, yes. 15 CHAYTOR, Q.C.: 16 Q. Thank you, and then there's a consensus 17 statement referred to, November 1st to 3rd, 18 2000, National Institute of Health, "any 19 positive nuclear ER immunostaining is 20 considered to be a positive result and should 21 be a definitive reason for instituting anti- 22 estrogen therapy for a patient. The medical 23 oncologists may require percentage of tumour 24 positivity." Were you aware of this consensus 25 statement? Had you ever heard of that as a</p>
<p>Page 386</p> <p>1 probably show me that. I had heard about that 2 over the CBC Radio. 3 CHAYTOR, Q.C.: 4 Q. The June one? 5 DR. SIDDIQUI: 6 A. That's one. 7 CHAYTOR, Q.C.: 8 Q. This one further along, this June 19th one? 9 DR. SIDDIQUI: 10 A. That is right. 11 CHAYTOR, Q.C.: 12 Q. Okay, but those two memos, you weren't aware 13 of? 14 DR. SIDDIQUI: 15 A. I don't remember seeing them before that March 16 interview. 17 CHAYTOR, Q.C.: 18 Q. Okay, and Doctor, I just want to take you down 19 to page two of this memo, and at this point in 20 time, I should point out to you first that 21 he's "glad to inform that we have rectified 22 the difficulties related to the immunostain of 23 ER/PR. Therefore, we can now resume regular 24 requests for these antibody stains. I will, 25 however, like to bring the following</p>	<p>Page 388</p> <p>1 medical oncologist? 2 DR. SIDDIQUI: 3 A. I might have heard of the contents of it, but 4 exactly was it from this statement, I'm not 5 sure if I was at that time. 6 CHAYTOR, Q.C.: 7 Q. Okay. So this is now being written in 2003, 8 that memo, but the consensus statement is said 9 to be 2000, so whether or not--you are not 10 able to say whether or not, in 2000, you would 11 have been aware of that? 12 DR. SIDDIQUI: 13 A. What comes to mind is that again, they're 14 pointing towards the percentage. We were 15 using, I think, ten percent at that time, and 16 that has been the case for most of the places. 17 CHAYTOR, Q.C.: 18 Q. Okay, and then it continues on in paragraph 19 seven and it refers to or he lists a number of 20 ER positive tumours, and Dr. Ejeckam has told 21 us that he - 22 DR. SIDDIQUI: 23 A. He missed lobular. 24 CHAYTOR, Q.C.: 25 Q. Yes, he missed lobular. He meant to have</p>

Page 389	Page 391
<p>1 lobular there as well, but it's missing from 2 the list. Those you've--tubular and mucinous, 3 you've certainly referred to, and would you 4 also have been aware that papillary and ductal 5 low nuclear grade tend to be ER positive as 6 well? 7 DR. SIDDIQUI: 8 A. That's correct. 9 CHAYTOR, Q.C.: 10 Q. Okay, and you would have been aware of that 11 back in your training days or your residency 12 days. Is that right? 13 DR. SIDDIQUI: 14 A. I would think so, this information, yes, in 15 the back to somewhere, what exactly you called 16 that. 17 CHAYTOR, Q.C.: 18 Q. Yes, okay. 19 THE COMMISSIONER: 20 Q. Ms. Chaytor, whenever you can find a 21 convenient spot. 22 CHAYTOR, Q.C.: 23 Q. Okay, thank you. Doctor, and what was your 24 practice then in terms of if you had a patient 25 who had one of those types of cancers or</p>	<p>1 if it was just a single lobular which was ER 2 negative, which there could be at times, 3 especially the poorly differentiated one, you 4 could think that they may be the negative one. 5 CHAYTOR, Q.C.: 6 Q. Okay, and in terms of trends, were you or are 7 you now keeping track of any trends or any 8 metrics? 9 DR. SIDDIQUI: 10 A. You mean keeping track of my own patients? 11 CHAYTOR, Q.C.: 12 Q. Yes, or has it been, not just you personally, 13 is there any coordinated effort for that to 14 happen within the oncology service? 15 DR. SIDDIQUI: 16 A. There's a tumour registry which keeps track of 17 patients, but I don't know if they pick up on 18 things like that or not. 19 CHAYTOR, Q.C.: 20 Q. Okay, and so to this day, whether or not 21 anyone is tracking types of tumour and having 22 that correlated with their hormone receptor 23 positivity, you don't know if that's 24 happening? 25 DR. SIDDIQUI:</p>
<p>Page 390</p> <p>1 lobular and you were to have an ER negative? 2 What was your practice in the event that 3 happened? Do you recall, first of all, did 4 that happen in your practice, and if so, what, 5 if anything, did you do about that? 6 DR. SIDDIQUI: 7 A. Lobular cancers, a great majority of them are 8 ER positive, as we know, but they could be ER 9 negative. It's not unheard of. Again, I 10 don't remember the particular instant. What I 11 can think that I might have done is that if 12 you find a lobular and it is ER negative, you 13 would think that that could be the one which 14 could be ER negative. If we are seeing breast 15 cancer on every single day and only then you 16 could probably pick up a trend that you are 17 having lobular, multiple lobulars. As you 18 know, lobular cancers are only about five to 19 ten percent of all breast cancers, so that 20 would mean that probably one out of 15 or 20 21 is a lobular, and if you are seeing 40, even 22 40 breast cancers in a year, so you saw 23 probably two lobular in a year, and they may 24 be seven months apart or 11 months apart. So 25 you would not be able to pick up a trend, and</p>	<p>Page 392</p> <p>1 A. If they are, I am not aware of it. 2 CHAYTOR, Q.C.: 3 Q. You're not aware of it, okay, and you 4 personally, in your own practice, aren't 5 tracking things like that? 6 DR. SIDDIQUI: 7 A. We can't. 8 CHAYTOR, Q.C.: 9 Q. And why, Doctor, why is it--is it the time 10 constraints that you have and the patient 11 loads? 12 DR. SIDDIQUI: 13 A. No, as I said, if we are seeing two lobular 14 patients in the whole year or three in the 15 whole year, they would be so far apart. If 16 you are lucky and it happens to be that you 17 saw two patients on the same day and they're 18 both ER/PR negative, then you could be--that 19 could come to your mind. But if they're a 20 long time apart, you would not remember the 21 first one, if they're six months or eight 22 months or a year apart. 23 CHAYTOR, Q.C.: 24 Q. Yes, and that's why I was wondering if you 25 were to keep track though and have a record of</p>

Page 393

1 it and not have to rely on your memory, but
2 that's not happening?

3 DR. SIDDIQUI:

4 A. No, I did not. I don't know about my other
5 colleagues, if they did. I do not such track.

6 CHAYTOR, Q.C.:

7 Q. Okay, and you're not aware of anything
8 currently within the oncology service that
9 people are pooling their results as such and
10 keeping track of issues such as that? And I
11 just use that one as an example.

12 DR. SIDDIQUI:

13 A. As I said, tumour registry, they keep a track
14 of the patients and they'd keep a track of the
15 number of cancers each year, and the
16 percentage changes. Are they keeping a track
17 of ER/PR negative on a particular type of
18 cancer, I'm not sure.

19 CHAYTOR, Q.C.:

20 Q. Okay. Thank you, Doctor. Thank you,
21 Commissioner. This is a good point then.

22 THE COMMISSIONER:

23 Q. All right then. We'll adjourn until Monday
24 morning at 9:30. Thank you.

25

Page 394

1 CERTIFICATE

2 I, Judy Moss, hereby certify that the foregoing is
3 a true and correct transcript in the matter of the
4 Commission of Inquiry on Hormone Receptor Testing,
5 heard on the 5th day of September, A.D., 2008
6 before the Honourable Justice Margaret A. Cameron,
7 Commissioner, at the Commission of Inquiry, St.
8 John's, Newfoundland and Labrador and was
9 transcribed by me to the best of my ability by
10 means of a sound apparatus.

11 Dated at St. John's, Newfoundland and Labrador
12 this 5th day of September, A.D., 2008

13 Judy Moss

<p>-\$-</p> <p>\$5,000.00 [1] 268:13 \$500.00 [6] 268:8,21,23 268:23 269:2,4</p> <hr/> <p>-&-</p> <p>& [11] 19:22 47:14 50:9 71:13 86:17 91:23 95:4 97:3 141:22 167:15 367:21</p> <hr/> <p>-'-</p> <p>'05 [2] 174:19,23 '06 [1] 212:24 '07 [1] 343:8 '08 [1] 242:17 '83 [1] 14:25 '90s [1] 126:12 '95 [2] 15:1 25:6 '97 [2] 146:21 163:10 '98 [2] 235:20 249:9</p> <hr/> <p>---</p> <p>-a [1] 180:23 -well [1] 209:7</p> <hr/> <p>-0-</p> <p>0.6 [1] 314:15 0.8 [1] 314:15</p> <hr/> <p>-1-</p> <p>1 [10] 28:24 66:11 76:4 160:6 167:23 172:1,7 174:19,23 317:10 10 [10] 83:16,16 160:7 164:12 166:19,24 250:5 257:22 353:19 357:2 10,000 [1] 366:6 100 [11] 8:1 85:5 86:4 166:22 304:5 311:2 332:17 334:11 336:24 343:9 381:16 10th [1] 207:23 11 [2] 378:22 390:24 11:05 [1] 153:14 11:30 [1] 199:14 12 [3] 39:23 235:5 366:4 12-hour [1] 40:3 12th [1] 313:8 13 [1] 194:25 13th [2] 157:19 242:17 14 [1] 195:8 140 [5] 319:7,14,20,24 321:21 1400 [1] 366:4 14th [3] 137:14 157:21 158:19 15 [5] 127:23 235:5 249:10 344:23 390:20</p>	<p>15th [3] 317:11 371:18 374:11 16 [2] 180:19 194:15 1681 [1] 312:25 16th [3] 64:19,23 180:23 17th [1] 315:18 18 [1] 344:23 180 [3] 302:3,17 319:18 1850 [2] 62:12 65:22 1974 [1] 4:24 1975 [1] 4:24 1976 [1] 207:18 1977 [2] 4:24 5:1 1978 [1] 5:1 1980s [1] 11:17 1981 [1] 8:23 1983 [11] 5:4,12 7:18 9:11 14:20 16:16 28:9 41:25 42:20 64:11 274:9 1990 [2] 84:12 358:15 1990s [5] 14:21,22 16:3 59:5 310:21 1991 [1] 8:17 1993 [1] 288:9 1994 [1] 288:11 1995 [9] 6:1 16:7,17 20:10 21:2 22:21 23:21 24:4 25:15 1996 [1] 20:17 1997 [6] 6:3 157:23 160:11 288:12,12 331:20 1998 [20] 18:20,25 19:13 61:21 64:20,24 65:21 66:10 71:9,9 75:2 76:4 83:5 99:5 100:20 103:10 107:16 128:6 180:23 235:7 1999 [6] 83:5 169:13 257:4,19 311:7,10 19th [6] 108:19 112:19 124:4,7 194:18 386:8 1:30 [1] 28:24 1st [4] 173:24 206:19 314:16 387:17</p> <hr/> <p>-2-</p> <p>2 [1] 65:14 20 [12] 83:16 98:4,4 103:5 116:25 140:14 185:8 249:10 357:22 358:11,14 390:20 20-25 [1] 233:23 20-30 [1] 257:21 200 [3] 302:18 319:19 366:3 2000 [9] 160:11 288:17 289:16 311:10 331:20 333:3 387:18 388:9,10 2001 [30] 102:4 160:6 161:22 288:21 289:2 299:2,6,24 300:9,10,11 300:15 302:20 309:2,12 309:18 349:5 351:2 352:1</p>	<p>352:15 353:22,23 354:3 354:11,12 355:25 357:20 361:5,10,14 2002 [20] 102:5 127:9,11 129:19 130:4 132:19,24 139:8,14 140:4,15 142:20 142:22 143:5 146:2 158:24 162:1 299:8 354:3 354:13 2003 [29] 30:21 99:1,5 102:5 108:11,16,19 109:11 111:3,16 118:21 121:1,21 122:7 126:19 128:7 181:4 302:23 357:21 358:5,7 371:18 371:20 374:11 376:8 379:1 380:22 385:5 388:7 2003/2004 [1] 282:1 2004 [7] 25:9 142:23 157:24 163:11 167:23 169:13 281:24 2005 [54] 6:7 25:12,15 53:14 57:23 58:6 103:23 103:25 105:8 107:14 133:25 137:15 139:15 146:9,21 148:21 151:20 153:14 156:6 157:4,19 160:17 163:8 165:5 167:23 172:2 173:24 180:19 182:4,14 185:21 185:21 189:20,25 191:7 193:23 194:18 195:9,10 195:24 196:10,13,19 197:19 198:16 199:7,14 200:15 283:15 312:1 340:16,19 364:19,23 2006 [25] 190:21 203:3 203:19 205:9 206:19 209:8 210:5 212:15 213:4 215:3 216:7 227:23 228:1 289:3 290:10 312:25 313:8,14,23 314:3,4,16 343:3,8 345:22 2006-2007 [1] 343:18 2007 [21] 221:10 227:15 228:3,8,13,25 229:22 231:1,11 247:25 259:11 291:23 292:1,8,12 293:17 314:4 315:18 318:9 345:23 346:6 2008 [8] 1:4 4:1 241:8,10 297:18 300:15 394:5,12 20th [1] 151:20 210 [1] 302:3 2157 [1] 236:21 2195 [1] 234:17 2270 [1] 227:12 2272 [1] 227:12 22nd [2] 195:24 313:14 24 [3] 34:6 42:23 153:13 25 [3] 9:11 22:9 40:17 2500 [1] 143:10 2525 [2] 4:11 283:13 25th [1] 257:4 26th [1] 156:6 270 [2] 2:3,4 272 [1] 2:4</p>	<p>273 [1] 2:5 281 [2] 2:5,6 286 [1] 2:6 287 [2] 2:8 3:5 28th [1] 312:25 29th [1] 209:8 2:00 [1] 327:23 2:05 [1] 215:23 2nd [9] 108:16 109:3 112:6,25 122:13 128:7 181:4 197:19 385:5</p> <hr/> <p>-3-</p> <p>3 [4] 41:2 65:15 257:16 257:17 3.1 [1] 378:6 30 [33] 22:9 98:4 160:12 164:12 166:19,24 217:19 250:3,5 291:24 292:21 293:14,17 301:13,15,17 301:18,24 302:6 316:21 318:10 319:13,13,24 352:12,16 353:3,19,22 357:1,23 358:11,14 30/10 [1] 164:16 300 [1] 236:23 30th [2] 195:10 196:2 31 [1] 157:24 31st [2] 199:14 227:15 382 [1] 237:17 3rd [2] 193:23 387:17</p> <hr/> <p>-4-</p> <p>4 [7] 2:3 3:2,3,4 65:22 70:5 182:13 40 [3] 305:3 390:21,22 400 [2] 2:8 305:4 4th [7] 108:11 109:11 120:9,22 128:8 257:19 379:1</p> <hr/> <p>-5-</p> <p>5 [5] 1:4 4:1 70:5 83:15 272:9 50 [1] 301:19 5:27 [1] 191:18 5:30 [2] 40:6,6 5:30/6 [1] 34:24 5th [3] 210:5 394:5,12</p> <hr/> <p>-6-</p> <p>6 [2] 40:7 70:5 60 [4] 176:24 179:21,23 336:17 6th [4] 157:4 159:22 163:8 187:11</p> <hr/> <p>-7-</p> <p>7 [1] 191:7 70 [4] 221:2,2 224:12 272:7</p>	<p>70s [1] 129:5</p> <hr/> <p>-8-</p> <p>80 [1] 86:4 80s [2] 15:25 129:5 8:00 [1] 40:13</p> <hr/> <p>-9-</p> <p>9 [1] 167:23 90s [1] 14:12 9:30 [1] 393:24 9th [1] 207:22</p> <hr/> <p>-A-</p> <p>A.D [2] 394:5,12 a.m [2] 153:14 199:14 Abbott [2] 315:17,20 abide [1] 130:25 abided [1] 121:15 ability [3] 267:12 318:20 394:9 able [13] 49:21 50:24 76:23 136:3,4,9 280:2 282:4 304:11 318:20 365:14 388:10 390:25 above [7] 82:22 249:7 305:7 317:2 319:20 320:3 379:9 absolutely [1] 115:3 academic [3] 295:8 296:14,20 accept [1] 329:12 accepted [7] 44:21 80:7 291:23 292:16 319:5,6 355:17 accepts [1] 314:19 accessible [1] 350:22 accession [1] 38:12 accommodate [1] 328:12 accompany [3] 66:13 93:5 141:21 according [3] 41:21 56:8 116:7 accordingly [2] 247:7 277:9 account [2] 262:8 277:5 accuracy [1] 69:25 accurate [1] 293:18 accustomed [1] 265:17 ACEO [5] 20:17 22:8 172:17,18 180:18 achieve [1] 372:7 acini [1] 114:17 action [2] 1:13 317:18 actions [1] 121:18 activities [1] 242:20 activity [2] 177:19 268:4 acts [1] 151:25 actual [37] 9:2 18:24 31:19 37:12 46:24 49:25</p>
---	--	--	--	--

50:24 51:5 52:24 63:25 64:3 68:7 75:16 76:12 89:11 97:1 143:22 148:12 155:24 178:4 184:16 185:17 196:19 197:8 208:22 222:18,19 223:1 223:16,17 234:15 245:24 249:15 256:1 263:6 264:4 284:15	advise [3] 157:12 273:14 355:3 advised [5] 125:23 153:14 241:22 242:2 344:10 advises [1] 170:13 advising [1] 195:10 Advisory [1] 195:1 affect [3] 369:12 370:9 380:13 affected [2] 198:18 367:14 affecting [1] 113:12 AFFIRMED [2] 2:7 287:2 aftermath [1] 77:25 afternoon [17] 26:17,19 26:20 28:24 29:9,17 34:20,24 250:20 273:10 281:20 286:16,25 287:12 287:19,21 328:4 afternoons [1] 331:7 afterward [1] 221:14 afterwards [7] 138:10 206:2 323:1 362:20 363:16 368:2 382:6 again [50] 6:7 20:6 38:16 95:6 111:8 136:21 146:15 150:14,15 157:3,4 160:16 199:10 223:10 238:9 240:18 241:13,15 248:10 248:12,16 254:2 257:18 261:13 262:8 267:4 269:25 313:21 326:12 332:17 336:3 340:1 341:16 346:4 354:1,19 358:24 359:10 362:23 367:22 368:11 376:12 377:6 380:16 381:16,17 385:2 387:5 388:13 390:9 ago [5] 9:11 197:5 210:16 245:5 322:25 agree [2] 80:7 246:2 agreed [5] 247:2,3 375:23 377:4,19 agreement [4] 244:2 292:12,24 313:17 ahead [4] 31:12 96:22 99:3 158:10 Ahmad [2] 299:7 348:17 al [2] 1:9 315:24 alcohol [1] 39:24 alert [1] 369:19 alerted [1] 128:10 alician [2] 71:14 75:14 Alidina [2] 300:8 349:24 alike [1] 353:11 allow [4] 84:3,18,21 267:18 allowed [5] 45:23 150:5 150:7 300:20 307:8 alluded [3] 210:15 250:11,13 almost [5] 13:5 27:3 79:18 107:11,11	alone [1] 267:25 along [9] 198:7 204:16 247:10 311:19 313:5 361:18 366:11,23 386:8 344:10 alphabet [1] 38:15 alphabetical [1] 38:13 alteration [1] 262:20 alternate [2] 313:10 318:18 alternative [1] 317:2 always [14] 23:16 79:18 93:12 122:20 124:1 155:21 236:15 247:10 265:22,23 266:3 273:19 293:14 362:15 amiability [1] 241:3 among [6] 301:18 326:4 360:1,18 366:7 368:13 amongst [5] 261:5,7 354:22 360:11 365:9 amount [4] 6:22 36:7 44:14 102:14 ample [3] 347:5,10,13 analysis [1] 74:2 analytical [1] 280:4 analyze [2] 277:10 279:9 anesthesia [1] 8:5 announcement [1] 25:8 answer [6] 77:10 271:24 283:24 340:12 347:15 350:17 anterior [2] 36:11,15 anti [1] 387:21 antibodies [4] 110:14 119:17 379:5,9 antibody [2] 119:22 386:24 antigen [1] 289:12 anyway [2] 51:16 239:8 apart [5] 390:24,24 392:15,20,22 apologies [1] 375:6 apologize [4] 80:12 125:17 257:17 269:20 apparatus [1] 394:10 apparent [1] 222:18 appear [2] 162:10 316:25 Appearances [1] 1:5 appended [1] 66:12 applied [1] 240:16 apply [1] 239:8 appointed [1] 383:4 appreciate [10] 81:15 100:6 120:2 124:3 140:9 183:23 199:12 245:1 286:11 387:5 apprised [2] 126:7 304:8 approach [16] 38:19 80:12 84:16 85:1 88:5,7 118:22 119:9 122:17 126:23 143:6 158:6 159:6 159:10 161:8 169:4 approached [3] 143:3	164:19 239:24 appropriate [12] 56:20 78:20 101:20 149:8 161:14 211:17 214:2 251:24 280:10 334:5 380:4 381:24 appropriately [10] 49:24 50:23 52:19 56:6 76:14 79:9 101:9 137:7 214:13 239:13 April [44] 105:6 108:11 109:11 111:3,16 120:9 120:22 121:21 128:8 146:21 167:23 172:1,7 172:10 173:24 174:19,23 177:17 196:10 210:5 213:2,2 240:17,20,20,23 240:24 241:8 289:3 290:10 291:23 292:1,7 292:12 293:17 297:10,17 300:8 314:16 318:9 371:17 374:11 376:8 379:1 area [34] 5:14 8:22 10:3 16:11,11,11,13 26:14,17 37:9,11 38:2 39:16 55:5 68:5,10 97:4,5 143:25 144:25 172:15 184:15 191:16,22 196:4 202:16 214:9 220:2 228:25 247:14 282:6 301:1 304:12 359:25 areas [5] 37:25 97:10 130:1 184:11 188:9 arise [1] 265:15 arisen [1] 383:9 arising [3] 270:17 285:5 286:7 arithmetic [1] 97:21 arose [3] 177:25 275:11 340:18 arrange [2] 291:1,6 arrangement [2] 241:5 241:22 arrival [1] 10:19 arrive [6] 28:6,17,19,22 29:8 211:18 arrived [19] 8:10,15 10:9 14:5,20,25 30:9 100:20 148:8 221:21 246:15 309:12 344:3 349:4,7 351:2 355:25 361:9 376:5 article [2] 82:7 84:12 ascertain [4] 56:5,19 136:16 187:3 ascertained [2] 136:9 224:11 aside [1] 111:1 aspect [6] 20:22 22:3 24:19 37:2 67:25 226:12 aspects [1] 42:3 assay [5] 16:23 17:4,17 332:6 333:6 assays [2] 7:4 80:2 assertion [4] 79:6 121:5 121:12 125:8 assessed [4] 45:20 226:8	310:4,6 assessment [6] 8:25 65:17 69:1 71:25 82:14 322:17 assigned [1] 306:23 assist [3] 227:25 275:11 286:12 assistance [1] 288:1 assistant [11] 12:14 20:18 21:19 24:7 126:12 174:4 175:5,10 312:10 312:15,16 associated [1] 145:5 Association [4] 1:14 59:23 184:10 319:3 assume [9] 56:11 198:7 222:7 227:5 251:18 252:3 255:20 271:25 276:21 assumed [1] 161:13 assuming [1] 222:16 assurance [4] 201:24 202:7 255:19 256:4 astounding [1] 299:11 Atlantic [1] 266:17 attached [5] 80:3,9 191:13,22 195:15 attachments [2] 227:16 233:21 attend [7] 60:15 242:25 267:3 308:6,9 310:7 350:5 attendance [1] 217:17 attending [12] 18:4 62:7 103:16 133:22 135:15 202:11 203:14 204:21 208:21 252:16 255:9 311:20 attendings [1] 339:1 attention [17] 36:7 95:22 118:25 121:6,8 165:9 178:3 205:15,17 221:24 226:19 237:8 280:8 315:13 341:14 362:21 387:1 audited [1] 306:2 auditing [4] 243:15 275:25 278:11,16 auditorium [2] 217:4 217:14 audits [4] 69:22 70:2,12 377:13 August [11] 153:13 154:1 167:23 170:8,9 248:3 259:8,9,11 260:20 300:10 authorities [2] 1:17 233:2 authority [3] 1:11 13:7 121:3 automated [1] 285:25 automatically [9] 41:10 239:20 273:25 274:22 362:12 375:24 376:3,7 376:10 avail [2] 266:15,25 available [15] 52:3 63:9
--	---	---	---	--

70:3 77:9 89:2,21 240:9 266:8 278:16,17 282:18 291:3 305:1 307:22 325:14 availed [2] 266:23,23 availing [1] 57:20 avalon [14] 6:2,8 14:14 16:9 172:15 177:11 179:11,15 180:14 194:18 196:4,6,15 268:4 avenues [1] 251:24 average [10] 33:8 97:10 97:14 103:3,5,12 116:25 302:3,15,17 awaiting [3] 170:23 199:4 200:17 aware [68] 34:9 57:12 58:24 69:13 71:2 98:23 100:14 102:2,8,10,24 105:19,21 107:23 108:11 116:1,13 117:17 118:15 121:2 123:7,10 128:19 128:21 129:13 153:21 165:10,22,23,23 166:25 167:3 168:12 170:7 190:19,23 191:22 193:3 193:6,19 205:6,9 210:24 211:20,24 213:11 216:12 221:8 247:20,24 260:14 260:18,20 277:19 282:17 335:19 364:19 380:5,17 385:22 386:12 387:24 388:11 389:4,10 392:1,3 393:7 away [3] 94:7 248:15 267:2 awhile [1] 157:20 axilla [1] 36:23 axillary [4] 36:23 37:1 38:4,6	41:20 42:12,18 43:5,9 43:14,18,22 44:1,5,9,17 44:24 45:5,9,16 46:2,6 46:15,19 47:16,20,25 48:5,9,16 49:1,6,10,19 50:6,11,21 51:8,13,22 52:2,10,15 53:3,8,17,21 54:1,8,15,20 55:2,8,12 55:16,21 56:1,7,22 57:1 57:5,9,15,24 58:3,7,16 58:21,25 59:9,14,19 60:5 60:21 61:2,6,12,17,24 62:3,8,17,23 63:6,18 64:8,12,16 65:2,6,10,18 66:1,6,14,18,22 67:1,6 67:12,18,22 68:9,16,21 69:6,14,18 70:7,13,18 71:12,23 72:4,10,14,22 73:2,19 74:6,11,16 75:4 75:13,20,24 76:10,17,22 77:13,17,22 78:4,16,23 79:2,10,14 80:14,23 81:3 81:7,18,23 82:9,20,25 83:8,13,19,23 84:13,17 85:6,12,16,21 86:5,11 86:24 87:7,11,16,25 88:6 88:23 89:3,7,19 90:3,11 90:23 91:2,11 92:2,6,12 92:19 93:6,17,24 94:4 94:22 95:2,16,21 96:10 96:17,25 97:17,22 98:1 98:17,24 99:7,11,15,21 100:3,11,15,22 101:2,10 101:15,22 102:7,11,19 102:23 103:1,4,11,21 104:1,12,16,20 105:5,9 105:15,20 106:3,12,17 106:24 107:4,8,19,24 108:4,13,20,24 109:4,14 109:18,25 110:4,8,16 111:5,11,18,22 112:2,8 112:13,22 113:3,8,20 114:6,11,20 115:17 116:3 116:9,22 117:14,20 118:5 118:12,16,23 119:10,23 120:4,10 121:7,13 122:1 122:10,18 123:1,9,15,22 124:8 125:4,14 126:4,8 126:15,24 127:4 128:12 128:18,24 129:3,8,15 130:10,16,23 131:11,15 131:21 132:5,11,16,21 132:25 133:12,17 134:1 134:5,14,20,25 135:8,21 135:25 136:6,11,18,23 137:3,17,22 138:2,7,16 139:2,9,19,25 140:5,10 140:17,21 141:1,6,10,14 141:18,23 142:3,10,17 142:21 143:7,23 144:7 144:11,16 145:6,17,21 146:3,7,13,25 147:8,14 147:19 148:3,18,22 150:17,23 151:10,17 152:5,12,17,23 153:9,13 153:23 154:7,11,17 155:2 155:6,11,15 156:9,15,19 157:9 158:1,7,16,20 159:3,7,12,16,24 160:8 160:13,20 161:1,5,11,17 161:23 162:2,7,12,18,23 163:5,12,16,21 164:2,7 164:13,20 165:2,11,16	165:21 166:5,10,14,20 167:2,7,17,25 168:5,13 168:23 169:6,11,20 170:2 170:10,17 171:3,8,12,21 172:3,9 173:21,25 174:7 174:13,20,25 175:6,12 175:18,23 176:2,6,13,23 177:5 178:9,14,18,25 179:6,10,14,18,22 180:5 180:9,25 181:6,12 182:5 182:18,21,22 183:8,13 183:18,25 184:5,23 185:4 185:12,16 186:1,6,15 187:5,12,17,25 188:4,19 189:2,8,21 190:1,8,16 190:22 191:3,20,24 192:5 192:7,12,17,21 193:2,13 193:25 194:7,11 195:3 195:17 196:5,12 197:1 197:14 198:6,12,20,24 199:6,15,18,18,23 200:3 200:18,22 201:1,6,10,15 201:23 202:3,8,13,21,25 203:23 204:2,11,15 205:3 205:8,16 206:1,5,15,23 207:2,21 208:2,7,12 209:11,15 210:7,11,17 211:23 212:20,25 213:5 213:14,20,25 214:7,19 214:24 215:5,11,16,20 216:8,14 217:1,9,13,18 217:22 218:5,10 219:12 219:23 220:3,9,18 221:2 221:5,11,15,25 222:5,14 223:9,14,20 224:3,8,14 224:18 225:7,11,17,22 226:6,15,20,25 227:4,18 228:4,9,23 229:7,12,17 229:23 230:6,11,16,22 231:4,8,15,21 232:1,16 232:20 233:4,8,18,22 234:4,11,19,24 235:13 235:25 236:5,10,24 237:5 237:18,22 238:3,14,20 238:24 239:4,10,18 240:2 240:6,15,22 241:9,14,24 242:3,7,14,18,22 243:3 243:8,12 244:1,11,16,22 245:3,17,22 246:7,18,22 247:4,8,17,23 249:19 250:6,10,14 251:11 252:4 252:12,18,22 253:1,21 254:11,16,21,25 255:5 255:10,18 256:3,13,20 256:25 257:5,13,24 258:4 258:9,13,19 259:3,14,19 259:24 260:6,17,22 261:2 261:6,15,21 262:1,5,10 262:17,21,25 263:7,17 263:23 264:2,11,16,21 265:2,10 266:11 267:7 267:16,22 268:12,16,20 268:24 269:3,7 270:1,7 270:11,23 271:1,4,13,18 271:23 272:4,7,15,23 273:8,10,18 274:5,10,15 274:21 275:7,14,19 276:1 276:6,11,23 277:2,6,13 277:18,25 278:10,14,22 279:4,13,18 280:5,21 281:3,6,18,20,25 282:9 282:14,19 283:2,16,21 284:5,14,20 285:1,9,15	286:4,11,13 Baker's [1] 220:22 bald [1] 121:4 Banerjee [1] 190:20 Banerjee's [1] 190:6 banking [2] 10:4 339:23 Barry [5] 145:25 157:24 168:3 173:11 197:22 based [8] 41:23 73:23 125:19 203:7 232:9 233:16 272:20 314:13 bases [1] 252:19 basic [7] 8:5 23:3 71:15 71:17,19 72:5 75:5 basis [19] 30:14 40:23 45:20 63:8 71:5 82:4 143:14 147:6 177:23 178:1 214:10 227:22 264:3,7 291:9 346:17 349:18 350:6 373:12 basket [1] 39:17 batch [2] 56:17 150:9 batches [4] 149:10,12 150:10 151:1 bath [1] 47:8 Battcock [2] 374:12,13 bay [1] 286:12 bearing [1] 115:14 became [19] 6:3,7 14:13 15:6 16:8 20:17 21:19 22:13,21 25:11 89:2 105:19 190:23 194:3 205:9 216:12 221:8 229:21 247:24 become [11] 58:24 98:23 102:2 118:15 129:13 153:21 168:12 205:6 222:18 247:20 299:9 becoming [1] 326:24 bed [1] 8:1 bedding [1] 49:2 beforehand [2] 310:13 310:16 began [5] 58:14 64:11 173:24 238:1 361:14 begin [3] 46:21 215:14 287:23 beginning [12] 30:5 38:23,25 89:9 119:19 173:2 198:15 203:6,19 212:21 228:3 253:3 begins [3] 66:11 157:12 232:7 begun [1] 190:5 behalf [1] 194:6 behind [3] 129:9 218:15 309:8 below [3] 232:4 250:2 262:15 bench [1] 264:6 benchmark [1] 138:19 benefit [5] 84:6 283:8 304:11 377:4 387:11 benign [4] 143:16 151:24	151:25 152:8 Bernard [3] 1:6 2:3 4:7 best [7] 7:7 15:25 34:13 90:13,14 384:19 394:9 better [1] 299:11 between [17] 14:25 16:16 25:15 91:20 99:5 128:5 142:7 163:11 211:6 243:21 248:1 302:3 307:2 326:14 335:25 346:14 348:1 Beverley [2] 152:3,21 beyond [1] 144:23 big [2] 298:4 383:16 bigger [1] 299:13 biggest [1] 304:24 binder [1] 237:23 binders [1] 143:8 binding [3] 332:6 333:6 333:8 biochemical [9] 7:4 16:22 17:4,17,20 69:23 80:2 332:3 333:4 biochemist [1] 62:6 biochemistry [5] 10:5 23:4 60:16 65:25 173:10 biomedical [1] 41:14 biopsies [2] 29:11 143:15 biopsy [8] 17:9 36:13 37:2,9,10,12 98:7 368:20 bit [12] 6:14 150:5 293:13 296:15 297:16 299:9 300:11 303:7 325:18 332:18 340:12 357:9 Blair [1] 1:16 blank [1] 89:10 Bliss [1] 191:14 block [11] 7:3 88:10,14 88:15 90:1,6,9,16 99:6 152:9 167:15 blocks [20] 46:23 88:4 141:22 142:13 144:20,21 144:22 145:22 233 147:3 149:9,18,21 151:21 241:17 247:10 250:22 260:8,11 330:11 blood [4] 10:3,4 290:3 339:23 bloodletting [1] 23:4 blue [1] 75:14 blues [1] 71:14 board [34] 14:14,24 15:5 15:6,7,10 16:10,10 179:15 180:14 194:19 268:5 305:16 306:20,22 307:3,9,17 308:3,22 309:4,6,13 310:7 312:1 312:3 346:15 347:16 349:23,24 373:18,21 374:2,3 boards [18] 5:25 6:3,6 14:13 25:9,10 182:15 268:7 288:22,25 304:21 307:12,14 309:19 310:3 310:21 311:13 349:6
--	---	---	---	--

-B-**B** [1] 73:14**Bachelor** [1] 4:23**background** [6] 4:20
183:3 216:22 287:25
288:6 331:17**backlogged** [1] 251:3**Baker** [918] 2:2 4:6,7,18
4:21 5:8,22 6:17,25 7:9
7:19,25 8:11,16 9:8,14
9:19,25 10:10,15,21 11:3
11:8,19,24 12:4,9,13,18
12:22 13:2,17,24 14:6
14:10,17,23 15:4,19,24
16:6,20 17:2,19,25 18:12
18:18 19:4,8,16,21 20:3
20:7,12,16 21:3,7,12,16
21:20,24 22:5,17,22 23:2
23:9,13,18,24 24:9,15
24:21,25 25:5,16,23 26:2
26:9 27:5,17,22 28:1,7
28:20 29:10,20 30:2,20
30:25 31:4,9,14,24 32:6
32:10,23 33:5,15,21 34:1
34:5,12,22 35:9,14,19
35:23 38:10,20,24 39:4
39:8,13 40:5,12,19 41:13

<p>Bob [4] 172:11,24 199:21 200:11</p> <p>bold [1] 121:5</p> <p>bone [2] 288:18 332:19</p> <p>Bonnell [1] 182:25</p> <p>book [3] 31:7 94:10,12</p> <p>booked [1] 344:24</p> <p>booking [2] 211:2,13</p> <p>books [3] 30:10,14,19</p> <p>bottom [6] 74:21 79:18 79:23 113:25 220:21 222:6</p> <p>bowel [2] 29:1,12</p> <p>box [5] 345:5 346:3 362:25 363:2 364:9</p> <p>bracket [1] 357:24</p> <p>brackets [6] 80:18 83:15 258:7,16,17 357:23</p> <p>Brazil [4] 1:8 269:14 270:18,19</p> <p>breadloafed [1] 32:15</p> <p>breadloafing [2] 32:21 33:13</p> <p>breadth [1] 33:7</p> <p>break [7] 127:17,19,24 216:1,6 267:2 286:17</p> <p>breast [55] 1:12 27:16 29:25 31:23,25 34:9 36:9 36:10,18,19,25 37:15,17 37:22,24 47:14 98:15,21 113:12 114:17 115:7,23 117:17 140:15 143:1,15 149:22 152:24 157:22 185:9 191:17 222:9 224:2 225:25 226:8,11 232:7 232:12 235:16 271:8 289:14 301:8,10,13 302:12,24 303:10 321:18 338:13 360:23 375:25 376:4 390:14,19,22</p> <p>Brendan [1] 156:7</p> <p>brief [4] 8:17 130:17 137:5 188:5</p> <p>briefly [2] 32:13 287:24</p> <p>bring [15] 62:11 108:7 157:2 210:4 227:10 234:16 236:20 248:4 256:9 283:13 288:1 315:13 369:4 370:11 386:25</p> <p>bringing [1] 312:2</p> <p>brings [1] 253:2</p> <p>broad [2] 279:5 284:21</p> <p>broader [1] 253:7</p> <p>Brocklehurst [3] 1:12 281:9,10</p> <p>Brook [9] 291:8 298:9 301:20 325:22 326:6 342:6 343:1,12,25</p> <p>brought [14] 16:12 31:17 39:15 121:5,8 178:3 187:18 221:24 226:19 239:12 341:14 362:20 369:5 370:2</p> <p>brown [1] 74:24</p>	<p>Browne [11] 2:6 136:17 281:17,18,19 282:2,11 282:16,21 285:17,21</p> <p>Browne/Jane [1] 1:9</p> <p>buffered [1] 114:4</p> <p>bulk [1] 97:5</p> <p>bullet [6] 160:5 166:8 167:13,21 169:3 170:13</p> <p>bullets [1] 157:18</p> <p>bundle [1] 254:4</p> <p>buried [1] 209:14</p> <p>busier [1] 326:24</p> <p>business [1] 378:6</p> <p>busy [2] 348:10 365:13</p> <p>bylaws [1] 197:7</p> <hr/> <p style="text-align: center;">-C-</p> <hr/> <p>c [2] 18:15 90:17</p> <p>C-0026 [2] 256:9 261:24</p> <p>C-0027 [1] 261:12</p> <p>C-0224 [4] 3:4 4:16 220:13 271:1</p> <p>C-224 [1] 4:11</p> <p>CA [1] 113:12</p> <p>cabinet [1] 248:23</p> <p>calculate [1] 305:22</p> <p>calendar [1] 297:13</p> <p>calls [3] 106:9,10 242:19</p> <p>Cameron [2] 1:3 394:6</p> <p>CAMO [1] 319:2</p> <p>Canadian [4] 1:15 273:11 288:24 319:2</p> <p>cancelled [1] 197:3</p> <p>cancer [44] 1:12,15 140:15 185:9 191:14 203:15 204:22 208:23 209:20,21 210:23,25 211:15 251:17 252:16 255:11 273:12,16,17,21 274:1 275:5,10 282:7 301:8,10,13 302:12 303:10 315:22 316:3 321:18 323:1,10,11,12 323:13,23 334:25 340:23 341:7 368:23 390:15 393:18</p> <p>cancers [12] 299:4 321:1 334:16,20 335:7,12 389:25 390:7,18,19,22 393:15</p> <p>candidate [3] 334:11 337:25 358:21</p> <p>cannot [8] 301:22 332:17 343:9 344:14 353:13,18 378:10 381:16</p> <p>canvas [1] 281:21</p> <p>capabilities [1] 282:12</p> <p>capable [1] 171:14</p> <p>capacity [5] 12:3 13:1 14:12 16:21 289:3</p> <p>captured [1] 231:13</p> <p>Carbonear [74] 5:12,15 5:15 7:18 8:1 9:5 15:5,9</p>	<p>23:8,16 27:1 29:24 30:4 40:16 41:25 43:2 44:13 52:9 53:13 55:7 60:2 61:22 62:22 63:5 64:4,5 68:12 71:11 72:17,25 73:5 89:14 103:3 113:18 140:15 161:9 164:18 169:9 176:14,15 179:9 179:11 180:16 182:17 183:20 196:10 198:17 211:21 218:3 228:17 229:10,15 230:2,19 231:3 231:13 236:15 237:8 239:25 240:11 244:2 247:14 252:25 256:11 263:5,6 266:14,17 270:5 273:15,20 274:19 281:22 282:7</p> <p>carcinoma [6] 88:9 115:23 234:22 273:24 289:14 376:4</p> <p>carcinomas [3] 69:2 118:2 143:1</p> <p>cardboard [1] 92:23</p> <p>care [27] 6:2 14:14 16:9 16:12 64:20 69:10 124:15 125:20,21 171:19,24 176:15 179:15 180:14 194:19 283:9 292:21 295:22 305:20 315:22 316:3 328:22 331:3 377:6 380:1,5,7</p> <p>CARO [1] 319:2</p> <p>carried [1] 362:15</p> <p>carry [2] 290:20 307:11</p> <p>carrying [2] 175:7 320:21</p> <p>Carter [8] 152:3,21 221:3,21 224:20 226:13 232:6 272:8</p> <p>case [22] 68:19 116:21 140:6,14 158:25 159:20 218:16,17 220:22 225:4 230:21 245:21 309:1 310:18 312:2 320:23 338:7 339:11 349:12 368:5 369:14 388:16</p> <p>caseload [1] 326:17</p> <p>cases [42] 28:25 61:15 74:24 129:21 131:8 140:4 140:15,25 141:4 153:16 153:20 154:18 157:22 158:25 159:10 168:3,11 170:15 211:11 220:1 243:18 244:10 250:17,19 250:24 265:22 278:19 279:1 280:17 310:4 311:19 338:13 349:18 359:10,24 368:4 369:13 371:3 373:12 375:25 376:6 377:24</p> <p>cassettes [7] 36:2 37:21 38:9,11 39:3,14 51:18</p> <p>casual [2] 181:18 248:9</p> <p>categories [1] 141:13</p> <p>category [6] 109:13 111:8 141:11,13 159:1 250:2</p> <p>caused [5] 121:11 230:14</p>	<p>379:24 380:9 382:10</p> <p>causes [1] 230:15</p> <p>cautions [1] 338:17</p> <p>cavity [2] 37:10,12</p> <p>CBC [1] 386:2</p> <p>cell [6] 73:14,15 75:7,9 75:15 97:5</p> <p>cells [17] 50:25 52:19 74:25 75:17 80:18 83:7 83:15 85:11 86:1 88:12 97:7,7,9 122:22 257:22 257:23 258:18</p> <p>centimetres [1] 33:9</p> <p>Central [2] 1:16 182:15</p> <p>centre [18] 17:21 56:12 78:18 109:20 124:19 149:16 150:2 191:15 217:3,14 266:14 282:8 290:25 341:7 351:15,15 351:17,17</p> <p>centres [1] 299:13</p> <p>CEO [8] 21:21 24:7,16 126:12 174:5 175:5,10 175:11</p> <p>certain [16] 107:11,12 117:9,17 166:22 183:1 231:12 243:22 298:22 300:21 304:18 310:14 318:23 334:7,16 335:11</p> <p>certainly [21] 19:2 27:20 57:23 61:11 70:10 72:9 72:18 88:19 102:4 116:19 124:5 134:18 162:1 170:7 189:18 222:4 235:12 267:8 354:7 366:10 389:3 320:21</p> <p>Certificate [2] 2:9 394:1</p> <p>certify [1] 394:2</p> <p>chain [1] 24:20</p> <p>chair [2] 124:16 282:23</p> <p>chairman [1] 374:12</p> <p>chairs [1] 11:13</p> <p>challenge [1] 339:8</p> <p>challenges [1] 265:5</p> <p>challenging [2] 338:21 339:4</p> <p>chamber [2] 39:18,20</p> <p>chance [3] 84:5 124:6 190:4</p> <p>change [26] 14:24 15:1,7 16:7 20:15 22:3 23:12 23:23 24:5 118:21 122:16 122:17 166:2 196:14 202:20 206:8 242:6 297:4 317:13 329:15 353:3 355:17 357:8 367:13 370:8 382:3</p> <p>changed [12] 104:23 112:1 137:10 165:25 173:20 174:6 175:4 177:10 296:15 332:16,24 367:22</p> <p>changes [17] 6:15 58:2 170:5 172:7 208:20 234:5 234:9 235:22 276:15 290:17 296:5 304:13 340:17 363:23 369:11,11</p>	<p>393:16</p> <p>changing [3] 16:8 40:21 304:10</p> <p>characteristics [1] 276:16</p> <p>charge [2] 172:17 174:8</p> <p>chart [10] 227:7 252:15 255:8 342:7,11 343:8 344:4 345:11 363:14 364:4</p> <p>charts [4] 344:19,25 352:21 382:13</p> <p>chat [1] 348:3</p> <p>Chaytor [289] 1:7 2:8 221:10 286:23,24 287:3 287:10,18,22 289:7 290:6 290:13 291:16,25 292:5 292:13,23 293:2,6,11,21 294:1,7,12,17 295:10,14 296:1,24 297:3,12,19,24 298:14 299:18,23 300:5 300:13,19,25 301:6,14 301:23 302:4,10,16 303:4 303:8,14,22 304:2,7 305:9,24 306:3,10,15,25 308:5,10,15,20,25 309:5 309:11,16,22 310:2,19 311:5,11,25 312:8,23 314:9 315:9 317:23 318:2 318:8,15 319:17,23 320:2 320:7,12,18 321:7,12,22 322:4,9,13,20 323:3,7 323:14,21 324:2,7,12,19 324:24 325:9,15 326:1 326:16,23 327:3,15 328:17,25 329:4,20 330:1 330:5,10,14 331:14 332:1 332:8,12,21 333:1,7,14 333:20 334:2,14,22 335:2 335:8,18,23 336:10,21 337:1,11,18,22 338:6,11 338:22 339:5,13,18,25 340:14 341:4,10,22 342:1 342:14,19,23 343:4,17 344:2,8,17 345:6,20 346:9,22 347:4,18,23 348:5,12,19,23 349:3,15 350:3,12,21 351:1,11,16 351:21 352:8,14,19 353:1 353:20 354:5,14,21 355:1 355:6,11,15,23 356:7 357:12 358:2,6,10,18 359:2,14,21 360:2,8,19 361:3,8,13,23 362:6,11 362:18 363:1,6,17,21 364:2,7,17 365:3,16,22 366:9,17,22 367:7,17 368:3,16 369:2,9,18,25 370:6,15 371:7,16,21 372:2,9,16,23 373:9,22 374:6,16,20,24 375:4,10 375:16 376:16,23 377:11 378:5,20 379:21 380:8 380:21 381:5 382:5,21 383:1,22 384:6,13,24 385:11,15,20 386:3,7,11 386:17 387:15 388:6,17 388:24 389:9,17,20,22 391:5,11,19 392:2,8,23 393:6,19</p> <p>check [7] 89:18 114:3</p>
--	---	---	--	---

207:18 235:9,15 259:6 344:19 checked [1] 249:15 checking [2] 114:16 224:11 chemical [1] 56:20 chemicals [1] 40:22 chemo [1] 353:13 chemotherapy [4] 63:12,20,21 364:14 chief [35] 6:8 20:18 124:18,18 151:13 172:14 177:7 178:8,20 289:4,18 290:8,9,14,15 292:18,20 293:22 294:9,19,20 295:1 295:4 314:15,20 316:3,4 316:5 317:22,24 318:1 319:12 381:18 383:18,19 chiefs [3] 294:23 314:23 316:13 choice [2] 244:10 353:13 choosing [2] 147:7 151:21 chosen [1] 42:2 Christmas [3] 238:4 251:7,7 chronologically [1] 20:6 circa [1] 59:4 circle [1] 89:23 circulated [1] 377:1 circumstances [2] 102:24 247:21 Clare's [7] 205:18 373:19 374:19,23,25 375:14 379:3 Clareville [1] 178:13 Clareville [9] 228:18 243:2,4,6 244:3,4 245:9 245:11 279:22 clarification [1] 360:4 clarify [2] 270:14 283:12 Clarke [3] 12:15 13:6,13 Class [1] 1:13 classed [1] 19:23 classified [2] 14:2 226:5 clear [1] 316:24 clearly [1] 80:10 clerical [2] 211:9,20 clerks [2] 211:3,13 clinic [26] 176:18 203:16 204:22 208:23 209:20,22 210:23,25 211:15 251:18 252:16 255:11 282:8 291:14 327:8,20,20,23 327:25 328:3,4 331:12 344:23 347:2,3 348:10 clinical [31] 6:7 9:22,23 10:2 13:9 24:18 104:22 124:17 151:13 172:14,25 175:15 177:7,19,25 178:8 178:20 294:9,19 295:4,6 296:9 305:10 312:15 314:14 316:3 330:22 338:4 376:25 383:16,19	clinically [1] 368:24 clinician [1] 377:5 clinicians [1] 377:18 clinics [14] 27:7,9,12 251:17 291:1,7 298:7,8 325:19 326:24 328:10 329:17 346:25,25 close [2] 333:3 365:4 closed [1] 39:20 closest [1] 266:14 closures [1] 314:17 clot [1] 290:3 CME [2] 242:20 268:8 Co-counsel [2] 1:6,7 coagulation [2] 289:20 339:24 Coffey [856] 1:6 2:3 4:3 4:4,7,9,17 5:6,20 6:11 6:19 7:2,17,21 8:8,13 9:6 9:10,16,21 10:7,13,17 10:23 11:5,15,21 12:2,6 12:11,16,20,24 13:14,22 14:3,8,15,19 15:2,16,22 16:2,15,24 17:16,22 18:7 18:14 19:1,6,12,18,25 20:5,9,14 21:1,5,9,14,18 21:22 22:1,11,19,24 23:6 23:11,15,20 24:6,13,17 24:23 25:2,13,18,25 26:4 26:25 27:14,19,24 28:5 28:16 29:7,14,22 30:18 30:22 31:2,6,11,21 32:4 32:8,19,25 33:12,17,24 34:3,7,17 35:7,11,16,21 38:8,17,22 39:1,6,10 40:2,8,14 41:11,15 42:10 42:14,24 43:7,11,16,20 43:24 44:3,7,11,20 45:2 45:7,12,24 46:4,8,17 47:10,18,22 48:2,7,14 48:18 49:4,8,14 50:3,8 50:15 51:6,10,17,25 52:7 52:12 53:1,5,10,19,23 54:5,10,18,25 55:6,10 55:14,18,23 56:3,15,24 57:3,7,11,21 58:1,5,9,18 58:23 59:2,11,16 60:1 60:18,23 61:4,8,14,19 62:1,5,10,19 63:2,16 64:2,10,14,18 65:4,8,12 65:20 66:3,8,16,20,24 67:3,8,15,20 68:6,13,18 68:23 69:8,16,20 70:9 70:15 71:7,21 72:1,7,12 72:16,24 73:16,25 74:9 74:13,18 75:11,18,22 76:2,15,19 77:2,15,19 77:24 78:6,21,25 79:5 79:12,25 80:19,25 81:5 81:9,20 82:5,11,23 83:2 83:10 87:21 84:10,15,24 85:8,14,18,23 86:7,21 87:1,9,13,18 88:2,17,25 89:5,15,25 90:7,18,25 91:9,24 92:4,9,15 93:1 93:14,19 94:1,20,24 95:13,19 96:7,13,21 97:15,19,24 98:10,19 99:2,9,13,17,23 100:5 100:13,18,24 101:6,12	101:17 102:1,9,13,21 103:1,8,14,24 104:10,14 104:18 105:2,7,11,17,25 106:5,15,22 107:2,6,13 107:21 108:1,6,15,22 109:2,10,16,23 110:2,6 110:10,18 111:7,15,20 111:24 112:4,10,18,24 113:5,15,23 114:8,14 115:12,21 116:5,11 117:6 117:16 118:3,7,14,19 119:3,15,25 120:6,21 121:9,20 122:6,12,23 123:4,12,19 124:2,11 125:7,16 126:6,10,18 127:2,14,20 128:1,2,14 128:20 129:1,6,11 130:6 130:13,20 131:4,13,17 131:24 132:9,13,18,23 133:8,14,19 134:3,12,16 134:22 135:4,18,23 136:2 136:8,14,20,25 137:12 137:19,24 138:4,11,23 139:4,11,21 140:2,8,13 140:19,23 141:3,8,12,16 141:20,25 142:5,15,19 143:2,20 144:2,9,13 145:1,14,19 146:1,5,10 146:23 147:5,12,16,24 148:14,20 150:13,21 151:3,12,19 152:7,14,20 153:6,11 154:2,9,14,23 155:4 156:3,11,17,25 157:11 158:3,11,18,22 159:5,9,14,19 160:4,10 160:15,22 161:3,7,15,19 161:25 162:4,9,15,20,25 163:7,14,19,24 164:4,9 164:15,22 165:4,13,18 166:1,7,12,17,23 167:5 167:12,19 168:2,7,21 169:2,8,18,23 170:4,12 170:19 171:5,10,16,23 172:5 173:18,23 174:3 174:10,18,22 175:2,9,14 175:20,25 176:4,8,19,25 178:7,11,16,22 179:2 180:1,7,12 181:2,8 182:2 182:9,20,24 183:10,14 183:16,22 184:2,19,21 184:25 185:6,14,19 186:4 186:12,24 187:10,14,22 188:2,17,24 189:4,15,23 190:3,12,18,25 191:5 192:1,9,14,19,24 193:10 193:21 194:2,9,13 195:5 195:19 196:7,23 197:12 197:16 198:9,14,22 199:3 199:9,25 200:13,20,24 201:3,8,12,21,25 202:6 202:10,17,23 203:18,25 204:8,13,24 205:5,14,24 206:3,11,17,25 207:4 208:4,9 209:5,13,17 210:9,13,19 212:10,11 212:22 213:3,9,17,22 214:4,14,22 215:1,8,13 215:18,24 216:3,4,10,24 217:5,11,16,20 218:2,7 219:9,18,25 220:6,7,12 220:20 221:7,13,17 222:3 222:12 223:5,12,18,22 224:5,10,16,25 225:24	226:3,10,17,22 227:2,9 227:20 228:6,21 229:1,9 229:14,19,25 230:9,13 230:18,25 231:6,10,18 231:24 232:3,18,22 233:6 233:14,20,25 234:8,13 234:21 235:11,21 236:2 236:7,17 237:1,15,20,25 238:11,17,22 239:23 240:4,13,19 241:7,11,20 242:1,5,9,16,24 243:5 243:10,24 244:9,13,19 244:24 245:14,19 246:5 246:13,20,24 247:6,12 247:19 249:17 250:4,8 250:12 251:8 252:1,7,14 252:20,24 253:19 254:7 254:13,19,23 255:2,7,12 255:23 256:6,22 257:2,7 257:15 258:1,6,11,15,21 259:12,17,21 260:1,13 260:19,24 261:4,9,17,23 262:3,7,12,19,23 263:2 263:11,19,25 264:8,13 264:19,23 265:4 266:7 267:5,11,20 268:10,14 268:18,22 269:1,5,9,18 270:3,9,13 283:19 286:7 286:8,19 302:5 collaborate [1] 291:2 colleagues [17] 80:6 265:24 320:17 341:12 346:17 347:6 348:1 352:5 352:23 364:20,20 365:6 365:15 366:7 383:7 384:8 393:5 collect [2] 130:2 163:10 collected [6] 149:11 160:2 161:21 162:5 164:23 279:9 collecting [2] 158:24 186:19 collection [4] 148:6,24 158:9 163:18 College [8] 242:20 266:16 288:24 303:16,18 304:17 305:3,16 colon [3] 321:18 323:11 367:20 combination [2] 143:24 305:2 combined [1] 298:20 combining [1] 25:10 comfort [1] 45:22 comfortable [1] 280:18 coming [17] 30:23 34:20 58:10 167:4 172:11 216:16 223:11 231:16,22 277:20 297:21 323:12 324:21 361:15,21 362:2 385:21 commenced [1] 344:12 comment [16] 83:18 100:7,9,17 104:25 114:24 115:1 123:10 192:4 231:16,22 253:3,8,22 280:15 281:1 commented [1] 271:2	comments [6] 66:9 129:16 188:12 193:14 282:24 283:3 commercially [1] 114:5 Commission [10] 1:1,6 1:7 190:4 283:5,8 287:6 387:3 394:4,7 Commissioner [104] 1:3 4:2,5,10,12,18,19 6:14 7:22 16:25 25:21 26:8 59:4 102:15,25 103:6,9 108:7,9 117:11 126:21 127:15,18,22,25 128:3 133:20 135:6 143:4 155:10,16 157:1 158:23 179:8,12,16,20 194:16 212:9,12 215:22,25 216:2 216:5 219:19 223:23 224:23 225:2,9,13,19 226:1 239:2,6,14,21 247:22 256:8 263:3 269:10,12,15,16,20 270:15,16,21,25 273:2,5 273:6 281:8,11,12,16 282:22 283:10,18,23 284:11,17,23 285:3,11 285:18,19 286:6,9,10,15 286:22,25 287:11,15 355:13 356:9,14,18,25 357:10 389:19 393:21,22 394:7 commitment [5] 22:7 265:16,18 267:9 316:19 committee [27] 195:1 369:6 370:3,17,18,19,22 370:23 371:8,10,12,15 372:4,7,12,19 373:6,13 374:10 377:23 379:17 383:2,3,5,6,14,23 committees [2] 291:12 298:11 common [2] 117:22 307:12 communicated [3] 150:16 354:17 355:8 communication [3] 127:5 346:14 349:17 communications [1] 196:21 communiqué [4] 191:11 191:13,18,23 community [2] 179:24 313:4 companion [1] 265:20 compare [1] 301:24 compared [1] 300:14 compensated [1] 293:16 compensation [6] 314:21 315:5 316:1,9,24 317:3 compilation [1] 248:19 compile [1] 248:6 compiled [2] 248:13,22 complete [4] 197:24 199:1 287:5 288:20 completed [5] 288:16 331:18,21 377:2,21
---	--	--	--	---

<p>completely [1] 237:11 completion [2] 198:21 198:25 complex [2] 288:14 316:17 compliance [1] 239:1 complicated [2] 347:19 347:21 components [1] 82:15 composed [1] 11:12 composition [1] 56:21 computer [4] 30:17 31:7 273:23 274:23 concentrate [3] 67:4 253:13 304:11 concentrated [1] 169:12 concentrating [2] 164:10 169:4 Conception [1] 15:7 concern [13] 61:9,16 70:25 128:10 186:22 188:9 318:17 366:10,12 379:25 381:11 382:10,23 concerned [4] 115:1 280:8 380:3 383:10 concerning [1] 182:12 concerns [10] 51:3 77:10 78:2 121:14,23 128:15 151:15 195:14 264:20 366:24 conclude [1] 119:16 concludes [5] 77:8 81:11 151:20 192:3 317:8 conclusion [5] 116:16 125:2 147:18 215:15 226:14 conclusions [2] 221:22 247:1 concordance [1] 66:21 conduct [2] 8:20 81:21 conducting [1] 74:2 conduit [1] 383:25 conference [8] 182:13 182:14 183:12,15,21 184:20 232:25 268:1 conferences [2] 267:19 268:9 conferencing [4] 217:7 266:14,16,22 confidential [1] 189:7 confirmation [1] 73:8 confirmed [2] 225:21 314:18 confirming [1] 73:22 confusion [2] 209:19 227:6 conjunction [1] 289:16 connected [1] 62:14 connection [1] 64:5 consensus [4] 360:11 387:16,24 388:8 Consequent [1] 379:8 consider [2] 96:18 98:12</p>	<p>considerable [1] 81:12 considered [13] 83:6 96:9 123:7 132:12,14 319:9 323:4,23 333:22 358:1 378:12 380:19 387:20 considering [1] 359:11 considers [1] 317:6 consistent [7] 264:3 274:8 280:11 309:23,25 350:6 367:10 consistently [1] 265:14 consists [1] 307:17 constantly [1] 264:7 constraints [2] 345:9 392:10 consult [8] 13:8 111:14 113:13 322:17 341:21 343:14 349:13 350:14 consultants [2] 170:24 187:19 consultation [6] 63:8 63:14 73:4,8 111:12 266:1 consultations [2] 59:22 153:4 consulted [3] 81:24 82:4 228:8 consulting [1] 315:4 consults [15] 291:5 320:24 321:2 329:8,8,9 329:11,12,12 342:4,8,9 342:22 350:1 362:4 contact [11] 77:11,20 153:17,18 154:20 182:3 186:9 211:18 243:1 275:10 359:16 contacted [3] 194:5 202:16 369:13 contacts [1] 194:4 contain [3] 66:12 86:14 156:23 contained [5] 88:9,11 89:20 94:16 119:7 container [1] 17:14 containers [1] 92:23 contains [2] 151:23 237:14 contemporaries [1] 129:7 content [4] 80:7 188:22 212:7 379:20 contention [1] 313:9 contents [4] 2:1 235:23 237:3 388:3 context [5] 18:17 54:19 186:13 191:8 337:13 continue [6] 14:9 77:9 215:14 325:1 357:15 377:12 continued [7] 30:19 228:19 229:15 230:7 271:11 304:19 305:5 continues [1] 388:18 continuing [3] 103:10</p>	<p>178:8 267:13 contrast [1] 284:25 contribute [2] 312:19 312:20 contributing [1] 232:14 control [34] 41:3 57:18 72:9 76:16,18,24 79:3 79:21 91:23,23 92:5,7,8 92:8,17 93:3,3,10,11,13 93:21 95:10,18 96:6,19 96:23 98:22 101:8 115:3 115:10,11 152:1 153:17 219:2 controls [28] 71:20,20 71:24 72:3,6,8 76:6,8,9 77:5,8 78:10,15 79:9,16 79:17 86:16 95:25 96:4 96:9,15 98:14,20 114:18 114:22,23 142:2 167:15 convenient [1] 389:21 conversation [27] 129:17 130:18 131:22 133:1 134:17 135:19 137:4,11 138:1 150:15 153:1 158:14 168:14 181:14,18 188:5 200:1,4 200:5 205:19 228:14 245:2 250:16 253:6,16 313:13 347:25 conversations [6] 59:21 153:4 185:22 186:2,10 253:5 conversion [2] 131:9,20 conversions [9] 127:10 129:19 130:8 132:1 133:7 205:11,12 251:23 252:6 converted [5] 129:20 132:7 133:4 139:1,5 converting [1] 131:9 conveyed [1] 379:16 Cook [50] 100:14 104:6 105:23 106:6 124:17 127:6 128:5,22,23 129:2 129:4,12 131:18 133:10 134:4,18 137:20 138:1 146:18 147:25 150:15 151:13 153:8 154:4 156:8 157:3,4 158:15 168:15 169:3 183:5 199:11,15 200:2 203:6,22 206:19 207:21,24 212:17 213:19 218:15 221:3,20 224:20 226:13 253:5 265:25 272:8 377:25 Cook's [3] 145:2 205:18 222:7 coordinated [1] 391:13 copied [4] 124:13 156:7 195:25 315:17 copies [5] 181:23 203:13 273:20 274:4 275:1 copy [34] 137:14,21 153:16,18 155:25 157:8 181:4 188:20,21,25 191:17 193:8 204:21 207:1,18,19 208:16,21 210:10 233:11 234:17 241:17 245:25 248:22</p>	<p>261:13 263:4,9 272:5 274:16 277:3 288:3 363:3 363:7,9 Corner [9] 291:8 298:8 301:20 325:21 326:6 342:6 343:1,12,25 Corporation [6] 64:21 69:11 124:15 125:21 171:19,24 correct [98] 7:20 11:20 14:7 20:13 23:10,19 29:21 31:10 35:15 43:15 44:6 49:2 50:7 54:9 55:13,22 57:25 58:17 61:13,18 62:4,9 64:9,17 68:17 74:17 79:11,15 81:4,8 85:7,13 88:1 89:4 99:16 103:12 104:17 107:20,25 109:15 113:4 120:5 140:22 141:24 146:4 151:18 155:3 156:16 159:4,13 161:2 170:11 171:9 172:4 201:2 216:9 221:12 223:21 225:8,12,18,23 228:5 229:18,24 239:11 241:4 246:19 252:13 256:21 257:6,14 258:5,20 269:8 274:11 281:23 285:10,16 288:3 290:12 293:20 294:11 295:13 302:7 303:13 318:14 320:1 324:23 325:25 356:13 361:7,12 362:10 363:5 372:22 389:8 394:3 corrected [2] 107:12 112:14 correlated [1] 391:22 correlating [1] 69:23 correlation [6] 65:24 70:4,8,10 91:20 335:25 correlations [1] 170:21 corresponded [2] 145:24 149:23 correspondence [3] 196:2 293:12 313:7 corresponding [2] 90:16 91:18 Counsel [1] 286:16 count [4] 97:9 304:20 305:11 306:4 counted [5] 321:20 322:18,19,24 323:25 counterparts [1] 320:8 country [1] 316:18 counts [2] 306:20 323:10 couple [13] 33:9 118:18 130:18 133:2,3 149:10 149:12 167:9 245:5 263:12 273:13 274:3 345:16 courier [2] 17:15 91:7 course [18] 62:14 68:25 74:1 127:19 151:2 153:2 171:24 174:1 189:11 219:20 229:21 235:4 236:15 263:13 279:10 293:5 299:19 344:16</p>	<p>cover [2] 252:19 278:25 covering [6] 88:21 90:21 191:22 328:23 368:25 369:22 coversheet [1] 180:17 create [1] 314:25 created [1] 227:6 creation [2] 174:5 177:1 credit [2] 306:11,16 criteria [2] 95:14 334:3 cross [5] 92:16 186:5,7 198:1 278:25 cross-reference [3] 93:21 94:2,9 cross-referenced [1] 92:10 crossed [3] 131:12,14 186:21 crossing [3] 116:18,20 348:8 cuff [1] 253:22 current [17] 53:13 153:14,20 168:10 170:15 177:2,3 232:9 241:21 288:3 290:7 294:22 295:7 313:10 314:15 352:6,9 Curtis [1] 375:5 cut [7] 164:12,16 319:12 319:14 355:17,17 356:19 cutoff [5] 165:6,7 166:25 250:5,5 cutoffs [5] 165:7 166:19 334:7,9,12 cutting [1] 319:15 CV [3] 288:3 289:9 312:9 cycle [1] 115:8 cytoplasmic [5] 75:19 75:21 123:5,20,23</p> <hr/> <p style="text-align: center;">-D-</p> <p>d [2] 18:15 90:17 D-D-I-Q-U-I [1] 287:9 daily [5] 30:14 113:10 177:25 264:3,7 DAKO [1] 286:2 Dan [2] 2:4 270:23 Daniel [1] 1:10 data [1] 279:9 database [2] 248:7,20 date [12] 94:15 146:8 211:12 218:25 228:12 257:4 297:9 304:12 309:9 354:2 384:21,21 dated [8] 64:19 92:10 157:4,19 206:18 313:8 378:25 394:11 dates [3] 92:13 371:14 379:19 dating [1] 49:15 Dawson [2] 373:25 374:25 day-to [1] 40:20 day-to-day [2] 45:20</p>
---	--	---	--	--

346:16 days [18] 6:21 18:11 30:8 49:16 50:9 54:6 83:5 88:19 91:4,6 138:9 253:18 326:8 327:19 335:20 378:22 389:11,12 DC [1] 183:5 deal [3] 16:16 154:10 268:6 dealing [7] 113:11 156:5 160:18 177:24 196:1 212:13 241:12 deals [1] 70:6 dealt [2] 298:20 316:8 decade [1] 25:19 decades [1] 6:16 deceased [9] 252:21 253:2,8,10,15,23,25 254:3,8 December [6] 8:24 160:11 197:19 198:15 228:25 346:6 decide [2] 334:4 350:11 decided [2] 253:9 357:5 deciding [1] 334:10 decision [7] 255:17 355:14,16,21 356:15,20 360:9 decisions [2] 307:16,24 decrease [1] 292:17 dedicate [1] 291:17 dedicated [2] 291:19 293:15 deep [1] 37:23 defined [3] 160:6,12 162:21 defining [1] 160:17 definition [5] 161:10 163:25 165:8,24 359:6 definitive [1] 387:21 degree [3] 4:25 83:24 288:8 delay [1] 343:21 delayed [2] 251:5 343:24 deliberations [1] 283:7 delivered [1] 192:22 Denic [15] 209:9 210:3 218:23 227:14,14 232:6 240:8,25 242:13 245:5 265:25 277:21,23 278:17 279:19 Denic's [2] 216:16 233:10 department [10] 5:10 8:20 17:20 18:2 28:4 68:11 125:10 256:10 314:17 384:20 departments [2] 11:13 295:3 depend [3] 91:5 337:17 349:11 depending [5] 33:9 63:25 90:21 117:3 384:3 depth [1] 147:23	describe [4] 9:22 25:19 160:24 258:18 described [9] 20:11 53:20 83:3 126:20,22 182:16 227:17 258:3 271:7 description [1] 78:7 descriptions [1] 316:22 desk [3] 109:8 248:4 285:13 Desmond [1] 124:15 destroyed [1] 211:2 detail [8] 6:15 38:3 50:25 131:22 168:24 193:14 218:19 219:4 detailed [3] 193:12 368:21,25 detect [2] 279:23 280:2 detected [4] 249:24 280:7 380:12 382:6 detection [1] 70:1 determination [2] 84:4 84:22 determine [9] 8:21 36:12 37:3 73:13 84:19 87:15 95:14 133:5 206:8 determined [1] 170:21 determining [3] 86:9 88:4 356:21 Detroit [1] 288:11 develop [1] 296:21 developed [1] 290:3 developing [1] 197:6 developments [1] 304:9 devised [1] 156:20 devote [2] 265:18 312:12 diagnosed [5] 73:7 273:20,24 321:14,18 diagnosis [9] 125:19 276:15,16 280:9,10,20 312:5 339:8 368:1 diagnostic [11] 20:21 24:11 110:21 120:25 172:19 174:9 175:21 256:24 379:8,24 382:9 diagnostics [2] 20:19 20:19 Diane [4] 191:9,19,23 192:2 dictate [1] 98:6 Dictated [1] 255:8 dictating [1] 160:23 difference [11] 155:11 158:6 292:10 294:18 297:20 298:4,10 307:2 328:21 368:6,13 different [33] 149:1,10 149:12 172:10 205:2 222:21 224:6 225:5 241:23 255:22 261:18 272:16 276:21 279:2,2 279:24 292:7 294:19 312:21 316:10 319:4,6 323:10,13 348:24,25 350:8 364:24 368:2,22	371:14 373:16 374:2 differentiated [1] 391:3 differently [1] 114:19 difficult [8] 265:11,22 267:2,17,23 307:23 310:3 354:1 difficulties [3] 119:21 381:20 386:22 difficulty [3] 52:16 350:13,16 dimension [2] 36:18,24 diminished [1] 178:6 diploma [1] 288:22 direct [1] 19:9 directed [1] 13:4 direction [3] 245:1,4 278:25 directions [1] 209:24 directly [6] 13:12,21 28:11 153:15 232:12 241:12 director [23] 5:13 11:6 11:10,11,25 12:7,15 13:1 15:11 21:10,11 172:16 173:4,14 178:20 191:14 197:4 295:5,9,11 296:8 296:9 377:20 directors [4] 137:15 151:8 209:23 210:5 directorship [1] 6:2 disadvantage [1] 265:12 disadvantages [1] 265:8 disagree [1] 246:3 disagreed [3] 246:3 247:2,3 disagreement [2] 246:4 246:10 discipline [10] 124:16 295:6,8 296:16,17,18,19 297:4,5 308:16 disciplines [3] 196:17 372:18 373:11 discontinuance [1] 80:2 discovered [10] 122:4 148:2 211:11 250:18 253:18 259:5,9,16 261:7 381:13 discovery [1] 250:17 discrepancies [2] 244:8 277:11 discrepancy [1] 211:6 discuss [7] 131:19 310:14 347:6 349:18 365:14 373:12,14 discussed [12] 70:2 80:6 193:11 196:20 355:24 359:25 365:8 366:11 369:14 376:19 377:8 379:15 discussing [1] 275:23 discussion [13] 132:24 195:11 246:12 296:2 307:10 314:7 341:6 356:2 362:13 365:8,19 371:2 375:23	discussions [11] 314:17 316:13 347:24 352:4,22 354:20,22 366:5,7,23 374:2 disease [5] 299:20,22 300:21 322:22 323:8 dispute [1] 317:4 distinct [1] 214:8 distinctly [1] 109:1 distortion [2] 50:23 52:19 distribute [2] 192:20 211:16 distributed [1] 190:14 divide [2] 37:17 327:10 divided [3] 248:16 326:4 326:14 division [14] 1:15 69:10 273:13 289:5 290:21 292:6 294:25 295:1 316:4 316:5,13 319:11 324:15 383:18 divisional [17] 289:4 290:8,9,14,15 292:18,20 293:22 294:20,23 314:20 314:23 317:22,24 318:1 331:8 381:18 divulging [1] 174:15 doctor [223] 6:12 7:22 9:11 10:24 12:25 16:3 17:23 18:8 22:12 24:18 29:23 31:3 33:13 34:8 35:8 38:18 40:3,16 41:16 44:12 45:3,25 48:19 49:15 50:16 53:11 54:12 56:16 57:12 58:10,19 60:2 62:12 64:19 65:13 67:4,16 68:24 70:4,16 71:8,22 74:19 75:1 76:3 78:7 80:1 81:10 82:12 82:15 83:22 84:25 85:24 88:3 96:22 98:11 100:6 100:19 102:2 103:15 107:15 108:8 110:11 111:1,25 112:12,19 115:22 117:7 120:1,22 121:10 122:7,13 123:5 124:3,21,25 125:17,25 126:19 128:3,21 131:18 133:9 137:14 138:12 140:24 142:6 143:3 145:2 146:11 148:15 151:6 153:7 156:4 157:1,2,18 158:4 160:5 165:5 167:20 169:3,24 171:1,17 174:4 180:2,13 181:9 182:3,10 183:11 184:3 185:1,20 186:25 187:23 189:16 190:13,19 191:6 193:22 194:3,15,23 195:7,20,23 196:8 197:17 198:3,15 199:10 200:14 204:25 205:15 206:18 208:5 209:6 210:10 211:19 212:12 213:10 214:15 216:5,11 218:3,8 219:10 219:19 220:13 222:4 227:10,11,13,21 230:19 231:25 234:14,17 236:3 236:18,22 237:4 239:24	241:12 242:10,10,11 244:21,25 245:15 247:13 252:21 255:24 256:7,10 257:8,17 258:2,22,24 261:10,12 262:24 263:12 264:24 265:5 266:8 267:12 269:11,21 270:14 282:22 285:22 289:8 298:15 312:9 313:19 315:12 317:16 331:15 337:2 340:15 342:20 344:18 346:10 357:14 359:15 364:18 369:10 374:9 376:4 378:14 381:7 384:25 385:6 386:18 389:23 392:9 393:20 doctors [7] 1:9 179:3 226:13 269:2 291:1 298:23 373:16 document [7] 66:4 195:16 233:23 234:23 236:22 237:10,12 documentation [3] 42:9 310:5 355:3 documents [5] 151:5 181:10 189:16 234:1,15 doesn't [8] 104:24 118:8 138:24 303:16 323:17 327:22 366:8 381:20 Don [10] 104:6 105:23 106:6 127:6 168:15 203:6 203:22 205:18 212:17 253:5 Don's [1] 149:20 Donald [2] 183:5 213:18 done [70] 7:13,15 10:20 18:10 29:5 30:4 31:15 36:13 37:11 41:21 42:9 68:8,10 70:20 71:11,16 72:19 73:3 89:1 94:9 96:5 103:2 111:9,12 114:9,24 138:20 150:20 171:7 187:7 188:10 189:18 200:14 214:9 221:19 223:1 225:5,15 238:12 251:1,1 271:17 276:4 279:3 325:13 332:4 332:18 341:17,18 342:13 343:11,25 344:21 345:12 345:25 346:5,6,8 362:5 362:19 364:22 375:24 376:1,9 381:6,21,22,22 384:16 390:11 door [1] 264:5 doors [1] 324:21 doubt [1] 341:19 down [23] 17:6 28:25 29:4 34:14,20,25 35:2 41:23 53:25 65:14 94:12 124:21,23 167:8,9 199:13 249:23 277:20 319:12,14 319:15 349:18 386:18 downs [2] 303:1,7 dozen [1] 243:19 Dr [1410] 2:2,7 4:6,7,18 4:21 5:8,22 6:17,25 7:9 7:19,25 8:11,16 9:8,14 9:19,25 10:10,15,21 11:3 11:8,19,24 12:4,9,13,18
--	--	--	--	---

<p>12:22 13:2,17,24 14:6 14:10,17,23 15:4,19,24 16:6,20 17:2,19,25 18:12 18:18,20 19:4,8,13,16 19:21 20:1,3,7,12,16 21:3,7,12,16,20,24 22:5 22:17,22 23:2,9,13,18 23:24 24:9,15,21,25 25:5 25:16,23 26:2,9 27:5,17 27:22 28:1,7,20 29:10 29:20 30:2,20,25 31:4,9 31:14,24 32:6,10,23 33:5 33:15,21 34:1,5,12,22 35:9,14,19,23 38:10,20 38:24 39:4,8,13 40:5,12 40:19 41:13,20 42:12,18 43:5,9,14,18,22 44:1,5,9 44:17,24 45:5,9,16 46:2 46:6,15,19 47:16,20,25 48:5,9,16 49:1,6,10,19 50:6,11,21 51:8,13,22 52:2,10,15 53:3,8,17,21 54:1,8,15,20 55:2,8,12 55:16,21 56:1,7,22 57:1 57:5,9,15,24 58:3,7,14 58:16,20,21,22,25 59:9 59:14,19 60:5,21 61:2,6 61:12,17,20,24 62:3,8 62:17,23 63:6,18 64:8 64:12,16,22 65:2,6,10 65:18 66:1,6,14,18,22 67:1,6,12,18,22 68:9,16 68:21 69:6,14,18 70:7 70:13,18 71:12,23 72:4 72:10,14,22 73:2,19 74:6 74:11,16,19 75:4,13,20 75:24 76:10,17,22 77:11 77:13,17,22 78:4,6,23 79:2,10,14 80:3,14,21 80:23 81:3,7,18,23 82:9 82:20,25 83:8,13,19,23 84:13,17 85:6,12,16,21 86:5,11,24 87:7,11,16 87:25 88:6,23 89:3,7,19 90:3,11,23 91:2,11 92:2 92:6,12,19 93:6,17,24 94:4,22 95:2,16,21 96:10 96:17,25 97:17,22 98:1 98:17,24,25 99:7,11,15 99:21 100:3,7,11,14,15 100:19,22 101:2,10,15 101:22 102:7,11,19,23 103:1,4,11,21 104:1,12 104:16,20 105:5,9,15,20 106:3,12,17,24 107:4,8 107:15,19,24 108:2,4,13 108:20,24 109:4,14,17 109:18,25 110:4,8,16 111:5,11,18,22 112:2,8 112:13,22 113:3,8,20 114:6,11,20,25 115:17 116:3,9,22 117:10,14,20 118:5,12,16,23 119:10 119:23 120:4,10,23 121:7 121:13 122:1,10,18 123:1 123:9,15,17,22 124:8,12 124:17,18 125:1,4,14,18 126:4,8,15,19,24 127:4 128:5,6,6,12,16,18,22 128:23,24 129:2,3,4,8 129:12,15 130:10,16,23 131:11,15,18,21 132:5 132:11,16,21,25 133:10</p>	<p>133:12,17 134:1,4,5,14 134:17,20,25 135:6,8,9 135:10,21,25 136:6,11 136:18,23 137:3,17,20 137:22 138:1,2,7,16 139:2,9,19,25 140:5,10 140:17,21 141:1,6,10,14 141:18,23 142:3,10,17 142:21 143:7,23 144:7 144:11,16 145:2,6,17,21 146:3,7,13,17,25 147:8 147:14,19,25 148:3,18 148:22 150:15,17,23 151:10,13,17 152:3,5,12 152:17,19,21,23 153:8,9 153:23 154:4,7,11,17 155:2,6,11,15 156:7,8,9 156:15,19 157:3,4,9 158:1,7,15,16,20 159:3 159:7,12,16,24 160:8,13 160:20 161:1,5,11,17,23 162:2,7,12,18,23 163:5 163:12,16,21 164:2,7,13 164:20 165:2,11,16,21 166:5,10,14,20 167:2,7 167:17,25 168:5,13,23 169:3,6,11,20 170:2,10 170:17 171:3,8,12,21 172:3,9,11,24 173:21,25 174:7,13,20,25 175:6,12 175:18,23 176:2,6,13,23 177:5 178:9,14,18,25 179:6,10,14,18,22 180:5 180:9,17,23,25 181:5,6 181:11,12,14,22 182:4,5 182:12,18,21,22 183:8 183:13,18,25 184:5,23 185:4,12,16 186:1,6,15 187:5,12,17,25 188:4,19 189:2,8,21 190:1,6,8,16 190:20,22 191:3,13,14 191:20,20,24 192:4,5,7 192:12,15,17,21 193:2 193:13,25 194:7,11 195:1 195:3,10,17,21,25 196:5 196:12 197:1,4,14 198:6 198:12,20,24 199:6,11 199:14,17,18,23 200:2,3 200:18,22 201:1,6,10,15 201:23 202:3,8,13,21,25 203:23 204:2,11,15 205:3 205:8,16,18 206:1,5,15 206:19,23 207:2,21,24 208:2,7,12 209:1,11,15 210:2,7,11,14,17 211:23 212:20,25 213:5,14,20 213:25 214:7,19,24 215:5 215:11,16,20 216:8,14 216:16 217:1,9,13,18,22 218:5,10,12,15 219:12 219:23 220:3,9,18,22 221:5,11,15,20,21,25 222:5,7,14 223:9,14,20 224:3,8,14,18,20,20 225:7,11,17,22 226:6,15 226:20,25 227:4,14,18 228:4,9,23 229:7,12,17 229:23 230:6,11,16,22 231:4,8,15,21 232:1,6,6 232:16,20 233:4,8,9,18 233:22 234:4,11,18,19 234:24 235:7,13,25 236:5 236:10,24 237:5,18,22</p>	<p>238:3,14,20,24 239:4,10 239:18 240:2,6,8,15,22 240:25 241:9,14,24 242:3 242:7,13,19,17,22 243:1 243:3,4,8,12 244:1,11 244:16,22 245:3,4,17,22 246:7,18,22 247:4,8,17 247:23 248:6,19 249:19 250:6,10,14,16 251:11 252:4,12,18,22 253:1,21 254:11,16,21,25 255:5 255:10,18 256:3,13,20 256:25 257:5,13,24 258:4 258:9,13,19 259:3,14,19 259:24 260:6,17,22 261:2 261:6,15,21 262:1,5,10 262:17,21,25 263:7,17 263:23 264:2,11,16,21 265:2,10,25,25,25 266:11 267:7,16,22 268:12,16 268:20,24 269:3,7 270:1 270:7,11,23 271:1,4,13 271:18,23 272:4,15,23 273:8,10,18 274:5,10,15 274:21 275:7,14,19 276:1 276:6,11,23 277:2,6,13 277:18,20,23,25 278:10 278:14,17,22 279:4,13 279:18,19 280:5,21 281:3 281:6,18,20,25 282:9,14 282:19 283:2,16,21 284:5 284:14,20 285:1,9,15 286:4,11,13 287:1,2,7 287:19,20,23 288:7 289:17,18 290:11,16 291:22 292:3,9,15,19,25 293:4,8,19,24 294:3,4,5 294:8,10,13,15,21 295:5 295:7,12,17 296:12,14 297:1,7,8,14,21,22 298:3 298:17 299:5,6,7,21 300:2,3,7,8,9,10,17,23 301:3,9,16 302:2,8,14 302:19,22,23 303:6,12 303:20,24 304:4,15 305:14,20 306:1,7,13,16 306:19 307:4 308:8,13 308:18,23 309:3,7,8,14 309:17,20,24 310:8,23 311:8,14 312:6,14 313:14 313:25 314:2 315:2,7,17 315:20,24 317:12,20,25 318:3,5,6,13,22 319:21 319:25 320:5,10,14,22 321:9,16 322:2,7,11,15 322:23 323:5,9,16,24 324:4,9,14,22 325:3,11 325:12,17,24 326:3,21 327:1,11,17,18,18 328:20 329:2,6,23 330:3,7,12 330:18 331:23 332:5,10 332:14,23 333:5,11,16 333:24 334:6,18,24 335:4 335:14,21 336:2,14,23 337:7,14,20 338:3,9,19 338:24 339:10,16,21 340:6 341:2,8,15,24 342:3,16,21,25 343:6,23 344:5,13,22 345:13 346:1 346:18,24 347:12,20 348:2,7,14,15,16,16,17 348:18,21,24 349:1,7,10 349:20,24 350:7,19,24</p>	<p>351:5,14,19,25 352:11 352:17,24 353:4,25 354:10,18,24 355:4,9,19 355:25 356:4,12,16,23 357:6,18 358:4,8,13,19 358:23 359:9,19,23 360:6 360:15,23,23 361:1,4,6 361:9,11,20,25 362:9,16 362:22 363:4,11,19,24 364:5,11 365:1,10,20,25 366:15,20 367:1,12,19 368:9,18 369:7,15,21,22 370:4,13,20,21 371:11 371:19,23,24 372:5,14 372:21,25 373:1,7,15,16 373:19,24,25,25 374:11 374:12,13,14,18,22,25 375:1,2,6,8,12,13,14,20 376:11,21,25 377:9,24 377:24 378:3,7,17,18,24 379:16,18 380:2,15 381:3 381:14 382:15,24 383:3 383:7,9,12 384:2,8,10 384:14,17 385:2,7,13,18 385:24 386:5,9,14 387:13 388:2,12,20,22 389:7,13 390:6 391:9,15,25 392:6 392:12 393:3,12 draft [3] 197:10 238:7,9 drafted [1] 316:22 draw [3] 88:14 90:13,15 drawback [1] 265:19 drawn [2] 94:15 280:7 drew [1] 149:8 drive [1] 267:4 drove [2] 217:2,7 Drs [1] 313:5 drug [1] 333:18 drugs [1] 84:23 dry [3] 17:13 61:25 62:2 dual [1] 177:6 ductal [3] 117:23 234:22 389:4 due [4] 50:18 189:11 314:24 378:9 during [12] 7:10 11:25 16:17 18:8 105:21 139:7 168:18 238:4 315:23 335:20 347:25 385:12 duties [12] 13:4 26:16,24 59:12 290:14 291:19 293:15 314:8 315:22 318:12,21 327:10 Dyer [7] 124:19 140:4 145:25 157:24 168:4 173:11 197:22 -E- e [11] 19:22 47:14 50:9 71:13 86:17 91:23 95:4 97:3 141:22 167:15 192:10 e-mail [11] 191:10,18 192:10 195:20 209:6 216:16 227:14 232:19 233:7 315:12,16 e-mails [3] 191:7 192:3</p>	<p>209:7 early [25] 19:13 61:21 71:9 75:1 83:5 88:19 355:25 356:4,12,16,23 357:6,18 358:4,8,13,19 358:23 359:9,19,23 360:6 360:15,23,23 361:1,4,6 361:9,11,20,25 362:9,16 362:22 363:4,11,19,24 364:5,11 365:1,10,20,25 366:15,20 367:1,12,19 368:9,18 369:7,15,21,22 370:4,13,20,21 371:11 371:19,23,24 372:5,14 372:21,25 373:1,7,15,16 373:19,24,25,25 374:11 374:12,13,14,18,22,25 375:1,2,6,8,12,13,14,20 376:11,21,25 377:9,24 377:24 378:3,7,17,18,24 379:16,18 380:2,15 381:3 381:14 382:15,24 383:3 383:7,9,12 384:2,8,10 384:14,17 385:2,7,13,18 385:24 386:5,9,14 387:13 388:2,12,20,22 389:7,13 390:6 391:9,15,25 392:6 392:12 393:3,12 effect [12] 9:17 33:13 74:14 84:25 85:2 115:20 138:5 142:23 161:9 176:20 188:18 196:21 effective [1] 172:1 effort [5] 163:10 269:22 270:4,5 391:13 efforts [2] 269:23 379:12 eight [8] 25:7 26:15 110:14 119:4,8 204:3 322:25 392:21 either [17] 31:7 52:22 80:16,17 85:1 94:6 106:18 127:7 141:9,13 191:2 246:2,8 340:23 343:19 378:1 384:22 either/or [3] 336:8 338:5 338:7 Ejection [26] 98:25 109:17 114:25 117:11 120:23 124:12 125:2,18 126:19 152:19,21 181:5 373:2,8,17 374:11 375:21 377:1 378:7,17,25 379:16 383:10 384:14 385:2 388:20 Ejection's [3] 108:2 128:7,17 elderly [1] 353:12 elect [1] 170:15 electronic [4] 142:22 149:6,6 274:22 electronically [3] 155:25 237:16 274:4 elevated [2] 289:11 290:4 eleven [1] 204:4 eligible [1] 132:8 Elizabeth [1] 256:12 Elms [2] 218:12 265:25 elsewhere [2] 59:6 263:6</p>
---	---	--	--	--

<p>embarked [2] 100:20 107:16 embed [1] 46:23 embedded [2] 47:4 69:4 embedding [2] 40:1 47:2 emergency [2] 328:23 329:10 emphasis [1] 115:2 emphasized [1] 115:20 employed [1] 69:11 employment [1] 180:3 enclosed [1] 232:8 encompass [2] 15:8 146:19 encompassed [2] 16:10 147:21 encounter [2] 52:8 96:8 encountered [1] 96:16 encourage [2] 80:8 242:25 end [23] 36:6 39:15 84:2 84:18 115:6 131:8,9 199:7 212:21,24 219:16 252:2 288:16 305:20 306:18,24 307:7 311:10 327:22 328:9 331:11 332:16 354:12 ended [2] 316:20 321:10 endocrine [3] 387:11,12 387:14 enjoy [1] 265:17 ensure [7] 191:16,21 198:1 207:12 208:1 279:11 316:23 ensures [1] 211:5 ensuring [1] 207:23 enter [2] 199:18 201:4 entered [15] 3:2,3,4,5 4:13,14,15,16 30:16 31:17 208:19 276:17 287:14,16,17 entertain [1] 315:5 entertained [1] 122:11 entire [1] 36:18 entitled [1] 65:23 entity [1] 22:25 envelopes [1] 92:24 epithelium [3] 151:24 151:25 152:9 equal [1] 387:8 equipment [1] 42:4 ER [80] 7:6 16:17 19:3 61:21 82:13 86:16 91:22 91:23,25 92:8 95:10,10 96:3,23 97:1 98:3 115:24 115:25 116:6,17 117:8,9 117:18 118:2 122:21,21 140:3 141:4,5,7 144:3 144:14 153:15 157:22 158:25 159:22 160:6,11 167:21 185:10 220:25 221:4 222:9 224:12 232:14 271:9 272:7,9 312:4 333:10 334:17,20 335:1,17,25 336:4,6,8</p>	<p>336:18,20 337:4,9,17,24 375:23 378:7,8 379:6 387:8,9,10,19 388:20 389:5 390:1,8,8,12,14 391:1 ER/PR [132] 6:24 7:4,8 7:15 16:18 17:3,24 18:22 47:12 65:16 67:24,25 68:20 69:2 72:21 74:14 78:12 80:4,13 81:22 82:2 82:8 86:14 87:23 88:15 89:12,23 94:13,16,18 98:22 101:1,14,24 102:5 103:18,20 106:10 107:17 110:19 111:1,19 112:16 113:13 119:1 120:18 122:15 123:6 125:12 127:3,5 128:11 133:24 135:17 137:9 141:2 142:1 143:18 152:11 153:20 154:25 156:21,21 167:14 168:17 170:22 171:7 181:17 187:21 191:12,15 197:22,25 203:10 204:6 207:6 209:24 210:21 211:5 214:15,20 216:20 221:2 222:17,24 223:1,2 225:5 227:24 228:2,11 229:15,20 230:2 239:25 240:11 241:18 248:6 257:10,11 271:17 275:11 316:7,12 331:16,22 336:15 338:18 340:20 342:4 351:4 361:14,16 361:17,22,24 362:3,5,8 362:15,19 364:12,21 367:3 376:6,6,9 378:17 386:23 387:5 392:18 393:17 ER/PRs [7] 103:2 114:21 214:11 228:20,24 342:10 342:13 era [1] 107:15 Eric [1] 8:18 erratic [8] 110:20 120:25 124:24 125:3,24 379:7 379:23 382:8 errors [2] 211:9,20 ERs [2] 139:23 157:7 escapes [1] 181:16 especially [3] 96:2 342:5 391:3 essentially [18] 13:5,12 13:25 14:11 15:10 17:5 18:19 21:13 22:6 67:23 68:2 70:20 91:22 172:24 196:14 235:19 236:6,12 estimate [2] 86:1,3 estrogen [8] 64:24 85:2 85:10 157:6,13 257:21 262:15 387:22 et [2] 1:9 315:24 evening [1] 26:23 event [4] 136:22 365:17 373:12 390:2 eventually [5] 89:1 105:18,19 200:9 214:23 evidence [9] 6:23 70:4 193:22 204:25 232:8,23</p>	<p>233:16 242:18 283:12 evolving [2] 296:15,19 exact [7] 16:5 309:9 336:16 353:8 354:2,2 368:12 exactly [10] 235:6 297:9 300:24 329:3 341:18 353:6,18 371:24 388:4 389:15 exam [2] 304:1 340:11 examination [17] 2:3,4 2:5,8 4:7 26:21 36:1 37:4 52:5 220:5 238:9 253:7 270:23 273:8 281:18 283:20 287:2 examinations [1] 189:19 examine [7] 36:10,17 48:13 90:12,13 91:8 95:3 examined [3] 32:2,13 49:22 examiner [1] 6:4 examining [1] 50:25 example [33] 27:15 30:1 32:11 36:9 47:14 73:5,6 73:17 83:4 85:1,10 98:9 99:24 101:8 113:19,24 161:22 220:10 246:14 258:23 260:25 266:10 273:17 276:20 279:2,10 280:4 291:12 305:12 310:11 321:23 368:5 393:11 examples [1] 65:21 exams [1] 312:21 Excel [1] 207:8 excellent [2] 155:7,9 except [1] 327:18 exception [2] 10:11 13:20 exchange [2] 315:12,16 excised [1] 29:18 excision [1] 30:8 exclusively [1] 27:4 Executive [2] 12:14 20:18 exhibit [44] 3:2,3,4 4:14 4:15,16 62:11 65:14,22 66:11 67:5 108:8,17,19 137:13 151:6 153:7 156:4 157:2 180:13 181:3 182:10 191:6 194:14,24 195:23 197:18 199:10 206:18 207:17 209:6 210:4 220:4,13 227:11 234:16 242:10 256:9 257:16,16 261:12 271:1 283:13 385:1 exhibits [7] 3:1,5 4:10 4:11 187:8 287:11,17 exist [1] 14:9 existed [1] 10:8 existence [5] 124:7 205:7 205:23 255:14 385:23 exists [1] 268:5 expand [1] 176:10</p>	<p>expanded [3] 143:5 147:9,21 expanding [2] 146:18 146:20 expect [7] 29:9,15,16 157:14 207:14 279:23 381:23 expectations [2] 316:23 340:21 expected [6] 39:12 115:18 117:18 334:17 340:22 365:6 expecting [1] 367:11 expensive [1] 376:1 experience [3] 41:24 154:24 155:5 experienced [1] 41:5 explain [5] 104:19 168:22 243:9 318:17 337:21 explanation [3] 137:1,5 225:3 explicitly [2] 202:2,4 explored [1] 317:15 expose [1] 125:21 exposed [2] 331:22 339:19 exposure [1] 340:4 expressed [2] 78:2 366:11 expressing [2] 145:7 186:10 extending [2] 129:23,25 extends [1] 66:4 extension [2] 37:25 317:10 extent [1] 382:11 external [26] 71:20,24 72:3,6,8,9 76:9,16,18 78:9,15 79:8,16,17 86:16 93:3 96:9,15,23 101:8 114:23 115:11 187:18 219:3 243:19 244:5 externally [1] 36:11 extra [2] 328:10 331:12 extract [1] 142:12 extremely [3] 81:15 119:11 145:12</p> <hr/> <p style="text-align: center;">-F-</p> <hr/> <p>f [1] 18:15 facilitate [1] 291:2 facilities [4] 16:12 176:15 179:25 266:19 facility [9] 54:23,24 63:20 89:13,21 93:9 127:12 192:23 289:19 fact [20] 68:14 70:5,5 111:25 117:11 118:8 119:4 157:19 167:16 170:16 193:11 226:12 237:16 252:2 259:10 260:14 261:18 302:6 335:12 372:18</p>	<p>factor [2] 290:5 340:25 factors [1] 232:15 facts [2] 85:22,24 fair [4] 6:22 102:14 266:20 272:22 fairly [2] 233:23 237:23 fall [11] 109:12 151:2 173:8,8 174:17 185:21 186:25 215:3 251:6 278:2 315:23 fallen [1] 250:1 Falls [4] 291:8 325:23 326:12,15 false [13] 139:7,12 219:20 219:22 220:2,22 221:23 222:20 224:1 225:14 226:5,18 272:18 familiar [2] 75:8 193:4 families [1] 255:13 fanned [1] 278:3 far [9] 49:2 115:1 119:1 155:12,14 177:10,15 203:10 392:15 fashion [7] 32:18 33:7 39:17,21 149:3 241:15 251:20 fax [12] 135:13,20,20 155:23 180:13,17 181:25 184:11,15 208:11,15,16 faxed [7] 155:22 184:11 208:14,15 210:22 211:4 211:14 faxes [1] 184:9 faxing [1] 181:10 Faye [1] 195:21 February [15] 64:19,23 65:21 180:23 203:2,3,19 206:19 207:22,23 212:15 212:23 213:7 228:7 238:5 feedback [1] 81:16 Feffer [1] 289:18 fell [2] 111:8 159:1 fellow [1] 354:23 fellows [1] 339:2 fellowship [12] 288:15 288:16,17,20,21 311:4 325:13 332:20 338:20 339:14,15 340:2 fellowships [1] 340:7 felt [7] 70:21 71:3 83:24 149:8 214:11 280:7 372:11 femto-moles [1] 332:7 few [14] 79:15 106:9 133:2 157:15 174:1 267:24 287:11 331:10 341:17 342:4 357:20 359:24 362:4 371:25 field [4] 97:12,13 308:14 316:17 fields [2] 97:6,16 fifth [1] 181:3 figure [1] 382:17 file [4] 92:22 203:16,17</p>
---	--	--	---	---

<p>204:23 filed [3] 143:8,9,11 files [10] 92:21 94:8 143:13 149:4,6 158:10 163:23 254:2 263:9 276:10 filing [1] 248:23 fill [1] 267:18 final [4] 197:10,10 238:7 238:10 finally [2] 195:23 282:22 finding [2] 184:14 214:20 fine [2] 249:4 253:13 finger [5] 344:15 353:6 353:8,18 354:2 finish [2] 28:23 150:3 finished [4] 5:4 40:9 248:14 288:12 first [100] 6:20,20,22 7:5 14:4 30:9 35:25 36:12 41:24 42:16 51:19 58:20 58:24 66:23 67:5 74:14 74:21 75:10,25 86:12 87:23 90:12 95:4,9 98:23 105:3 109:1,5 118:15 124:21,22 133:18 139:5 142:13 143:14 148:7 153:21 155:22 158:13,24 168:9,11 169:13 174:23 176:11 189:18 191:8 197:17 200:4 202:19 205:6 207:15 208:15 209:8 212:15 218:12,13 218:16 220:11 221:8 225:16,20 247:15,20 256:23 258:25 262:14 273:14 289:10 298:18 299:2 302:20 304:16 315:15 322:5,16,17 324:1 331:9 345:15 349:4 351:2 362:1 363:13,13 368:5 368:21 369:16 371:17 374:10 375:13,17 376:5 378:23,24 385:5,8 386:20 390:3 392:21 fit [2] 104:24 339:7 five [19] 5:3 7:11 8:21 91:21 94:21,25 108:19 113:25 120:18 122:14 221:4 224:22 305:4 326:8 336:18 353:16 387:4,8 390:18 fix [5] 32:17 34:2,4 41:9 41:14 fixation [13] 43:1 49:15 49:18 50:18,19,22 52:8 53:15 236:8,13 238:6 280:4 387:4 fixative [1] 236:14 fixed [3] 40:24 49:21,24 flag [3] 87:20 115:16,19 flags [1] 70:23 floated [1] 47:7 floor [14] 26:15 291:5 312:20 328:15,21,22 329:16,17,22 330:21</p>	<p>331:1 348:24 349:2 369:23 floors [1] 330:4 Florida [1] 288:19 flow [1] 383:25 focus [1] 301:4 focused [1] 301:2 foil [1] 17:12 folder [1] 249:1 follow [8] 56:5 199:19 285:18 328:11 345:18 366:4,6 384:22 follow-up [3] 135:20 313:13 328:2 followed [9] 61:22 138:9 155:24 169:9 189:24 252:10 334:11 370:7 377:16 following [15] 8:25 26:22 110:14 124:23 137:25 157:16 161:16 170:5 196:1 203:3 250:23 301:18 314:16 379:5 386:25 force [1] 309:8 Ford [1] 218:12 foregoing [1] 394:2 foreign [2] 72:11,13 forgive [1] 337:2 form [22] 89:10,10 90:1 90:20 153:3 156:20 166:16 204:1,1,3,4 235:5 235:9,14 238:7,8,10,10 241:18 274:22 377:1,3 formal [4] 59:25 349:16 349:17 354:16 formaldehyde [4] 32:13 32:16 51:14,15 formalin [20] 28:6,10 28:14 32:16 33:18,25 34:10 36:4 39:19,24 43:17 44:16,23 54:11,13 54:16,21 56:6 69:3 114:4 formally [1] 293:16 format [7] 89:8 98:8 156:21 208:13 218:9,11 235:23 formed [2] 371:9 372:4 forms [2] 89:2,17 formucin [1] 71:14 formula [5] 56:8,9,10 56:13,16 formulae [1] 387:7 forthwith [2] 110:22 379:10 forward [7] 122:5 140:3 157:21 168:3 192:5 197:23 316:12 forwarded [6] 150:8 154:20,21 233:12 254:17 377:17 forwards [1] 192:2 found [8] 110:25 155:16 221:4 254:2,5 272:9 378:11 379:13</p>	<p>four [25] 37:17 45:19 55:4 97:6 110:25 114:1 115:22 117:10 120:17 146:22,24 254:3,8 268:1 276:5 312:18 318:9 326:7 326:11 327:19 328:8,8 329:17 353:16 379:13 fourth [4] 48:15 87:24 139:6 167:21 Fox [1] 289:19 fragmented [1] 173:17 frame [4] 145:13 146:15 163:9 213:6 frames [1] 181:21 freeze [1] 17:11 frequent [4] 61:5,7 82:4 263:22 fresh [3] 28:12,13 61:23 fresher [1] 345:21 Friday [3] 326:9,10 331:13 Fridays [2] 329:22 330:17 front [2] 234:15 348:16 FTE [4] 314:14,15,16,22 full [5] 36:17 274:16 312:16 316:11 376:12 full-fledged [1] 298:5 fully [1] 285:24 function [1] 274:20 functional [5] 336:4 337:4,9,13,17 functioning [2] 196:15 290:20 functions [1] 196:18 funding [3] 268:3,6,9 future [3] 119:8 154:6 282:17</p> <hr/> <p style="text-align: center;">-G-</p> <p>G [2] 376:25 378:7 gained [1] 308:2 game [1] 281:22 Gander [4] 291:7 325:22 326:11,14 Ganguly [5] 292:19 313:6,14 315:20 316:4 Ganguly's [2] 315:2 318:5 Gardiner [3] 191:13,21 192:15 Gary [11] 2:2 4:6,7 153:13 182:21 199:15 207:21 242:17 270:23 273:8 281:18 gastroentronology [1] 301:4 gather [5] 88:18 101:19 228:7 233:21 248:10 gathered [2] 149:3 228:13 general [29] 5:2 8:2,3,6 27:9 54:6 55:5 82:1,1 89:14,16 102:3 113:17</p>	<p>124:20 125:9 157:24 170:23 183:1 186:17 217:15 218:11,13,24 256:11 280:15 284:22 334:19 344:14 375:15 generally [7] 19:7 27:20 47:13 95:9 118:10 216:13 217:21 generated [1] 277:10 generator [2] 244:15,17 genito-utinary [1] 301:5 geographic [1] 282:6 George [1] 182:25 given [30] 30:7 38:11,13 38:13 39:2,7 45:18 91:16 103:19 126:16 137:5 138:5 164:11 189:12 202:18 213:15 251:2 266:4 304:11 305:21 306:23 318:11 338:16 347:7 351:23 358:11 360:5 365:4 368:22 369:1 glad [5] 77:9 81:13 87:2 119:20 386:21 glass [1] 46:25 goal [1] 370:23 goals [1] 372:8 goes [18] 38:19,21 50:9 51:18 64:5 77:3 80:3 119:4 186:25 220:24 232:15 237:3 298:8 313:15 316:6 325:21 350:10 353:17 gone [8] 35:5 46:10 160:3 164:25 259:25 260:2 383:7 385:4 good [11] 50:13,25 88:10 155:7,8 273:10 281:20 286:25 287:19,21 393:21 government [3] 9:3 313:1 315:3 GPs [1] 196:18 grade [2] 119:5 389:5 grading [1] 280:12 gradual [2] 357:9,13 gradually [1] 357:4 grand [13] 291:7 305:17 306:4,5,8 307:2,5,6 308:3,7 325:23 326:12 326:14 grapevine [1] 187:6 grateful [1] 181:24 great [9] 52:16 215:17 218:19 268:3,6 280:18 335:16 336:15 390:7 greater [1] 387:8 Greenland [1] 348:16 gross [3] 26:21 37:4,5 grossing [15] 27:2 29:17 30:3 31:18,19,22,25 32:18 35:24 36:1,6 46:5 232:11 236:9 238:8 group [16] 63:23 149:12 202:11 212:13,15 255:25 293:25 313:10 355:21</p>	<p>356:10,11 357:4,7 370:12 373:10,10 grouping [2] 191:11 212:13 groups [1] 326:5 growing [1] 326:18 growth [1] 299:10 guess [10] 41:2 277:10 279:24 282:6 296:4 311:7 337:12 359:4 371:25 378:22 guidelines [2] 44:25 377:16 Gulliver [10] 124:13 173:3,15 177:20 178:3 248:4,18 250:19,24 253:17 Guy [1] 197:5 guys [1] 296:23 gyne [1] 11:14 gynecologic [2] 373:3 375:2 gynecologists [1] 63:25 gynecology [1] 8:3</p> <hr/> <p style="text-align: center;">-H-</p> <p>H [11] 19:22 47:14 50:9 71:13 86:17 91:23 95:4 97:3 141:22 167:15 191:14 half [8] 188:8 204:3 273:23 301:11 302:9 327:19,25 366:2 Halifax [1] 378:13 hallway [1] 348:22 hand [1] 307:9 handle [3] 34:15 199:20 252:25 handled [11] 35:22 43:12 63:11 123:20 202:24 208:10 210:1 214:12 251:12,19,21 handling [3] 203:4 213:10 256:2 handwriting [2] 221:21 222:6 handwritten [5] 153:7 199:11 207:20 223:25 242:11 happening [9] 101:13 193:20 309:19 311:1 328:6 349:6 376:7 391:24 393:2 Harbour [2] 16:13 176:16 hard [8] 233:11 273:19 274:4 353:7 363:3,7,9 366:6 he'd [1] 129:13 head [3] 339:3,11 366:8 headed [2] 373:1,2 heads [2] 67:9 138:6 health [65] 1:11,17 6:2 7:13 8:20 14:14 16:9</p>
--	--	---	---	--

17:21 25:11 42:22 54:22 56:12 64:20 69:10 78:18 79:4 91:16 109:19 124:15 124:18 125:21 151:7,14 171:19,24 172:1 173:5 174:5 175:3 177:1,22 179:15 180:14,16 190:14 194:19 195:13 196:4,19 197:7,9 201:17 202:15 202:16 217:3,14 228:18 232:10 233:2 239:9,20 282:4,5,8 283:8 293:9 311:12 313:3 314:18,25 315:5 326:20 375:15 379:2 387:18	holidays [6] 248:1,2,15 248:21,24,25 home [2] 16:13 267:4 honest [1] 275:21 Honourable [2] 1:3 394:6 hope [1] 110:24 hopefully [3] 119:17 283:7 379:12 hoping [2] 325:4,6 hormone [12] 1:2 132:15 151:22 152:10 333:18,22 333:25 335:12 338:1 358:21 391:22 394:4 hospital [67] 5:15,15,16 7:23,24 8:1,2 9:24 10:18 11:18 12:8 15:6,8,9,14 15:21 22:13 23:8,17 27:1 27:8,13 40:17 43:2 54:7 60:2,7 62:21 63:5,11,12 63:23 89:14,16 102:3 113:17,18 124:20 125:9 157:25 176:12,14,17,17 183:1 184:12,15 196:17 199:17,17 201:14 207:12 209:21,25 210:22 217:15 218:4 238:12 240:24 256:12 263:16 273:15 274:19 293:5 305:13 310:22 375:15 hospitals [6] 109:13 169:25 228:16,17 232:10 379:3 host [2] 81:11,12 hour [2] 26:18 34:6 hours [8] 22:10 39:23 304:18 305:4,4,11 306:24 344:23 Howell [6] 188:6,7 250:16 315:17,24 316:9 huge [1] 347:1 hundred [1] 344:25 hundreds [1] 142:25	275:12 IHC [19] 50:5 69:23 70:1 71:18 72:19 73:13,24 74:3,14 81:22 82:8 89:20 111:17 120:3,7 171:6,15 332:4,11 II [2] 13:19 14:2 ill [1] 125:23 imaging [5] 20:21 24:12 172:20 174:9 175:22 immediate [1] 368:19 immediately [10] 17:15 28:11,14 52:21 174:1 204:22 234:7 250:15 260:12 381:12 immunohistochemical [10] 64:25 65:17,23 80:5 82:14 110:13 125:24 152:1 171:4 379:4 immunohistochemically [1] 69:3 immunohistochemistry [14] 6:23,24 7:13 18:10 18:16 19:7,10,14,24 58:13,14 218:14 219:7 351:6 immunoperoxidase [1] 286:1 immunostain [4] 123:6 125:9,19 386:22 immunostained [1] 76:5 immunostaining [1] 387:19 immunostains [2] 119:18 124:24 impact [2] 205:11 367:25 impacting [1] 318:19 impaired [2] 49:25 50:2 implemented [3] 235:24 236:1 238:15 implications [1] 383:17 importance [3] 49:17 49:20 188:16 important [1] 371:3 impression [2] 138:13 357:2 improperly [1] 50:22 improved [1] 377:5 improvement [2] 216:20 219:6 improvements [1] 219:1 in-hospital [1] 329:1 in-house [2] 329:9,10 inappropriate [2] 125:19 377:15 include [7] 90:4 203:9 301:8 305:12 328:5 360:13 377:3 included [11] 20:19,20 36:22 117:12 150:9 195:15 251:13 254:4,18 274:14 363:10 includes [4] 290:22,22	304:21 330:23 including [9] 29:11 36:25 66:9 142:1 151:8 157:5 206:21 379:6 387:4 inclusion [1] 204:23 inclusive [1] 287:13 inconsistent [3] 122:25 211:10 312:5 inconvenient [1] 266:18 incorporate [3] 113:10 200:7 203:11 incorporated [3] 207:12 208:17 214:1 increasing [1] 321:5 independent [7] 87:10 157:23 158:4 193:23 377:7 378:1,16 index [1] 218:16 indicate [5] 130:7,14 134:8 147:6 320:4 indicated [27] 18:8 22:12 58:12 70:24 74:24 91:12 123:16 127:7 132:19 154:12 158:23 180:15 181:19 193:5 212:18 222:7 224:19,20 245:6,6 245:8 250:18 257:8 276:4 278:20 317:12 375:19 indicates [5] 157:12 158:4 207:5 289:11 318:4 indicating [4] 195:21 200:5 240:8 244:8 indication [5] 148:11 193:19 213:16 251:2 385:3 indications [1] 320:25 indirect [1] 13:7 individual [28] 24:3 30:11 44:8 45:22 55:24 68:2 93:13 109:21 132:3 153:17,18 203:21 206:7 206:9 207:9 230:21 238:1 252:9 265:13 269:2 276:10 295:2 298:23 307:10,24 349:11 351:23 377:24 individualized [1] 358:24 individually [3] 37:21 95:9 230:23 individuals [11] 124:14 191:10 196:1 197:20 206:20 209:9 289:21 299:5,9 316:15 340:8 infer [1] 272:11 inferred [2] 284:6,8 inform [2] 119:20 386:21 informal [4] 61:1 347:24 352:4,22 information [49] 30:13 42:6 84:20 89:11,23 90:4 94:12 112:15 113:1,9 114:12,25 118:6,20 119:7 119:13 120:15 126:17 154:21 156:24 157:15 158:8 161:12 182:1	188:14 193:7 204:4,5 207:13,13 220:16,17 222:20 223:6 252:8 256:4 274:13 275:4,5 278:7 279:16 283:6,15 376:25 377:17 383:25 384:4 387:1 389:14 informed [2] 211:13 310:16 infrequent [2] 93:16 117:24 inherited [2] 352:3,21 initial [9] 17:3 18:22 47:1 79:22 133:1 144:4 223:24 257:9 343:20 initiated [2] 277:23 278:1 initiative [2] 17:23 18:1 initiatives [1] 58:8 inner [1] 37:19 input [4] 308:1 314:13 347:21 375:21 inquire [1] 188:3 inquiries [7] 70:11 187:2 194:10 205:25 221:14 223:13 260:2 inquiry [7] 1:1 113:7 190:24 385:12,22 394:4 394:7 inside [4] 17:8 258:7,16 258:17 instance [2] 282:6 364:23 instances [3] 93:15,20 369:10 instant [1] 390:10 Institute [1] 387:18 instituted [8] 219:2 234:7 235:18 243:14 244:5,21 245:7,12 instituting [1] 387:21 institution [6] 51:16 53:16 93:2 126:13 239:17 246:16 institutions [8] 6:3 14:14 16:9 22:20 176:21 179:15 180:14 194:19 instructed [1] 248:7 instruction [1] 142:11 instrumental [1] 309:18 integrated [3] 1:10,17 26:6 intended [1] 383:24 intensity [3] 95:23,24 96:19 intensive [1] 149:5 intent [1] 279:14 intention [1] 325:8 intentions [1] 282:17 interaction [9] 59:5,17 59:20,25 60:24 226:24 263:20,24 346:16 interest [5] 73:12 125:1 125:13 126:1 370:24
-I-				
hereby [1] 394:2 heterogeneous [1] 115:7 hi [1] 348:9 hierarchy [3] 290:18 294:22 295:7 high [2] 69:25 335:15 highest [1] 320:16 highlight [3] 246:4 288:5 363:22 highlighted [2] 189:14 219:6 hired [1] 197:4 histology [1] 19:22 histopathology [2] 71:16 124:20 history [1] 376:3 hold [3] 170:6 296:23 329:16 holiday [2] 48:21,23	ice [3] 17:14 61:25 62:2 idea [16] 48:20 72:8 98:13 98:20 100:16 116:6 122:8 122:11 131:5 152:8 165:6 168:9 185:22 252:5 327:6 379:22 identical [1] 167:16 identification [4] 31:13 38:7 343:20 346:12 identified [15] 31:3 104:15 124:1 129:21 133:2 140:25 147:22 149:7,24 185:15 199:2 232:13 242:12 259:1 343:19 identify [12] 37:1 90:8 141:17 142:14 143:14,16 143:18 153:12 200:15 269:22 270:5 313:15 identifying [5] 144:3 198:17 247:13 260:4			

<p>interested [2] 73:11 360:22</p> <p>interesting [2] 307:14 371:3</p> <p>interfacing [1] 282:12</p> <p>Interfaith [2] 16:13 176:16</p> <p>interference [1] 84:7</p> <p>internal [19] 8:7 60:8 62:25 63:9,10,22 98:14 98:20,22 114:18,22 115:3 115:9 152:1 288:10,22 288:25 305:13 311:23</p> <p>internally [1] 128:15</p> <p>internet [1] 304:24</p> <p>internist [1] 298:18</p> <p>internship [1] 5:1</p> <p>interpret [6] 52:17 71:4 73:21 76:7 78:24 115:15</p> <p>interpretation [30] 18:24 26:11 50:1 70:22 71:2 74:7,10 79:3 115:4 116:16 119:12 241:4 266:2 272:16 280:1,11 280:19 281:2 284:2 285:2 285:6,14 313:17 331:24 333:12 356:17 357:25 358:16 359:5,12</p> <p>interpreted [7] 76:21 78:17,20 84:11 85:17 101:21 115:4</p> <p>interpreting [2] 75:3 112:16</p> <p>interrupt [2] 267:8,9</p> <p>intervening [4] 48:20 48:21 123:21 163:9</p> <p>interview [3] 385:12,21 386:16</p> <p>interviewed [2] 221:9 385:9</p> <p>interviewing [1] 220:8</p> <p>introduced [2] 57:17 70:1</p> <p>introduction [1] 58:12</p> <p>introductory [1] 74:21</p> <p>invasive [5] 69:2 74:25 117:22 118:1,10</p> <p>investigate [1] 226:24</p> <p>investigated [1] 266:12</p> <p>investigating [1] 148:13</p> <p>investigation [2] 187:3 230:10</p> <p>investigations [2] 187:24 189:19</p> <p>invited [1] 215:7</p> <p>invites [1] 282:22</p> <p>involve [5] 38:5 140:20 229:10 245:20 327:7</p> <p>involved [27] 11:9 16:18 19:19,23 23:16 34:15 43:3 50:5 60:9 74:15 75:3 85:25 111:4 139:18 176:12 201:11,13 203:14 220:2 221:23 233:5 251:16 266:20 278:20</p>	<p>316:15 369:14 370:18</p> <p>involvement [12] 7:8 7:12 8:4 17:1 18:22,24 19:9 22:3,4 38:2 227:24 346:12</p> <p>involves [1] 245:23</p> <p>involving [7] 60:20 128:10 211:21 214:17 221:18 232:25 312:3</p> <p>island [2] 25:10 140:12</p> <p>issue [35] 81:13 181:16 181:17 182:7 185:23 186:3,16,18 191:15 209:3 214:15,16,20 236:12,16 313:16,17,18 314:10 315:25 316:9 317:1,18 318:16 331:16 339:6 340:18 347:7,10 376:8 378:17 382:7 383:8,9 384:9</p> <p>issued [10] 207:8 235:4 237:7 238:13,15 244:6 245:8,25 274:16 276:13</p> <p>issues [29] 13:9,9 20:24 127:9 177:24,25 178:1 181:16 183:4 193:18 195:14 218:21,22 264:17 265:15 279:22 280:1,2,4 313:12,16 316:7 317:16 318:3,5 369:5 370:7,10 393:10</p> <p>issuing [1] 207:9</p> <p>item [2] 376:24 378:6</p> <p>items [2] 232:13 378:2</p> <p>itself [16] 7:8 10:24 17:10 23:7,8 51:20,23 60:3 62:16 67:5 75:15 82:24 110:11 166:13 263:5 304:17</p>	<p>164:24 165:25 169:17,25 170:14 171:19 182:25 187:1,20 189:11 190:20 193:6,16 197:20 201:19 214:9 217:2,8 228:2,12 228:15,15 229:6 232:10 240:1,9,11 243:14,20 248:18 251:18 255:20 256:5 260:8,9 265:24 266:10 267:14 272:1 278:2 290:25 298:7 301:22 327:9 349:4 378:12 394:8,11</p> <p>joined [11] 288:13 289:1 297:17 299:2,24 300:3 300:14 309:2 342:17 354:11 370:22</p> <p>journal [2] 82:7 84:12</p> <p>journals [1] 113:14</p> <p>Joy [3] 106:18,19 210:2</p> <p>Judy [3] 227:15 394:2,13</p> <p>July [15] 146:9,15 148:17 148:19 150:14 151:20 154:1 163:11 164:12 194:18 248:2 253:4 288:13 300:10 311:9</p> <p>jump [1] 166:9</p> <p>June [28] 105:24 106:7 108:18 112:19 124:4,7 127:7 137:14 138:8 139:15 145:3 157:19,21 158:14,19 160:16,17 162:17,21 242:17 283:15 288:12,16 311:10 312:25 313:14 386:4,8</p> <p>jurisdiction [4] 15:9 173:12 177:10,21</p> <p>Justice [2] 1:3 394:6</p> <p>justify [1] 319:8</p>	<p>296:10 304:22 307:12 330:22 355:2 365:11 368:23 374:12</p> <p>kindly [3] 110:12 168:19 379:4</p> <p>kinds [3] 298:21 299:4 351:7</p> <p>knew [5] 61:10,11 109:19 152:24 205:23</p> <p>knowledge [18] 7:10,12 34:8 42:8 52:11 53:24 56:25 57:2,10 60:22 115:15 123:2 148:6 164:21 255:1 310:5 331:17 334:19</p> <p>known [10] 8:18 16:9 69:5 96:3 105:13 118:4 129:2,4 140:11 254:6</p> <p>knows [1] 381:24</p> <p>Kwan [2] 373:25 375:14</p>	<p>36:8 143:8 160:1 163:22 233:23 237:23 238:18 243:22</p> <p>larger [7] 33:11 35:18 36:4,6 143:13 147:11 178:2</p> <p>last [19] 110:19 113:25 149:25 150:10 185:1 210:6 220:5 223:15 270:5 270:6 274:3 276:4 278:2 301:11 321:4 332:15 341:16 353:16 366:2</p> <p>lasted [2] 26:12 172:22</p> <p>late [20] 8:18 15:25 28:22 34:19,19,20 35:2 48:11 105:23 106:6 127:7 129:5 150:11 158:14 170:9 228:1 281:22 282:1 310:21,24</p> <p>Laura [1] 1:12</p> <p>lawyer [1] 217:25</p> <p>layers [1] 17:13</p> <p>layperson [1] 12:19</p> <p>lead [2] 41:2,4</p> <p>leadership [2] 291:13 295:19</p> <p>learn [7] 49:16 57:16 124:7 279:16 315:20 337:3 354:15</p> <p>learned [3] 153:24 223:7 352:20</p> <p>least [16] 57:12 61:22 70:6 94:25 116:7 121:2 124:6 125:1 126:7 144:4 184:14 229:10 305:3 312:18 328:8 333:3</p> <p>leave [3] 36:5 300:4 361:5</p> <p>leaving [1] 111:1</p> <p>lecture [3] 307:7 308:4,6</p> <p>ledger [4] 30:10,14,19 31:7</p> <p>left [15] 28:12 33:25 34:10,18 35:3 37:10 45:18 229:3 248:20 288:20 300:8,11 316:13 348:15 352:2</p> <p>lesion [7] 52:5 222:9 223:2 224:2 225:25 226:9 271:8</p> <p>lesions [2] 37:13 157:22</p> <p>less [14] 120:8 160:7,12 221:4 224:21,21 272:9 301:13,15,17 303:10,10 319:24 336:18</p> <p>letter [24] 38:14 88:22 90:21 156:6,12 192:15 192:20 193:8 194:3 195:9 195:24 208:25 209:22 210:5,14,20 312:25 313:15,21,22 314:1,4 383:18 384:20</p> <p>letterhead [4] 64:21 171:20 180:15 194:19</p> <p>letterheaded [1] 89:13</p> <p>letters [5] 88:21 206:12 206:14 214:5 383:20</p>
<p>-J-</p>		<p>-K-</p>		
<p>J-E-H-A-N [1] 287:8</p> <p>January [12] 8:24 160:6 238:5 240:7 289:2 309:12 315:18 317:9,11 352:15 353:22 354:11</p> <p>Jehan [5] 2:7 287:1,2,7 287:8</p> <p>Jennifer [4] 1:15 2:5 273:8,11</p> <p>jeopardize [1] 125:20</p> <p>job [3] 316:22 317:18 354:9</p> <p>John [3] 197:5 315:17,19</p> <p>John's [98] 5:7 10:22,24 16:22 17:15 42:2 54:11 60:15 62:2 63:15 64:7 64:21 69:11,17 70:20 72:19 73:10,20 77:16 78:3 86:20 88:15 89:22 90:20 93:4 94:13 105:14 121:3 125:22 127:13 128:16 129:25 130:1 131:6,7 134:13,19 149:17 149:19,22 152:25 153:16 153:21 155:14,17 159:2 159:11,23 162:6,11</p>	<p>Kara [7] 1:9 106:21 199:20 200:10 218:20 298:12 316:10</p> <p>keep [8] 44:14 157:16 304:8,12 306:22 392:25 393:13,14</p> <p>keeping [5] 339:7 391:7 391:10 393:10,16</p> <p>keeps [2] 306:17 391:16</p> <p>kept [6] 13:10 41:17 44:12 50:12 93:20 305:19</p> <p>Khalifa [11] 18:20 58:20 58:22 64:22 74:20 77:12 80:3 100:7 123:18 180:24 235:8</p> <p>Khalifa's [8] 19:13 20:1 58:15 61:20 80:21 100:19 107:16 128:6</p> <p>Khan [2] 243:4,6</p> <p>kidney [1] 235:16</p> <p>kind [28] 29:2,13 59:4 85:19 86:8 87:20 106:1 115:16 117:3 121:12 133:10 187:2 193:12 194:4 231:2 245:21 266:10,17 269:24 289:22</p>	<p>lab [29] 13:11,21 21:15 22:3,20 41:1,4,6 47:5 54:17 71:5,11 72:20 120:15 149:1 151:8,14 173:13 175:15 209:23 219:1,7 234:7 289:21 338:16 339:24 340:5 366:13,25</p> <p>label [1] 37:20</p> <p>labelled [3] 90:16 92:1,7 24:10 177:21</p> <p>laboratory [50] 5:14 9:23,24 10:2 11:10,11 11:25 12:7 13:3,5 15:13 15:15,20 20:20 21:11 22:15 23:3,22 24:19 41:1 57:18 60:14 71:17 77:5 102:3 124:17,25 125:10 125:25 126:3 137:15 149:22 169:17,24 172:20 173:1,1,4,10 177:16 198:3 207:13 217:24 220:14 256:11 279:1,25 296:4 339:19 340:5</p> <p>labour [1] 149:5</p> <p>Labrador [7] 6:5 182:17 184:10 273:12 313:2 394:8,11</p> <p>Labrador-Grenfell [1] 1:16</p> <p>labs [4] 23:1 149:19 155:23 170:23</p> <p>lady [1] 222:22</p> <p>Laing [28] 1:9 106:21 135:10 200:10 209:1 210:15 218:20 294:4,8 294:13 295:6 300:3 313:5 314:2 316:3,10 318:3 325:12,17 327:19 348:24 360:24 361:4 370:21 371:24 383:3,7 384:8</p> <p>Laing's [1] 199:20</p> <p>language [1] 120:23</p> <p>large [11] 30:9,13 35:13</p>	<p style="text-align: center;">-L-</p>	<p>lab [29] 13:11,21 21:15 22:3,20 41:1,4,6 47:5 54:17 71:5,11 72:20 120:15 149:1 151:8,14 173:13 175:15 209:23 219:1,7 234:7 289:21 338:16 339:24 340:5 366:13,25</p> <p>label [1] 37:20</p> <p>labelled [3] 90:16 92:1,7 24:10 177:21</p> <p>laboratory [50] 5:14 9:23,24 10:2 11:10,11 11:25 12:7 13:3,5 15:13 15:15,20 20:20 21:11 22:15 23:3,22 24:19 41:1 57:18 60:14 71:17 77:5 102:3 124:17,25 125:10 125:25 126:3 137:15 149:22 169:17,24 172:20 173:1,1,4,10 177:16 198:3 207:13 217:24 220:14 256:11 279:1,25 296:4 339:19 340:5</p> <p>labour [1] 149:5</p> <p>Labrador [7] 6:5 182:17 184:10 273:12 313:2 394:8,11</p> <p>Labrador-Grenfell [1] 1:16</p> <p>labs [4] 23:1 149:19 155:23 170:23</p> <p>lady [1] 222:22</p> <p>Laing [28] 1:9 106:21 135:10 200:10 209:1 210:15 218:20 294:4,8 294:13 295:6 300:3 313:5 314:2 316:3,10 318:3 325:12,17 327:19 348:24 360:24 361:4 370:21 371:24 383:3,7 384:8</p> <p>Laing's [1] 199:20</p> <p>language [1] 120:23</p> <p>large [11] 30:9,13 35:13</p>

<p>level [2] 45:22 115:14 levels [5] 173:10 289:12 290:4 319:5 324:20 liaising [1] 349:8 liaison [1] 383:24 life [1] 324:10 Ligand [3] 332:6 333:6 333:8 light [2] 218:17 227:5 liked [1] 126:5 likely [8] 48:10 51:4 120:8,11 334:20 335:1,9 335:11 limitations [1] 265:23 limited [2] 23:7 173:13 line [3] 13:7 222:8 285:23 lines [5] 113:25 114:2 139:5,6 366:24 link [2] 282:4,7 linked [1] 273:21 linking [1] 282:18 liquid [2] 17:12 61:23 Lisa [1] 374:25 list [11] 3:1 89:20 118:9 137:16 197:24 249:23 310:9,10 350:9 379:6 389:2 listed [8] 110:15 111:2 117:10,21 191:10 195:6 197:21 210:6 listing [2] 117:13 377:1 lists [2] 235:9 388:19 literature [3] 304:14 336:11 387:7 litigation [1] 125:22 living [1] 253:13 load [1] 320:23 loads [1] 392:11 loan [2] 42:21 45:18 loaned [1] 45:8 lobular [17] 117:12 118:9 118:10 334:25 335:15 388:23,25 389:1 390:1,7 390:12,17,18,21,23 391:1 392:13 lobulars [1] 390:17 local [2] 68:15 90:9 locate [1] 97:4 locations [1] 325:23 locums [4] 267:18,21,23 267:24 Lodge [2] 16:14 176:16 longer [5] 172:16,17,18 174:8,12 look [41] 36:11 40:20 41:7 68:24 82:7,12 87:22 95:4,4,5,10 96:23 97:1,5 97:7 99:19 114:22 137:13 143:14 154:4 180:21 194:14 207:17 231:25 247:1 257:4 261:12,24 275:4 285:7,22 289:8 310:17 314:10 345:23 358:25 364:16 374:7</p>	<p>382:19,19 384:25 looked [12] 41:3 157:20 170:8 180:24 181:9 261:19 262:9 289:23,25 316:17 368:23 382:3 looking [27] 75:6,8,14 99:5 105:12 106:1 116:15 122:13 123:13 160:16 163:3 248:12 258:24 280:1,9,24 285:4 290:1 299:12 345:1 360:3 370:25 379:19 380:6,16 381:10,25 looks [5] 237:11 289:15 315:8 325:8 376:14 loop [1] 13:10 Lots [2] 311:18,20 low [6] 119:5 353:9,10 353:14,15 389:5 lower [3] 37:23 353:5 354:16 lucky [1] 392:16 lull [1] 120:13 lunch [4] 26:14 215:10 216:1,6 lung [7] 301:4 323:12,19 323:20 328:4 368:1,23 luxury [1] 300:20 lying [1] 95:7 lymph [14] 36:23 38:5,7 73:6 222:22,24 225:10 225:15 226:4,14 271:17 271:21,24 272:19 lymphoma [6] 73:7,9 73:14,17,23,23 lymphomas [1] 73:11</p> <hr/> <p style="text-align: center;">-M-</p> <p>M [1] 367:21 MAC [7] 11:11,12,16 12:3 195:22 196:10,14 machine [12] 39:16,21 39:22 40:21,22,24 41:7 41:18 155:23 184:11 208:15 286:2 machinery [4] 42:7 171:14 187:21 284:19 Mahmoud [1] 64:22 mail [7] 67:13 192:11 345:5 346:3 362:25 363:2 364:8 mailed [2] 76:5 77:6 main [6] 38:12 39:18 97:4 315:15 319:8 381:17 maintain [3] 80:10 114:1 114:3 maintained [4] 42:11 51:11,15,20 maintaining [2] 40:18 54:14 maintenance [3] 40:21 41:17,19 Majesty [1] 1:8 major [6] 10:1 13:10 28:25 40:23 96:20 235:15</p>	<p>majority [7] 26:13 27:6 335:16,16 336:5,15 390:7 malignancy [2] 64:1 235:15 malignant [2] 143:16 143:17 mammary [1] 69:2 management [3] 291:14 296:3,7 manager [10] 13:3,21 14:1 15:12 23:25 24:10 24:10,11 124:19 177:23 managers [3] 173:9,16 178:4 mandate [3] 370:19,23 372:6 manipulation [5] 333:18,22,25 338:2 358:22 manner [4] 147:2 189:7 196:16 366:12 manual [4] 142:18,25 149:4 237:2 manufacturer [1] 41:10 March [7] 76:4 157:24 190:21 209:8 213:7 385:9 386:15 Margaret [1] 394:6 margin [1] 37:23 margins [1] 37:22 Mark [1] 1:14 marked [8] 3:2,3,4,5 4:14,15,16 207:19 marks [1] 115:5 marrow [2] 288:18 332:19 Masson's [1] 71:15 mastectomies [4] 29:2 29:12 36:21,22 mastectomy [6] 17:7 32:3,11 33:2 35:13 98:8 material [8] 33:20 81:14 81:17 88:18 102:14 131:6 200:16 259:22 maternity [3] 300:4 303:2 361:4 matter [12] 24:19 34:18 81:16 130:18 193:11 226:12 229:21,21 230:2 247:13 252:21 394:3 matters [2] 295:15,20 Matthews [1] 195:21 maximum [2] 155:20 268:8 may [84] 35:5 84:5,5 93:9 104:6 105:6,6,23 106:6 106:20 108:16 109:3,5,5 110:11,12 111:16 112:6 112:25 113:21,21 117:2 121:22 122:13 125:20 127:7 128:7 135:11 137:4 145:10 146:21,21 156:1 157:23 158:14 160:11 169:21 172:21 181:4 183:19 211:8 213:2 227:15 229:22 231:1,11</p>	<p>249:22 263:4 266:12,13 280:17 284:9 285:18 289:8 297:16 300:9 303:7 306:8 307:5 313:8,23 317:18 319:5 323:20 329:15 337:25 341:17 346:13 350:8 356:5 357:21 359:5,7 360:4 362:17 365:11,13,14 368:13 371:17 385:5 387:23 390:23 391:4 McCarthy [16] 106:19 106:20 135:6,9 210:2 299:5 300:9 302:22 305:20 306:17 309:8,17 349:7 355:25 360:23 361:9 McCarthy's [1] 348:15 MCP [2] 293:1 319:6 MD [1] 4:25 Meadow [1] 288:14 mean [34] 18:20 75:5 76:11,21 116:12 132:3 136:9 144:6 185:11 245:16 263:8 284:12,18 284:19 290:15 291:7 299:19 309:4 312:11 321:13,15 325:21 328:18 337:6 342:22 348:3 351:23 356:11 359:17,18 360:4 381:20 390:20 391:10 means [9] 54:19 290:25 300:1 326:6 329:24 336:4 337:13 349:17 394:10 meant [7] 76:8 82:19,21 82:21 269:21 292:17 388:25 meantime [7] 51:12 99:4 123:13 128:5 161:20 208:5 210:1 measurement [1] 36:25 measurements [2] 36:15,18 mechanism [2] 172:11 377:18 media [1] 316:8 medial [1] 37:23 medical [81] 1:14 4:23 5:9 6:2,4,8 11:6 20:22 20:24 62:14,16 170:24 172:12,15,16 176:7,9,9 176:11,12,14 177:8,9,12 178:19 179:3 180:18 184:10 191:14 194:20,21 195:1,8,12,14 196:3 197:4,6,9 267:13 288:15 288:23,25 289:1,5 290:9 290:21 292:19 294:2,4 294:23 296:9 298:6,19 299:25 300:1,16 304:19 305:6 316:5 318:25 319:3 320:13,20 325:1 330:24 331:8,19 340:2,10,11 341:12 354:23 360:12,18 372:20 377:19,20 383:5 387:22 388:1 medicine [31] 5:14 8:7 11:10,12,25 12:7 60:8</p>	<p>60:14 62:25 63:10,11,23 124:17 125:10 151:14 169:25 173:4 256:11 288:8,10,23,25 296:4,16 296:18 297:5 304:10 308:14 311:23 339:20 340:5 Meditech [5] 30:16 204:3 281:23 282:7,13 meet [3] 58:20 215:23 340:21 meeting [18] 194:22,25 195:8,22 216:17 228:10 229:3 291:13 331:9 357:4 357:8 371:17 374:10 375:17 376:13,20 378:23 379:15 meetings [18] 59:22 70:3 177:15 184:9 197:3,3 242:25 291:14 295:18 304:21,23 305:10 316:14 317:11 331:7,11 371:12 371:15 member [2] 373:25 374:1 members [4] 1:12 194:20 375:21 377:3 membrane [1] 75:23 memo [88] 18:19 19:13 20:1 58:15 59:1 61:20 64:19 65:9,13 66:10,12 67:11,16,23,23 68:24 70:24 77:21,25 87:3,21 98:25 100:20 107:16 108:2 110:11 112:6,19 112:25 113:9 114:16 119:19 120:9,22 121:22 122:14 123:11,17 124:4 124:7,12 125:25 126:19 128:6,7 137:14 142:7,9 145:3 151:6,15 152:19 157:3,19,20 158:13,19 159:8,22 160:1 161:14 161:16 163:8,13 164:5 164:14,16 165:6,12,14 165:19 166:6,13 187:11 194:18 197:18 206:18 207:19,24 208:1,3 355:2 377:19 378:23 385:1,6 386:19 388:8 memorandum [5] 64:22 180:22,23 181:4 232:4 memorandums [1] 194:23 Memorial [3] 4:22 5:9 312:10 memory [3] 89:6 148:15 393:1 memos [8] 108:3,9,23 128:17 181:19 378:24 385:23 386:12 menstrual [1] 115:8 mention [2] 71:8 162:13 mentioned [8] 168:14 169:14 176:11 177:13 184:7 289:10,22 370:25 mentioning [1] 319:10 met [7] 58:22 109:21,24</p>
---	---	--	--	---

152:25 221:1 315:24 377:23 metastases [1] 323:22 metastatic [1] 334:1 method [4] 332:3,11 333:4 334:9 meticulous [1] 306:22 metrics [1] 391:8 microbiology [1] 10:5 microscope [3] 49:23 51:1 95:3 microtome [1] 47:6 mid [8] 14:12,21,21 16:3 28:22 126:12 139:15 145:3 middle [3] 26:19 148:24 206:21 midway [5] 14:25 124:21 124:23 125:17 199:13 might [27] 28:17 43:12 46:14 99:14,14,16,16 101:18 103:19 116:12 131:8,9 132:3 139:18 154:6 230:14 277:12 279:3,9 280:16,18 314:5 351:23 366:18 381:15 388:3 390:11 milligram [1] 332:7 mind [23] 87:4 100:1 104:8 115:14 116:18,20 131:12,14 132:20 186:5 186:7,20,22 236:4 253:3 349:22 365:24 367:6,24 368:12 381:17 388:13 392:19 mine [1] 247:9 minimize [1] 245:16 minister [7] 313:3,3,4,6 314:12 315:16,19 minor [2] 149:13 177:24 minute [1] 46:13 minutes [8] 127:23 130:18 194:25 195:8 369:3 374:8 376:14 380:16 missed [7] 167:11 184:18 247:15,24 330:15 388:23 388:25 missing [2] 345:17 389:1 mistaken [1] 373:19 mixed [7] 54:16 55:9,11 56:6,8,17,17 mixing [1] 56:13 modified [1] 36:22 moment [7] 16:16 20:2 108:7 111:1 210:16 229:2 268:1 Monday [5] 29:16,17 326:10 327:21 393:23 month [18] 8:21 103:13 106:8 116:25 117:2 146:9 237:13 243:19 325:21,22 325:22,23 326:7,8,13 328:9 331:10 342:12 monthly [2] 291:9	325:20 months [22] 51:16,24 55:3,4 117:2 121:25 154:19 174:2 222:23 244:23 276:5 315:11 317:16 318:10 332:19 341:17 354:8 374:5 390:24,24 392:21,22 Montreal [1] 170:22 morning [22] 6:13 26:10 26:13 28:21,22 29:4,6 40:7 46:21 47:1 127:17 127:19 129:17 283:20,25 307:13 327:21,24 328:1 330:21 331:6 393:24 mornings [1] 308:21 Moss [2] 394:2,13 most [32] 22:9 28:23 35:24 36:19 48:10 51:4 73:10 86:15 95:23 99:10 115:23 117:22 139:6 155:19 177:17 207:5 213:6 237:14 238:18 295:18 305:6 307:12 311:16 316:16 328:6,7 340:7,8 343:11 346:2 363:20 388:16 mostly [8] 28:22 59:20 80:7 106:19 331:2 359:13 375:14,14 Mount [53] 107:5,9,10 134:10 153:15,18,19 154:10,13,21,25 155:13 155:19,22 156:5,13 167:24 170:14,22 199:16 199:17 203:9 207:6,7 208:6,10,14,23 209:20 209:25 210:21 211:4,7 211:10 212:2,4,14 213:23 218:19 221:1 222:15,19 223:4 225:21 228:20 229:16 230:7 240:24 241:5,13 251:3 271:10 271:21 move [3] 97:11 99:3 360:9 moved [8] 5:5,11 41:24 47:4 48:24 49:12,12 316:12 moving [1] 122:5 Mrs [1] 295:15 Ms [44] 2:5 190:19 192:4 221:10 257:18 261:14 269:14 270:17,19 273:7 273:8,9 274:2,7,12,18 275:3,9,16,22 276:3,8 276:19,25 277:4,8,15,22 278:5,12,18,24 279:7,15 279:20 280:14,23 281:5 281:9,10 287:2 289:19 295:25 389:20 MSH [1] 199:17 mucin [1] 75:15 mucinous [3] 117:24 335:6 389:2 Mullen [1] 156:7 multi-modality [1] 307:25	multi-specialty [1] 308:1 multiple [3] 38:6,6 390:17 Murphy [1] 191:14 must [2] 242:20 364:9 <hr/> -N- <hr/> n [2] 18:15,15 name [10] 18:5 30:10 135:14 173:15 183:19 199:21 218:1 249:9 273:10 287:6 name's [1] 209:14 names [1] 205:2 naming [1] 136:3 narrative [4] 46:9 47:12 58:11 127:16 Nash [7] 209:9 210:3 216:16 218:23 227:14 233:10 240:8 National [1] 387:18 nature [4] 138:13,15 263:20 314:24 necessarily [1] 210:24 necessary [9] 38:15 115:4 137:2,2 150:8 246:9 251:22 344:11 372:11 necessitated [1] 143:12 need [10] 8:21 307:22,25 310:14 319:7 323:17 349:12 350:2,14 380:25 needed [9] 36:7 234:6 275:12 316:11 347:8,22 350:23 367:23 370:10 needs [1] 320:24 negative [88] 80:16,17 82:18,24 84:8 85:11 116:1,6,18 122:21 123:7 129:20 132:1,7 138:25 139:23 140:3,16 141:2,4 141:4,5,7,7,9 143:18 144:3,4,6,8,8,10 157:22 159:1,23 160:6,11,18,19 161:4,10 162:10,21,22 163:2,2,4,25 165:8,24 204:7 220:25 222:9,17 227:8 248:5,17 249:2 250:2 258:18 262:15,16 271:10,10,11,12 335:13 336:8,19,20 337:24 351:10,18,23 357:17,21 358:1,17 359:12,18 390:1 390:9,12,14 391:2,4 392:18 393:17 negatives [6] 139:7,13 185:11 219:21 248:11 249:3 Neil [2] 207:22 234:18 neoplastic [1] 74:25 never [19] 28:11 44:18 58:22 102:24 107:22 121:8 122:11 125:5 133:13 183:21 188:1,20 188:21 231:5 296:21	321:17 324:16 341:13 360:3 new [53] 4:10 45:3 113:1 118:6,8,20 152:16,18 197:4,6 211:2,13 272:22 285:24 287:11 288:13 301:10,13,17,24,25 302:12,18 304:9,13 310:21 317:4 319:7,19 320:23,25 321:2,5,13,14 321:19,23,25 323:4,8,11 324:3,5,17,20 327:24 331:21 332:2,18 338:12 345:4 366:3 378:6 Newbury [32] 1:15 2:5 273:7,8,9,11 274:2,7,12 274:18 275:3,9,16,22 276:3,8,19,25 277:4,8 277:15,22 278:5,12,18 278:24 279:7,15,20 280:14,23 281:5 newer [2] 138:18 171:13 Newfoundland [14] 4:23 6:5 59:23 64:23 184:10 211:6 232:5 233:1 273:12 288:21 313:1 325:5 394:8,11 newly [1] 321:14 newspaper [1] 193:23 next [43] 4:5 25:3,7 32:18 35:8,22 39:25 40:7,10 46:12,12,18,21,22 47:1 48:12,25 49:12 80:20 82:12 97:12 110:25 127:3 127:5 146:12 148:15 153:2 157:15 169:3 180:22 191:18 207:15 214:17 227:22,24 229:3 286:17,25 346:19 348:13 348:17 378:9 379:13 nice [1] 265:20 night [2] 46:11 195:22 nine [1] 327:22 nipple [2] 38:1,3 nitrogen [2] 17:12 61:23 NL [3] 1:8,14,15 NLMA [1] 315:24 node [10] 73:6 221:2 222:22 224:6 226:4,14 271:17,21,24 272:19 nodes [6] 36:23 38:5,7 222:25 225:10,15 non-chemo [1] 328:2 non-physician [1] 12:21 non-specific [1] 188:12 nonetheless [1] 337:25 normal [13] 98:14,15,21 98:21 99:14,19 100:1 114:17 115:7 119:18 151:24,25 152:8 normally [3] 330:16 336:13 340:4 North [1] 266:17 notation [4] 79:18 98:3 98:5,6 note [12] 110:13 153:13 157:16 170:5 199:13	207:21 223:24,24 242:17 245:2 272:7 379:4 noted [7] 21:23 74:19 77:7 183:2 249:5,7 377:15 notes [6] 153:8 182:11 182:12 183:23 199:11 242:11 nothing [17] 71:17,18,19 87:19 122:24 130:24 148:10 168:24 188:13,15 194:12 198:13 242:8,8 270:17 279:6 317:13 noticed [2] 298:10 312:9 notification [4] 67:10 201:9,11 202:12 notified [7] 201:20 214:3 251:24 254:1 255:13,22 256:1 notify [3] 183:6 185:3 382:22 notifying [1] 255:8 notoriety [1] 229:22 November [12] 195:24 216:7,18 220:5 221:10 223:15 227:23 228:10 229:4 257:19 316:7 387:17 now [78] 6:24 9:11 14:24 29:23 32:14 42:21 54:21 57:18,20 66:25 67:4 70:3 71:8 77:11 82:15 85:24 91:25 93:7 106:1 108:12 108:18 114:15 119:21 123:13 124:14 127:15 133:20 134:8 152:8 157:1 158:4 162:13 163:11 165:14 171:17 180:15,15 185:11 198:3 204:25 206:12 224:11,24 227:10 227:13,21 239:24 240:10 246:14 253:14,24 254:1 256:23 261:12 268:7,13 274:22 282:3 289:6 298:6 299:13 300:18 301:2 312:9 320:19 323:12 325:7 328:6,12 331:15 338:13 345:10 354:15 356:1 380:12 386:23 388:7 391:7 nuclear [8] 97:8 115:6 119:5 123:14 124:1 387:9 387:19 389:5 nuclei [9] 74:25 75:1,2,9 75:16 76:1 83:11 95:17 123:14 number [67] 25:6 29:11 30:7,8,11 38:12 90:5,5 91:15,15,17,19 102:4 124:13 135:14 138:25 140:7,16,20 143:13 149:21 157:5,17 163:22 180:19 184:13 191:9 195:6,13,25 197:19 205:21 206:20 209:9 210:3 220:23,24 227:16 232:8 233:15,17 235:8 241:2 243:22 244:14,17 247:24 258:7 266:20
--	---	--	---	---

<p>289:9 292:17 304:18,25 306:24 318:24 319:18 320:16 322:21 335:16 336:16,20 353:14,15 359:13 387:2 388:19 393:15</p> <p>numbered [1] 91:14 numbers [15] 92:1 116:23 117:5 133:3 139:17 140:11 185:17 278:8 300:14 305:22 319:1 336:24 353:6,9,10</p> <p>numerous [2] 98:13 108:9</p> <p>nurse [1] 343:12 nurses [1] 291:3 nursing [2] 291:2 295:22</p>	<p>253:22,23,24,25 266:6 303:1 355:17,17 356:19</p> <p>offer [1] 228:24 offered [5] 137:1 228:16 228:19 231:1 289:4 offhand [1] 136:7 office [11] 92:16 186:17 216:17 223:16 233:10,13 264:3,5 348:9,13,15 Officer [1] 20:18 offices [2] 27:10 346:19 official [1] 384:20 officials [1] 233:1 offs [2] 164:12 235:15 often [4] 42:6 306:4,5 361:17 old [9] 15:8,14,17 16:11 22:12 176:16 179:15 268:4 301:17 older [1] 30:8 once [7] 45:21 274:23 299:8 302:22 308:16 322:24 349:24 oncologist [25] 64:5 84:3 103:17 135:15,22 211:17 251:17 289:2 294:4 296:7 298:6,19 318:25 319:1 321:25 322:10 323:18,19 323:20 340:3 356:20 372:20 375:2 383:6 388:1</p>	<p>224:7,21 226:4 227:7,7 231:7 235:7,8 237:21 238:18 249:5,8,8,22 253:4 254:1 259:1 261:10 262:9,14,15 264:24 269:19,20 270:25 271:1 271:7 281:20,21 283:11 289:11 291:12 294:23,24 296:23 298:25 299:12 303:1,1 304:17,20 305:21 307:11,12 313:16 314:5 317:6 319:11 320:15 321:20 323:25 324:10,15 326:6 327:18 328:9,15 329:16,24 333:12 334:25 344:6 347:14 349:22 350:2 355:5,5 356:5,6 357:24 360:24 365:2,21 366:8 367:2,15,20,23 368:15,19,20,21 369:16 369:16,19 373:18 375:13 378:13 385:16,25 386:4 386:6,8,8 387:10 389:25 390:13,20 391:3,4 392:21 393:11</p>	<p>111:4,17 120:2 135:7 171:11</p> <p>orderly [1] 39:17 orders [3] 63:12,20 341:17 ordinary [1] 71:18 organ [2] 273:25 299:15 organization [3] 143:21 145:16 314:19 organized [1] 63:22 orientation [2] 45:19 97:3 oriented [1] 95:6 original [29] 15:5 88:7 123:17 142:1 167:14 203:12 204:18 205:20 208:19,22 211:4,7,10 212:2,4,8 220:25 222:10 222:10,11 223:2 251:14 272:21 275:1 323:23 339:9 363:8 364:3,10 originally [7] 79:19 132:6 203:15 248:11 271:9 278:1 361:14 originated [4] 130:9 313:22 360:20,24 ORs [1] 28:23 Osborne [4] 313:4,6 314:12 315:17 Oscar [4] 188:6,7 250:16 316:8 OSCE [1] 312:21 otherwise [5] 41:18 345:2 347:16 350:1 367:4 ours [1] 160:2 ourselves [2] 326:5 360:1 out-of-town [2] 109:13 379:3 out-patient [1] 27:12 outcome [1] 364:25 outer [2] 37:18,19 outline [4] 4:19 5:18 6:14 59:3 outlined [3] 82:2 179:5 313:8 outlining [1] 209:23 outside [11] 59:7 60:12 130:1 131:6 197:20 228:17 264:5 267:14,19 326:19 378:11 outstanding [2] 316:14 318:5 ovarian [1] 29:12 ovary [1] 235:16 overall [12] 36:24 70:16 125:25 201:17 230:5 231:2 245:21 277:10 280:25 301:25 302:17 303:9 overlap [1] 172:21 overlapped [1] 174:16 overnight [4] 32:17 34:4 34:10 39:21</p>	<p>overview [5] 216:18 218:11,14,20,24 own [32] 50:9 54:16 55:9 55:11 71:5 74:23 87:4 89:9 129:24 131:8 140:6 142:11 156:20 163:25 164:11 166:25 171:6 172:8 177:10 199:19 200:8 201:4 203:12 204:17 234:6 247:1,1 255:3 293:7 367:2 391:10 392:4</p>
-O-				
<p>o [4] 18:15,15,15,15 o'clock [1] 34:24 ob [1] 11:14 obliged [1] 266:3 observations [1] 282:24 obstetricians [1] 63:24 obstetrics [1] 8:3 obstetrics/gynecology [1] 27:8 obtained [4] 4:23,25 56:10,11 obviously [6] 33:11 87:6 118:24 147:22 214:12 340:16 occasion [8] 77:11 93:7 100:25 103:15,22 184:13 186:7 359:15 occasional [2] 117:23 213:8 occasionally [5] 36:20 60:9,12 81:25 219:22 occasioned [1] 101:7 occasions [6] 35:1 79:15 102:4 106:13 150:1 184:14 occur [11] 25:4 32:22 47:1,13,19 53:2 122:8 131:7 134:19 174:11 267:14 occurred [14] 6:16 15:23 18:16,19 57:22 58:2,6 130:8 133:24 139:7 184:20 186:14 189:19,20 occurrence [1] 133:16 occurs [1] 267:14 October [8] 150:11,12 182:13 185:8 191:7 193:23 199:14 257:4 October/November [1] 189:24 odd [2] 213:2 236:23 off [26] 28:23 36:3 47:7 47:23 48:24 89:18 90:19 106:25 107:10 144:25 150:4 156:23 164:16 200:16 235:9 241:16,17</p>	<p>22:12 176:16 179:15 268:4 301:17 older [1] 30:8 once [7] 45:21 274:23 299:8 302:22 308:16 322:24 349:24 oncologist [25] 64:5 84:3 103:17 135:15,22 211:17 251:17 289:2 294:4 296:7 298:6,19 318:25 319:1 321:25 322:10 323:18,19 323:20 340:3 356:20 372:20 375:2 383:6 388:1 oncologists [35] 63:7,8 63:13 64:3 104:3,8 106:10,16 133:22 293:25 294:2 299:25 300:1,16 307:18,20 308:11 316:2 319:3,4 320:20 325:2 346:14 352:2 354:23 356:11 360:12,13,14,18 360:21,25 384:1 385:4 387:23 oncology [43] 63:3,4 251:17 263:9 288:15,23 289:1,5,19 290:9,21 292:19,20 294:23,24 296:11,17,19 297:5,25 299:10 303:19 305:17 306:9,12 307:6 313:11 316:4,6 320:11,13 324:16 330:24 331:19 340:10,11 341:6,12 356:15 360:23 373:3 391:14 393:8 one [176] 8:12 41:5 42:17 42:19,19,20 45:11 54:14 56:17 57:19 67:13 73:6 74:3,20 75:10 76:1 81:24 85:1,4 86:19 88:12 92:25 93:8,22 94:6,16,17,25 96:15 98:9 101:4 103:13 104:7 106:13 107:18 108:7,11,16,18,25 109:1 109:3,5,11 112:6 116:12 116:20,24 117:1 128:8 134:7 136:1 139:13 144:19 150:10 160:25 185:1,2 186:7,17 187:8 189:9 190:13 195:7,23 197:21 201:16 205:17 208:24 209:18 210:6 213:8 220:11 221:19</p>	<p>ones [25] 29:5 88:8 117:22 126:22,25 143:17 148:7 149:7,8,23,25 179:4 213:2 238:1,8 246:15 249:15 251:20 254:5 294:6 305:18,19 306:11,21 367:5 ongoing [11] 60:8 163:18 186:18 187:20 193:4 197:2,8,10 241:25 317:12 380:1 onto [3] 47:8 49:12 344:4 onus [1] 68:14 onwards [1] 324:11 opened [1] 236:21 openings [2] 325:10,14 operate [1] 125:23 operates [1] 298:1 operating [2] 27:4,21 operation [1] 284:18 operational [1] 236:8 operationalize [1] 170:25 opinion [6] 225:20 266:4 266:5,6 276:21 368:13 opinions [1] 276:13 opportunities [1] 317:14 opportunity [8] 266:8 282:25 283:4 325:16 347:5,10,13 349:8 opposed [4] 153:15 308:3 312:16 372:12 option [1] 333:23 order [12] 17:24 18:1,1 49:21 68:19 71:5 73:12 78:1 81:2 136:10 257:9 257:11 ordered [4] 54:24 111:9 136:12 345:4 ordering [12] 19:14 68:7 73:1,3 74:4,7 87:23</p>	<p>originally [7] 79:19 132:6 203:15 248:11 271:9 278:1 361:14 originated [4] 130:9 313:22 360:20,24 ORs [1] 28:23 Osborne [4] 313:4,6 314:12 315:17 Oscar [4] 188:6,7 250:16 316:8 OSCE [1] 312:21 otherwise [5] 41:18 345:2 347:16 350:1 367:4 ours [1] 160:2 ourselves [2] 326:5 360:1 out-of-town [2] 109:13 379:3 out-patient [1] 27:12 outcome [1] 364:25 outer [2] 37:18,19 outline [4] 4:19 5:18 6:14 59:3 outlined [3] 82:2 179:5 313:8 outlining [1] 209:23 outside [11] 59:7 60:12 130:1 131:6 197:20 228:17 264:5 267:14,19 326:19 378:11 outstanding [2] 316:14 318:5 ovarian [1] 29:12 ovary [1] 235:16 overall [12] 36:24 70:16 125:25 201:17 230:5 231:2 245:21 277:10 280:25 301:25 302:17 303:9 overlap [1] 172:21 overlapped [1] 174:16 overnight [4] 32:17 34:4 34:10 39:21</p>	<p>-P- P [4] 62:11 207:17 234:16 312:24 P-0087 [1] 182:10 P-0113 [2] 108:8 378:22 P-0166 [1] 315:10 P-0534 [1] 151:6 P-0581 [1] 153:7 P-0590 [1] 157:2 P-0630 [1] 191:6 P-0670 [1] 199:10 P-1091 [1] 206:18 P-1287 [1] 180:13 P-1328 [1] 194:14 P-1572 [1] 374:9 P-1591 [1] 209:6 P-1778 [1] 156:4 P-2157 [1] 236:21 P-2201 [1] 197:18 P-2272 [1] 227:11 P-2335 [1] 210:4 P-2524 [4] 3:2 4:11,14 242:10 P-2525 [4] 3:3 4:15 137:13 283:14 P-2545 [3] 3:5 287:12 287:17 P-2549 [4] 3:5 287:12 287:17 288:1 pace [1] 320:24 package [2] 92:14,25 packaged [3] 92:21,25 145:24 page [34] 65:14,15,22,23 66:11 74:22 79:24 80:20 82:12,12 108:17,19 112:6 113:25 114:15 180:22,22 181:3 194:15,25 195:20 195:23 199:14 220:21 227:13 256:18 257:16,17 261:19,24,25 289:9 384:25 386:19 pages [8] 66:5 67:5 70:5 180:20 233:24 236:23 237:17 344:25 paid [2] 317:1 318:18 Pakistan [1] 288:8 palliative [1] 331:3 panel [12] 205:1,1,7,10 205:22,23 206:2,12,14 214:5 251:22 252:3</p>

<p>panelled [1] 213:13 panelling [1] 214:5 paper [1] 180:10 papillary [2] 117:24 389:4 paraffin [11] 7:3 40:1 46:23 47:3,4,6 69:4 88:10,14 142:12 167:15 paragraph [15] 69:9,21 114:16 115:14,22 117:8 119:4,7 122:14 123:5 124:21 125:17,18 387:4 388:18 Parai [2] 124:18 375:2 parallel [1] 69:25 parameters [1] 165:23 part [49] 6:1 22:8,13,21 24:4 25:11 58:8 60:4 119:9,12 133:1 175:15 180:15 189:10 200:4 201:17,18 202:14 228:18 236:15 239:8,19 259:15 259:18 266:9 275:6 282:4 291:11,15 295:19 296:17 296:20,21 298:11 310:22 310:24 311:13 312:17 315:15 330:15,19 333:12 339:22 342:17 356:17 361:5 367:21 373:6 383:13 participate [7] 183:12 184:4,22 216:12,25 233:3 267:12 participated [3] 183:21 217:2,10 participating [1] 183:15 particular [72] 5:16 6:24 7:14 11:17,18 26:17 28:18 29:15 32:9 33:1 44:8,15 47:12 61:15 65:13,13,22 66:4 87:22 92:17 95:22 97:9 104:11 108:17 109:11 116:17 122:19 129:23 132:20 133:7 139:23 140:6 161:21 164:14,24 169:5 173:13 181:20 182:7 188:14 189:3 210:3 213:12 215:2 216:23 220:15,23 223:19 225:4 226:12 227:25 234:1 236:3 237:21 258:22 260:15 263:4 298:15 301:1 307:7 310:11,18 334:3 338:18 347:9 356:22 357:7 358:25 372:10 384:7 390:10 393:17 particularly [4] 184:9 188:10 279:25 317:6 pass [5] 113:16 136:16 158:24 166:13 212:4 passed [5] 198:7 204:16 288:22,24 322:21 passing [1] 36:3 past [14] 30:15 42:22 55:3 114:24 118:17,17 124:5 124:6 235:4 237:13</p>	<p>243:15 244:23 273:22 376:3 pathologist [53] 5:13,17 5:23 6:9 8:14,19,22 9:1 9:4 10:25 11:2,9,22 21:4 21:6 25:22 59:12 68:15 74:22 78:18 79:4,8,20 79:23 102:22 109:19 111:13 121:2 152:24 178:13 230:3 244:7 245:9 246:1 278:16 280:8,18 349:25 350:9,15 351:12 351:13 359:5,7,17 360:5 367:5 370:11 373:1,2 375:3 377:5 382:25 pathologist's [1] 211:7 pathologists [43] 7:7 8:9 9:12 59:6,18,21,23 62:13 64:23 68:1,2 73:18,20 88:19 100:9 109:12 151:7 177:16 178:13,23 197:20 232:5 241:3 243:20,23 244:7 285:6 303:16 307:20 349:9,19 350:5 350:16 355:8 357:16 359:11 362:14 368:14 369:14 373:7 379:2 385:3 387:6 pathology [78] 5:2,3,10 9:2 10:6,12,14,18 13:20 13:23 15:17 22:2,16 23:1 23:7,16 26:5,11,12,20 28:4,15,18,19 30:6 31:16 31:18 42:1,3,16 43:2 45:15 55:20 60:20 62:20 63:1 68:11 69:10 71:17 125:11 155:23 167:14 173:11 208:16,19 209:23 210:6 220:14 232:7 237:2 243:7 261:13 263:15,21 264:4,24 266:22 271:2 303:17 310:16 311:20 352:13 357:15,22 361:15 362:23 364:3 367:9,13 368:1 369:6,12 370:3,17 375:20,22 379:17 383:3 patient [104] 18:5 27:7 27:11 63:14 64:3,4 74:2 78:12 79:7 84:6,21,23 89:11,23 90:4,5 92:11 92:18 94:3,21,25 104:11 104:23 111:9 116:17 125:20 136:4,5 137:6,6 137:7,8 156:24 197:24 202:12 203:10,15,16,21 206:10 210:24 211:2,13 213:12 220:15 221:18 223:19 225:4 226:7 241:18 258:22 260:16 274:17 276:10,18 295:20 295:21 307:25 312:3 321:13,13,17,19 322:1 322:16,18,18,19,22,25 323:4 324:3,6,10,16,17 334:10 337:24 340:20 343:10 344:10,15 345:10 345:14,25 346:4 347:7 347:17 358:20,21,25 359:1 362:2 363:12,15 364:13,15 367:20 368:1 369:23 377:5 387:22 389:24 392:10</p>	<p>patient's [14] 79:13 93:5 93:22 135:14 204:4,23 205:12 220:16 227:7 276:14 344:4 364:4 369:12 370:9 patients [130] 71:22 72:17,25 87:24 121:24 122:9 127:10 129:19 131:19 132:4,6 183:6 185:3,9,9,24 186:9,22 186:23 194:5,6 198:18 199:1 200:16 201:9,11 201:19 202:15,19 203:20 205:21 206:7 207:9 211:1 211:16 231:13,14 247:14 247:15,24 252:9,10,21 253:2,8,10,14,16,24 254:1,3,8,9 255:24 256:1 269:23 270:5 275:12 289:12,24 290:2,23 292:18 298:21 301:8,11 301:13,17,20,25 302:12 302:18,22 303:2,11 307:10,15,17,24 310:6 317:4 318:24 319:7,7,19 320:23 321:2,5,11 324:21 327:25 328:3,5,11,22 329:1 330:25 333:19 336:5,7 342:7,10 343:7 343:7,20 344:24,24 346:6 346:12 350:9 352:3,21 353:5,8,10,10,12,15 366:3 367:2 376:3 380:1 380:13 381:10 382:13 391:10,17 392:14,17 393:14 patients' [4] 243:16,17 251:14 381:1 Patricia [1] 192:3 pattern [3] 104:24 166:3 166:4 Paul [2] 191:13 207:22 pause [1] 380:9 pay [4] 95:22 118:24 316:24 319:11 payment [3] 313:11 317:2 318:18 peculiar [1] 15:21 peer [2] 188:11 354:19 peers [1] 352:6 people [27] 13:15 43:3 63:10,11 73:10,17 145:15 147:21 149:1,2 158:9 183:1 194:5 201:24 211:18 214:2 217:19,23 217:24 251:23 255:19 256:4,5 299:13 343:18 343:19 393:9 people's [1] 344:19 per [6] 94:21 180:21 242:20 269:6 302:12 371:12 percent [64] 22:9 83:6 83:11,16,16 85:9,11 86:4 86:4,4 98:4,4 115:25 160:7,12,25 166:19,22 166:24 221:2,3,4 224:13 249:11 250:3,5 257:21 257:23 258:17 272:8,9</p>	<p>291:24 292:22 293:14,17 301:19 304:5 311:2 316:21 318:11 319:13,13 319:24 332:17 334:12 336:17,18,24 343:9 352:12,16 353:3,22 357:14,23 358:12,14 360:10 381:16 387:9,10 387:11 388:15 390:19 percentage [17] 80:18 83:15 86:9 97:10 122:22 204:7 291:20,21 301:7 321:5 336:12 351:9 354:17 358:11 387:23 388:14 393:16 Percentage-wise [1] 180:10 percentages [1] 86:1 perform [1] 191:17 performed [5] 17:18 69:3 167:22 376:2 378:9 perhaps [23] 6:14 26:7 86:22 122:8 128:7 132:14 139:12 143:4 197:17 227:25 247:21 283:11 287:23 288:1,4 307:1 313:20 318:16 325:16 327:5,9 374:7 379:15 period [26] 8:17 16:3,17 25:14 34:6 105:22 120:13 120:17 130:4 147:7 168:19 172:18,21 174:14 184:6,8 236:14 251:1 277:12 298:22 305:5 354:8 371:9 378:25 381:11 383:11 periodic [2] 40:22 59:22 periodically [1] 56:19 peripheral [4] 291:6 298:8 325:19 327:8 periphery [1] 37:12 Perlican [6] 15:8,14,18 16:11 22:13 176:16 persistent [2] 124:23 125:3 person [13] 41:14 126:2 217:3 321:24 322:6,19 326:6 329:7,11 347:14 368:24 380:4 381:24 person's [1] 355:20 personal [2] 220:17 301:21 personally [9] 34:15 128:23 192:22 304:23 341:20,23 353:9 391:12 392:4 personnel [1] 182:16 perspective [10] 84:16 107:22 131:25 198:16 230:3 255:16 296:6,25 316:20 347:9 Peter [3] 1:9 2:6 281:18 petering [1] 172:23 Pg [4] 3:2,3,4,5 Pgs [5] 2:3,4,5,6,8 pH [3] 57:4,19 114:3 pharmacists [1] 331:2</p>	<p>pharmacy [8] 20:20,21 24:11 172:19 174:9 176:1 176:3 295:22 phase [13] 17:3 18:24 74:20,21,22 76:3,4 78:7 80:1 148:6 295:6,8 296:14 phases [1] 279:24 phone [26] 63:9 104:6 105:23 106:9,9 127:6 128:4,22 135:19 137:4 138:5 142:7,8 145:2 146:17 148:9,16 150:14 154:3 170:8 182:13 210:3 216:15 242:19 350:2 359:16 phonetic [1] 71:15 photocopy [1] 261:19 physical [1] 365:4 physician [15] 12:17 18:4 24:20 62:7 103:16 103:16 205:1 252:17 255:9,9,11 277:1 314:19 331:4 374:13 physicians [14] 133:22 157:5 176:20,24 177:14 179:23,24,25 188:11 217:21,23 291:6 317:1 329:13 pick [11] 51:1 58:11 161:22 167:10 298:25 307:14,16 359:16 390:16 390:25 391:17 picked [2] 258:25 259:4 picking [2] 35:17 52:18 piece [2] 76:11 171:13 pieced [1] 106:2 Pike [4] 1:14 8:18 281:13 281:14 pile [1] 249:13 Pilgrim [2] 192:3,4 pipeline [3] 343:12,16 346:8 place [19] 10:3 14:22 39:2 115:2 148:23 195:11 197:11 239:13 248:9 255:21 266:15 277:17 282:3 306:6 309:2 313:11 317:19 346:20 347:16 placed [6] 9:5 31:18 38:9 38:11 39:3 338:15 Placentia [2] 16:10 176:17 places [2] 22:4 388:16 placing [2] 43:17 46:24 plan [3] 313:11 317:2 318:18 planned [1] 197:3 plans [9] 196:24 197:2 228:1,11 242:2 277:16 277:20 279:8 325:1 play [1] 119:8 played [1] 119:11 plus [6] 312:19,20 330:19 352:3 371:3 384:18 point [54] 7:7,14,16</p>
--	---	---	---	--

<p>19:11 61:21 107:7 111:3 122:19 134:13 140:24 148:2,9 152:15,25 154:13 165:1 171:25 173:13 185:7 201:16 208:24 209:3 216:23 219:8 221:19 222:1 230:1 235:8 235:20 239:3 250:5 263:3 264:24 271:1 283:11 288:17 302:21 314:15 315:1,3 317:15 349:5 352:12 353:2 372:3,11 372:12 380:22 381:1,9 383:10 386:19,20 393:21</p> <p>pointed [2] 87:19 302:5 pointing [1] 388:14 points [2] 157:17 313:9 policies [9] 53:14 232:9 232:11,12 233:16 234:1 236:19 237:2 238:6 policy [2] 54:2 80:8 pooling [1] 393:9 poorly [1] 391:3 porter [2] 28:2 43:21 portion [4] 52:6 88:12 200:10 340:3 portions [1] 237:6 position [24] 5:11,12,23 9:4,9 10:25 15:11 20:15 22:7,8 28:8 45:10 62:15 172:8 173:5 289:4 290:7 292:2 312:13 314:20 315:2,2 317:21 318:1 positions [1] 5:19 positive [96] 76:6,8,9 77:5,7 78:9,19 80:16,17 82:17,17,18,19,21,21 83:14 85:3,3,4 92:7,8 95:11 96:18 115:23,24 115:25 116:6,17 117:8,9 117:19 118:2,11 119:6 122:21 129:20 132:2,7 143:19 158:25 160:24 165:24 204:6 220:22 221:23 222:20 224:1,13 225:14 226:5,18 227:7 248:5,12,17 249:2,4,7 249:10,13,24,25 258:12 258:12 262:14 272:19 334:17,21 335:1,5,17 336:3,6,7,8,16,19,20 337:5,10,24 351:10,18 351:24 357:17,21 359:18 387:7,8,9,10,19,20 388:20 389:5 390:8 positively [1] 76:12 positives [1] 219:22 positivity [14] 83:25 97:11,11,18,18 335:25 336:1 337:5,17 340:24 355:18 359:6 387:24 391:23 possession [2] 169:16 181:22 possible [10] 76:7,20 131:2 136:15 145:10 147:4 150:18,20 232:14 366:21</p>	<p>possibly [2] 266:13 361:2 posterior [1] 37:2 potential [3] 133:6 148:13 162:14 potentially [2] 258:23 380:12 PR [47] 7:6 16:18 19:3 61:21 82:14 86:17 91:22 91:23,25 92:7 96:3 98:2 98:5 115:23,25 116:6,17 140:3 141:4,5,7 144:4 144:14 157:23 158:5,25 167:22 220:25 222:9 271:10 312:4 333:10 335:25 336:4,6,9,19,20 337:5,9,16,24 340:24 375:24 378:7,8 379:6 practical [1] 338:5 practice [28] 8:4 19:19 38:18 44:13,21 53:6,20 55:11 81:6 83:3 86:2,10 86:12 93:2 118:22 160:23 163:1 267:3 275:6 298:23 301:7 330:17 345:23 353:24 389:24 390:2,4 392:4 practise [6] 29:23 31:22 31:25 33:1 171:6 273:15 practising [2] 25:21 264:24 practitioner [4] 9:17 59:8 264:25 267:1 practitioner's [1] 27:10 pre [1] 280:3 precedent [1] 314:24 preceding [1] 106:8 Predham [3] 199:21 200:11 202:7 preferred [1] 298:25 preliminary [2] 148:4 382:2 premixed [2] 54:21 57:19 preparation [7] 18:21 18:23 26:21 47:2 223:10 272:21 280:3 prepare [5] 17:5 39:25 46:24 86:19 231:7 prepared [7] 47:9 48:3 256:17,18 310:9 314:14 315:4 preparing [3] 16:21 27:2 27:2 presence [2] 6:13 73:22 present [11] 5:11,18 6:6 177:6 195:6 197:11 265:6 268:4 277:14 282:15 311:19 presentation [7] 215:3 215:6 216:7 218:16 219:14 227:23 229:4 presentations [1] 60:19 presented [2] 218:9 265:6 presently [2] 45:14</p>	<p>268:5 President [1] 194:21 presumably [1] 243:6 presuming [1] 284:2 pretty [4] 28:23 150:24 298:5 299:3 previous [10] 36:12 37:10 45:11 51:9 98:7 144:21 204:18 209:2 274:25 286:1 previously [7] 91:12 119:13,14 149:16 169:15 266:24 321:25 primarily [5] 18:3 29:6 63:10 203:5 360:22 primary [10] 157:22 222:9 223:2 224:2 225:25 226:8,11 271:8,8 289:13 principles [2] 82:2 218:15 printed [1] 362:24 Pritchard/Jackie [1] 1:8 Pritchett [3] 1:16 273:3 273:4 problem [33] 40:23 50:20 52:23 96:20 105:13 112:14 121:17 122:3 133:6 138:14,14,17,21 147:15,22 148:12 149:13 230:15,15 275:11 284:4 284:9,13,15,25 285:2,12 378:10 380:6,18 381:24 381:25 382:17 problematic [1] 50:18 problems [16] 50:19 51:2 52:8,13 91:21 103:23 107:22 110:24 120:19 148:13 208:24 264:9 285:5,6 296:22 379:12 procedure [4] 36:1 236:11 239:16 275:24 procedures [6] 27:11 53:15 125:24 234:6 236:19 237:2 proceed [1] 277:9 proceedings [1] 190:5 process [47] 33:22 35:17 39:21 40:4,4,9 43:1 46:22 48:22 49:2,15,18 49:24 51:19 56:4 61:22 95:15 96:22 98:11,14 100:21 107:17 113:11 139:22 148:23 149:5 156:13 163:18 170:1 189:10 197:7 198:25 201:19 203:4 206:7 207:7 211:24 212:19 214:5 238:19 250:21 252:10 255:4,6,20 325:6 345:22 processed [2] 29:25 32:17 processes [1] 187:19 processing [15] 26:22 27:3 39:16,16,19,20,22 40:4,15 42:4,25 43:1</p>	<p>46:21 49:9 112:16 processor [3] 40:18 46:11 47:24 procured [1] 114:4 produced [3] 155:25 191:1 280:25 producing [1] 235:19 professional [3] 4:20 287:25 288:6 Professor [3] 312:10,16 312:17 progesterone [5] 64:25 157:6,14 257:22 262:16 program [15] 5:3,4 129:10 151:14 169:25 295:4,9,11 296:3,4,7,8 314:24 315:23 316:3 progress [3] 145:20 197:13 216:19 properly [5] 49:22,22 95:12 377:2,21 proportion [1] 160:2 proposal [9] 65:16 80:4 80:5,9,11,15,21 81:1 82:13 proposed [2] 195:12 196:3 protected [1] 189:7 provide [5] 89:22 208:20 208:22 231:2 278:6 provided [6] 54:21 84:20 89:17 230:20 273:19 284:3 providing [5] 8:6 112:15 283:5,14 316:2 province [9] 6:4 8:19 59:7,7 88:20 214:10 267:15 319:6 326:18 provinces [1] 319:4 proximity [1] 365:4 PRs [3] 139:23 153:15 157:7 public [3] 193:24 194:3 229:22 publication [1] 358:15 publications [1] 81:13 published [1] 193:24 purchasing [1] 54:13 purpose [3] 96:2 198:1 333:9 purposes [10] 98:22 110:21 121:1 149:19 246:11 338:5 379:8,24 382:9 383:21 put [33] 17:13 18:5 28:10 30:10 32:21 35:25 39:16 39:18 80:18 89:11 92:21 92:23 94:5 115:5 144:18 169:21 191:8 239:13 248:23 255:3 331:10,12 336:16 341:20 342:8 343:13 344:14 349:13 351:9 353:6,7,18 354:1 putting [6] 36:2 342:4,9 342:12 351:7 362:4</p>	<p style="text-align: center;">-Q-</p> <p>Q.C [1131] 1:6,7 2:3,8 4:4 4:8,9,17 5:6,20 6:11,19 7:2,17,21 8:8,13 9:6,10 9:16,21 10:7,13,17,23 11:5,15,21 12:2,6,11,16 12:20,24 13:14,22 14:3 14:8,15,19 15:2,16,22 16:2,15,24 17:16,22 18:7 18:14 19:1,6,12,18,25 20:5,9,14 21:1,5,9,14,18 21:22 22:1,11,19,24 23:6 23:11,15,20 24:6,13,17 24:23 25:2,13,18,25 26:4 26:25 27:14,19,24 28:5 28:16 29:7,14,22 30:18 30:22 31:2,6,11,21 32:4 32:8,19,25 33:12,17,24 34:3,7,17 35:7,11,16,21 38:8,17,22 39:1,6,10 40:2,8,14 41:11,15 42:10 42:14,24 43:7,11,16,20 43:24 44:3,7,11,20 45:2 45:7,12,24 46:4,8,17 47:10,18,22 48:2,7,14 48:18 49:4,8,14 50:3,8 50:15 51:6,10,17,25 52:7 52:12 53:1,5,10,19,23 54:5,10,18,25 55:6,10 55:14,18,23 56:3,15,24 57:3,7,11,21 58:1,5,9,18 58:23 59:2,11,16 60:1 60:18,23 61:4,8,14,19 62:1,5,10,19 63:2,16 64:2,10,14,18 65:4,8,12 65:20 66:3,8,16,20,24 67:3,8,15,20 68:6,13,18 68:23 69:8,16,20 70:9 70:15 71:7,21 72:1,7,12 72:16,24 73:16,25 74:9 74:13,18 75:11,18,22 76:2,15,19 77:2,15,19 77:24 78:6,21,25 79:5 79:12,25 80:19,25 81:5 81:9,20 82:5,11,23 83:2 83:10,17,21 84:10,15,24 85:8,14,18,23 86:7,21 87:1,9,13,18 88:2,17,25 89:5,15,25 90:7,18,25 91:9,24 92:4,9,15 93:1 93:14,19 94:1,20,24 95:13,19 96:7,13,21 97:15,19,24 98:10,19 99:2,9,13,17,23 100:5 100:13,18,24 101:6,12 101:17 102:1,9,13,21 103:8,14,24 104:10,14 104:18 105:2,7,11,17,25 106:5,15,22 107:2,6,13 107:21 108:1,6,15,22 109:2,10,16,23 110:2,6 110:10,18 111:7,15,20 111:24 112:4,10,18,24 113:5,15,23 114:8,14 115:12,21 116:5,11 117:6 117:16 118:3,7,14,19 119:3,15,25 120:6,21 121:9,20 122:6,12,23 123:4,12,19 124:2,11 125:7,16 126:6,10,18 127:2,14,20 128:2,14,20</p>
---	---	---	--	--

Inquiry on Hormone Receptor Testing

<p>129:1,6,11 130:6,13,20 131:4,13,17,24 132:9,13 132:18,23 133:8,14,19 134:3,12,16,22 135:4,18 135:23 136:2,8,14,20,25 137:12,19,24 138:4,11 138:23 139:4,11,21 140:2 140:8,13,19,23 141:3,8 141:12,16,20,25 142:5 142:15,19 143:2,20 144:2 144:9,13 145:1,14,19 146:1,5,10,23 147:5,12 147:16,24 148:14,20 150:13,21 151:3,12,19 152:7,14,20 153:6,11 154:2,9,14,23 155:4 156:3,11,17,25 157:11 158:3,11,18,22 159:5,9 159:14,19 160:4,10,15 160:22 161:3,7,15,19,25 162:4,9,15,20,25 163:7 163:14,19,24 164:4,9,15 164:22 165:4,13,18 166:1 166:7,12,17,23 167:5,12 167:19 168:2,7,21 169:2 169:8,18,23 170:4,12,19 171:5,10,16,23 172:5 173:18,23 174:3,10,18 174:22 175:2,9,14,20,25 176:4,8,19,25 178:7,11 178:16,22 179:2 180:1,7 180:12 181:2,8 182:2,9 182:20,24 183:10,16,22 184:2,19,25 185:6,14,19 186:4,12,24 187:10,14 187:22 188:2,17,24 189:4 189:15,23 190:3,12,18 190:25 191:5 192:1,9,14 192:19,24 193:10,21 194:2,9,13 195:5,19 196:7,23 197:12,16 198:9 198:14,22 199:3,9,25 200:13,20,24 201:3,8,12 201:21,25 202:6,10,17 202:23 203:18,25 204:8 204:13,24 205:5,14,24 206:3,11,17,25 207:4 208:4,9 209:5,13,17 210:9,13,19 212:11,22 213:3,9,17,22 214:4,14 214:22 215:1,8,13,18,24 216:4,10,24 217:5,11,16 217:20 218:2,7 219:9,18 219:25 220:7,12,20 221:7 221:13,17 222:3,12 223:5 223:12,18,22 224:5,10 224:16,25 225:24 226:3 226:10,17,22 227:2,9,20 228:6,21 229:1,9,14,19 229:25 230:9,13,18,25 231:6,10,18,24 232:3,18 232:22 233:6,14,20,25 234:8,13,21 235:11,21 236:2,7,17 237:1,15,20 237:25 238:11,17,22 239:23 240:4,13,19 241:7 241:11,20 242:1,5,9,16 242:24 243:5,10,24 244:9 244:13,19,24 245:14,19 246:5,13,20,24 247:6,12 247:19 249:17 250:4,8 250:12 251:8 252:1,7,14 252:20,24 253:19 254:7</p>	<p>254:13,19,23 255:2,7,12 255:23 256:6,22 257:2,7 257:15 258:1,6,11,15,21 259:12,17,21 260:1,13 260:19,24 261:4,9,17,23 262:3,7,12,19,23 263:2 263:11,19,25 264:8,13 264:19,23 265:4 266:7 267:5,11,20 268:10,14 268:18,22 269:1,5,9,18 270:3,9,13 286:8,19,24 287:3,10,18,22 289:7 290:6,13 291:16,25 292:5 292:13,23 293:2,6,11,21 294:1,7,12,17 295:10,14 296:1,24 297:3,12,19,24 298:14 299:18,23 300:5 300:13,19,25 301:6,14 301:23 302:4,10,16 303:4 303:8,14,22 304:2,7 305:9,24 306:3,10,15,25 308:5,10,15,20,25 309:5 309:11,16,22 310:2,19 311:5,11,25 312:8,23 314:9 315:9 317:23 318:2 318:8,15 319:17,23 320:2 320:7,12,18 321:7,12,22 322:4,9,13,20 323:3,7 323:14,21 324:2,7,12,19 324:24 325:9,15 326:1 326:16,23 327:3,15 328:17,25 329:4,20 330:1 330:5,10,14 331:14 332:1 332:8,12,21 333:1,7,14 333:20 334:2,14,22 335:2 335:8,18,23 336:10,21 337:1,11,18,22 338:6,11 338:22 339:5,13,18,25 340:14 341:4,10,22 342:1 342:14,19,23 343:4,17 344:2,8,17 345:6,20 346:9,22 347:4,18,23 348:5,12,19,23 349:3,15 350:3,12,21 351:1,11,16 351:21 352:8,14,19 353:1 353:20 354:5,14,21 355:1 355:6,11,15,23 356:7 357:12 358:2,6,10,18 359:2,14,21 360:2,8,19 361:3,8,13,23 362:6,11 362:18 363:1,6,17,21 364:2,7,17 365:3,16,22 366:9,17,22 367:7,17 368:3,16 369:2,9,18,25 370:6,15 371:7,16,21 372:2,9,16,23 373:9,22 374:6,16,20,24 375:4,10 375:16 376:16,23 377:11 378:5,20 379:21 380:8 380:21 381:5 382:5,21 383:1,22 384:6,13,24 385:11,15,20 386:3,7,11 386:17 387:15 388:6,17 388:24 389:9,17,22 391:5 391:11,19 392:2,8,23 393:6,19</p> <p>QA [1] 243:7 QC [1] 243:6 quadrants [4] 37:15,17 37:19,19 qualification [1] 84:1 qualifying [1] 115:5</p>	<p>quality [14] 41:3 50:14 57:18 58:8 170:1 201:24 202:7 219:2 255:19 256:4 280:15,17,25 281:2 quantity [1] 147:11 quarter [4] 180:6,8 301:12 302:9 questions [23] 77:10 130:22 152:2 183:5 185:2 193:1 210:2 219:10,14 219:16 263:13 269:10,15 273:5,13 281:6,15 307:8 310:11 346:11 350:15 380:24 382:12 quick [2] 299:10 347:14 quickly [1] 304:10 quite [1] 340:12 quotas [1] 330:9 quote [1] 358:14</p> <hr/> <p style="text-align: center;">-R-</p> <p>r [4] 18:15,15,15 180:17 radiation [12] 292:20 294:24 295:1,1 307:20 313:11 316:4 319:1,4 320:11 324:16 360:13 radical [1] 36:22 Radio [1] 386:2 radiologist [2] 374:15 374:17 radiologists [3] 307:21 373:4,18 radiology [3] 8:4 310:12 311:18 raise [3] 51:2 264:14,22 raised [3] 70:23 264:14 264:17 ramifications [1] 131:19 random [5] 244:12,14 244:14,17 278:19 rare [2] 116:7 335:11 rate [1] 326:19 rather [2] 24:2 217:6 re [2] 164:25 232:7 re-cut [1] 247:11 reached [2] 292:12,24 reaching [1] 350:17 reaction [6] 70:17,19 112:11 130:21,24 147:13 read [11] 67:14,17,23 79:21 85:10 87:3 115:13 123:11 124:22 138:12 285:23 reading [3] 123:2 138:12 283:25 reads [1] 209:19 ready [2] 40:9 148:7 reality [1] 180:10 realize [2] 210:23 338:12 really [16] 14:20 18:9 50:13 71:2 115:19 129:7 197:21 218:21 250:1 279:5 313:15 337:21</p>	<p>347:8 357:19 358:24 365:13 reason [11] 52:1 78:11 150:6 188:25 189:3 275:6 275:17 301:21 340:25 364:22 387:21 reasonable [2] 316:18 316:21 reassessment [3] 164:18 165:1 224:19 receive [19] 91:21 108:23 112:20 124:4 157:7 191:17 192:10 194:4 200:9 203:1,5 207:1,11 232:19 238:2 239:7 278:15 317:3 357:15 received [41] 18:19 30:6 36:19 66:10 67:13 68:4 98:25 104:5 105:3,22 106:9 109:6 112:11 120:9 126:5 127:6 137:21 139:14 142:6 160:1 163:13 198:2 199:8 206:14 207:5 208:1,25 210:10 212:16 231:5 233:15 234:3 235:7 237:24 240:8 245:11 250:25 263:5 317:9 340:20 377:14 receives [1] 314:20 receiving [9] 61:20 108:25 109:7 112:7 120:12 152:4 207:14 213:1 289:12 recent [8] 69:22 124:6 177:17 232:14 238:18 275:24 290:17 342:11 recently [17] 30:14 54:17 54:19 57:16 114:9 124:9 190:23 237:10,13 243:17 268:19 289:25 290:19 296:5,15 352:2 380:13 receptive [1] 338:1 receptor [9] 1:2 64:25 85:2 151:22 152:10 335:13 337:9 391:22 394:4 receptors [10] 65:24 85:10 157:7,14 337:16 375:24 376:9 378:7,8,17 receptors-positive [1] 257:21 receptors-positive- [1] 257:22 RECESS [1] 286:21 recipient [1] 315:14 recognition [6] 50:17 313:18 314:7,11 318:11 319:15 recognize [4] 50:19 52:13 303:17,18 recognized [4] 293:16 305:5,15,18 recollect [5] 101:4 113:21 161:12 182:8 213:1 recollection [28] 7:15 16:1 34:13 53:4 86:6</p>	<p>95:24 96:11,12 134:6 165:3 166:21 183:20 184:24 206:16 214:17 219:5 230:17 235:1 260:23 333:2 354:7 365:18 371:13 376:13,19 377:8 378:1,16 recommendations [5] 189:13 190:10,11 282:24 377:23 recommended [1] 9:4 record [5] 93:20 94:10 94:12 305:19 392:25 recorded [3] 30:13 44:18 276:9 records [6] 41:17 44:12 44:14 136:13 263:5 305:21 recounted [3] 323:2 324:5,11 recruit [1] 325:1 recruited [1] 9:9 recruitment [2] 20:23 177:13 rectified [5] 119:20 120:20 121:17 122:4 386:21 recurrence [1] 322:22 red [4] 70:23 87:20 115:16,19 redacted [1] 220:16 redone [1] 104:4 redoubled [1] 38:15 reduction [1] 241:2 reexamination [1] 165:1 refer [6] 66:21 113:24 138:25 196:8 232:11 337:15 reference [23] 64:24 69:9,22 80:1 84:12 92:17 98:12 128:4 167:21 169:24 188:11 198:1 211:19 214:20 220:21 222:21 223:25 225:14 272:18 375:18,19 376:25 377:12 referenced [2] 187:8 219:3 references [8] 33:19 66:9 98:13 138:18 219:20 219:21,22 235:12 referencing [2] 222:8 284:7 referral [2] 194:22 211:2 referred [17] 48:19 63:19 91:15,17 92:1 108:2 130:3 167:24 210:15,25 211:1 263:13 290:23 321:3 325:19 387:17 389:3 referring [5] 65:9 151:4 277:1 279:24 286:3 refers [10] 74:20 81:17 82:14 114:16 115:22 117:8 182:14 233:16</p>
--	--	---	--	--

272:19 388:19 reflect [1] 380:10 reflected [1] 152:18 reflecting [3] 117:4 120:7 134:8 reflective [1] 352:13 refrain [1] 121:15 regard [11] 9:18 151:5 154:15 155:5 159:20 176:21 187:16 196:24 242:6 245:1 296:6 regarding [5] 191:11,15 207:6 216:17 222:20 regardless [2] 359:3,4 regards [2] 231:23 316:19 region [4] 25:12 68:3 202:15 278:3 regional [13] 1:10,17 173:4,9,14,15 178:4 182:15 194:20,21 195:1 195:8 196:20 regions [2] 70:22 326:18 registered [1] 381:8 Registrar [6] 62:11 197:18 227:12 236:20 287:4 288:2 registry [9] 273:16,17 273:21 274:1 275:2,5,10 391:16 393:13 regular [11] 71:13,13 114:1,3 119:21 248:8 309:25 310:22 349:18 350:6 386:23 regularly [1] 311:1 reinstigate [3] 228:2,11 229:5 reinterpreted [1] 272:20 related [10] 10:18 19:3 20:24 60:13 187:20 246:17,21 266:22 295:21 386:22 relates [2] 224:2 225:14 relating [4] 53:15 196:2 238:8 257:11 relation [19] 48:22 71:18 78:14 79:13 81:22 82:8 102:15 117:7 120:1 150:14 198:16 216:19 223:19 226:11 234:25 238:12 243:13 261:11 278:11 relationship [3] 178:12 263:14 365:5 relatively [3] 34:19 93:16 116:7 relay [1] 84:1 relayed [4] 148:10 158:8 189:9 383:8 relaying [1] 161:12 reliability [2] 110:23 379:11 reliable [1] 115:11 reliance [1] 338:15	relied [1] 114:23 rely [5] 345:3 346:2 382:22,25 393:1 relying [2] 79:1,6 remain [1] 44:23 remained [10] 14:11 23:7 110:19 120:24,24 179:17 318:5 379:7 382:8 382:18 remaining [3] 51:20 119:17 294:6 remains [3] 112:3,5 196:13 remember [69] 92:13 101:23 103:22 106:14 108:25 109:6,7,9 120:11 120:12 121:4,10 123:23 123:25 145:7 159:17 166:15 183:14 184:4 188:6 200:10,12 216:15 218:22 219:15 231:16,22 249:9 289:25 297:8 310:24,25 311:3 312:7 338:21 339:3,11 341:3 341:20,25 342:4,8,12 349:21 351:8 355:5 356:5 356:6 357:7,20,24 360:7 362:4,17 365:2,21 366:6 366:14,19 367:2 368:11 373:17,20 381:4,7 384:11 386:15 390:10 392:20 remembered [1] 381:15 remembrance [1] 332:15 reminder [1] 151:21 removed [1] 222:23 reorder [2] 101:1,4 reordered [1] 101:23 reordering [1] 101:7 reorganization [10] 14:21 16:4 20:10 22:2 22:21 23:21 25:4,7,9 173:2 reorganized [4] 6:1,7 14:13 22:25 repeat [13] 104:22 105:1 135:16 137:9 139:6 221:1 222:15 223:3 224:19 341:21 343:10 368:7 384:22 repeated [4] 341:1 343:22 364:21,21 repeating [2] 104:9 351:3 repeats [1] 101:24 report [121] 9:3 12:12 13:20 14:1 18:6 62:6 73:22 74:23 78:1 83:12 83:14 84:2,18 87:5 98:7 103:19 144:8,10,15,17 155:22,25 162:11 164:1 167:14 177:4 178:17,19 178:19,23 188:21,22 189:10,13 200:25 203:5 203:7,11,12,22 204:18 208:15,17,19,22 211:7,8 211:11 212:4,5,6,8 213:18 214:21 220:14,15	221:19 222:2,11,19 230:24 241:18 244:6 245:25 246:9 247:2,7 251:14 256:10,12,16,24 257:3,9,11,19,20 261:14 262:4 263:9 271:2 272:5 274:16 275:1 276:14,18 276:22 293:23 294:13 295:3,5,15,22 298:12 339:8 343:8 345:4,19 346:2 361:15,19,21 362:1 362:2,24 363:3,8,13,23 364:3,10 367:9,14 368:19 368:21,22,25 371:5 380:19 382:1,2 reported [18] 12:14,25 13:15 24:1,2,14,16 80:13 121:24 122:20 175:16 176:21 222:17 224:12 235:1 243:18 249:6,10 reporting [34] 23:22 24:7 64:24 65:16 67:25 68:4 80:4,9,15 82:13 119:1 122:15,21 170:6 172:10 175:11 232:11 234:22 235:2,6,15 249:6 258:2 273:16 296:22 298:1,10 342:22 351:3 371:1 375:20,22 377:18 387:5 reports [77] 18:3 142:25 143:8 145:23 147:3 149:3 155:8 163:23 167:8 170:23 177:4 188:20 189:17,18,24 190:7,9,14 191:1,2 199:16 200:6,21 200:25 203:8 204:10,20 205:20 207:9,11,15 209:20,24 210:21,25 211:3,5,9,12,14,15,16 212:14,14 213:1,10 221:2 223:17 235:3,19 245:8 246:16,21 248:5,10,22 249:2 250:23 251:4,9,12 252:9 253:18 254:14 272:7 277:10 278:15 338:25 339:4 351:7,8,9 352:13 357:16,20,22 371:5 represent [1] 273:11 representative [5] 88:10 90:14 99:10 183:19 279:11 representatives [2] 196:16 315:23 represented [1] 373:3 reps [1] 331:8 request [21] 89:12 103:17 105:4 106:20 107:9 121:15 130:25 133:21 135:12 149:20 150:1 156:21 180:21 188:25 193:7 210:20 241:18 248:3 260:7,10 362:8 requested [10] 102:17 130:2 149:17 168:24 188:21 222:25 235:24 259:8,11 376:1 requesting [2] 127:11	157:21 requests [7] 104:2,7 119:22 120:18 167:13 377:14 386:24 require [10] 71:20,24 72:3,5 73:8 86:3 156:22 260:11 307:15 387:23 required [5] 74:4 149:15 278:6 305:2,12 requirements [6] 242:21 305:7 377:2,4,21 377:22 requisition [10] 18:6 44:19 79:19 89:10,10,17 90:20 312:17 377:3,22 rerun [6] 102:6,17,18 103:18 106:11,23 research [10] 81:21 113:7 149:16,18,19,21 150:2 289:15 296:21 304:13 research/presentations [1] 289:10 researched [1] 223:16 resections [3] 29:2,12 29:13 residency [17] 5:2,3 6:21 7:6,11 18:9,11 38:21 49:16 129:4 288:10 310:20,25 331:19 338:20 339:20 389:11 resident [3] 311:17,22 339:2 residents [11] 62:20,21 62:24 63:1 311:12,15,18 311:21 325:5 331:1,4 residual [5] 37:3,5,5,14 51:23 resignation [1] 315:21 resignations [1] 317:9 resolution [2] 316:16 317:14 resolve [2] 284:10 316:9 resolved [3] 317:17 318:4,10 resource [1] 304:25 respect [10] 51:2 154:24 155:16 196:9 230:12 260:15 297:23,25 338:18 347:7 respective [1] 207:10 respond [2] 198:4 338:1 responding [1] 137:6 response [4] 155:7 313:7 313:21 314:1 responsibilities [9] 5:25 174:6,15 175:4,8 177:3 313:19 314:12 317:7 responsibility [10] 13:7 15:11,13 18:4 22:14 173:12 175:16 177:2,9 255:16 responsible [23] 13:6 13:12 21:23 26:15 27:25 30:1 31:12 40:16 54:13 55:15,19 68:3 77:4	172:14,19,24 175:21 177:7,11,18 179:4 267:21 274:20 rest [3] 144:23 251:20 278:3 restricted [1] 228:14 resubmit [1] 130:5 result [10] 226:23 312:4 317:19 321:2 339:6 340:19,19 344:16 370:8 387:20 resulted [1] 364:24 results [37] 65:1 69:23 70:1 74:5,23 78:2 124:24 170:22 187:23 189:12 199:5,7,8 200:17 202:20 203:1 204:6 207:6,7 208:10 213:23 230:19 255:14,15,25 276:9 278:7 278:9 331:25 332:7 338:16 344:3,11 366:13 366:25 387:8 393:9 resume [6] 119:18,21 128:3 239:25 241:5 386:23 retain [1] 144:22 retained [2] 51:24 144:25 retention [2] 20:23 177:13 retest [13] 136:10,12 147:10 168:10 202:19 204:5 213:23 255:25 339:9 341:13 344:20 345:12,24 retested [19] 134:10 135:2 149:24 183:7 185:3 185:25 198:19 203:20,21 205:21 214:11 249:14 254:10 259:2 271:10,21 272:1 275:13 382:14 retesting [33] 122:8 127:8,13 129:22,25 130:5 130:15 131:7,10 133:11 133:21 134:18 138:20 139:22 146:19 147:10,20 150:20 167:24 168:17 193:17 197:23,25 203:2 203:9 214:16 218:18 249:18,20,21 250:1,25 258:25 retesting' [1] 148:5 retests [3] 200:25 251:5 251:5 retrieve [3] 144:20 147:2 163:23 retrieved [1] 161:13 retroactivity [1] 316:11 retrospective [1] 289:23 retrospectively [1] 290:2 return [6] 26:20 33:18 33:25 36:4 52:3 199:5 returned [5] 18:6 32:16 91:7 92:22 190:20 review [31] 26:12 70:3 88:7 157:13 167:8 170:1
--	--	--	--	---

<p>170:21 183:3,5 185:15 185:17 187:7,19 188:11 205:1 206:6 207:7 232:14 244:5,7 245:11,21 246:2 248:24 316:22 352:20 369:6 370:3,17 379:17 383:3 reviewed [11] 221:3 234:2,5 237:11 243:19 249:3,4 272:8,11 381:1 382:13 reviewing [7] 77:4 205:10 243:21 245:9 246:1,14 279:21 reviews [3] 188:10 219:3 276:9 revised [1] 290:18 revisit [1] 25:14 revisiting [1] 30:23 Reza [2] 248:6,19 right [57] 1:8 38:14 46:16 49:11 61:7 66:25 86:25 93:7 108:12 116:23 126:9 142:23 206:22 215:10 220:10 227:1 239:22 247:5 250:7 253:14 268:7 272:18 289:6 292:4 294:14 298:6 300:22 302:13,20 308:19,24 309:21 311:9 318:7 320:6 322:8,12 325:7 328:6 330:13 348:22 349:2 351:20 352:18,25 354:25 363:12 364:6,12 370:14 381:22 384:18 385:14,19 386:10 389:12 393:23 right-hand [1] 207:20 Ritter [1] 313:6 road [2] 167:9,10 Robb [1] 124:15 Robert [1] 183:4 Robin [1] 289:19 role [6] 177:7 178:5,8 331:24 383:2,23 Rolf [1] 1:8 room [5] 27:4,21 31:18 328:24 329:10 rooms [2] 347:1,2 Rorke [4] 299:6 300:9 348:17 369:22 rotating [1] 4:25 round [6] 307:5,6,22 330:20,22 331:5 rounds [24] 60:3,7,8,10 266:10 267:3 305:13,17 305:17 306:4,5,8 307:2 307:11,23 308:3,7 312:1 312:3 346:15 350:5 356:1 356:3 362:14 route [2] 120:16 217:7 routine [8] 19:22 41:18 41:22,23 45:25 70:21 71:5,13 routinely [2] 57:8 305:1 Royal [7] 242:20 288:24 303:16,18 304:17 305:3</p>	<p>305:15 rule [1] 44:21 run [3] 39:22 40:6 77:3 running [2] 69:25 106:25 rural [6] 6:8 172:15 177:11 179:11 196:4,15 RW [1] 183:3 <hr/>-S-<hr/>s [1] 18:15 S-I [1] 287:8 safe [1] 78:22 salaried [1] 179:25 salary [1] 319:9 Saltman [6] 294:5 295:7 296:14 297:8,21 327:18 sample [11] 17:6,9,10,11 32:12 88:10 99:10 224:2 271:8,9 279:12 samples [16] 27:7,9 39:3 39:15 51:5,7 52:4 93:8 120:14 127:8 129:24 131:1 167:10 230:7 240:10 254:9 Sandra [3] 1:7 2:8 287:2 sat [3] 11:10 12:3 372:19 satisfied [1] 79:8 saw [16] 52:18 83:4,5 117:23,23 123:25 128:9 188:20 222:18 299:3 343:7 350:10 370:9 385:8 390:22 392:17 says [21] 68:25 69:22 76:3 110:12,21 123:5 138:24 139:6,22 153:13 157:18 160:5 162:22 182:14 191:12,20 220:22 242:19 257:20 272:7 372:6 scans [1] 310:14 schedule [1] 291:10 scheduled [2] 216:17 219:15 schedules [3] 291:4 348:11 381:19 school [3] 5:10 62:14,16 Science [8] 4:24 17:21 56:12 78:18 109:20 217:3 217:14 375:15 Sciences [7] 7:14 42:22 79:4 91:16 124:19 282:8 379:2 scope [4] 147:10,20 177:8 253:7 score [2] 33:6 34:16 scored [3] 32:14 34:11 36:10 scoring [3] 32:21 34:21 45:25 scratched [1] 262:13 screen [4] 108:12,18 212:7 231:25 se [1] 371:12 search [5] 166:3,3,25</p>	<p>258:25 270:6 searches [1] 200:15 searching [1] 143:1 seated [2] 128:1 216:3 second [25] 69:9,21 87:24 108:25 137:16 148:9 153:12 160:5 166:8 168:16 170:13 192:10 197:21 222:8 225:10 253:6 256:18 262:15 289:9 368:19,20 369:16 369:19 376:24 385:1 secretaries [1] 310:13 secretary [16] 144:1 181:25 204:16 205:18,19 205:20 212:1 233:10 244:18,20 248:8,9 274:25 278:20 310:9 375:6 section [14] 10:5 13:19 38:1 47:5 66:9 89:24 90:14,14 97:3 142:12 151:23 219:7 264:4 279:1 sectioning [3] 38:4 46:24 47:5 sections [15] 10:2 36:2 37:6,7,8,11,14,22 38:6 47:7 52:21,22 114:17 173:16 178:5 see [48] 37:5,13 41:7 48:8 48:12 50:24 52:22 70:23 80:20 87:20 95:7 99:19 99:25 124:6 137:9 151:16 164:6 191:12 199:21,24 227:16,21 232:4 235:3 247:2 290:4 292:21 293:3 293:12 299:16 301:25 302:17 316:18 318:25 319:19 322:24 324:10 342:6 343:10 345:11 346:20 348:8 362:1 363:12 370:1 374:10 383:23 385:5 seeing [17] 117:25 123:23 205:11 302:11,21,24 303:10 320:16,17 321:10 342:10 345:14 367:8 386:15 390:14,21 392:13 seek [1] 81:16 seem [2] 339:7 367:9 sees [1] 322:16 segment [2] 36:16 95:8 select [3] 144:18 151:23 245:24 selected [6] 69:24 96:1,2 96:4 158:10 173:9 selecting [2] 241:16 278:19 selection [4] 152:10 243:16,18 279:5 seminars [1] 266:21 send [49] 41:12 73:9,21 76:24 78:9 86:19 88:14 88:20 89:12 90:19 107:10 120:14 131:2,6 141:17 147:4 148:7 149:13,15 149:18 151:21 153:14,16 168:19,25 181:23 198:3 199:15 200:16 228:20</p>	<p>230:7 240:10 244:3,4 246:1 247:9,10 253:23 260:8,12 266:1,5 275:2 324:15 378:13 382:4 383:18,20 384:19 sender [1] 315:15 sending [15] 88:21 121:16 153:16 155:12,14 200:6 209:22 211:3 240:23 241:16,17 253:24 253:25 380:18 382:1 senior [7] 13:18 24:20 40:25 41:1 45:17 217:24 233:1 sense [14] 129:14 145:4 150:16 185:10,11 189:16 194:16 214:16 230:14 256:8 263:22 327:4 354:6 356:19 sensitive [1] 285:25 sensitivity [2] 110:23 379:11 sent [61] 16:22 18:3 42:2 73:4 79:19 86:15,18 90:2 94:11,13 97:2 106:25 107:3,5,9 111:13 120:19 127:12 140:25 145:25 146:6,16 149:16,20 150:25 153:5,18 154:12 156:23 159:2,11 162:5 164:24 181:20 197:25 198:8 199:2 203:13 204:20,22 228:25 233:9 234:17 249:16,20,25 250:23,24 251:16 254:5 259:7,9,10 310:10 320:25 321:19 355:3 361:18 377:19 378:11 380:20 sentence [1] 222:16 separate [6] 92:23 143:17 214:6,8 239:16 296:16 separating [1] 143:19 September [23] 1:4 4:1 8:24 150:11 156:6 157:4 159:21 163:8,11 165:5 180:19 182:4 187:11 189:20 195:9,10 196:2 247:25 249:1 259:6 261:8 394:5,12 sequence [3] 169:13,14 169:22 series [3] 191:7 192:2 194:23 serious [1] 381:9 service [11] 15:20 41:12 67:24 240:9 265:24 296:11 297:25 322:10 341:7 391:14 393:8 services [28] 6:8 8:6 15:15,17 20:22,25 63:5 67:25 126:3 172:12,15 172:20 176:7,9,11,12 176:14 177:8,9,12 179:3 180:19 197:4 295:21 313:4 314:21 315:6 sessions [1] 312:19 set [9] 39:20 41:22 87:23</p>	<p>91:13 93:11 94:18 197:6 318:23 375:18 sets [1] 169:3 setting [4] 28:10 156:12 314:24 334:1 seven [18] 25:7 117:8 119:7 180:20 195:20 300:18 320:19,20 321:4 326:4 328:15,16 329:24 329:25 332:19 366:2 388:19 390:24 seventh [1] 330:8 several [21] 17:13 70:2 124:22 129:21 150:1,25 222:23 237:9 291:12,14 291:15 304:16 310:25 311:3 316:14 321:4 344:25 346:21 371:4 387:3,6 shall [1] 379:10 share [2] 81:14 347:2 Sharon [2] 295:8 298:13 shift [1] 354:16 shifted [1] 302:25 ship [1] 17:14 shipment [1] 62:2 shipped [1] 198:2 short [3] 120:13 236:14 281:21 shortly [4] 107:15 172:13 300:3 309:10 show [2] 124:14 386:1 showed [2] 220:10 385:16 shown [7] 69:25 124:9 220:4,4 223:10 283:19 387:11 shred [1] 211:15 shuffle [1] 300:12 Siddiqui [292] 2:7 287:1 287:2,7,8,19,20,23 288:7 289:17 290:11,16 291:22 292:3,9,15,25 293:4,8 293:19,24 294:3,10,15 294:21 295:12,17 296:12 297:1,7,14,22 298:3,17 299:21 300:2,7,17,23 301:3,9,16 302:2,8,14 302:19 303:6,12,20,24 304:4,15 305:14 306:1,7 306:13,19 307:4 308:8 308:13,18,23 309:3,7,14 309:20,24 310:8,23 311:8 311:14 312:6,14 313:25 315:7 316:5 317:12,16 317:20,25 318:6,13,22 319:21,25 320:5,10,14 320:22 321:9,16 322:2,7 322:11,15,23 323:5,9,16 323:24 324:4,9,14,22 325:3,11,24 326:3,21 327:1,11,17 328:20 329:2 329:6,23 330:3,7,12,18 331:23 332:5,10,14,23 333:5,11,16,24 334:6,18 334:24 335:4,14,21 336:2 336:14,23 337:7,14,20 338:3,9,19,24 339:10,16</p>
--	---	---	---	---

<p>339:21 340:6 341:2,8,15 341:24 342:3,16,21,25 343:6,23 344:5,13,22 345:13 346:1,18,24 347:12,20 348:2,7,14,21 349:1,10,20 350:7,19,24 351:5,14,19,25 352:11 352:17,24 353:4,25 354:10,18,24 355:4,9,19 356:4,12,16,23 357:6,18 358:4,8,13,20,23 359:9 359:19,23 360:6,15 361:1 361:6,11,20,25 362:9,16 362:22 363:4,11,19,24 364:5,11 365:1,10,20,25 366:15,20 367:1,12,19 368:9,18 369:7,15,21 370:4,13,20 371:11,19 371:23 372:5,14,21,25 373:15,24 374:14,18,22 375:1,8,12 376:11,21 377:9 378:3,18 379:18 380:2,15 381:3,14 382:15 382:24 383:12 384:2,10 384:17 385:7,13,18,24 386:5,9,14 387:13 388:2 388:12,22 389:7,13 390:6 391:9,15,25 392:6,12 393:3,12</p> <p>side [6] 144:19 172:25 173:1 207:20 348:15,22</p> <p>sign [1] 204:19</p> <p>signature [4] 18:2 79:23 135:15 156:22</p> <p>signed [3] 135:15 210:3 251:15</p> <p>significance [5] 71:1 96:14 178:2 333:9 372:17</p> <p>significant [6] 88:11 119:11 149:21 246:10 320:21 324:20</p> <p>signing [2] 212:5,8</p> <p>similar [16] 32:18 90:16 133:9,10 147:2 149:3 155:17,20 235:6 237:12 241:15 243:13 251:19 294:25 336:20 379:20</p> <p>similarly [1] 322:17</p> <p>Simmons [17] 1:10 2:4 256:15 269:17,19 270:22 270:23,24 271:6,15,20 272:2,6,10,13,17,25</p> <p>simple [3] 36:20 104:25 137:11</p> <p>simply [1] 85:10</p> <p>Sinai [52] 107:5,9,10 134:10 153:15,18,19 154:10,13,22,25 155:13 155:20 156:5,14 167:24 170:14,22 199:16,17 203:9 207:6,7 208:6,10 208:14,23 209:20,25 210:22 211:4,8,10 212:2 212:5,15 213:23 218:19 221:1 222:15,19 223:4 225:21 228:20 229:16 230:8 240:24 241:5,13 251:3 271:11,22</p> <p>Sinai's [1] 155:22</p>	<p>single [5] 237:9 299:15 355:20 390:15 391:1</p> <p>singly [1] 237:7</p> <p>sit [4] 66:25 295:18 349:17 383:4</p> <p>site [20] 15:8 17:6 37:2,9 73:5 124:18 144:22,25 157:25 177:23 183:2 240:11 298:25 299:1,9 299:12,19,20,22 323:8</p> <p>sites [6] 177:12 207:11 279:2,3 282:5 300:21</p> <p>sits [1] 282:23</p> <p>situ [1] 234:22</p> <p>situation [11] 53:13 104:23 160:16 193:6 243:13 266:18 276:20 344:7,9 358:19 384:7</p> <p>situations [1] 135:11</p> <p>six [13] 8:21 97:6 110:25 111:2 123:5 125:17 315:11 317:16 332:18 347:1 378:9 379:14 392:21</p> <p>size [3] 7:24 33:9 261:19</p> <p>skin [2] 36:11,16</p> <p>slices [1] 33:4</p> <p>slicing [1] 33:14</p> <p>slide [17] 48:3 52:14,17 52:18 86:17,17 88:11 90:17 91:18,23 95:5 167:15 265:20 272:21,22 280:16 285:8</p> <p>slides [85] 19:22 26:12 46:25 47:8 48:10,12 50:1 50:14,17 73:21 76:5,24 77:6 78:12,13 79:3,7,13 79:21 86:14 88:8 90:12 90:13 91:6,13,21,25 92:5 92:7,11,17,18,22 93:3,4 93:5,10,11,13,22,23 94:13,16,18,21 95:1,10 99:18 101:19,24 141:22 142:1,13 144:20,21,22 145:22,24 147:2,3 149:9 149:18 167:14 243:16,17 244:3,4 245:11,20,23,24 246:14,17,25 247:11 250:22 257:10 272:12,14 275:25 279:21 280:3 281:2 284:16 310:17</p> <p>Slight [1] 328:21</p> <p>slightly [2] 319:5 329:15</p> <p>slip [1] 265:20</p> <p>slot [1] 92:24</p> <p>slotted [1] 92:24</p> <p>slowly [1] 302:25</p> <p>small [7] 15:20 60:6 116:23 117:5 140:7 239:3 283:11</p> <p>smaller [2] 29:3 35:25</p> <p>Smith [6] 191:9,19 192:2 295:9,16,25</p> <p>so-called [1] 235:2</p> <p>Society [2] 1:15 273:12</p> <p>sole [5] 9:17 21:2 59:8</p>	<p>264:25 267:1</p> <p>solely [2] 13:5 19:20</p> <p>solo [1] 45:23</p> <p>solution [3] 110:24 378:10 379:13</p> <p>solve [2] 110:22 379:10</p> <p>someone [4] 262:13 321:23 347:8,9</p> <p>sometime [6] 148:17,19 203:3 297:10 353:23 363:8</p> <p>sometimes [13] 46:13 135:13 180:11 211:8 238:9 267:2 307:19 344:23 353:11,13 363:25 366:16,16</p> <p>somewhat [1] 178:5</p> <p>somewhere [13] 55:4 209:14 228:13 266:6 297:17 302:3 328:12 343:2,16 354:3,13,13 389:15</p> <p>soon [6] 119:18 131:1 145:10 147:4 150:18,20</p> <p>sorry [23] 4:24 11:22 66:5 71:22 80:12 81:12 103:1 113:17 114:2 147:1 153:17 168:10 175:1 236:21 244:21 248:3 270:14 296:16 303:25 308:21 330:15 351:3 374:21</p> <p>sort [20] 19:15 59:17 103:17 105:4 116:1 121:4 133:10 177:15 204:1 257:3 282:18 285:12 302:23 331:7,10 352:4,5 357:3 359:25 367:23</p> <p>sorts [2] 159:10 171:2</p> <p>sound [1] 394:10</p> <p>sounds [1] 381:9</p> <p>space [1] 91:3</p> <p>spaces [1] 347:1</p> <p>speak [2] 100:8 347:8</p> <p>speaker [1] 218:13</p> <p>speakers [2] 60:13 266:25</p> <p>speaking [1] 315:19</p> <p>special [5] 19:22 71:6 73:11 289:20 307:15</p> <p>specialists [2] 179:24 196:18</p> <p>specialities [1] 298:24</p> <p>specialize [1] 300:21</p> <p>specialized [1] 73:13</p> <p>specialties [1] 8:6</p> <p>specific [14] 30:7 42:9 44:25 130:11,24 146:8 159:17 173:6 183:4 186:10 188:6 279:6 283:3 299:10</p> <p>specifically [6] 37:20 41:21 60:6 222:25 345:19 384:14</p> <p>specificity [2] 110:23</p>	<p>379:11</p> <p>specified [2] 123:17 304:20</p> <p>specify [3] 90:1 133:3 138:24</p> <p>specimen [52] 17:8 27:16 28:3 29:25 30:10,11,12 31:20,23 32:1,1,3,11,15 33:3,8,10,18 34:10,14 34:19 35:13 36:8,14,17 38:12 43:25 44:15 51:9 51:14,23 52:6,24 79:20 80:16 83:25 86:13,15,18 88:8 93:13 94:13 98:8 123:25 220:23 222:21 224:6 226:18 259:8 368:10 377:2,21</p> <p>specimens [43] 27:15 28:9,12,21 29:3,11,18 30:4,6 31:16 34:25 35:2 35:13,18,25 36:5 43:2 44:22 47:3 69:24 73:4 93:10,12 94:7,11,19 127:12 130:3 133:4,11 143:10 146:16 147:11 149:14 186:19 197:24 198:2 225:6 232:12 275:12 375:20,22 377:13</p> <p>spectrum [1] 284:21</p> <p>spell [1] 287:5</p> <p>spending [1] 311:22</p> <p>spent [3] 44:16 292:7 339:23</p> <p>spoke [7] 153:13 199:15 207:21 218:15,21,22,23</p> <p>spoken [1] 110:3</p> <p>sporadic [2] 267:17 268:2</p> <p>spot [2] 212:10 389:21</p> <p>spread [1] 303:3</p> <p>spreadsheets [1] 207:8</p> <p>spring [3] 18:25 205:9 278:4</p> <p>spur [1] 267:25</p> <p>St [105] 5:7 10:22,24 16:22 17:15 42:2 54:11 60:15 62:2 63:14 64:7 64:21 69:11,17 70:20 72:19 73:10,20 77:16 78:2 86:20 88:15 89:22 90:20 93:4 94:13 105:13 121:2 125:21 127:12 128:16 129:24 130:1 131:6,6 134:13,19 149:17 149:19,22 152:25 153:16 153:21 155:14,17 159:2 159:11,23 162:6,11 164:24 165:25 169:17,25 170:14 171:19 182:25 187:1,20 189:10 190:20 193:6,16 197:20 201:19 205:18 214:9 217:2,7 228:2,12,15,15 229:6 232:9 240:1,9,11 243:14 243:20 248:18 251:18 255:19 256:5 260:7,9 265:24 266:10 267:14 272:1 278:1 290:25 298:7 301:22 327:8 349:4</p>	<p>373:19 374:19,23,25 375:13 378:11 379:2 394:7,11</p> <p>staff [28] 5:13,17 6:9 9:1 9:12 11:1,9,22 113:16 113:17 142:11 160:18 162:16 163:3 166:13,18 194:20,22 195:8,12,14 196:3 197:7,9 198:5,7 320:20 377:20</p> <p>stage [4] 46:22 49:7 148:12 333:21</p> <p>stages [3] 104:5 144:5 148:5</p> <p>staging [1] 367:21</p> <p>stain [12] 47:14 50:23 52:18 70:19,21 71:3,4,6 74:15 76:13,14 97:1</p> <p>stained [13] 48:1,3,4 49:22 76:12 79:9 86:14 86:17 91:22,22,25 95:5 100:2</p> <p>staining [47] 46:25 47:9 47:13 49:24 52:23 65:23 74:24 75:1,2,7,9,12,19 75:21,23 77:7 80:5 82:8 83:7,11 95:17,22,24 96:8 96:15,19 97:8 99:20,24 101:8,25 115:6 119:2 123:6,14,21,24 124:1 125:12 130:4 138:22 152:2 284:4,16 379:9 387:9,10</p> <p>stains [29] 19:23 71:10 71:10,14,16,19 72:5,19 73:13,24 75:5,8 82:3 88:16 89:21 110:13 111:2 111:17 115:5 119:22 120:3,7 125:3 128:11 379:5,22 380:14 383:9 386:24</p> <p>stamped [1] 313:1</p> <p>stand [1] 107:12</p> <p>standalone [3] 5:16 7:23 12:8</p> <p>standard [11] 23:3 37:7 50:12 54:3 89:8 156:20 167:18 208:13 236:11 352:6,10</p> <p>standardized [3] 371:1 375:19,22</p> <p>standards [1] 318:23</p> <p>stands [1] 236:4</p> <p>start [8] 28:8 30:5 35:24 55:1 76:4 190:24 345:14 383:14</p> <p>started [20] 7:5 16:8 18:25 26:10 42:17,20 78:1 87:2 103:23 172:23 288:17 299:5 309:9 342:5 352:1,15 353:5,8 382:18 382:19</p> <p>starting [1] 38:14</p> <p>stat [1] 166:3</p> <p>state [7] 28:12,13 125:8 287:5 288:10,13,19</p> <p>statement [4] 387:17,25 388:4,8</p>
---	--	--	--	--

<p>stating [1] 377:20 statistic [3] 116:2,13,14 status [17] 69:2 74:3 103:20 132:8 137:10 157:23 158:5 170:20 194:10 198:17 203:10,10 230:2,4 241:21 254:14 257:12 stay [2] 34:20 305:7 stayed [1] 22:6 steadily [1] 321:6 steady [1] 320:24 steel [1] 39:17 step [1] 18:22 Stephen [1] 289:18 steps [1] 142:8 Steroid [1] 65:24 stick [1] 365:24 still [50] 5:24 6:5,9 21:2 21:10,13 22:7 42:19 56:20 64:15 77:4 96:18 112:3,5 114:23 125:11 159:1 175:7 177:6,11,16 177:18,22 179:17 196:15 196:18 197:2,8,10 213:1 238:18 241:25 268:5 298:12 299:16 317:11 320:21 321:10,25 323:23 323:25 333:3 337:25 338:7 340:11 344:18,19 345:22 359:11 376:8 stop [2] 110:22 379:10 stopping [1] 383:11 storage [1] 54:23 stores [1] 54:23 storing [1] 94:7 story [1] 193:24 straight [2] 326:9 330:4 strict [1] 118:24 strong [3] 95:25 96:3 115:2 structure [15] 14:4,9,11 14:22,24 16:8 195:12 196:3,20 197:8,9,11 340:9,13 371:4 structures [2] 23:22 298:2 study [6] 8:21 66:17,21 133:5 289:23 358:15 stuff [3] 29:3,13 304:22 style [2] 258:2 296:3 styled [1] 257:20 styrofoam [1] 17:14 subject [8] 157:6 197:22 315:14 341:6 356:2 362:13 365:7,18 submit [1] 305:23 submits [1] 306:17 submitted [3] 9:3 248:18 305:6 submitting [1] 207:10 subsequent [7] 97:13 114:21 154:18,19 167:4 167:8 271:16</p>	<p>subsequently [3] 82:6 192:25 233:11 subspecialist [1] 60:15 subspecializations [1] 299:16 subspecialized [1] 304:1 subspecialty [3] 298:16 303:17,18 successful [1] 314:16 such [17] 25:20 54:2 60:10,19 67:11 188:16 205:7 211:11 235:16 260:11,12 314:22 358:19 360:23 393:5,9,10 suggest [1] 384:23 suggested [5] 69:1 100:7 169:4 192:5 376:2 suggesting [3] 139:12 211:21 231:19 suggestion [2] 80:22 81:6 summarized [1] 313:9 summarizing [1] 287:24 summary [2] 231:2,5 summer [12] 117:3 148:25 172:22 173:7 185:20 186:19,25 278:4 299:7 309:18 355:25 361:9 superior [1] 181:15 superiors [1] 294:6 supervised [1] 63:22 supplied [2] 237:10,12 support [2] 314:22 316:12 suppose [9] 18:21 46:5 84:7 91:4 145:10 174:16 214:10 265:12 285:2 supposed [6] 308:9 318:24 327:19,21 328:2 374:4 surely [1] 125:20 surface [2] 36:12,15 surgeon [9] 61:10,10 84:3 103:17 203:14 204:21 208:21,21 251:16 surgeons [19] 27:11 43:4 60:11,25,25 63:24 133:22 191:16,21 192:16,23,25 193:12 307:21 364:1 373:4,5 375:9,11 surgery [9] 8:2,6 11:13 32:20 46:1 64:4 191:17 311:23 375:25 surgical [17] 9:2 26:11 29:18 60:10 62:24 90:5 91:14,19 135:14 220:23 245:24 369:6 370:3,16 377:13 379:17 383:3 Susan [1] 182:25 suspect [1] 37:4 suspend [2] 121:3 241:1 suspended [2] 153:25 240:17</p>	<p>switch [4] 286:17 357:1 357:5,14 switched [1] 353:19 SWORN [2] 2:2 4:7 synoptic [5] 235:2,6,8 235:14,19 system [34] 30:16 31:8 138:19 142:14,18,18,22 143:1 167:22 168:18 170:20,25 171:2 199:19 200:8 201:5 203:7 204:17 212:3 214:1,2 216:21 239:12 274:24 282:3,13 283:9 284:4 285:7,24 299:14 345:3,18 371:1 systems [5] 138:22,22 207:14 284:7,15</p> <hr/> <p style="text-align: center;">-T-</p> <p>t [7] 18:15,15,15,15 73:14 367:21,21 T3 [2] 367:22 368:14 T4 [2] 367:22 368:15 Table [2] 2:1 237:3 tail [4] 36:23 37:1 38:4,7 takes [1] 305:20 taking [12] 10:3,3 14:16 36:2 38:5 50:23 107:14 173:3 207:25 248:1 328:22 380:6 talks [3] 122:14 170:6 211:18 Tamoxifen [4] 132:8,12 289:13 290:3 Tampa [1] 288:19 target [1] 228:12 task [1] 248:14 tasked [3] 143:21 145:15 197:6 taught [8] 49:17 50:16 333:9 334:8,15 335:10 335:24 338:14 teachers [1] 334:8 teaching [7] 312:18,19 313:19 314:11 330:22 336:3 337:8 team [1] 295:19 teams [1] 291:12 tech [6] 13:25 14:2,2 41:2 41:2,4 technical [14] 138:17,21 170:24 239:3 280:3 284:3 284:9,12,24 285:12 286:3 378:10 381:18,19 technically [2] 76:7,20 technician [1] 289:20 technique [1] 286:1 techniques [1] 69:24 technologist [37] 13:18 13:19 31:15 35:5 36:3 39:9,11,11,14 40:10,20 40:25,25 41:22,25 42:1 44:4 45:4,13,18,21,21 46:20 51:3 54:16 55:17 55:20 88:13 90:8,10,19</p>	<p>120:16 143:25 144:19 263:15,21 264:6 technologists [8] 13:16 41:5 42:15 102:16 113:18 114:15 175:16 378:13 technology [1] 69:12 teleconference [1] 184:16 teleconferences [1] 184:17 teleconferencing [2] 266:19,21 Telemedicine [1] 266:21 telephone [5] 59:21 146:14 153:3 240:25 313:13 telling [3] 84:7 154:4 223:23 tells [1] 333:17 temporary [1] 45:10 ten [29] 83:6,11 91:4,6 115:24 116:12,21 138:9 155:18 249:24 250:18 252:10 253:18 255:25,25 259:5,15,18 261:5,7,8 269:23 302:12 357:14 360:10 377:1 387:9 388:15 390:19 tend [10] 26:13,16 28:25 29:4 36:5 97:5,9 117:9 118:2 389:5 tended [6] 33:6 35:4 114:22 138:17,20 284:1 tension [2] 296:10,10 term [6] 16:12 32:14 176:15 263:14 284:22 335:10 termed [2] 36:21 132:6 terminal [2] 273:23 274:23 terms [61] 24:18 26:5 29:24 31:22,25 33:3 35:17 41:16 42:25 60:24 70:17 78:7 87:3 88:4 99:4 144:3 146:11 173:19 185:15 198:18,21 212:12 212:23 214:15 229:3,20 236:8 252:8,11 255:24 273:15 285:4 291:20 292:6 296:22 298:1,9 303:15 317:13 318:16,23 326:17 333:9 334:16 335:10,24 336:12 338:14 338:17 345:8 347:24 350:4 360:4,9 375:17,18 376:24 377:12 379:25 389:24 391:6 Terry [12] 124:12 173:3 173:15 177:20 178:3 248:4,18 250:19,20,24 253:17,25 test [31] 17:24 57:18 68:8 78:19 103:18 104:9,22 105:1 107:18 135:16,17 171:2 225:15,16,20 257:10 271:17 279:25 332:3 333:10 339:9</p>	<p>340:20 341:1 361:16,17 362:8,15,19 364:15,21 368:7 tested [5] 56:19 57:13 168:11 271:9 380:14 testified [2] 102:16 256:16 testify [1] 62:13 testimony [2] 134:9 266:24 testing [34] 1:2,13 17:3 56:4 57:4 81:22 101:24 104:4 121:4 151:22,23 152:11 171:4 187:21 191:12,15 193:3 216:20 218:18 228:2,12 229:5 229:15 239:25 240:11,17 241:1,5 251:1 331:16,22 375:25 383:11 394:4 tests [19] 19:14 58:13 78:1 102:5 168:17 171:6 202:19 225:5 278:8,8 279:3 338:18 343:21 351:4 376:6 378:11 381:1 382:13 384:22 text [1] 113:14 textbook [3] 81:24 82:1 82:8 thank [35] 4:5,18 103:7 103:9 128:3 156:4 191:23 215:19,21,25 216:5 227:12,13 239:22 269:11 269:13 270:15,25 273:1 278:19 281:6,9,11,15 283:4,4 286:9,11,14 287:19 387:16 389:23 393:20,20,24 Thava [2] 373:20 375:13 themselves [2] 234:15 243:21 therapy [3] 132:15 289:13 387:22 there'd [1] 347:10 therefore [7] 110:20 120:25 125:22 209:21 379:7 382:8 386:23 Theresa [1] 375:5 they've [7] 219:1,2 238:13,15 242:12 254:18 382:6 thickness [2] 33:3,8 thin [1] 47:6 thinking [3] 116:15 186:16 337:23 thinks [1] 383:15 third [8] 47:23 48:11 87:24 167:13 209:18 285:23 311:3,6 Thomas [1] 227:15 thorough [1] 183:3 thought [9] 87:5,10 95:15 101:3 115:8 137:2 186:21 189:9 355:21 thoughts [1] 380:17 three [46] 4:10 30:15 45:19 47:19 48:23 51:16</p>
---	--	---	---	--

<p>51:24 55:3,4 66:5 67:5 80:1 82:12,15 108:10 114:16 115:14 184:14,17 211:11 244:23 254:3,8 261:24 268:1 276:5 296:23 299:3,24,25 301:11,12 302:6,9,9 313:15,18 314:10 316:2 325:23 326:5,10 328:7 344:23 378:24 392:14</p> <p>threshold [5] 319:10,12 319:16 320:4 321:20</p> <p>thresholds [4] 313:16 317:2,5 318:19</p> <p>through [76] 3:5 14:16 25:3,6,8 32:3 36:1 37:7 38:14,16 39:23 46:10 59:1 62:21,25 65:15 67:14 68:24 86:23 97:20 104:2,3,7 107:10,14 112:5 120:15 125:18 135:12,13 142:14,25 143:13 149:4 158:24 163:10,22 183:2 187:6 193:22 194:24 229:16 233:9,9,10 238:23,25 239:16 246:25 247:16 249:5,23 251:22,24 252:3 254:2 255:3,19 269:24 277:20 287:12,17 288:4 295:19 316:18 317:1 324:21 330:25 331:20 332:4 339:20 345:11,22 345:24 377:20 387:3</p> <p>throughout [6] 11:16 16:3 88:20 233:1 265:14 268:7</p> <p>thumb [1] 44:22</p> <p>thumbing [1] 345:11</p> <p>Thursday [2] 328:1,3</p> <p>tiers [1] 296:8</p> <p>Tilley [3] 182:25 315:16 315:18</p> <p>times [25] 86:15 88:20 95:23 102:16,18 108:10 135:10 149:17 155:19 219:19 265:11,19 267:18 311:20,24 328:7 343:13 343:15,24 345:16 346:21 363:20 364:12 387:3 391:2</p> <p>tin [1] 17:12</p> <p>tissue [64] 16:21 17:5,11 18:21,23 26:21 27:1 30:3 33:4 39:3,14,25 40:4,15 40:18 43:1,12 46:10,10 46:20,23 47:15,23 49:9 49:21,23 50:18,24 51:1 51:5,18,19,21,23 52:6 52:24,25 61:23 68:4 76:12 95:6,8,18,25 96:2 96:5 97:2,4 98:15,15,21 98:21 99:14,19 100:2 115:7 149:22 185:24 223:17 236:13 238:8 254:9 274:17 377:13</p> <p>tissues [2] 69:4 113:11</p> <p>title [1] 20:15</p> <p>titled [1] 64:21</p>	<p>today [14] 64:15 103:10 133:20 167:1,3 180:24 245:10 258:3 275:24 283:13 300:15 338:8 345:10 350:4</p> <p>together [16] 92:21,25 106:2 130:2 131:1 145:9 145:23 150:18,25 248:4 248:10,13 250:22 253:15 295:18 373:11</p> <p>tolerate [1] 353:13</p> <p>Tompkins [1] 348:18</p> <p>too [11] 20:22 33:10 116:24 148:25 151:5 168:15 217:25 294:5 325:18 337:4 338:12</p> <p>took [15] 5:12 6:1 15:13 17:10 22:8 36:6 39:14 113:9 140:24 148:23 173:11 193:9 204:9 207:24 250:15</p> <p>top [5] 91:17 209:16 339:3,11 366:8</p> <p>topic [8] 45:3 63:3 111:25 156:5 171:17 191:20 236:18 307:7</p> <p>topics [2] 60:13 185:1</p> <p>Toronto [2] 210:22 378:14</p> <p>total [4] 143:10 176:24 190:9 300:18</p> <p>totally [1] 222:21</p> <p>touch [1] 315:21</p> <p>touched [1] 216:6</p> <p>towards [7] 26:19 305:11 312:19,21 332:16 354:12 388:14</p> <p>town [5] 86:19 91:5 134:11 251:21 267:3</p> <p>track [12] 277:11 306:17 306:23 391:7,10,16 392:25 393:5,10,13,14 393:16</p> <p>tracking [2] 391:21 392:5</p> <p>trained [6] 42:1 45:15 45:17 54:4 298:18 310:22</p> <p>training [12] 41:24 42:3 42:5 50:10 56:11 129:4 298:20 325:6 335:20 338:14 378:14 389:11</p> <p>transcribe [1] 204:17</p> <p>transcribed [2] 212:1 394:9</p> <p>transcript [2] 212:2 394:3</p> <p>transcription [1] 208:25</p> <p>transferred [3] 68:1 70:22 114:13</p> <p>transmitted [2] 180:20 273:25</p> <p>transplant [2] 288:18 332:19</p> <p>transport [2] 28:4 43:25</p> <p>transported [4] 28:2,13 28:14 274:23</p>	<p>transporting [1] 27:25</p> <p>travel [1] 267:19</p> <p>travelling [1] 268:9</p> <p>treat [3] 353:14,15 358:20</p> <p>treated [2] 353:9 359:13</p> <p>treating [2] 353:5,8</p> <p>treatment [23] 64:6 84:23 205:13 206:9 226:7 307:25 321:1 333:19,21 333:23 334:5 344:11,12 356:22 360:1 367:15,25 369:13 370:9 380:1 383:21 387:12,14</p> <p>trend [3] 303:9 390:16 390:25</p> <p>trends [4] 117:5 277:11 391:6,7</p> <p>trichrome [1] 71:15</p> <p>tried [4] 113:10 149:11 252:19 299:9</p> <p>Trinity [1] 15:6</p> <p>Trish [1] 190:6</p> <p>trouble [2] 207:25,25</p> <p>true [7] 35:12 50:4 64:11 64:15 320:8 385:16 394:3</p> <p>truth [2] 123:24 219:17</p> <p>try [2] 37:2 298:25</p> <p>trying [10] 52:17 85:25 129:14 187:3 250:21 284:10 289:24 325:4 337:3 349:21</p> <p>tubular [3] 117:25 335:5 389:2</p> <p>Tuesday [6] 326:9 330:21 331:6,6,9,10</p> <p>Tuesdays [4] 329:22 330:17,20,20</p> <p>tumour [71] 17:8,11 37:3 37:5,5,14,16,25 83:6 88:12 90:15 95:5,7 97:4 97:4,6,7 99:6,25 118:25 119:1 137:8 151:24 152:9 205:1,10,22,23 206:12 206:14 251:22 252:3 275:2 279:2,3 305:16 306:20,22 307:3,8,12,14 307:17 308:2,22 309:4,6 309:13,19 310:3,7,21 311:13 312:1,3 346:15 347:16 349:6 350:4 356:1 356:2 362:13 373:18,21 374:1,3 387:10,23 391:16 391:21 393:13</p> <p>tumours [14] 115:24,25 116:6 117:8,9,18,21,22 118:10 119:5 273:17,20 280:12 388:20</p> <p>turn [4] 36:13,16 330:9 331:16</p> <p>turn-around [4] 155:8 155:12,13,18</p> <p>tweaked [1] 249:12</p> <p>twelve [2] 16:17 327:22</p> <p>Twenty-five [1] 265:5</p> <p>two [82] 26:18 46:5 48:23</p>	<p>55:3 60:11,25 66:4 74:22 76:3 78:7 86:4 91:20 93:8 94:14,15,18 103:13 104:7 106:8,13 108:17 110:19 111:21 112:6 114:15 116:25 117:1 129:9 134:7 139:5 155:21 165:7 180:22 181:10,20 184:14,17 189:18 207:15 209:7 225:5 227:13 253:18 273:22,22 279:10 284:7 289:21 294:22 295:5 296:8 313:17 314:23 317:10 325:5,10 326:5 327:13 328:8,9,13 329:14 330:4,8,11 344:6 345:15 351:7 353:10 367:2 368:4 373:5 374:4 375:6 378:13 382:3 384:25 386:12,19 390:23 392:13,17</p> <p>type [20] 30:12 52:20 54:11 60:17 73:9,13,23 86:2 118:25 133:11 137:7 235:9 243:13 266:18 268:4 280:12 340:23 379:14 384:3 393:17</p> <p>typed [4] 180:21 182:11 262:8 274:24</p> <p>types [13] 71:10 75:12 117:17 135:11 235:17 274:13 278:8 279:22 334:16 335:12 372:18 389:25 391:21</p> <p>typical [5] 25:20 26:7,7 327:6,12</p> <p>typos [2] 211:8,19</p>	<p>230:4 232:23 280:16 288:2 294:8 352:9 374:9</p> <p>understood [13] 10:19 33:2 67:24 68:14 81:1 82:18 134:17 162:16 187:1 200:21 206:6 225:3 229:5</p> <p>undertaken [1] 218:18</p> <p>underway [1] 379:12</p> <p>unheard [1] 390:9</p> <p>unhelpful [5] 110:20 120:25 379:8,23 382:9</p> <p>uniform [3] 65:16 80:4 82:13</p> <p>uniformity [1] 80:10</p> <p>unintelligible [2] 277:21 336:5</p> <p>uninterpretable [1] 101:20</p> <p>unit [3] 39:19 46:21 63:12</p> <p>University [6] 4:22 5:9 288:11,13,19 312:11</p> <p>unless [5] 77:6 79:7 222:25 277:19 384:14</p> <p>unreliable [6] 110:20 120:24 125:23 379:7,23 382:8</p> <p>unsatisfactory [1] 125:11</p> <p>unsigned [1] 207:18</p> <p>unusual [2] 133:16 136:21</p> <p>up [81] 5:18,23 14:20 19:19 30:19,21 35:17 42:21 46:9 47:12 50:23 51:2 52:18 54:17 58:11 61:21 62:11 67:9 85:5 87:4 108:8 111:16 127:15 131:8,9 138:6 142:24 145:24 148:2 151:9 154:18 155:24 156:12 157:2 167:10 174:5 177:17 180:4 181:17 196:1 199:19 210:4 213:1 214:23 215:10 219:7 227:10 230:1 231:1,11 234:16 236:20 240:16 252:2 256:9 258:25 259:4 268:19 283:13 285:18 288:1 298:25 304:12 307:14,16 316:20,22 320:23 321:10 328:9 331:11 333:2 359:16 369:5 370:2,7 371:6 384:22 390:16,25 391:17</p> <p>update [1] 216:18</p> <p>updated [1] 157:16</p> <p>upper [2] 37:18,23</p> <p>ups [5] 302:25 303:7 345:18 366:4,6</p> <p>upstairs [1] 330:24</p> <p>urgency [3] 145:5,7 150:16</p> <p>urgent [2] 145:12 329:13</p> <p>urology [1] 349:23</p>
--	--	--	---	--

-U-

<p>usage [1] 82:16 used [28] 30:9 32:14 33:2 33:23 54:12,14 95:18 120:23 129:18 145:11 164:1 166:24 167:3 171:2 179:13 258:12 305:1 332:7 333:25 334:9 337:4 338:5,25 339:1 349:23 353:17 382:7 383:21 useful [2] 125:5 188:14 user [2] 84:2,18 uses [1] 120:23 using [22] 30:16 56:9,13 72:9 76:11,13 90:22 96:5 98:8,14 100:9,16 116:12 164:12 170:14 208:14 235:5 273:16 284:22 333:3 352:7 388:15 usual [1] 328:13 usually [17] 26:10 96:4 119:5 222:24 260:10 298:21 326:9 327:22 328:1 329:19,21 331:7 331:12 336:4 337:9 360:17 363:13 uterus [1] 235:16 utilize [1] 83:18 utilized [2] 156:13 265:23 utilizer [1] 126:11 utilizing [7] 98:20 125:12 126:2 152:8 163:25 165:7 208:5</p> <hr/> <p style="text-align: center;">-V-</p> <p>vacation [3] 149:2 261:1 267:24 variability [2] 115:9 268:6 variable [1] 329:19 variables [4] 327:13 328:13 329:14 380:20 variation [1] 276:15 variations [1] 276:12 varied [1] 351:12 varies [1] 115:8 various [8] 11:13 13:8 27:7 173:9 196:17 233:2 268:7 313:9 Ventana [10] 138:18 167:22 168:11,18 170:20 170:25 171:2 221:3 272:8 285:24 verbal [2] 146:20 166:16 verbally [1] 142:12 verification [2] 169:1 251:15 verified [1] 223:3 verify [1] 212:6 versa [2] 324:18 336:9 version [1] 182:11 versions [1] 237:9 versus [2] 75:15 285:6 vetted [1] 251:22</p>	<p>via [5] 6:24 91:7 266:9 273:23 274:23 vice [2] 324:18 336:9 video [3] 217:6 266:13 266:15 video-conferencing [1] 266:9 view [9] 57:13,16 138:17 138:21 198:25 279:23 280:24 284:1 367:10 viewed [3] 107:25 122:2 171:13 views [1] 186:11 visit [1] 26:14 visited [1] 245:5 visiting [2] 63:7 266:25 volume [1] 91:5 Von [2] 289:11 290:4 VP [1] 172:12</p> <hr/> <p style="text-align: center;">-W-</p> <p>wait [1] 257:10 waited [1] 121:16 waiting [1] 364:15 wants [1] 305:3 warehouse [1] 144:25 warning [1] 67:9 warnings [1] 87:21 Wasil [1] 300:10 water [1] 47:8 wax [1] 40:1 ways [1] 304:16 weak [3] 96:8,9,14 websites [1] 304:25 Wednesday [4] 207:23 307:13 308:21 327:24 week [24] 26:7 91:4,4,6 138:9 154:19 155:18 156:1 232:24 248:16 291:21 306:8,9 308:17 317:10 326:13,14 327:6 327:7,8,12,20 328:13 330:11 weekend [2] 46:14 48:20 weekends [1] 265:15 weekly [2] 373:12 374:3 weeks [17] 45:19 110:25 120:18 155:21 157:15 207:16 245:5 267:24 328:7,8,9 330:4,8 372:1 378:9 379:14 382:3 Wegrzynowski [1] 190:19 Wegrzynowski's [1] 190:7 welcome [3] 311:15,18 311:23 Western [2] 1:16 182:16 whatsoever [1] 278:7 whereas [1] 302:17 whereby [2] 94:10 215:7 wherever [1] 212:10</p>	<p>Whitbourne [2] 16:11 176:18 White [3] 256:12 257:18 261:14 who've [1] 380:13 whole [12] 33:7 36:25 249:23 284:21 291:11 299:14 343:14 355:21 356:10 371:13 392:14,15 wicking [3] 33:19,20,22 wide [1] 214:10 wider [1] 370:11 Willebrand [2] 289:11 290:5 Williams [17] 172:11,25 180:17 181:11,15,22 182:4,12 183:4 192:5 195:2,10,21,25 199:21 200:11 377:24 wish [3] 157:12 282:25 283:6 within [45] 13:3,11 23:22 24:3 27:8,12 30:15 55:3 57:17 59:6 60:2 75:7,8 75:15,16 95:7 102:2 110:25 118:17,17 124:14 128:16 142:11 145:13 157:15 179:25 184:12 190:13 196:17 207:15 234:6,6 237:13 239:16 243:14 244:23 264:4 273:21 279:1 282:5 314:19,23 341:6 391:14 393:8 without [4] 34:11 84:1,6 136:3 witness [3] 4:5 286:18 286:25 witnesses [1] 189:17 wonder [2] 249:13 381:10 wondering [3] 186:8,20 392:24 word [17] 82:17 85:4 129:18 144:10 160:19 161:4 162:10 163:2,3 208:19,20 212:2,3 253:15 258:12 337:4,13 wording [1] 382:7 words [6] 82:17 120:23 124:22 145:11 223:25 262:13 worked [9] 9:1 17:1 42:15,20,23 63:5 196:11 289:2 296:25 workload [2] 9:2 313:16 workloads [1] 320:21 works [1] 296:3 workshop [1] 243:1 world [2] 133:15 194:17 wrap [1] 17:12 write [3] 314:4,5 351:10 writes [6] 125:18 197:23 199:15 210:20 314:12 315:19 writing [2] 262:22 313:7</p>	<p>written [18] 53:14,24 54:2 63:13,20 122:16 236:19 244:25 245:4 262:14 313:5,21 376:18 377:6 378:15 385:2 387:6 388:7 wrong [2] 297:11,15 wrote [6] 94:11 314:2,2 378:25 379:1,4</p> <hr/> <p style="text-align: center;">-X-</p> <p>x-ray [1] 20:21 xerox [2] 248:21 275:1</p> <hr/> <p style="text-align: center;">-Y-</p> <p>Y [1] 18:15 year [76] 8:24,25 16:5,17 42:22 69:12 103:5 116:25 127:9 129:9,19,23 130:4 132:19 133:6,7 139:8,13 139:13,24 143:9,10,12 144:23 146:2 153:3 154:1 161:21,22 164:24 177:18 185:9 188:8 197:5 203:3 238:5,6 240:7,17 243:15 249:8 268:8 269:6 279:10 291:11 292:2 297:13,13 297:15 300:11 301:12 302:1,9,12,18 304:19 305:4,5,20 306:24 309:17 311:4,6 319:8,19 332:15 349:7 353:24 366:4 385:10 390:22,23 392:14 392:15,22 393:15 yearly [1] 143:13 years [43] 5:3 7:11 9:11 25:7 30:15 40:17 42:11 42:16,23 44:13 55:25 56:9,14 63:4 118:18 123:21 133:23 144:22,24 146:19,20 155:18 167:9 169:5 235:5 248:17 263:16,21 264:18 265:5 266:1,20 268:2 273:19 273:22,23 274:3 302:6 321:4 322:21,25 353:16 366:2 yet [7] 196:22 253:10 298:4,11 344:1,10,12 York [5] 288:13 310:21 331:21 332:2,18 yourself [29] 18:16 24:24 43:25 81:21 151:8 154:16 156:7 157:5 160:17 171:11 180:18 182:17 191:19 195:24 204:9 206:21 214:18 220:6 221:9 231:7 256:19 304:8 304:8,12 313:5 318:4 362:8 375:5 380:23</p> <hr/> <p style="text-align: center;">-Z-</p> <p>Z-A-I-D [1] 287:8 Zaid [2] 2:7 287:8 zero [11] 82:22,24 83:1 85:9,11 97:7 163:1 249:7 258:8,17,17</p>	<p>Zulfiqar [1] 302:23</p>
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